G. MasonNagoya Math. J.Vol. 118 (1990), 177-193

# ON A SYSTEM OF ELLIPTIC MODULAR FORMS ATTACHED TO THE LARGE MATHIEU GROUP

### **GEOFFREY MASON**

#### §1. Introduction and statement of results

This paper is a continuation of two previous papers of the author. In the first [4] we discussed a Thompson series associated with the group  $M_{24}$  in which each of the modular forms  $\eta_g(\tau)$  attached to elements  $g \in M_{24}$  are primitive cusp-forms. In the second [5] we showed how, given a rational *G*-module *V* for an arbitrary finite group *G*, it is possible to attach to each pair of commuting elements (g, h) in *G* a certain *q*-expansion  $f(g, h; \tau) = \sum_{n\geq 1} a_n(g, h)q^{n/D}$  (for  $q = \exp(2\pi i \tau)$ ,  $\tau$  in the upper half-plane  $\mathfrak{h}$ , and *D* an integer depending only on (g, h)) such that the follow ing hold:

(1.1) 
$$f(g, h; \tau) = f(g^x, h^x; \tau), \quad x \in G$$

(1.2) For each  $\gamma \in \Gamma = SL_2(Z)$  we have

$$f(g, h; \tau)|_k \gamma = ( ext{constant})f((g, h)\gamma; \tau)$$

where  $k = \frac{1}{2} \dim C_{\nu}(\langle g, h \rangle)$ . Here the left-side is the usual slash operator on modular forms of weight k and on the right we have

$$(g, h)$$
 $\gamma = (g^a h^c, g^b h^d)$  for  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ 

(1.3) For each  $g \in G$  and  $n \in N$  the map

$$h \longmapsto a_n(g, h)$$

is a virtual character of  $C_{g}(g)$ .

We call an assignment  $(g, h) \mapsto f(g, h; \tau)$  satisfying (1.1)-(1.3) an *elliptic* system for G, and the purpose of this paper is to study in detail the

Received March 20, 1989.

<sup>\*</sup> Research supported by the National Science Foundation and the S.E.R.C. of Great Britain.

elliptic system for  $M_{24}$  corresponding to its usual permutation representation on 24 letters. We will see that this system has remarkable multiplicative properties.

The definition of  $f(g, h; \tau)$  in [6] is quite complicated and will not be repeated here, but in certain cases it can be written as a "Frame shape." For this purpose we make the following definition:

(1.4) The commuting pair (g, h) is called *rational* if h acts rationally on each of the g-eigenspaces of  $V \otimes_q C$ .

If (g, h) is a rational pair and g has order r then on the  $\exp(2\pi ji/r)$ eigenspace of g on  $V \otimes_{\varrho} C$ , h has a Frame shape, say

$$\prod_{m|s}^{n} m_{j}^{e(m_{j})}$$

where s = order of h. Then we have

(1.5) 
$$f(g, h; \tau) = \prod_{j \mid r} \prod_{d \mid j} \prod_{m_j \mid s} \eta(m_j \tau/d)^{e(m_j)\mu(r/d_j)}$$

where  $\mu$  is the Möbius function.

If g = 1 then (1.5) reduces to  $f(1, h; \tau) = \prod \eta(m_j \tau)^{e(mj)}$  and is precisely the form  $\eta_h(\tau)$  discussed in [4]. Thus (1.5) represents the generalization of "Frame shape" to rational pairs.

We use the term "primitive" cusp-form as in [3]. The main result of that paper is that the primitive cusp-forms of the type

(1.6) 
$$p(\tau) = \prod_{i=1}^{s} \eta(k_i \tau)^{e_i}, \quad 1 \le k_1 \le k_2 \le \cdots, e_i > 0$$

are precisely those for which the corresponding partition  $(k_1^{e_1}, \dots, k_s^{e_s})$  is a "balanced" partition of 24. In other words, we have

(1.7) (i) 
$$\sum k_i e_i = 24$$
  
(ii)  $k_1 | k_i, i \ge 1$   
(iii) If  $N = k_1 k_s$ , then  $N = k_i k_{s+1-i}, i \ge 1$ ,  
(iv)  $e_i = e_{s+1-i}, i \ge 1$ .

We call the integer N in (iii) the balancing number of the partition.

Now each  $h \in M_{24}$  has a balanced Frame shape, so that each  $\eta_h(\tau)$  is a primitive cusp-form of the preceding type. Moreover, of the 28 cuspforms in [3] which satisfy (1.6) and (1.7), 22 appear as  $\eta_h(\tau)$  for  $h \in M_{24}$ . One of the main results of the present paper is to extend these observa-

tions to the contex of our elliptic system, and to explain how *every* form satisfying (1.6) and (1.7) appears. To state these results we need some notation.

$$N_g$$
 = balancing number of  $g \in M_{24}$ .

For a pair (g, h) of commuting elements we set

$$N_{(g,h)} = N_g N_h \,,$$

and for an abelian subgroup  $A \leq M_{\rm 24}$  with at most 2 generators we set

$$N_{A} = \min \left\{ N_{(g,h)} \, | \, \langle g, h \rangle = A \right\}.$$

Finally, let  $m(g, h; \tau) = f(g, h; N_g \tau)$ , We will establish the following:

I. To each  $A \leq M_{24}$  is attached a primitive cusp-form  $p_A(\tau) = p(\tau)$  satisfying (1.6) and (1.7) and the following:

(a) If  $\langle g, h \rangle = A$  then  $m(g, h, \tau) = p(\tau)$ , if and only if,  $N_{(g,h)} = N_A$ .

