

**INVARIANT SUBRINGS WHICH ARE COMPLETE
INTERSECTIONS, I
(INVARIANT SUBRINGS OF FINITE ABELIAN GROUPS)**

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Introduction

Let G be a finite subgroup of $GL(n, C)$ (C is the field of complex numbers). Then G acts naturally on the polynomial ring $S = C[X_1, \dots, X_n]$. We consider the following

PROBLEM. When is the invariant subring S^G a complete intersection?

In this paper, we treat the case where G is a finite Abelian group. We can solve the problem completely. The result is stated in Theorem 2.1.

1. Construction of the groups and the invariant subrings

First, let us fix some notations.

Z is the ring of integers.

N is the additive semigroup of nonnegative integers.

Z_+ is the set of positive integers.

C is the field of complex numbers.

$S = C[X_1, \dots, X_n]$.

G is a finite Abelian subgroup of $GL(n, C)$. It is well known that G is diagonalizable. So we will always assume that every element of G is a diagonal matrix.

e_m is a primitive m -th root of unity.

$I = \{1, \dots, n\}$ (the index set of variables).

$(a; i)$ (resp. $(a, b; i, j)$) is the diagonal matrix whose (i, i) component is a (resp. (i, i) component is a and (j, j) component is b) and the other

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diagonal components are 1. For example, if $n = 3$,

$$(a; 2) = \begin{bmatrix} 1 & & \\ & a & \\ & & 1 \end{bmatrix} \quad \text{and} \quad (a, b; 1, 3) = \begin{bmatrix} a & & \\ & 1 & \\ & & b \end{bmatrix}.$$

DEFINITION 1.1. A special datum D is a couple (D, w) where D is a set of subsets of I and w is a mapping of D into Z_+ satisfying the following conditions.

- (1) For every $i \in I$, $\{i\} \in D$.
- (2) If $J, J' \in D$, one of the following cases occurs;
 - (a) $J \subset J'$ (b) $J' \subset J$ (c) $J \cap J' = \emptyset$.
- (3) If J is a maximal element of D , then $w(J) = 1$.
- (4) If $J, J' \in D$ and if $J \subseteq J'$, then $w(J)$ is a multiple of $w(J')$ and $w(J) > w(J')$.
- (5) If $J_1, J_2, J \in D$ and if $J_i < J$ ($i = 1, 2$), then $w(J_1) = w(J_2)$. (We write $J < J'$ if $J \subseteq J'$ and if there is no element of D between J and J' .)

A datum D is a couple of a special datum D' and $(a_1, \dots, a_n) \in Z_+^n$. We identify a special datum D with the datum $(D, (1, \dots, 1))$.

DEFINITION 1.2. If $D = (D, w, (a_1, \dots, a_n))$ is a datum, we put

$$R_D = C[X_J; J \in D], \quad \text{where } X_J = \left(\prod_{i \in J} X_i^{a_i} \right)^{w(J)}.$$

DEFINITION 1.3. If $D = (D, w, (a_1, \dots, a_n))$ is a datum, the group G_D is the one generated by the following elements;

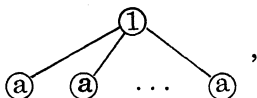
$$\{(e_{a_i}; i) \mid i \in I\} \quad \text{and} \\ \{(e_{w a_i}, e_{w a_j}^{-1}; i, j) \mid J_1, J_2, J \in D, i \in J_1, j \in J_2, J_1, J_2 < J \text{ and } w = w(J_1) = w(J_2)\}.$$

NOTATION 1.4. To illustrate a special datum D , we define the graph of $D = (D, w)$ as follows;

(i) We represent $J \in D$ by a circle and we write the integer $w(J)$ inside it.

(ii) If $J < J'$, we join the corresponding circles by a line segment in such a way that the circle corresponding J' lies above that of J .

EXAMPLE 1.5. A. If the graph of D is



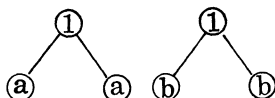
then

$$R_D = C[X_1^a, \dots, X_n^a, X_1 X_2 \cdots X_n] \quad \text{and}$$

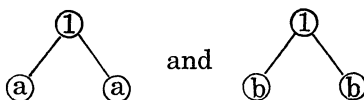
$$G_D = \langle (e_a, e_a^{-1}; 1, 2), (e_a, e_a^{-1}; 2, 3), \dots, (e_a, e_a^{-1}; n-1, n) \rangle.$$

It will be shown later that if $G \subset SL(n, C)$ is a finite Abelian group which is not contained in $SL(n-1, C)$ and if S^G is a hypersurface, then $S^G = R_D$ and $G = G_D$ of this example (cf. Theorem 2.1).

