

## Ricci tensor of $C$ -totally real submanifolds in Sasakian space forms

Koji MATSUMOTO and Ion MIHAI<sup>1</sup>

### Abstract

B.-Y. Chen established a sharp relationship between the Ricci curvature and the squared mean curvature for a submanifold in a Riemannian space form with arbitrary codimension. The Lagrangian version of this inequality was proved by the same author. In this article, we obtain a sharp estimate of the Ricci tensor of a  $C$ -totally real submanifold  $M$  in a Sasakian space form  $\tilde{M}(c)$ , in terms of the main extrinsic invariant, namely the squared mean curvature. If  $M$  satisfies the equality case identically, then it is minimal. Moreover, in this case,  $M$  is a ruled submanifold.

### 1. Preliminaries.

A  $(2m+1)$ -dimensional Riemannian manifold  $(\tilde{M}, g)$  is said to be a *Sasakian manifold* if it admits an endomorphism  $\phi$  of its tangent bundle  $T\tilde{M}$ , a vector field  $\xi$  and a 1-form  $\eta$ , satisfying:

$$\begin{cases} \phi^2 = -Id + \eta \otimes \xi, \eta(\xi) = 1, \phi\xi = 0, \eta \circ \phi = 0, \\ g(\phi X, \phi Y) = g(X, Y) - \eta(X)\eta(Y), \eta(X) = g(X, \xi), \\ (\tilde{\nabla}_X \phi)Y = -g(X, Y)\xi + \eta(Y)X, \tilde{\nabla}_X \xi = \phi X, \end{cases}$$

for any vector fields  $X, Y$  on  $T\tilde{M}$ , where  $\tilde{\nabla}$  denotes the Riemannian connection with respect to  $g$ . A plane section  $\pi$  in  $T_p\tilde{M}$  is called a  $\phi$ -section if it is spanned by  $X$  and  $\phi X$ , where  $X$  is a unit tangent vector orthogonal to  $\xi$ . The sectional curvature of a  $\phi$ -section is called a  $\phi$ -sectional curvature. A Sasakian manifold with constant  $\phi$ -sectional curvature  $c$  is said to be a *Sasakian space form* and is denoted by  $\tilde{M}(c)$ .

The curvature tensor  $\tilde{R}$  of a Sasakian space form  $\tilde{M}(c)$  is given by [1]

$$(1.1) \quad \tilde{R}(X, Y)Z = \frac{c+3}{4} \{g(Y, Z)X - g(X, Z)Y\} +$$

<sup>1</sup> This paper was written while the second author has visited Yamagata University, Faculty of Education, supported by a JSPS research fellowship. He would like to express his hearty thanks for the hospitality he received during this visit.

Math. Subject Classification 2000: 53C40, 53C25.

Keywords and phrases: Ricci tensor, Ricci curvature, mean curvature, Sasakian space form,  $C$ -totally real submanifold.

$$+\frac{c-1}{4}\{\eta(X)\eta(Z)Y - \eta(Y)\eta(Z)X + g(X,Z)\eta(Y)\xi - g(Y,Z)\eta(X)\xi + \\ +g(\phi Y,Z)\phi X - g(\phi X,Z)\phi Y - 2g(\phi X,Y)\phi Z\},$$

for any tangent vector fields  $X, Y, Z$  on  $\widetilde{M}(c)$ .

As examples of Sasakian space forms we mention  $\mathbf{R}^{2m+1}$  and  $S^{2m+1}$ , with standard Sasakian structures (see [1],[10]).

Let  $M$  be an  $n$ -dimensional submanifold of a Sasakian space form  $\widetilde{M}(c)$  of constant  $\phi$ -sectional curvature  $c$ . We denote by  $K(\pi)$  the sectional curvature of  $M$  associated with a plane section  $\pi \subset T_p M, p \in M$ , and  $\nabla$  the Riemannian connection of  $M$ , respectively. Also, let  $h$  be the second fundamental form and  $R$  the Riemann curvature tensor of  $M$ . Then the equation of Gauss is given by

$$(1.2) \quad \widetilde{R}(X, Y, Z, W) = R(X, Y, Z, W) + \\ +g(h(X, W), h(Y, Z)) - g(h(X, Z), h(Y, W)),$$

for any vectors  $X, Y, Z, W$  tangent to  $M$ .

Let  $p \in M$  and  $\{e_1, \dots, e_{2m+1}\}$  an orthonormal basis of the tangent space  $T_p \widetilde{M}$ , such that  $e_1, \dots, e_n$  are tangent to  $M$  at  $p$ . We denote by  $H$  the mean curvature vector, that is

$$(1.3) \quad H(p) = \frac{1}{n} \sum_{i=1}^n h(e_i, e_i).$$

Also, we set

$$(1.4) \quad h_{ij}^r = g(h(e_i, e_j), e_r), \quad i, j \in \{1, \dots, n\}, r \in \{n+1, \dots, 2m+1\},$$

and

$$(1.5) \quad \|h\|^2 = \sum_{i,j=1}^n g(h(e_i, e_j), h(e_i, e_j)).$$

Recall that for a submanifold  $M$  in a Riemannian manifold, the relative null space of  $M$  at a point  $p \in M$  is defined by

$$\mathcal{N}_p = \{X \in T_p M \mid h(X, Y) = 0, \text{ for all } Y \in T_p M\}.$$

In the proof of Theorem 2.1, we will use the following result of B.-Y. Chen.