(b)  $p(\tau)$  is a primitive cusp-form of level  $N_A$  and integral weight  $k_A = \frac{1}{2} \dim C_V(A)$  for some Dirichlet character  $\varepsilon_A \pmod{N_A}$  which is trivial if, and only if,  $k_A$  is even.

(c) If  $\langle g, h \rangle = A$  then  $m(g, h; \tau)$  can be derived from  $p(\tau)$  by applying a succession of operators of the form  $|_{k}T_{Q^{-1}}$  and  $|_{k}W_{N}$  where  $T_{Q^{-1}} = \begin{pmatrix} 1 & Q^{-1} \\ 0 & 1 \end{pmatrix}$ ,  $W_{N} = \begin{pmatrix} 0 & -1 \\ N & 0 \end{pmatrix}$  and Q, N are suitably chosen integers.

(d) If  $p(\tau) = \sum_{n=1}^{\infty} a_n q^n$  then there is a root of unity  $\lambda$  such that  $m(g, h; \tau) = \sum_{n=1}^{\infty} b_n q^n$  where either  $b_n = 0$  or  $b_n = \lambda^{n-1} a_n$ .

(e) The majority of the forms m(g, h; t) have multiplicative coefficients, in particular this is true of each rational pair (g, h).

II. Because of (1.3) the forms  $m(g, h; \tau)$  for fixed g form a Thompson series for  $C_{M_{24}}(g)$  which we may write either as  $\sum_{n\geq 1} \chi_n^g q^n$  for  $\chi_n^g \in RC(g)$ ,  $\chi_n^g$  being the coefficient of  $q^n$  in  $m(g, h; \tau)$ , or as a formal Dirichlet series

$$L(C(g), s) = \sum_{n=1}^{\infty} \frac{\chi_n^g}{n^s}$$
.

(a) If we take g = 1 the series  $L(M_{24}, s)$  has an Euler product which is exactly that discussed in [4].

(b) Similarly, several other of the *L*-series L(C(g), s) also have Euler products (e.g., if g is an involution, because of I(e)). They exhibit a "ramified" behavior at the primes dividing the order of g. For example, if g is of type 2A (Frame shape 1<sup>8</sup>2<sup>8</sup>) then  $C = C(g) \cong 2^{1+8}$ .  $L_3(2)$  and we have GEOFFREY MASON

$$L(C,s) = \prod_{p ext{ odd}} \left(1 - rac{\chi_p^s}{p^s} + rac{\psi_p^s}{p^{2s}}
ight)^{-1} \left(1 + rac{S}{2^s}
ight)^{-1} \left(1 + rac{S-T}{2^s}
ight)^{-1}$$

Here,  $T = -\chi_2^g$  is the character of C of degree 8 realized on the (-1)eigenspace of g on V and S is the permutation character of C on the 8 order orbits of g of length 2. Moreover, on the (+1)-eigenspace of g on V the action of  $C/\langle g \rangle = \overline{C}$  induces an embedding  $\overline{C} \leq SO(15, \mathbb{R})$  and then  $\psi_p$  is determined via  $p\psi_p^g = \beta_p^{or}$  where  $\beta_p^{or}$  is the oriented Bott cannibalistic class of  $SO(16, \mathbb{R})$  of degree  $p^8$ , restricted to  $\overline{C}$  and lifted to C. (See [5] for a (general) discussion of this particular virtual character in the present context.)

(c) In general, g acts on the virtual module affording  $\chi_p^g$  as a scalar. Thus we may think of  $\chi_n^g$  as affording a *projective* character of  $\overline{C} = C/\langle g \rangle$ , which we write as  $\hat{\chi}_n^g$ . Then in *every case* the projectivized Dirichlet series has an Euler product, i.e.,

$$\hat{L}(\overline{C},s) = \sum_{n\geq 1} rac{\hat{\chi}_n^s}{n^s} = \prod_p \left(1 - rac{\hat{\chi}_p^s}{p} + rac{\hat{\psi}_p^s}{p^{2s}}
ight)^{-1}$$

where again  $\hat{\psi}_p^{g}$  is of Bott type arising from the induced embedding  $\overline{C} \leq SO(C_v(g))$ .

(d) After (c) we may combine the Euler products together to obtain a bundle version. For the  $\hat{\chi}_n^g$  and  $\tilde{\psi}_p^g$  for fixed n, p and g ranging over  $G = M_{24}$  define a virtual projective G-bundle over G, where by a projective G-bundle over G we mean that for each  $g \in G$  we have a projective space  $P_g$  and conjugation by x induces a linear isometry  $l(x): P_g \to P_{xgx^{-1}}$ satisfying l(x) = id. on  $P_x$  and  $l(xy) = l(x) \circ l(y)$ . If we write  $C_n$ ,  $B_p$  for the virtual projective bundles corresponding to  $\{\hat{\chi}_n^g\}$ ,  $\{\hat{\psi}_p^g\}$  respectively then we have

$$\sum_{n\geq 1}rac{C_n}{n^s}=\prod\limits_p \left(1-rac{C_p}{p^{2s}}+rac{B_p}{p^{2s}}
ight)^{-1}$$
 ,

an Euler product with coefficients in the Grothendieck ring  $KP_{\sigma}(G)$  of such bundles. As in [4], this latter equality may be formulated in terms of the existence of a certain formal group with coefficients in  $KP_{\sigma}(G)$ .

