

**SPHERICAL SUBMANIFOLDS WHICH ARE OF 2-TYPE
VIA THE SECOND STANDARD IMMERSION
OF THE SPHERE**

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§1. Introduction

Let $S^m(r)$ be an m -sphere of constant sectional curvature $1/r^2$ and M an n -dimensional compact minimal submanifold of $S^m(r)$. If $S^m(r)$ is imbedded in E^{m+1} by its first standard imbedding, then, by a well-known result of Takahashi [11], the Euclidean coordinate functions restricted to M are eigenfunctions of Δ on M with the same eigenvalue n/r^2 . Moreover, the center of mass of M in E^{m+1} coincides with the center of the hypersphere $S^m(r)$ in E^{m+1} . Thus, M is mass-symmetric in $S^m(r) \subset E^{m+1}$. Consequently, we see that if one wants to study the spectral geometry of a submanifold of $S^m(r)$, it is natural to immerse $S^m(r)$ by its k -th standard immersion, in particular, by its second standard immersion.

In [9], A. Ros has used this idea to study compact minimal submanifolds of $S^m(r)$ via the second standard immersion. In [9], he obtained a formal characterization of a compact minimal submanifold M , fully in S^m , such that the Euclidean coordinate functions restricted to M via the second standard immersion f of S^m are described by means of two different eigenvalues of Δ , i.e., M is of 2-type via f . He showed that such submanifolds are Einstein and mass-symmetric via f . However, he did not obtain any classification result for such submanifolds.

In this paper, we study compact submanifolds of a sphere which are mass-symmetric and of 2-type via the second standard immersion of the sphere. In Section 3, we obtain a generalization of Ros' characterization (Lemma 1). Some primary classifications are obtained in this section (Theorems 1 and 2). In Section 4, hypersurfaces of a sphere which are mass-symmetric and of 2-type via f are completely classified (Theorem 3).

In Section 5, submanifolds of S^m with "maximal possible" codimension are studied. In the last section, results in previous sections are applied to obtain a classification theorem of compact surfaces of S^m which have the desired properties via f .

§ 2. Basics

Let $x : M \rightarrow E^m$ be an isometric immersion of a compact, connected, n -dimensional, Riemannian manifold M into a Euclidean m -space. Denote by $\text{Spec}(M) = \{0 = \lambda_0 < \lambda_1 < \dots < \lambda_k < \dots \uparrow \infty\}$ the spectrum of Δ acting on differentiable functions in $C^\infty(M)$. If we extend the Laplace-Beltrami operator Δ to E^m -valued functions on M in a natural fashion, then, we have the following spectral decomposition of x (in L^2 -sense) (cf. [1, 3, 5, 6, 9]):

$$(2.1) \quad x = x_0 + \sum_{t=1}^{\infty} x_t, \quad \Delta x_t = \lambda_t x_t, \quad x_t : M \longrightarrow E^m,$$

where x_0 is the center of mass of M in E^m . The submanifold M is said to be of *finite type* if the spectral decomposition of x consists of only finitely many nonzero terms. More precisely, M is said to be of *k-type* if there are exactly k nonzero x_t 's ($t \geq 1$) in the decomposition of x ([5, 6]).

From the Takahashi Theorem [11] we know that M is of 1-type if and only if M is a minimal submanifold of a hypersphere $S^{m-1}(r)$ of E^m . In this case, M is mass-symmetric in $S^{m-1}(r) \subset E^m$, i.e., the center of mass of M in E^m coincides with the center of $S^{m-1}(r)$ in E^m (cf. [6]).

Let $x : M \rightarrow E^m$ be a 2-type submanifold with mean curvature vector H . Then we have

$$(2.2) \quad x = x_0 + x_p + x_q, \quad \Delta x_p = \lambda_p x_p, \quad \Delta x_q = \lambda_q x_q$$

for some integers p, q ($q > p \geq 1$). Since $\Delta x = -nH$, (2.2) implies

$$(2.3) \quad \Delta H = bH + e(x - x_0),$$

where $b = \lambda_p + \lambda_q$ and $e = \lambda_p \lambda_q / n$.

On E^m we consider an inner product \langle, \rangle given by $\langle u, v \rangle = u \cdot v^t$ for any $u, v \in E^m$, where each vector in E^m is regarded as a row matrix and v^t is the transpose of v . Let $r > 0$. Then the sphere $S^{m-1}(r) = \{x \in E^m \mid \langle x, x \rangle = r^2\}$ with the induced metric has constant sectional curvature $1/r^2$. Let $SM(m) = \{P \in gl(m; \mathbf{R}) \mid P^t = P\}$ be the space of symmetric m by m matrices over \mathbf{R} endowed with the metric $g(P, Q) = (1/2r^2)\text{tr}(PQ)$ for

$P, Q \in SM(m)$. Consider the mapping $f: S^m(r) \rightarrow SM(m+1)$ defined by $f(u) = u^t \cdot u$. Then f is an isometric immersion which is in fact the second standard immersion of $S^m(r)$. The image $f(S^m(r))$ is a real projective space which lies fully in an $(m + m(m+1)/2)$ -dimensional linear space of $SM(m+1)$. We call $f(S^m(r))$ a *Veronese submanifold*.