B. If the graph of D is



($n = 4$), then $R_D = C[X_1^a, X_2^a, X_1 X_2, X_3^b, X_4^b, X_3 X_4] = R_{D_1} \otimes_C R_{D_2}$, where D_1 and D_2 are special data whose graphs are



respectively and $G_D = \langle (e_a, e_a^{-1}; 1, 2), (e_b, e_b^{-1}; 3, 4) \rangle = G_{D_1} \times G_{D_2}$.

Remark 1.6. By the construction, it is clear that if D is a special datum and if $D' = (D, (a_1, \dots, a_n))$ is a datum, then $R_D \cong R_{D'}$.

PROPOSITION 1.7. *If $D = (D, w, (a_1, \dots, a_n))$ is a datum, then*

- (1) *the ring R_D is a complete intersection.*
- (2) *R_D is the invariant subring under the action of the group G_D .*

Proof. We prove this by induction on the cardinality of D . If $\#(D) = n$, R_D is a polynomial ring and the statement (2) is clear, too.

(1) Let J be a maximal element of D with $\#(J) \geq 2$. We can write $J = J_1 \cup \dots \cup J_p$, where $J_i < J$ for $i = 1, \dots, p$. We put $D' = D \setminus \{J\}$ and $D' = (D', w', (a'_1, \dots, a'_n))$, where

$$w'(J') = \begin{cases} w(J')/w(J_i) & (\text{if } J' \subset J_i) \\ w(J') & (\text{if } J' \not\subset J) \end{cases}$$

and

$$a'_j = \begin{cases} a_j \cdot w(J_i) & (\text{if } j \in J_i) \\ a_j & (\text{if } j \notin J). \end{cases}$$

Then it is easy to see that $R_D = R_{D'}[X_J] \cong R_{D'}[Y]/(Y^{w(J_i)} - \prod_{i=1}^p X_{J_i})$. As

R_D is a complete intersection by the induction hypothesis, so is R_D .

(2) It is easy to see that every element of R_D is invariant under the action of G_D . Also, by easy computation, we can reduce to the case where D is a special datum. As S^{G_D} is generated by monomials, it suffices to show that every monomial in S^{G_D} is divisible by some $X_J (J \in D)$. Let $M = X^c$ ($c = (c_1, \dots, c_n)$) be a monomial in S^{G_D} . We put $I' = \{i \in I \mid c_i > 0\}$. If I' contains some maximal element J of D , then M is divisible by X_J . Otherwise, there exist $J, J' \in D$ such that $J' < J$, $J' \subset I'$ and $J \not\subset I'$. If we take $j \in J$ so that $j \notin I'$, $s_i = (e_{w(J')}, e_{w(J')}^{-1}; i, j) \in G_D$ for every $i \in J'$. As $s_i(M) = M$, we have $w(J') \mid c_i$ for every $i \in J'$. This means that $X_{J'}$ divides M . (The author thanks the referee for advising him this nice proof.)

Remark 1.8. We can define the ring $R_D(k)$ for any field k and a datum D by putting $R_D(k) = k[X_J; J \in D]$. (The definition of X_J is the same as the one in 1.2.) The proof of 1.7 (1) shows that $R_D(k)$ is a complete intersection for an arbitrary field k .

2. The main theorem

In this section, we conserve the notation and conventions at the beginning of Section 1.

THEOREM 2.1. *If G is a finite Abelian subgroup of $GL(n, C)$ (resp. $SL(n, C)$) and if S^G is a complete intersection, then there is a datum (resp. a special datum) D such that $S^G = R_D$ and $G = G_D$.*

We divide the proof of (2.1) in several steps.