III. All but 2 of the 28 forms satisfying (1.6) and (1.7) appear as  $p_A(\tau)$  for some A. Moreover the remaining 2 appear in the elliptic system attached to O, or even to its maximal 2-local  $2^{12} \cdot M_{24}$ .

The paper is arranged as follows: in section 2 we describe all 2generator abelian subgroups of  $M_{24}$  and study their action on the 24 letters.

In section 3 we list the forms  $m(g, h; \tau)$  and study their q-expansions, and in particular give the proofs of the preceding assertions.

Thanks are due to A.O.L. Atkin for providing some numerical data and thereby influencing my ideas about the forms  $m(g, h; \tau)$ , to S.P. Norton for correspondence which convinced me of the usefulness of introducing projective characters (though its utility is admittedly not quite evident in the foregoing), and to P. Landweber for supplying a list of errata in an earlier version.

# § 2. Hypothesis "Even"

Let G be a finite group with  $\rho$  an even-dimensional representation of G by real unimodular matrices

$$(2.1) \qquad \rho \colon G \longrightarrow SL(2d, \mathbf{R}) \,.$$

In the following we shall frequently abuse notation by omitting  $\rho$  and thereby identifying  $\rho(g)$  with g. We let V be the  $\mathbb{R}G$ -module affording the representation  $\rho$ , and for a subgroup  $H \leq G$  we set  $V_H = \{v \in V | h.v = v \text{ for all } h \in H\}.$ 

**LEMMA** 2.1. If H is either cyclic or abelian of odd order then  $V_{\rm H}$  has even dimension.

*Proof.* As V affords a real representation of G, the non-real irreducible constituents of the action of H on  $\overline{V} = V \otimes_R C$  occur in conjugate pairs. Thus if  $\overline{U}$  is the sum of such constituents and  $\overline{W}$  the sum of the real constituents then  $\overline{V} = \overline{U} \oplus \overline{W}$  and each of  $\overline{U}$ ,  $\overline{W}$  is of even dimension.

If |H| is odd then  $\overline{W}$  is a trivial *H*-module, so  $\overline{W} = \overline{V}_H$  and we are done in this case. If *H* is cyclic then a generator *h* of *H* has only the eigenvalues  $\pm 1$  on  $\overline{W}$  and  $\overline{W} = \overline{V} \oplus \overline{V}_{-1}$  where  $V_{-1}$  is the -1 eigenspace of *h* on *V*. Since det h = 1 we have dim  $V_{-1}$  even, so also dim  $V_H$  is even as required.

LEMMA 2.2. Suppose that codim  $V_{\langle x \rangle} \equiv 0 \pmod{4}$  for each involution  $x \in G$ . Then dim  $V_H$  is even for each  $H \cong Z_2 \times Z_2$ .

*Proof.* If  $x_i$  and the involutions of H,  $1 \le i \le 3$ , we have the fixed-point formula

dim 
$$V = \dim V_H + \sum_{i=1}^{3} \dim (V_{\langle x_i \rangle}/V_H)$$
.

The result follows from this.

The following situation is relevant.

HYPOTHESIS EVEN.  $\rho$  is as in (2.1) and we have (2.2) dim  $V_H$  is even for each 2-generator abelian subgroup  $H \leq G$ .

LEMMA 2.3. Hypothesis Even is equivalent to the following condition: (2.3)  $C_{g}(h) \subseteq SL(V_{\langle n \rangle})$  for each 2-element h. This means that  $C_{g}(h)$  acts on  $V_{\langle n \rangle}$  as a group of unimodular matrices.

*Proof.* Suppose that (2.3) holds. If  $H = \langle h, k \rangle$  is abelian with h a 2-element then dim  $V_{\langle x \rangle}$  is even by Lemma 2.1 and  $H \subseteq SL(V_{\langle x \rangle})$  by hypothesis. Now apply Lemma 2.1 to the action k on  $V_{\langle x \rangle}$  to see that  $(V_{\langle x \rangle})_{\langle k \rangle} = V_H$  has even dimension.

This shows that (2.2) holds at least for abelian 2-groups with at most 2 generators. For an arbitrary such abelian group H we may write  $H = T \times K$  where T is a 2-Sylow of H. Then  $V_T$  is even-dimensional and affords a real representation of K, whence  $V_H = (V_T)_K$  is even dimensional by the argument of Lemma 2.1.

The proof that (2.2) implies (2.3) is left to the reader.

We turn now to the application of these ideas to  $M_{24}$ . Specifically we take

$$(2.4) \qquad \rho \colon M_{24} \longrightarrow SL(24, \mathbf{R})$$

to be the usual permutation representation of  $M_{24}$  on 24 letters.

**PROPOSITION** 2.4. If  $\rho$  is as in (2.4) then Hypothesis Even is satisfied.

*Proof.* We will need a few properties of  $M_{24}$  which can be found in [1] or [2], for example. First, the involutions are of shape  $1^{8}2^{8}$  or  $2^{12}$ . They therefore satisfy the hypothesis of Lemma 2.2, so that result tells us that dim  $V_{H}$  is even for  $H \cong Z_{2} \times Z_{2}$ .

Now these involutions have centralizers of shape  $2^{1+\epsilon} \cdot L_s(2)$  and  $2^{\epsilon} \cdot \Sigma_s$ , respectively, so in each case if x is an involution with centralizer C then C is generated by its involutions. Also, by the first paragraph we see that involutions of C lie in  $SL(V_{\langle x \rangle})$ , so in fact  $C \subseteq SO(V_{\langle x \rangle})$ .