For each point $u \in S^m(r)$, the normal space of $S^m(r)$ in $SM(m+1)$ at u (or more precisely at $f(u)$) is given by

$$(2.4) \quad T_u^\perp(S^m(r)) = \{P \in SM(m+1) \mid uP = \mu u \text{ for some } \mu \in \mathbf{R}\}.$$

In particular, we have $f(u) \in T_u^\perp(S^m(r))$.

If $\bar{\sigma}$ is the second fundamental form of f , we have

$$(2.5) \quad \bar{\sigma}(X, Y) = X^t \cdot Y + Y^t \cdot X - (2/r^2)\langle X, Y \rangle f(u)$$

for X, Y in $T_u(S^m(r))$. It is known that $\bar{\sigma}$ is parallel and it satisfies

$$(2.6) \quad g(\bar{\sigma}(X, Y), \bar{\sigma}(V, W)) = (1/r^2)\{2\langle X, Y \rangle \langle V, W \rangle + \langle X, V \rangle \langle Y, W \rangle + \langle X, W \rangle \langle Y, V \rangle\},$$

$$(2.7) \quad g(\bar{\sigma}(X, Y), f(u)) = -\langle X, Y \rangle, \quad g(\bar{\sigma}(X, Y), I) = 0,$$

$$(2.8) \quad \bar{A}_{\bar{\sigma}(X, Y)} V = (1/r^2)\{2\langle X, Y \rangle V + \langle X, V \rangle Y + \langle Y, V \rangle X\},$$

where \bar{A} is the Weingarten map of f , $X, Y, V, W \in T_u(S^m(r))$, and I the identity matrix.

It is known that $S^m(r)$ is immersed by the second standard immersion f as a minimal submanifold of a hypersphere of $SM(m+1)$ centered at $r^2 I / (m+1)$ and with radius $(r^2 m / 2(m+1))^{1/2}$. For more details, see [6, 9, 10].

In the following, we simply denote $S^m(1)$ by S^m .

§ 3. Submanifolds of S^m which are of 2-type via f

Let $\psi: M \rightarrow S^m$ be an isometric immersion of M into S^m . We denote by σ' , H' and A the second fundamental form, the mean curvature vector and the Weingarten map of ψ , respectively. Denote by ∇ and $\bar{\nabla}$ the Levi-Civita connections on M and S^m , respectively, and by D the normal connection of ψ .

We consider the isometric immersion $x: M \rightarrow SM(m+1)$ defined by

$$x = f \circ \psi: M \xrightarrow{\psi} S^m \xrightarrow{f} SM(m+1).$$

Then the mean curvature vector H of x satisfies

$$(3.1) \quad H = H' + \frac{1}{n} \sum_{i=1}^n \bar{\sigma}(E_i, E_i),$$

where H' is identified with the image f_*H' of H' under f_* and E_1, \dots, E_n is an orthonormal frame tangent to M .

Let u be an arbitrary point in M . We may assume that $\nabla_{E_j} E_i = 0$ at u . We compute $\Delta H'$ at u .

$$\begin{aligned} (\Delta H')(u) &= - \sum_{i=1}^n E_i E_i H' \\ &= \sum_{i=1}^n \{ \bar{\nabla}_{E_i} A_{H'} E_i + \bar{\sigma}(E_i, A_{H'} E_i) - \bar{\nabla}_{E_i} D_{E_i} H' \\ &\quad - \bar{\sigma}(E_i, D_{E_i} H') + \bar{A}_{\bar{\sigma}(E_i, H')} E_i - \bar{D}_{E_i} \bar{\sigma}(E_i, H') \}, \end{aligned}$$

where \bar{D} denotes the normal connection of f . By applying (2.8) and the fact that $\bar{\sigma}$ is parallel, we find

$$(3.2) \quad \begin{aligned} (\Delta H')(u) &= \Delta^D H' + \text{tr}(\bar{\nabla} A_{H'}) + \sum \sigma'(E_i, A_{H'} E_i) + 2 \sum \bar{\sigma}(E_i, A_{H'} E_i) \\ &\quad - 2 \sum \bar{\sigma}(E_i, D_{E_i} H') + nH' - n\bar{\sigma}(H', H') \end{aligned}$$

where Δ^D is the Laplacian with respect to the normal connection D and

$$(3.3) \quad \text{tr}(\bar{\nabla} A_{H'}) = \sum (\nabla_{E_i} A_{H'}) E_i + \sum A_{D_{E_i} H'} E_i.$$