2.2. As G is diagonal, S^G is generated by monomials of X_1, \dots, X_n . For a monomial $M = X^a$ ($a = (a_1, \dots, a_n)$), we put $\deg(M) = (a_1, \dots, a_n)$. In this manner, S^G is a Z^n -graded ring. We put $\mathfrak{m} = (X_1, \dots, X_n)S \cap S^G$ and we choose monomials M_1, \dots, M_{n+t} so that the images of M_i 's in $\mathfrak{m}/\mathfrak{m}^2$ form a basis of $\mathfrak{m}/\mathfrak{m}^2$. It is clear that M_i 's are uniquely determined by this condition and that M_1, \dots, M_{n+t} are the minimal generators of S^G . Among M_i 's, there are monomials of the form $X_i^{a_i}$ ($i = 1, \dots, n$). So we can assume that $M_i = X_i^{a_i}$ for $i = 1, \dots, n$. As S^G is normal, M_i ($i = 1, \dots, n$) are uniquely determined by this property.

Now, let us define the homomorphism

$$T: C[Y_1, \dots, Y_{n+t}] \rightarrow S^G$$

by $T(Y_i) = M_i$ ($i = 1, \dots, n + t$). We consider $C[Y_1, \dots, Y_{n+t}]$ a \mathbb{Z}^n -graded ring by putting $\deg(Y_i) = \deg(M_i)$ ($i = 1, \dots, n + t$). Then T is a homomorphism of \mathbb{Z}^n -graded rings and so $\text{Ker}(T)$ is a \mathbb{Z}^n -graded ideal. It is easy to see that $\text{Ker}(T)$ is generated by the differences

$$Y_1^{c_1} \dots Y_{n+t}^{c_{n+t}} - Y_1^{d_1} \dots Y_{n+t}^{d_{n+t}} \quad \text{such that } M_1^{c_1} \dots M_{n+t}^{c_{n+t}} = M_1^{d_1} \dots M_{n+t}^{d_{n+t}}.$$

Minimal basis of $\text{Ker}(T)$ is given by the basis of the vector space $\text{Ker}(T)/(Y_1, \dots, Y_{n+t}) \text{Ker}(T)$. For $i = n + 1, \dots, n + t$, some power of M_i is a product of other M_j 's. So, there is a difference

$$F_i = Y_i^{b_i} - (\text{monomial of } Y_j\text{'s}) \quad (i = n + 1, \dots, n + t)$$

such that F_i is a member of a minimal generating set of $\text{Ker}(T)$. As S^σ is normal, a relation of the type $M_i^p - M_j^q = 0$ does not occur for $i \neq j$. So F_{n+1}, \dots, F_{n+t} are distinct elements of $\text{Ker}(T)$. Also, the images of F_{n+1}, \dots, F_{n+t} in $\text{Ker}(T)/(Y_1, \dots, Y_{n+t}) \text{Ker}(T)$ are linearly independent since $\text{Ker}(T)/(Y_1, \dots, Y_{n+t}) \text{Ker}(T)$ is a \mathbb{Z}^n -graded module and $\deg(F_i)$ ($i = n + 1, \dots, n + t$) are all distinct. If S^σ is a complete intersection, $\text{Ker}(T)$ is generated by precisely t elements and the above argument shows that $\text{Ker}(T)$ is generated by F_{n+1}, \dots, F_{n+t} .

Before proceeding further, we need some remarks.

2.3. In general, if R is a Gorenstein ring graded by N^n and if R_0 is a field, then the canonical module K_R of R has the natural \mathbb{Z}^n -graded R -module structure and $K_R = R(d)$ for some $d = (d_1, \dots, d_n) \in \mathbb{Z}^n$ as \mathbb{Z}^n -graded R -modules (cf. [3]). We define $a(R) = d$. This invariant $a(R)$ plays an essential role in the proof of 2.1. We need two facts concerning $a(R)$.

2.4. (R. Stanley, [5]) $K_{(S^\sigma)_+} = (S^\sigma)_+$ as \mathbb{Z}^n -graded S^σ -modules, where $(S^\sigma)_+$ is the ideal generated by $\{X^e \in S^\sigma \mid e = (e_1, \dots, e_n), e_i > 0 (i = 1, \dots, n)\}$.

EXAMPLES. A. If $G \subset SL(n, \mathbb{C})$, then S^σ is a Gorenstein ring and $a(S^\sigma) = (-1, \dots, -1)$. Conversely, if S^σ is a Gorenstein ring and $a(S^\sigma) = (-1, \dots, -1)$, then $G \subset SL(n, \mathbb{C})$.