Let now *h* be any 2-element with centralizer *C*. If  $x \in C$  is an involution then  $h \in C(x)$ , so  $\langle x, h \rangle \subseteq SL(V_{\langle x \rangle})$  by the last paragraph, so  $V_{\langle x,h \rangle}$  has even dimension by Lemma 2.1, so  $x \in SL(V_{\langle x \rangle})$ . Now as in the last

paragraph we get  $C_1 \subseteq SL(V_{\langle x \rangle})$  where  $C_1$  is generated by  $\langle h \rangle$  together with the involutions of C.

If h has order 8 then  $C(h) \cong Z_2 \times Z_8$  so that  $C_1 = C \subseteq SL(V_{\langle x \rangle})$ . If h has order 4 then h is conjugate to one of  $4A \sim 2^4 4^4$ ,  $4B \sim 1^4 \cdot 2^2 \cdot 4^4$  or  $4C \sim 4^6$ . The first and third of these satisfy  $C(h) \cong (Z_4 * D_8 * D_8) \cdot \Sigma_3$  resp.  $Z_4 \times \Sigma_4$  and hence  $C_1 = C$  in these cases.

From these reductions together with Lemma 2.3 we see that if the proposition is false, with dim  $V_H$  odd for a suitable H, then in fact  $H \cong Z_4 \times Z_4$  and H contains only elements of order 4 which are of type 4B. But here we compute directly that

dim 
$$V_H = 1/16(24 + 3.8 + 12.4) = 6$$
.

(Here we used dim  $V_{II} = \langle \chi | H, 1_H \rangle_H$  where  $\chi$  is the character afforded by  $\rho$  and satisfying  $\chi(g) = \sharp$  of letter s fixed by g.) The proposition is proved.

We wish now to give all 2-generator abelain subgroups of  $M_{24}$ —not up to conjugacy necessarily, but by listing the number of elements of each cycle shape that they contain. Table 1 names the elements (cycle shapes) following [2]; table 2 names the non-cyclic 2-generator abelian subgroups together with the elements they contain.

Elt.	Shape	Elt.	Shape
1A	124	7A	$1^3 \cdot 7^3$
2A	$1^8 \cdot 2^8$	8A	$1^2 \cdot 2 \cdot 4 \cdot 8^2$
$2\mathrm{B}$	$2^{_{12}}$	10A	$2^2\cdot 10^2$
3A	$1^{6} \cdot 3^{6}$	11A	$1^2 \cdot 11^2$
3B	3 <sup>8</sup>	12A	$2 \cdot 4 \cdot 6 \cdot 12$
4A	$2^4 \cdot 4^4$	12B	$12^{2}$
4B	$1^4 \cdot 2^2 \cdot 4^4$	14A	$1 \cdot 2 \cdot 7 \cdot 14$
$4\mathrm{C}$	$4^{6}$	15A	$1 \cdot 3 \cdot 5 \cdot 15$
5A	$1^{4} \cdot 5^{4}$	21A	$3 \cdot 21$
6A	$1^2 \cdot 2^2 \cdot 3^2 \cdot 6^2$	23A	$1 \cdot 23$
6B	64		

Table 1

Table 2 I.  $Z_2 \times Z_2$ 

Name	# Elts		
	2A	2B	
A	3	0	
В	0	3	
C	2	1	
D	1	2	

# II. $Z_2 imes Z_4$

	2A	2B	4A	4B	4C
A	3	0	4	0	0
В	2	1	2	2	0
С	1	2	4	0	0
D	1	2	0	0	4
E	3	0	0	4	0
$\mathbf{F}$	1	2	0	4	0

III.  $Z_{\scriptscriptstyle 4} imes Z_{\scriptscriptstyle 4}$ 

	2A	2B	4A	4B	4C
А	1	2	4	0	8
В	3	0	8	4	0
С	3	0	0	12	0

IV.  $Z_2 \times Z_8$ 

	2A	2B	4A	4B	4C	8A
А	1	2	0	4	0	8

V.  $Z_2 \times Z_6$ 

	2A	2B	3A	3B	6A	6B
A	3	0	2	0	6	0
В	0	3	0	2	0	6

VI.	$Z_{2}$	Х	$Z_{10}$
-----	---------	---	----------

	2A	$2\mathrm{B}$	5A	10A
А	0	3	4	12

VII.  $Z_3 \times Z_3$ 

	3A	3B
А	8	0
В	2	6

As to the correctness of the tables, IV-VIII are readily deduced from the relevant information in [2], so that only I-III need be considered further. Let us therefore take  $H \leq M_{24}$  with  $H \cong Z_{2a} \times Z_{2b}$ ,  $1 \leq a \leq b \leq 2$ , and first show that H is necessarily one of the types in I-III. The condition imposed by Proposition 2.4 is sufficient to show that only one possibility not listed might occur, namely a = b = 2 with H containing 2 2A, 1 2B, 2 4A, 6 4B and 4 4C.