For each point u in M , we choose an orthonormal basis $\{\xi_{n+1}, \dots, \xi_m\}$ of the normal space of M is S^m at u such that ξ_{n+1} is parallel to H' at u (if $H' = 0$ at u , any orthonormal frame satisfies this condition). Simply denote A_{ξ_r} ($r = n+1, \dots, m$) by A_r . We have

$$(3.4) \quad \sum_{i=1}^n \sigma'(E_i, A_{H'} E_i) = |A_{n+1}|^2 H' + \mathfrak{A}'(H')$$

where $\mathfrak{A}'(H') = \sum_{r=n+2}^m \text{tr}(A_{H'} A_r) \xi_r$ is the so-called allied mean curvature vector of M in S^m . It is clear that if $H' = 0$ at u , then $\mathfrak{A}'(H') = |A_{n+1}|^2 H' = 0$ at u . It is easy to see that $\mathfrak{A}'(H')$ and both sides of (3.4) are independent of the choice of ξ_{n+1}, \dots, ξ_m such that ξ_{n+1} is parallel to H' . By combining (3.2) and (3.4) we obtain

$$(3.5) \quad \begin{aligned} (\Delta H')(u) &= \Delta^D H' + \text{tr}(\bar{\nabla} A_{H'}) + (|A_{n+1}|^2 + n)H' + \mathfrak{A}'(H') \\ &\quad + 2 \sum \bar{\sigma}(E_i, A_{H'} E_i) - 2 \sum \bar{\sigma}(E_i, D_{E_i} H') - n\bar{\sigma}(H', H'). \end{aligned}$$

On the other hand, from (2.6), (2.7) and parallelism of $\bar{\sigma}$, we have

$$\begin{aligned}
 (3.6) \quad & \frac{1}{n} \Delta \left(\sum_{i=1}^n \bar{\sigma}(E_i, E_i) \right) (u) = 2(n+2)H' + \frac{2}{n}(n+1) \sum_j \bar{\sigma}(E_j, E_j) \\
 & + \frac{2}{n} \sum_{i,j} \bar{\sigma}(A_{\sigma'(E_i, E_j)} E_j, E_i) - \frac{2}{n} \sum_{i,j} \bar{\sigma}(\sigma'(E_i, E_j), \sigma'(E_i, E_j)) \\
 & - \frac{2}{n} \sum_{i,j} \bar{\sigma}((\tilde{\nabla} \sigma')(E_i, E_j, E_j), E_i),
 \end{aligned}$$

where $\tilde{\nabla} \sigma'$ denotes the covariant derivative of σ' . From Codazzi's equation, we have

$$(3.7) \quad \sum (\tilde{\nabla} \sigma')(E_i, E_j, E_j) = nD_{E_i} H'.$$

Thus, we obtain, from (3.1), (3.5), (3.6) and (3.7),

$$\begin{aligned}
 (8.8) \quad & (\Delta H)(u) = \Delta^p H' + \text{tr}(\bar{\nabla} A_{H'}) + \mathfrak{A}'(H') + (\|A_{n+1}\|^2 + 3n + 4)H' \\
 & + \frac{2(n+1)}{n} \sum_j \bar{\sigma}(E_j, E_j) + 2 \sum_i \bar{\sigma}(E_i, A_{H'} E_i) \\
 & + \frac{2}{n} \sum_{i,j} \bar{\sigma}(A_{\sigma'(E_i, E_j)} E_i, E_j) - 4 \sum_i \bar{\sigma}(E_i, D_{E_i} H') \\
 & - n\bar{\sigma}(H', H') - \frac{2}{n} \sum_{i,j} \bar{\sigma}(\sigma'(E_i, E_j), \sigma'(E_i, E_j)).
 \end{aligned}$$

As we mentioned in Section 2, $f: S^m \rightarrow SM(m+1)$ is of 1-type and S^m is isometrically immersed in a hypersphere, say W , of $SM(m+1)$ centered at $I/(m+1)$ as a minimal submanifold.

The general assumptions we made in this paper are

- (1) $x = f \circ \psi: M \rightarrow S^m \rightarrow SM(m+1)$ is of 2-type and
- (2) $x = f \circ \psi$ is mass-symmetric, i.e., the center of mass of M in $SM(m+1)$ is the center of the hypersphere W in $SM(m+1)$, which means that $x_0 = I/(m+1)$; and
- (3) the immersion $\psi: M \rightarrow S^m$ is full, i.e., $\psi(M)$ is not contained in any great hypersphere of S^m .