B. If $D = (D, w, (a_1, \dots, a_n))$ is a datum, then $a(R_D) = (-a_1, \dots, -a_n)$.

2.5. If $R = k[Y_1, \dots, Y_{n+t}]/(F_1, \dots, F_t)$ is a complete intersection, where k is a field and $\deg(Y_i) \in N^n \setminus \{0\}$ is given so that F_1, \dots, F_t are homogeneous with respect to this grading, then

$$\alpha(R) = \sum_{i=1}^t \deg(F_i) - \sum_{j=1}^{n+t} \deg(Y_j).$$

The proof of this fact is the same as those of (2.2.8) and (2.2.10) in [2].

2.6. If J is a subset of I and if we put $S_J = C[X_i; i \in J]$, then G acts on S_J (as we have assumed that G is diagonal) and $(S_J)^\sigma = S_J \cap S^\sigma$. And,

2.7. If S^σ is a complete intersection, then $(S_J)^\sigma$ is a complete intersection for every subset J of I .

Proof. In the notation of 2.2, $(S_J)^\sigma = C[M_i | M_i \in S_J]$. If we define

$$T_J: C[Y_i | 1 \leq i \leq n+t, M_i \in S_J] \rightarrow (S_J)^\sigma$$

by $T_J(Y_i) = M_i$, then it is easy to show that the set $\{F_i | n+1 \leq i \leq n+t, M_i \in S_J\}$ generate $\text{Ker}(T_J)$.

2.8. Let G be a (not necessarily Abelian) finite subgroup of $GL(n, C)$.

The following facts are known.

THEOREM [1]. *S^σ is a polynomial ring if and only if G is generated by its pseudo-reflections. ($g \in G$ is a pseudo-reflection if $\text{rank}(g - I) = 1$.)*

THEOREM [7]. *If G contains no pseudo-reflections, then S^σ is a Gorenstein ring if and only if $G \subset SL(n, C)$.*

If G is Abelian (and diagonal), then every pseudo-reflection in G is of the form $(e; i)$ where e is a root of unity and $i \in I$. If H is the subgroup of G generated by all the pseudo-reflections of G , then

$$S^H = C[X_1^{a_1}, \dots, X_n^{a_n}]$$

for some integers a_1, \dots, a_n . The group G/H acts linearly on the new basis $(X_1^{a_1}, \dots, X_n^{a_n})$. If S^σ is a Gorenstein ring, then $G/H \subset SL(n, C)$ by this new representation. That is, $X_1^{a_1} \dots X_n^{a_n} \in S^\sigma$. So, to prove 2.1, we may assume that $G \subset SL(n, C)$.

2.9. Now, let us continue the proof of Theorem 2.1. We assume that $G \subset SL(n, C)$ and that S^σ is a complete intersection. We put $S^\sigma = C[M_1, \dots, M_{n+t}]$ as in 2.2. We prove the theorem by induction on n . (For $n \leq 2$, the conclusion of 2.1 is well known and is easy to prove.) So, we assume that for $J \subsetneq I$, $(S_J)^\sigma = R_D$ for a datum D for the index

set J ($(S_J)^a$ is a complete intersection by 2.7).

For a monomial M , we define $\text{Supp}(M) = \{i \in I \mid X_i \mid M\}$. We put $\text{Supp}(M_i) = J_i$ ($i = 1, \dots, n+t$).

2.10. If $i \not\asymp j$, then $J_i \not\asymp J_j$.

Proof. Assume that $J = J_i = J_j$. Considering the action of G on S_J and by the induction hypothesis, we may assume $J = I$. But in this case, as $X_1 \cdots X_n \in S^a$, the only possible monomial M with $\text{Supp}(M) = I$ that is a member of the minimal generators of S^a is $M = X_1 \cdots X_n$. A contradiction!