To eliminate this, take  $x \in M_{24}$  of type 4C with F = C(x). Then  $F \cong Z_4 \times \Sigma_4$ , so that certainly there is only one type of  $Z_4 \times Z_4$  containing x. We assert that F is transitive on the 24 letters. If not then F has two orbits, each of length 12, and if X is one of them then a point-stabilizer in F is  $D \cong D_8$ . Let  $D_0 = D \cap O_2(F) \cong Z_2 \times Z_2$ . Clearly each involution of  $D_0$  is of type 2A, and if they are the only such involutions in  $O_2(F)$  then  $D_0 \trianglelefteq F$  and  $D_0$  fixes each letter in X. This being impossible,  $O_2(F)$  must contain 6 involutions of type 2A and 1 of type 2B. As all elements of order 4 in  $O_2(F)$  have square equal to  $x^2$  they are of type 4<sup>8</sup>. Now we see that  $O_2(F)$  has  $1/16(24 + 6.8) = 4\frac{1}{2}$  orbits, an absurdity. So indeed F is transitive.

Let  $F_0$  be a point stabilizer in F, a group of order 4. We must show that  $F_0 \cong Z_2 \times Z_2$ . Indeed if  $Z_3 \cong R \leq F$  then  $N = N(R) \cong \Sigma_3 \times L_2(7)$  and  $x \in O^{\infty}(N)$ . Then an involution  $t \in O_{\infty}(N)$  lies in  $F \setminus O_2(F)$  and is of type 2A as it centralizes an element of order 7 in N. Thus we may take  $t \in F_0 \setminus F$ , whence  $F_0 \cong Z_2 \times Z_2$  as required.

As explained above, it is now sufficient to show that each of the types listed in I-III above actually occur in  $M_{24}$ . First, type  $Z_4 \times Z_4 A$ 

exists by the foregoing argument. Also, the stabilizer of 3 points in  $M_{24}$  is  $M_{21} \cong L_s(4)$  and contains a  $Z_4 \times Z_4$  necessarily of type C.

Consider next the centralizer B = C(f) of an element of type 4A. We have  $B \cong (Z_4 * D_8 * D_8) \cdot \Sigma_3$ , and the 8 fixed letters of  $f^2$  and their complement are the 2 orbits of B. So a point-stabilizer of the longer orbit (in B) is isomorphic to  $\Sigma_4$  and hence contains an element g of type 4B. So  $\langle f, g \rangle$  must be of type  $Z_4 \times Z_4 B$ .

As for  $Z_2 \times Z_4$  subgroups, type C and D can be found in a  $Z_4 \times Z_4A$ , type E in  $Z_4 \times Z_4C$ , and type A in  $Z_4 \times Z_4B$ .  $A Z_2 \times Z_4F$  lies in  $Z_2 \times Z_8A$ , so only  $Z_2 \times Z_4B$  remains to be accounted for. But from the structure of B = C(f) in the last paragraph we see that if y is an involution in  $B \setminus O_2(B)$  then  $Z_2 \times Z_4 \cong \langle f, y \rangle$  and is *not* contained in a  $Z_4 \times Z_4$  or  $Z_2 \times Z_8$ subgroup. Thus from the preceding  $\langle f, y \rangle$  must be of type  $Z_2 \times Z_4B$  as required. We leave verification of table 2I to the reader.

Finally we remark that because of Proposition 2.4, each of the forms  $f(g, h; \tau)$  (or  $m(g, h; \tau)$ ) attached to  $M_{24}$  has integral weight 1/2 dim  $C_v(\langle g, h \rangle)$ .

### § 3. The associated forms

We begin by listing the forms  $m(g, h; \tau) = f(g, h; N_g \tau)$  as discussed in section 1. To make the computations one uses tables 1 and 2 of section 2 in order to compute the characteristic polynomial of h on each g-eigenspace. If (g, h) is a rational pair then (1.5) yields  $f(g, h; \tau)$ , and in any case one can use the original definition [6, equation (3.7)]. One can also make use of Lemmas 3.1 and 3.2 below. We remark that in [6, equation (3.7)] the form  $f(g, h; \tau)$  is seen to have the shape  $q^{d} \sum_{n\geq 0} a_{n}q^{n}$ for a certain rational number d [6, equation (3.3)], but one readily verifies that  $d = 1/N_{g}$  in the present situation, so that  $m(g, h; \tau) = q + \cdots$ .

One caveat to the foregoing is that only for those pairs (g, h) which are rational do we explicitly record  $m(g, h; \tau)$ , as a Frame shape. Moreover we do not repeat  $m(1, h; \tau)$ , which is given in Table 1 of section 2; and of the pairs (g, h), (h, g) we often list only one (cf. Lemma 3.1).