Under these assumptions we have

$$(3.9) \quad \Delta H = bH' + \frac{b}{n} \sum_{i=1}^n \bar{\sigma}(E_i, E_i) + e \left(x - \frac{1}{m+1} I \right),$$

where $b = \lambda_p + \lambda_q$ and $e = \lambda_p \lambda_q / n$. We put

$$(3.10) \quad L = \sum \bar{\sigma}(E_i, D_{E_i} H').$$

Then, by using (2.6) and (3.8), we obtain

$$(3.11) \quad \begin{aligned} g(\Delta H, L) &= -4g(L, L) = -4 \sum_{i,j} \langle E_i, E_j \rangle \langle D_{E_i} H', D_{E_j} H' \rangle \\ &= -4|DH'|^2. \end{aligned}$$

On the other hand, (2.6), (2.7) and (3.9) imply

$$(3.12) \quad g(\Delta H, L) = eg(x, L) = -e \sum \langle E_i, D_{E_i} H' \rangle = 0.$$

Therefore, from (3.11) and (3.12), we see that $\psi : M \rightarrow S^m$ has parallel mean curvature vector, i.e., $DH' = 0$. Thus, we have $\Delta^p H' = \text{tr}(\bar{\nabla} A_{H'}) = 0$.

For the immersion $x : M \rightarrow S^m$ we may regard the Weingarten map A as a linear map from the normal bundle $T^\perp M$ into the space of self-adjoint endomorphisms $S_n(TM)$ of the tangent bundle TM :

$$A : T^\perp M \rightarrow S_n(TM)$$

which carries $\xi \in T^\perp M$ onto A_ξ . On $S_n(TM)$ there is a canonical inner product defined by $\langle\langle B, C \rangle\rangle = (1/n) \text{tr}(BC)$ for $B, C \in S_n(TM)$. We say that the Weingarten map A is *homothetic* if there exists a positive number ρ such that $\langle\langle A_\xi, A_\eta \rangle\rangle = \rho \langle\xi, \eta\rangle$ for $\xi, \eta \in T^\perp M$. Submanifolds with conformal or homothetic Weingarten map were investigated in [2].

LEMMA 1. *Let $\psi : M \rightarrow S^m$ be a full isometric immersion. If $x = f \circ \psi$ is mass-symmetric and of 2-type, then*

- (1) *the mean curvature vector of ψ is parallel, i.e., $DH' = 0$,*
- (2) *$\mathfrak{W}'(H') = 0$, i.e., $\sum \sigma'(E_i, A_{H'} E_i)$ is parallel to H' ,*
- (3) *$\|A_{H'}\|$ is constant,*
- (4) *the Weingarten map A of ψ is homothetic on $\langle H' \rangle^\perp$, where $\langle H' \rangle^\perp$ is the orthogonal complement of $\langle H' \rangle = \text{Span}\{H'\}$, and*
- (5) *the Ricci tensor S of M satisfies*

$$S(X, Y) = 2n \langle A_{H'} X, Y \rangle + k \langle X, Y \rangle$$

for some constant k . (k depends only on λ_p and λ_q).

Proof. Since $x = f \circ \psi : M \rightarrow SM(m+1)$ is assumed to be mass-symmetric and of 2-type, H' is parallel in the normal bundle of M in S^m . In particular, the length of H' is constant. Since $\Delta^p H' = \text{tr}(\bar{\nabla} A_{H'}) = 0$, (3.8) and (3.9) imply $\mathfrak{W}'(H') = 0$ and $\|A_{n+1}\|^2 + 3n + 4 = \bar{b}$. This proves (2) and (3).

From (2.6) and (3.8) we have

$$(3.13) \quad \begin{aligned} g(\Delta H, \bar{\sigma}(\xi, \eta)) &= [4(n+1) + 2n\|H'\|^2] \langle \xi, \eta \rangle \\ &\quad - 2n \langle H', \xi \rangle \langle H', \eta \rangle - \frac{4}{n} \text{tr}(A_\xi A_\eta) \end{aligned}$$

for any normal vector fields ξ, η of M in S^m .

On the other hand, (2.7) and (3.9) give

$$(3.14) \quad g(\Delta H, \bar{\sigma}(\xi, \eta)) = (2b - e)\langle \xi, \eta \rangle.$$

From (3.13) and (3.14) we find

$$(3.15) \quad \begin{aligned} \langle \langle A_\xi, A_\eta \rangle \rangle &= \frac{1}{4} [4(n+1) + 2n\|H'\|^2 + e - 2b]\langle \xi, \eta \rangle \\ &\quad - \frac{n}{2} \langle H', \xi \rangle \langle H', \eta \rangle \end{aligned}$$

which proves the homotheticity of A on $\langle H' \rangle^\perp$.