2.11. If $i \not\asymp j$, either $J_i \subset J_j$, $J_i \supset J_j$ or $J_i \cap J_j = \emptyset$.

Proof. If the conclusion is false, there is a pair (i, j) such that $J_i \not\supset J_j$, $J_i \not\subset J_j$ and $J = J_i \cap J_j \not\asymp \emptyset$. Let us take such a pair that $J_i \cup J_j$ is minimal. By the induction hypothesis, we may assume $J_i \cup J_j = I$. Then $P = X_1 \cdots X_n$ divides $M_i M_j$. So, by 2.2, P must be a member of the minimal generating set of S^a and there is an integer a such that $P^a = M_i M_j$. Also by 2.2, there is no further couple (k, m) such that $J_k \cup J_m = I$. By the minimality assumption, for every k ($k = 1, \dots, n+t$), one of the following cases occurs; (i) $M_k = P$ (ii) $J_k \subset J_i$ (iii) $J_k \subset J_j$. That is, we have $S^a = C[(S_{J_i})^a, (S_{J_j})^a, P]$. By the induction hypothesis, there exist data D_i and D_j for the index sets J_i and J_j , respectively, such that $(S_{J_i})^a = R_{D_i}$ and $(S_{J_j})^a = R_{D_j}$. We want to compute $a(S^a)$ to have a contradiction. Consider the homomorphism T defined in 2.2. We have seen in 2.2 that $\text{Ker}(T) = (F_{n+1}, \dots, F_{n+t})$. If $k \geq n+1$ and if $J_k \subset J_i$, then, by the construction of R_{D_i} , $F_k = Y_k^v - \prod_{m \in J'_k} Y_m$, where $J'_k = \{m \mid J_m \prec J_k\}$ and $v = w(J_m)/w(J_k)$ (everything is considered in the datum D_i). The situation is similar if $J_k \subset J_j$. Thus we have

$$(*) \quad \deg(F_k) = \sum_{J_m \prec J_k} \deg(M_m).$$

If $M_k = P$, we have seen $F_k = P^a - M_i M_j$. By 2.5,

$$a(S^a) = \sum_{k=n+1}^{n+t} \deg(F_k) - \sum_{m=1}^{n+t} \deg(M_m).$$

We recall that $\{J_k \mid k = 1, \dots, n+t\} = D_i \cup D_j \cup \{I\}$ and that D_i and D_j have the non-empty intersection. If we replace $\deg(F_k)$ by the equality (*), $\deg(M_m)$ appears twice if $J_m \prec J_k$ in D_i and $J_m \prec J_{k'}$ in D_j for some J_k and $J_{k'}$. So, we have

$a(S^G) = -\deg(P) + \sum \{\deg(M_k) | J_k \text{ is a maximal element of } J = J_i \cap J_j\}$.

But, on the other hand, as $G \subset SL(n, \mathbb{C})$, we must have $a(S^G) = -\deg(P) = (-1, \dots, -1)$. This contradicts the fact that $J \neq \emptyset$.

2.12. For every $i, i = 1, \dots, n + t$, $M_i = (\prod_{m \in J_i} X_m)^{w_i}$ for some integer w_i and $w_i = w_j$ if $J_i < J_k$ and $J_j < J_k$ for some J_k .

Proof. We prove this by descending induction on J_i . If $J_i = I$, then $M_i = P$ and we have already seen that $P^a = \prod_{J_k < I} M_k$ for some integer a (cf. 2.11). Thus $M_k = (\prod_{i \in J_k} X_i)^a$ for every k such that $J_k < I$. We can repeat this process considering the action of G on S_{J_i} and using the induction hypothesis. Also, this argument shows that we have checked the condition (4) of 1.1. The proof of Theorem 2.1 is complete.

EXAMPLE 2.13. To illustrate the proof of 2.11, let us give an example. If we put $R = \mathbb{C}[X^4, Y^2, Z^4, X^2Y, YZ^2, XYZ]$, R is a complete intersection and $a(R) = (-1, 1, -1) = (-1, -1, -1) + (0, 2, 0)$. The calculation of $a(R)$ shows that R is not normal. The normalization of R is $R[X^3Z, X^2Z^2, XZ^3]$, which is not a complete intersection.

Remark 2.14. Let $H \subset N^n$ be a finitely generated additive semigroup and let k be a field. Then the property " $R = k[H]$ ($k[H] = k[X^h; h = (h_1, \dots, h_n) \in H]$) is a complete intersection" does not depend on k . So, we have the following

THEOREM. Let k be a field and $H = N^n \cap L$ be a semigroup, where L is an additive subgroup of \mathbb{Z}^n with $\text{rank}(L) = n$. If $R = k[H]$ is a complete intersection, then $R = R_D(k)$ for some datum D (cf. 1.8).

Remark 2.15. For $n = 3$, normal semigroup rings of dimension 3 over arbitrary field, which are complete intersections were classified by M.-N. Ishida in [4].