$\langle g,h angle$	(g,h)	$m(g,h;\tau)$	$N=N_g N_h$	multiplicative
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 2} A$	(2 <i>A</i> , 2 <i>A</i> )	$2^{_{12}}$	4	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 2} B$	(2 <i>B</i> , 2 <i>B</i> )	46	16	yes
$Z_{2}  imes Z_{2}C$	(2A, 2A)	$1^4\cdot 2^2\cdot 4^4$	4	yes
	(2A, 2B)	$2^{_{14}}/1^{_{4}}$	8	yes
	(2B, 2A)	$4^{14}/8^{4}$	8	yes
$Z_{2}  imes Z_{2} D$	(2 <i>B</i> , 2 <i>A</i> )	$2^{4}4^{4}$	8	yes
	(2B, 2B)	$4^{16}/2^{4}8^{4}$	16	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 4} A$	(4A, 2A)	46	16	yes
	(4A, 4A)	8 <sup>18</sup> /4 <sup>6</sup> · 16 <sup>6</sup>	64	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 2} B$	(2A, 4B)	$1^2 \cdot 2 \cdot 4 \cdot 8^2$	8	yes
	(2A, 4A)	$2^7 8^2 / 1^2 \cdot 4$	16	yes
	(2B, 2B)	$2^2 8^7 / 4 \cdot 16^2$	16	yes
	(2 <i>B</i> , 4 <i>A</i> )	$4^{5}8^{5}/2^{2}\cdot 16^{2}$	32	yes
	(4 <i>B</i> , 4 <i>B</i> )	irrational	32	no
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 4} C$	(4 <i>A</i> , 2 <i>B</i> )	$4^2 \cdot 8^2$	32	yes
	(4A, 4A)	$8^{8}/4^{2}\cdot 16^{2}$	64	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 4} D$	(4 <i>C</i> , 2 <i>A</i> )	$4^2 \cdot 8^2$	32	yes
	(4 <i>C</i> , 2 <i>B</i> )	$8^8/4^216^2$	64	yes
	(4 <i>C</i> , 4 <i>C</i> )	irrational	256	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 4} E$	(4 <i>B</i> , 2 <i>A</i> )	$2^4 \cdot 4^4$	8	yes
	(4 <i>B</i> , 4 <i>B</i> )	$4^{16}/2^4\cdot 8^4$	16	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 4} F$	(4 <i>B</i> , 2 <i>B</i> )	4 <sup>6</sup>	16	yes
	(4 <i>B</i> , 4 <i>B</i> )	<b>4</b> <sup>6</sup>	16	yes
$Z_{\scriptscriptstyle 4}  imes Z_{\scriptscriptstyle 4} A$	(4C, 4A)	8.16	128	yes
	(4 <i>C</i> , 4 <i>C</i> )	$16^{4}/8 \cdot 32$	256	yes

Table 3

$\langle g,h angle$	(g,h)	$m(g,h;\tau)$	$N = N_g N_h$	multiplicative
$Z_{\scriptscriptstyle 4}  imes Z_{\scriptscriptstyle 4} B$	(4 <i>A</i> , 4 <i>B</i> )	$4^2 \cdot 8^2$	32	yes
	(4A, 4A)	$8^{8}/4^{2} \cdot 16^{2}$	64	yes
$Z_{4}  imes Z_{4}C$	(4 <i>B</i> , 4 <i>B</i> )	4 <sup>6</sup>	16	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 8} A$	(8 <i>A</i> , 2 <i>B</i> )	$4^2 \cdot 8^2$	32	yes
	(8 <i>A</i> , 4 <i>B</i> )	$4^2 \cdot 8^2$	32	yes
	(8 <i>A</i> , 8 <i>A</i> )	$8^2/4^2 \cdot 16^2$	64	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 6} A$	(6A, 2A)	$2^3 \cdot 6^3$	12	yes
	(6A, 6A)	irrational	36	no
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 6} B$	(6 <i>B</i> , 2 <i>B</i> )	12²	144	yes
	(6 <i>B</i> , 6 <i>B</i> )	irrational	1269	yes
$Z_{\scriptscriptstyle 2}  imes Z_{\scriptscriptstyle 10} A$	(10 <i>A</i> , 2 <i>B</i> )	$4 \cdot 20$	80	yes
	(10 <i>A</i> , 20 <i>A</i> )	irrational	400	no
$Z_{\scriptscriptstyle 3}  imes Z_{\scriptscriptstyle 3} A$	(3A, 3A)	38	9	yes
$Z_{\scriptscriptstyle 3}  imes Z_{\scriptscriptstyle 3} B$	(3 <i>B</i> , 3 <i>A</i> )	$3^2 \cdot 9^2$	27	yes
	(3 <i>B</i> , 3 <i>B</i> )	irrational	81	yes
$Z_{2}A$	(2 <i>A</i> , 2 <i>A</i> )	$2^{_{32}}/1^8\cdot 4^8$	4	yes
$Z_2B$	(2 <i>B</i> , 2 <i>B</i> )	$4^{36}/2^{12} \cdot 8^{12}$	16	yes
$Z_{3}A$	(3A, 3A)	irrational	9	no
$Z_2B$	(3 <i>B</i> , 3 <i>B</i> )	irrational	81	yes
$Z_4A$	(4A, 2A)	$4^{16}/2^4 \cdot 8^4$	16	yes
	(4A, 4A)	irrational	64	no
$Z_4B$	(2A, 4B)	4 <sup>14</sup> /8 <sup>4</sup>	8	yes
	(4B, 2A)	$2^{14}/1^4$	8	yes
	(4 <i>B</i> , 4 <i>B</i> )	irrational	16	no
$Z_4C$	(4 <i>C</i> , 2 <i>B</i> )	8 <sup>18</sup> /4 <sup>6</sup> · 16 <sup>6</sup>	64	yes
	(4 <i>C</i> , 4 <i>C</i> )	irrational	256	yes