From (2.6) and (3.8) we find

$$(3.16) \quad \begin{aligned} g(\Delta H, \bar{\sigma}(E_k, E_l)) &= \left[4(n+1) + \frac{4(n+1)}{n} + 2n\|H'\|^2 \right] \langle E_k, E_l \rangle \\ &\quad + 4\langle \sigma'(E_k, E_l), H' \rangle + \frac{4}{n} \sum_i \langle \sigma'(E_k, E_i), \sigma'(E_l, E_i) \rangle. \end{aligned}$$

From (2.6), (2.7) and (3.9) we get

$$(3.17) \quad g(\Delta H, \bar{\sigma}(E_k, E_l)) = \left(2b + \frac{2b}{n} - e \right) \langle E_k, E_l \rangle.$$

Since the Ricci tensor S of M satisfies

$$(3.18) \quad \begin{aligned} S(E_k, E_l) &= (n-1)\langle E_k, E_l \rangle - \sum_i \langle \sigma'(E_k, E_i), \sigma'(E_l, E_i) \rangle \\ &\quad + n\langle \sigma'(E_k, E_l), H' \rangle, \end{aligned}$$

(3.16), (3.17) and (3.18) imply

$$\begin{aligned} S(E_i, E_j) &= 2n\langle A_{H'} E_i, E_j \rangle \\ &\quad + \left[n(n+3) + \frac{n^2}{2}\|H'\|^2 + \frac{ne}{4} - \frac{b(n+1)}{2} \right] \langle E_i, E_j \rangle. \end{aligned}$$

This proves (5).

(Q.E.D.)

Remark 1. (i) It is not difficult to verify that if a submanifold M of S^m satisfies conditions (1)–(5) of Lemma 1, then $x = f \circ \psi$ is mass-symmetric and it is of 1 or 2-type.

(ii) Lemma 1 was obtained in [9] in the special case when M is a minimal submanifold of S^m . So Lemma 1 is a generalization of Ros' characterization theorem.

By applying Lemma 1, we have the following,

THEOREM 1. *Let $\psi : M \rightarrow S^m$ be an isometric immersion of a compact Riemannian manifold such that the immersion is full. If $x = f \circ \psi$ is mass-symmetric and of 2-type in $SM(m+1)$, then either*

- (a) *M is of 1-type in E^{m+1} and so M is minimal in a hypersphere of E^{m+1} or*
- (b) *M is of 2-type in E^{m+1} and mass-symmetric in $S^m \subset E^{m+1}$.*

Proof. Under the hypothesis, Lemma 1 implies $DH' = 0$ $\mathcal{X}(H') = 0$ and $\|A_{H'}\|$ being constant. Therefore, by applying Theorem 4.4 of [6, p. 278], we conclude that either M is of 1-type in E^{m+1} or M is mass-symmetric and of 2-type in $S^m \subset E^{m+1}$. (Q.E.D.)

If M is Einsteinian, then case (b) of Theorem 1 cannot occur. In fact, we have

THEOREM 2. *Let $\psi : M \rightarrow S^m$ be an isometric immersion of a compact Einstein manifold M into S^m such that the immersion is full. If $x = f \circ \psi$ is mass-symmetric and of 2-type, then either M is minimal in S^m or M is minimal in a small hypersphere of S^m . In both cases, M is of 1-type in E^{m+1} .*

Proof. Under the hypothesis, statement (5) of Lemma 1 implies that M is pseudo-umbilical in S^m . Moreover, from statement (1) of Lemma 1, M has parallel mean curvature vector H' in S^m . Thus, by applying Proposition 4.2 of [6, p. 133], we obtain the theorem. (Q.E.D.)

We give the following lemma for later use.

LEMMA 2. *Let $M = S^n(r)$ be a small hypersphere of radius r ($r < 1$) of S^{n+1} . Then M is of 2-type in $SM(n+2)$ via $f : S^{n+1} \rightarrow SM(n+2)$. Moreover, M is mass-symmetric and of 2-type in $SM(n+2)$ if and only if $r^2 = (n+1)/(n+2)$.*

Proof. Let V_i be the eigenspace of Δ on M with eigenvalue λ_i . Then we have $V_1 V_1 \subset V_0 + V_1 + V_2$. Without loss of generality we may assume that M is given by the intersection of $S^{n+1} \subset E^{n+2}$ and the hyperplane P of E^{n+1} whose last coordinate is given by $\sqrt{1-r^2}$. Thus, $M = \{(y, \sqrt{1-r^2}) \in E^{n+2} | y \cdot y' = r^2\}$. Since the immersion $f : S^{n+1} \rightarrow SM(n+2)$ is defined by $f(u) = u^t \cdot u$ for $u \in S^{n+1}$, it is clear that M is of 2-type in $SM(n+2)$ via f . Since M is immersed in $SM(n+2)$ by $(y, \sqrt{1-r^2})^t \cdot (y, \sqrt{1-r^2})$, we see

that the center of mass x_0 of M in $SM(n+2)$ is proportional to the identity matrix I of $SM(n+2)$ if and only if $r^2 = (n+1)/(n+2)$. Moreover, in this case, we have $x_0 = (1/(n+2))I$ which is exactly the center of the hypersphere W which S^{n+1} lies via f . (Q.E.D.)