Remark 2.16. R. Stanley gave a criterion for S^G to be a complete intersection in [6], where G is the intersection of a reflection group \bar{G} and $SL(n, \mathbb{C})$. If \bar{G} is Abelian, \bar{G} is necessarily of the form $\bar{G}_B = \langle (e_{b_i}; i) | 1 \leq i \leq n \rangle$, where $B = (b_1, \dots, b_n)$ is an n -tuple positive integers. In this case, his criterion says that S^{G_B} ($G_B = \bar{G}_B \cap SL(n, \mathbb{C})$) is a complete intersection if and only if the set $\{b_1, \dots, b_n\}$ is "completely reducible" (see [6] for the definition of this word). By our Theorem 2.1, it is not

hard to get the special case of his theorem when \bar{G} is Abelian. But, for a special datum D , the group G_D is not necessarily the intersection of a reflection group and $SL(n, C)$.

3. Some concluding remarks and conjectures

PROPOSITION 3.1. *If $G \subset SL(n, C)$ is a finite Abelian group and if S^G is a complete intersection, then*

- (1) G is generated by $\{g \in G \mid \text{rank}(g - I) = 2\}$,
- (2) S^G is generated by at most $2n - 1$ elements,
- (3) if S^G is a hypersurface (if S^G is generated by $(n + 1)$ -elements), then $G = \bar{G} \cap SL(n, C)$, where \bar{G} is a finite Abelian reflection group,

(4) if S^G is a hypersurface, the multiplicity of S^G is at most n . If S^G is generated by exactly $2n - 1$ elements (if the embedding dimension of S^G is $2n - 1$), the multiplicity of S^G is 2^{n-1} . In general, the multiplicity of S^G is at most 2^{n-1} .

Proof. We may assume that $S^G = R_D$, where $D = (D, w)$ is a special datum. Then (1) is clear by the definition of G_D . To prove (2)–(4), we may assume that S^G does not contain any non-zero linear forms. For $J \in D$, $|J| \geq 2$, we put

$$\delta(J) = \#\{J' \in D \mid J' \prec J\} \quad \text{and} \quad m(D) = \prod_{\substack{J \in D \\ |J| \geq 2}} \delta(J).$$

Then we have $\sum_{J \in D, |J| \geq 2} (\delta(J) - 1) = n - 1$. This proves (2). As for (3), if R_D is a hypersurface, then $G_D = \langle (e, e_m^{-1}; i, i + 1) \mid i = 1, \dots, n - 1 \rangle = \langle (e_m; i) \mid i = 1, \dots, n \rangle \cap SL(n, C)$ for some integer m . To prove (4), we consider the ring $A = R_D/\mathfrak{a}$, where \mathfrak{a} is the ideal of R_D generated by

$$\{X_J \mid J \text{ is a maximal element of } D\} \quad \text{and} \\ \{X_{J'} - X_{J''} \mid J', J'' \in D \text{ and } J' \prec J, J'' \prec J \text{ for some } J \in D\}.$$

Then A is an Artinian ring and $\text{length}(A) = m(D)$ by using the following easy lemma repeatedly, and so the multiplicity of R_D is at most $m(D)$. It is easy to see that $m(D) \leq 2^{n-1}$.

LEMMA. *If B is an Artinian ring and if $C = B[Y]/(Y^m - b)$, where Y is an indeterminate and $b \in B$, then $\text{length}(C) = m \cdot \text{length}(B)$.*

In general, we have the following

CONJECTURE 3.2. *If $G \subset SL(n, C)$ is a (not necessarily Abelian) finite*

group and if S^G is a complete intersection, then the followings are true.

- (1) G is generated by $\{g \in G \mid \text{rank}(g - I) = 2\}$.
- (2) The embedding dimension of S^G is at most $2n - 1$.
- (3) If S^G is a hypersurface, then $G = \bar{G} \cap SL(n, \mathbb{C})$ for some finite reflection group \bar{G} .
- (4) The multiplicity of S^G is at most 2^{n-1} . If S^G is a hypersurface, then the multiplicity of S^G is at most n .

We have examined this conjecture when G is Abelian. If $n = 2$, it is well known that S^G is a hypersurface of multiplicity 2 for every finite subgroup G of $SL(n, \mathbb{C})$. In [8], we will show that the conjecture is true for $n = 3$.

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