$\langle g,h angle$	(g,h)	m(g,h; au)	$N = N_g N_h$	multiplicative
$Z_{5}A$	(5A, 5A)	irrational	25	no
$Z_{\scriptscriptstyle 6}A$	(3A, 2A)	$1^2\cdot 2^2\cdot 3^2\cdot 6^2$	12	yes
	(6A, 2A)	$2^8 \cdot 6^8 / 1^2 \cdot 3^2 \cdot 4^2 \cdot 12^2$	12	yes
	(6A, 3A)	irrational	18	no
	(6A, 6A)	irrational	36	no
$Z_{\mathfrak{e}}B$	(3 <i>B</i> , 2 <i>B</i> )	64	34	yes
	(6 <i>B</i> , 2 <i>B</i> )	$12^2/6^4 \cdot 24^4$	144	yes
	(6 <i>B</i> , 3 <i>B</i> )	irrational	324	yes
	(6 <i>B</i> , 6 <i>B</i> )	irrational	1296	yes
$Z_{7}A$	(7A, 7A)	irrational	49	no
$Z_{s}A$	(8A, 2A)	$2^28^2/1^2\cdot 4$	16	yes
	(2A, 8A)	$2^2 8^2 / 4 \cdot 16^2$	16	yes
	(8A, 4A)	irrational	64	no
	(8A, 8A)	irrational	64	no
$Z_{\scriptscriptstyle 10}A$	(5A, 2B)	$2^2 \cdot 10^2$	20	yes
	(10A, 2B)	$4^6 \cdot 20^6/2^2 \cdot 8^2 \cdot 10^2 \cdot 40^2$	40	yes
	(10A, 5A)	irrational	100	no
	(10 <i>A</i> , 10 <i>A</i> )	irrational	400	no
$Z_{11}A$	(10A, 10A)	irrational	121	no
$Z_{\scriptscriptstyle 12}A$	(4A, 3A)	$2 \cdot 4 \cdot 6 \cdot 12$	24	yes
	(4A, 6A)	$4^4 \cdot 12^4 / 2 \cdot 6 \cdot 8 \cdot 24$	48	yes
	(12A, 2A)	$4^{4}12^{4}/2 \cdot 6 \cdot 8 \cdot 24$	48	yes
	(12A, 4A)	irrational	96	no
	(12A, 3A)	irrational	72	no
	(12A, 6A)	irrational	144	no
	(12A, 12A)	irrational	576	no

$\langle g,h angle$	(g,h)	$m(g,h;\tau)$	$N=N_gN_h$	multiplicative
$Z_{12}B$	(4 <i>C</i> , 3 <i>B</i> )	$12^{2}$	144	yes
	(4 <i>C</i> , 6 <i>B</i> )	$24^{\mathfrak{6}}/12^{\mathfrak{2}}\cdot 48^{\mathfrak{2}}$	576	yes
	(12 <i>B</i> , 2 <i>B</i> )	$24^{ extsf{6}}/12^{ extsf{2}}\cdot48^{ extsf{2}}$	576	yes
	(12 <i>B</i> , 4 <i>C</i> )	irrational	2304	yes
	(12 <i>B</i> , 3 <i>B</i> )	irrational	1296	yes
	(12 <i>B</i> , 6 <i>B</i> )	irrational	5184	yes
	(12 <i>B</i> , 12 <i>B</i> )	irrational	20736	yes
$Z_{14}A$	(7A, 2A)	$1 \cdot 2 \cdot 7 \cdot 1 \cdot 4$	14	yes
	(14A, 2A)	$2^4 \cdot 14^4 / 1 \cdot 4 \cdot 7 \cdot 28$	28	yes
	(14A, 7A)	irrational	98	no
	(14 <i>A</i> , 14 <i>A</i> )	irrational	196	no
$Z_{15}A$	(5A, 3A)	$1 \cdot 3 \cdot 5 \cdot 15$	15	yes
	(15A, 3A)	irrational	45	no
	(15A, 5A)	irrational	75	no
	(15A, 15A)	irrational	225	no
$Z_{\scriptscriptstyle 21}A$	(7 <i>A</i> , 3 <i>B</i> )	$3 \cdot 21$	63	yes
	(21A, 3B)	irrational	567	yes
	(21A, 7A)	irrational	441	no
	(21A, 21A)	irrational	3969	no
$Z_{23}A$	(23A, 23A)	irrational	529	no

We interpolate some easy lemmas.

LEMMA 3.1. Let (g, h) be a commuting pair with  $N = N_g N_h$  and  $W_N = \begin{pmatrix} 0 & -1 \\ N & 0 \end{pmatrix}$ . Then

$$m(g,h;\tau)|_{k}W_{N} \sim m(h^{-1},g;\tau)$$
.

*Proof.* We remark that the notation ~ means that the ratio of the two functions in question is constant. As for the proof, if  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  then

$$\begin{split} m(g, h; \tau)|_{k}W_{N} &\sim \tau^{-k}m(g, h; -1/N\tau) \\ &\sim (N_{h}\tau)^{-k}f(g, h; -1/N_{h}\tau) \\ &= f(g, h; N_{h}\tau)|_{k}S \\ &\sim f(g, h)S^{-1}; N_{h}\tau) \quad \text{(by eqn. (1.2))} \\ &= m(h^{-1}, g; \tau) \quad \text{as required .} \end{split}$$

A similar argument yields

LEMMA 3.2. Let Q be a divisor of  $N_g$ . Then

$$m(g,h;\tau)|_{\mathbf{k}} \begin{pmatrix} 1 & Q^{-1} \\ 0 & 1 \end{pmatrix} \sim m(g,g^{N_{g/Q}}\cdot h:\tau).$$

Concerning the level of these forms, one easily proves using Lemma 3.2 the following:

LEMMA 3.3. Let Q be a divisor of D, set  $D' = \text{l.c.m.}(Q^2, D)$ , and assume that  $m(g, h; \tau)$  is on  $\Gamma_0(D)$ . Then

- (i)  $m(g, g^{N_g/Q} \cdot h; \tau)$  is on  $\Gamma_1(D')$ .
- (ii) If  $Q \mid 24$  then  $m(g, g^{N_g/Q} \cdot h, \tau)$  is on  $\Gamma_0(D')$ .