In the following three sections, we shall apply previous results to obtain some classifications results.

§ 4. Hypersurface of S^m which are of 2-type via f

The main purpose of this section is to classify hypersurfaces of S^m which are mass-symmetric and of 2-type via f .

Let $M = S^p(r_1) \times S^{n-p}(r_2)$ be the Riemannian product of two spheres with radii r_1 and r_2 , respectively. Let M be a hypersurface of $S^{n+1} = S^{n+1}(1)$. Then we have $r_1^2 + r_2^2 = 1$. We recall that

$$\begin{aligned} \text{Spec}(S^p(r_1)) &= \{\bar{\lambda}_k = k(p+k-1)/r_1^2 | k \geq 0\} \quad \text{and} \\ \text{Spec}(S^{n-p}(r_2)) &= \{\lambda'_k = k(n-p+k-1)/r_2^2 | k \geq 0\}. \end{aligned}$$

Moreover, the coordinate functions of x_i of $S^p(r_1)$ in E^{p+1} are eigenfunctions with eigenvalue $\bar{\lambda}_1$ and the coordinate functions y_t of $S^{n-p}(r_2)$ in E^{n-p+1} are eigenfunctions with eigenvalue λ'_1 . Therefore, the coordinate functions of $M = S^p(r_1) \times S^{n-p}(r_2)$ in $SM(n+2)$ via f are given by the following matrix

$$(4.1) \quad \left[\begin{array}{c|c} x_i x_j & x_i y_t \\ \hline x_i y_t & y_t y_s \end{array} \right]_{\substack{1 \leq i, j \leq p+1, \\ 1 \leq s, t \leq n+1-p}}.$$

So the coordinate functions of M in $SM(n+2)$ are eigenfunctions on M associated with at most three eigenvalues of Δ on M given by $\bar{\lambda}_2$, λ'_2 and $\lambda'_1 + \bar{\lambda}_1$.

LEMMA 3. $M = S^p(r_1) \times S^{n-p}(r_2)$ ($r_1^2 + r_2^2 = 1$) is of 2-type in $SM(n+2)$ via f if and only if either

- (1) $r_1^2 = (p+1)/(n+2)$ and $r_2^2 = (n-p+1)/(n+2)$ or
- (2) $r_1^2 = (p+2)/(n+2)$ and $r_2^2 = (n-p)/(n+2)$, or
- (3) $r_1^2 = p/(n+2)$ and $r_2^2 = (n-p+2)/(n+2)$.

Proof. M is of 2-type via f if and only if two of $\bar{\lambda}_2$, λ'_2 and $\lambda'_1 + \bar{\lambda}_1$ are equal. This implies the Lemma.

LEMMA 4. $M = S^p(r_1) \times S^{n-p}(r_2)$ ($r_1^2 + r_2^2 = 1$) is mass-symmetric in

$SM(n+2)$ via f if and only if $r_1^2 = (p+1)/(n+2)$ and $r_2^2 = (n-p+1)/(n+2)$.

Proof. First we regard $M = S^p(r_1) \times S^{n-p}(r_2)$ as a submanifold in $E^{n+2} = E^{p+1} \oplus E^{n-p+1}$ in a natural way. It is easy to see that the center of mass of M in $SM(n+2)$ via f is given by

$$\begin{pmatrix} \frac{r_1^2}{p+1} I_{p+1} & 0 \\ 0 & \frac{r_2^2}{n-p+1} I_{n-p+1} \end{pmatrix}.$$

Thus, M is mass-symmetric if and only if $(n-p+1)r_1^2 = (p+1)r_2^2$. Because $r_1^2 + r_2^2 = 1$, we obtain the Lemma.

Now, we give the following main result of this section.

THEOREM 3. *Let $\psi : M \rightarrow S^{n+1}$ be an isometric immersion of a compact n -dimensional Riemannian manifold M into S^{n+1} . Then $x = f \circ \psi$ is mass-symmetric and of 2-type if and only if either*

- (1) M is a small hypersphere of S^{n+1} with radius $r = [(n+1)/(n+2)]^{1/2}$, or
- (2) $M = S^p(r_1) \times S^{n-p}(r_2)$ with $r_1^2 = (p+1)/(n+2)$ and $r_2^2 = (n-p+1)/(n+2)$.

The immersions of M into S^{n+1} in (1) and (2) are given in natural way.

Proof. If M is mass-symmetric and of 2-type in $SM(n+2)$ via f , then Lemma 1 implies that $DH' = 0$, $\|A_{H'}\|$ is constant and the Ricci tensor S of M satisfies

$$(4.2) \quad S(X, Y) = 2n\langle A_{H'}X, Y \rangle + k\langle X, Y \rangle,$$

where k is a constant. On the other hand, from Gauss' equation, we have

$$(4.3) \quad S(X, Y) = (n-1)\langle X, Y \rangle + n\alpha'\langle AX, Y \rangle - \langle A^2X, Y \rangle$$

where A is the Weingarten map of M in S^{n+1} . Combining (4.2) and (4.3) we find $A^2 + n\alpha'A + (k+1-n)I = 0$. This shows that M has at most two distinct principal curvatures and the principal curvatures are constant. If M has only one principal curvature, M is a small hypersurface of S^{n+1} . In this case, Theorem 3 follows from Lemma 2. If M has two distinct principal curvatures, then M is the product of two spheres. In this case, Theorem 3 follows from Lemma 3 and Lemma 4. (Q.E.D.)

Remark. Let W be the hypersphere of $SM(n + 2)$ in which S^{n+1} is immersed as a minimal submanifold via f . Examples (2) and (3) of Lemma 3 give the first known examples of 2-type submanifolds in W which are not mass-symmetric.

§ 5. Submanifolds with maximal codimension

Let M be an n -dimensional submanifold of S^m . Consider the associated Weingarten map $A : T^\perp M \rightarrow S_n(TM)$ from the normal space of M in S^m into the vector bundle of self-adjoint endomorphisms of TM . In the vector bundle $S_n(TM)$ we consider the subbundle $M_n = \{B \in S_n(TM) | \text{trace } B = 0\}$. Then we have

$$(5.1) \quad S_n(TM) = M_n \oplus RI_n .$$

With respect to the usual inner product $\langle\langle \cdot, \cdot \rangle\rangle$ on $S_n(TM)$, the subbundles M_n and RI_n are orthogonal. It is easy to see that the fibres of $S_n(TM)$ are of $\frac{1}{2}n(n + 1)$ -dimensional.

LEMMA 5. *Let $\psi : M \rightarrow S^m$ be an isometric immersion of a compact n -dimensional Riemannian manifold M into S^m such that the immersion is full. If $x = f \circ \psi$ is mass-symmetric and of 2-type, then we have $m \leq n(n + 3)/2$. In particular, if $m = n(n + 3)/2$, then M is immersed as a minimal submanifold in a small hypersphere of S^m via ψ .*

Proof. Under the hypothesis, Lemma 1 implies that M has parallel mean curvature vector in S^m . Thus, M has constant mean curvature. If M is minimal in S^m , then $A(T^\perp M) \subset M_n$. Since ψ is full, statement (4) of Lemma 1 implies $m - n \leq n(n + 1)/2 - 1$ which gives $m \leq n(n + 3)/2 - 1$. Therefore, we may assume that M has nonzero constant mean curvature in S^m . In this case, we obtain $m \leq n(n + 3)/2$. If $m = n(n + 3)/2$, then we see that $A : T^\perp M \rightarrow S_n(TM) = M_n \oplus RI$ is surjective. Since A maps $\nu = \langle H' \rangle^\perp$ onto M_n , we have $A(H') \in RI_n$. This shows that M is pseudo-umbilical in S^m . Because M has parallel mean curvature vector H' in S^m , we conclude that M lies in a hypersphere $S^{m-1}(r)$ of S^m as a minimal submanifold. Since M is not minimal in S^m , we have $r < 1$. (Q.E.D.)

By applying Lemma 5 we may obtain the following.

THEOREM 4. *Let $\psi : M \rightarrow S^{n(n+3)/2}$ be an isometric immersion of a compact, n -dimensional, Riemannian manifold M into $S^{n(n+3)/2}$ such that the*

immersion is full. If $x = f \circ \psi$ is mass-symmetric and of 2-type, then M is a real-space-form which is immersed fully in a small hypersphere of $S^{n(n+3)/2}$ as a minimal, isotropic submanifold.

Proof. Under the hypothesis, Lemma 5 implies that M is immersed as a minimal submanifold in a small hypersphere $S^{n(n+3)/2-1}(r) = S$ of $S^{n(n+3)/2}$. Moreover, from Lemma 1, we know that the Weingarten map A of M in S is homothetic. Thus, for any fixed point $p \in M$, the Weingarten map at p ; $A(p) : T_p^\perp M \rightarrow M_n(p)$ is an isomorphism. Since $A(p)$ is homothetic, we have

$$\langle\langle A_\xi, A_\eta \rangle\rangle = c^2 \langle \xi, \eta \rangle$$

for some constant c . Let v be a given unit vector in $T_p M$. We choose an orthonormal basis $B = \{e_1, \dots, e_n\}$ such that $e_1 = v$. Since $A(p) : T_p^\perp M \rightarrow M_n(p)$ is an isomorphism, there exists an orthonormal basis $\xi_{n+1}, \dots, \xi_{n(n+3)/2-1}$ in $T_p^\perp M$ such that, with respect to B , the associated Weingarten endmorphisms are given by