One can use Lemmas 3.1 and 3.2 to establish assertion I(c) of section 1. We illustrate this with a diagram corresponding to the group  $Z_2 \times Z_4 B$  (cf. Tables 2 and 3):

$$\begin{array}{cccc} (2\mathrm{A}, 4\mathrm{B}) & \xleftarrow{W_8} & (4\mathrm{B}, 2\mathrm{A}) \\ & & & & \\ T_{1/2} \\ (2\mathrm{A}, 4\mathrm{A}) & \xleftarrow{W_{16}} & (4\mathrm{A}, 2\mathrm{A}) \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ (2\mathrm{B}, 4\mathrm{A}) & \xleftarrow{W_{32}} & (4\mathrm{A}, 2\mathrm{B}) \end{array}$$

As for I(d), (e) we use the following:

LEMMA 3.4. Suppose that  $m(g, h; \tau) = q \Sigma a_n q^{n-1}$ , that there is an integer D such that  $a_n = 0$  unless  $n \equiv 1 \pmod{D}$  and that  $Q | N_g$ . Then the following hold:

- (i)  $m(g, g^{N_g/Q} \cdot h; \tau) = q \Sigma b_n q^{n-1}$  where  $b_n = \exp(2\pi i (n-1)/Q)$ .
- (ii) If  $\{a_n\}$  is multiplicative then  $\{b_n\}$  is also multiplicative if D | Q, say Q = mD, and either
  - (a)  $m \mid D$ , or

(b) m = 2, D odd.

Part (i) follows from Lemma 3.2, and (ii) is left to the reader.

One starts with the primitive form  $p_A(\tau) = p(\tau)$ , which has multiplicative coefficients, and then applies Lemma 3.4 with D being the minimal integer which occurs with non-zero exponent in the Frame shape corresponding to  $p(\tau)$ . Again, successive applications of this principle together with the action of  $W_N$  yields what we need, including the third column of Table 3.

One can also easily write down the Euler *p*-factors of  $q \Sigma b_n q^{n-1}$  from those of  $q \Sigma a_n q^{n-1}$ . Specifically, if the *p*-factor of the latter is

$$\left(1-\frac{a_p}{p^s}+\frac{c_p}{p^{2s}}\right)^{-1}$$

then that of the former in case (ii) (a) of Lemma 3.4 is

$$\left(1-\frac{\sigma a_p}{p^2}+\frac{\sigma^2 c_p}{p^{2s}}\right)^{-1}$$
  $(\sigma=\exp 2\pi i(p-1)/Q);$ 

in case (ii) (b) the odd p-factors remain the same while the 2-factor becomes

$$\Big(1-rac{2^s}{a_2}\Big)^{-1}\Big(1-rac{2a_2}{2^s}\Big)$$

(in this case we always have  $c_2 = 0$ ). Again we illustrate with the group  $Z_2 \times Z_4 B$ :

$$(2A, 4B): \qquad \prod_{p} \left(1 - \frac{a_{p}}{p^{s}} + \frac{c_{p}}{p^{s}}\right)^{-1}$$

$$(2A, 4A): \qquad \prod_{p} \left(1 - \frac{a_{p}}{p^{s}} + \frac{c_{p}}{p^{2s}}\right)^{-1} \left(1 - \frac{2a_{2}}{2^{s}}\right)$$

$$(2B, 4B): \qquad \prod_{p \text{ odd}} \left(1 - \frac{a_{p}}{p^{s}} + \frac{c_{p}}{p^{2s}}\right)^{-1}$$

$$(2B, 4A): \qquad \prod_{p \equiv 1(4)} \left(1 - \frac{a_{p}}{p^{s}} + \frac{c_{p}}{p^{2s}}\right) \prod_{p \equiv 3(4)} \left(1 + \frac{a_{p}}{p^{3}} + \frac{c_{p}}{p^{2s}}\right)^{-1}$$

$$(4B, 4A): \qquad \sum_{n \geq 1} \frac{\exp\left(2\pi i(n-1)/4\right)}{n^{s}}.$$

All of the assertions in of section 1 can be deduced in a like manner from these assertions. Concerning III, the two "missing" primitive

forms not listed in Table 3 but satisfying (1.16) and (1.7) correspond to the Frame shapes 2.22 and 6.18. Now in the maximal 2-local  $2^{12} \cdot M_{24}$  of *O* there is an element with Frame shape 2.22 in its action on the Leech lattice. Also we find commuting elements with Frame shape  $2^3 \cdot 6^3$  and  $3^8$ , and a quick calculation yields that the corresponding form  $m(g, h; \tau) = \eta(6\tau)\eta(18\tau)$ .

# References

- J. Conway, Three lectures on exceptional groups, in Finite Simple Groups, Powell-Higman, eds., Academic Press, London, 1971.
- [2] J. Conway et al., Atlas of simple groups, C.U.P., 1983.
- [3] M. Koike, On McKay's Conjecture, Nagoya Math. J., 95 (1984), 85-89.
- [4] G. Mason, M<sub>24</sub> and certain automorphic forms, in Contemp. Math. vol. 45, A.M.S., Providence, R.I. (1985), 223-244.
- [5] G. Mason, Finite groups and Hecke operators, Math. Ann., 283 (1989), 381-409.
- [6] —, Elliptic system and the eta-function, to appear in Notas d. l. Soc. d. Matemática d. Chilé, 1990.

Department of Mathematics U. C. Santa Cruz Santa Cruz, CA 95064 U.S.A.