$$\begin{aligned} A_{n+1} &= c \left[\begin{array}{c|c} -(n-1)a_{n-1} & 0 \\ \hline 0 & a_{n-1}I_{n-1} \end{array} \right], \\ A_{n+2} &= c \left[\begin{array}{cc|c} 0 & 0 & 0 \\ 0 & -(n-2)a_{n-2} & \\ \hline & 0 & a_{n-2}I_{n-2} \end{array} \right], \\ &\vdots \\ A_{2n-2} &= c \left[\begin{array}{cc|c} 0 & 0 & 0 \\ 0 & -2a_2 & \\ \hline & 0 & a_2I_2 \end{array} \right], \\ A_{2n-1} &= c \left[\begin{array}{cc|c} 0 & 0 & 0 \\ 0 & -a_1 & \\ \hline & 0 & a_1 \end{array} \right], \\ A_{n+[i,j]} &= c \left[\begin{array}{cc|c} & i & j \\ & \vdots & \vdots \\ & \vdots & \vdots \\ \dots & 0 & \sqrt{\frac{n}{2}} \dots & i \\ & \vdots & \vdots & \\ \dots & \sqrt{\frac{n}{2}} \dots & 0 \dots & j \\ & \vdots & \vdots & \end{array} \right], \end{aligned}$$

where $[i, j] = i + \frac{1}{2}(j - i)(2n + 1 - j + i) - 1$, $\alpha_{n-k}^2 = n/(n - k)(n - k + 1)$; $1 \leq k \leq n - 1$ and $1 \leq i < j \leq n$. From these we see that the second fundamental form $\bar{\sigma}$ of M in S satisfies $\|\bar{\sigma}(v, v)\|^2 = (n - 1)c^2$ which shows the isotropy of M in S . The constancy of sectional curvature of M follows from the equation of Gauss. (Q.E.D.)

Remark. Isotropic isometric immersions from a real-space-form into another real-space-form have been studied by Itoh and Ogiue [8].

By a similar argument we have the following.

THEOREM 5. *Let $\psi : M \rightarrow S^m$ be an isometric minimal immersion of a compact, n -dimensional, Riemannian manifold such that the immersion is full. If $x = f \circ \psi$ is mass-symmetric and of 2-type, then $m \leq n(n + 3)/2 - 1$. In particular, if $m = n(n + 3)/2 - 1$, then M is a real-space-form which is immersed as an isotropic submanifold.*

Since this theorem can be proved in the same way as that of Theorem 4, so we omit it.

§ 6. Classification of 2-type surfaces

In this section we classify surfaces in S^m which are mass-symmetric and of 2-type via f .

THEOREM 6. *Let $\psi : M \rightarrow S^m$ be an isometric immersion of a compact surface M into S^m such that the immersion is full. If $x = f \circ \psi$ is mass-symmetric and of 2-type, then one of the following statements holds:*

- (1) $m = 3$ and M is immersed as a small hypersphere $S^2(r)$ with radius $r = \sqrt{3}/2$;
- (2) $m = 3$ and M is immersed as a Clifford (minimal) torus $S^1(1/\sqrt{2}) \times S^1(1/\sqrt{2})$ in S^3 ;
- (3) $m = 4$ and M is immersed as a Veronese (minimal) surface in S^4 ;
- (4) $m = 5$ and M is immersed as a Veronese (minimal) surface in a small hypersphere $S^4(\sqrt{5}/6)$ of S^5 .

The converse is also true.

Proof. Under the hypothesis, Lemma 1 implies that M has parallel mean curvature vector in S^m . Thus, by applying a result of Chen and Yau (cf. [4, p. 106]), we have $m > 3$ and either M is a minimal surface of S^m or M is a minimal surface of a small hypersphere $S^{m-1}(r)$ of S^m , or M lies in totally geodesic S^3 of S^m . If the later case holds, then $m = 3$ since

ψ is full. In this case, Theorem 3 implies that either case (1) or case (2) occurs.

If $m > 3$, then, by Lemma 5, $m = 4$ or $m = 5$. If $m = 4$, Theorem 5 and Theorem 2 of [8] imply that M is a Veronese surface in S^4 . If $m = 5$, by using Theorem 4, we see that M is immersed in a small hypersphere $S^4(r)$ of S^5 as a Veronese surface. Without loss of generality, we may assume that $S^4(r)$ is given by $u_6 = \sqrt{1 - r^2}$, where (u_1, \dots, u_6) are the Euclidean coordinates of S^5 in E^6 . From direct computation, we see that the center of mass of M in $SM(6)$ via f is given by

$$x_0 = \left[\begin{array}{c|c} \frac{r^2}{5} I_5 & 0 \\ \hline 0 & 1 - r^2 \end{array} \right].$$

Since M is mass-symmetric in $W \subset SM(6)$, we have $x_0 = I/6$. Thus, we see that M is mass-symmetric in $SM(6)$ if and only if $r^2 = 5/6$.

The converse follows from direct computation. (Q.E.D.)

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