**Lemma [2].** *Let  $n \geq 2$  and  $a_1, \dots, a_n, b$  real numbers such that*

$$\left(\sum_{i=1}^n a_i\right)^2 = (n-1)\left(\sum_{i=1}^n a_i^2 + b\right)$$

Then  $2a_1a_2 \geq b$ , with equality holding if and only if

$$a_1 + a_2 = a_3 = \dots = a_n.$$

## 2. Ricci tensor and squared mean curvature.

B.Y. Chen established a sharp relationship between the Ricci curvature and the squared mean curvature for submanifolds in real space forms (see [3]). Afterwards, he obtained the Lagrangian version of this relationship (see [4]). First, we prove a similar inequality for an  $n$ -dimensional  $C$ -totally real submanifold  $M$  of a  $(2m+1)$ -dimensional Sasakian space form  $\widetilde{M}(c)$  of constant  $\phi$ -sectional curvature  $c$ .

A submanifold  $M$  normal to  $\xi$  in a Sasakian space form  $\widetilde{M}(c)$  is said to be a  $C$ -totally real submanifold.

It follows that  $\phi$  maps any tangent space of  $M$  into the normal space, that is,  $\phi(T_p M) \subset T_p^\perp M$ , for every  $p \in M$ .

**Theorem 2.1.** *Let  $M$  be an  $n$ -dimensional  $C$ -totally real submanifold in a  $(2m+1)$ -dimensional Sasakian space form  $\widetilde{M}(c)$  of constant  $\phi$ -sectional curvature  $c$ . Then:*

i) *For each unit vector  $X \in T_p M$ , we have*

$$(2.1) \quad \text{Ric}(X) \leq \frac{1}{4} \{n^2 \|H\|^2 + (n-1)(c+3)\}.$$

ii) *If  $H(p) = 0$ , then a unit tangent vector  $X$  at  $p$  satisfies the equality case of (2.1) if and only if  $X \in \mathcal{N}_p$ .*

iii) *The equality case of (2.1) holds identically for all unit tangent vectors at  $p$  if and only if either  $p$  is a totally geodesic point or  $n = 2$  and  $p$  is a totally umbilical point.*

*Proof.* i) Let  $X \in T_p M$  be a unit tangent vector  $X$  at  $p$ . We choose an orthonormal basis  $\{e_1, \dots, e_n, e_{n+1}, \dots, e_{2m+1}\}$  such that  $e_1, \dots, e_n$  are tangent to  $M$  at  $p$ , with  $e_n = X$ ,  $e_{2m+1} = \xi$  and  $e_{n+1}$  parallel to the mean curvature vector  $H(p)$  (if  $H(p) = 0$ , then  $e_{n+1}$  can be any unit normal vector orthogonal to  $\xi$ ).

Then, from the Gauss equation, we have

$$(2.3) \quad n^2 \|H\|^2 = 2\tau + \|h\|^2 - \frac{1}{4}n(n-1)(c+3),$$

where  $\tau$  denotes the scalar curvature at  $p$ , that is,

$$\tau = \sum_{1 \leq i < j \leq n} K(e_i \wedge e_j).$$

We put

$$\delta = 2\tau - \frac{n^2}{2}\|H\|^2 - \frac{1}{4}n(n-1)(c+3).$$

Then, from (2.3), we get

$$(2.4) \quad n^2 \|H\|^2 = 2(\delta + \|h\|^2).$$

With respect to the above orthonormal basis, (2.4) takes the following form:

$$\left( \sum_{i=1}^n h_{ii}^{n+1} \right)^2 = 2 \left\{ \delta + \sum_{i=1}^n (h_{ii}^{n+1})^2 + \sum_{i \neq j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{2m} \sum_{i,j=1}^n (h_{ij}^r)^2 \right\}.$$

If we put  $a_1 = h_{11}^{n+1}$ ,  $a_2 = \sum_{i=2}^{n-1} h_{ii}^{n+1}$  and  $a_3 = h_{nn}^{n+1}$ , the above equation becomes

$$\left( \sum_{i=1}^3 a_i \right)^2 = 2 \left\{ \delta + \sum_{i=1}^3 a_i^2 + \sum_{i \neq j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{2m} \sum_{i,j=1}^n (h_{ij}^r)^2 - \sum_{2 \leq \alpha \neq \beta \leq n-1} h_{\alpha\alpha}^{n+1} h_{\beta\beta}^{n+1} \right\}.$$

Thus  $a_1, a_2, a_3$  satisfy the Lemma of Chen (for  $n = 3$ ), i.e.

$$\left( \sum_{i=1}^3 a_i \right)^2 = 2 \left( b + \sum_{i=1}^3 a_i^2 \right).$$

Then  $2a_1 a_2 \geq b$ , with equality holding if and only if  $a_1 + a_2 = a_3$ .

In the case under consideration, this means

$$\sum_{1 \leq \alpha \neq \beta \leq n-1} h_{\alpha\alpha}^{n+1} h_{\beta\beta}^{n+1} \geq \delta + 2 \sum_{i < j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{2m} \sum_{i,j=1}^n (h_{ij}^r)^2,$$

or equivalently,

$$(2.5) \quad \frac{n^2}{2} \|H\|^2 + \frac{1}{4}n(n-1)(c+3) \geq \\ \geq 2\tau - \sum_{1 \leq \alpha \neq \beta \leq n-1} h_{\alpha\alpha}^{n+1} h_{\beta\beta}^{n+1} + 2 \sum_{i < j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{2m} \sum_{i,j=1}^n (h_{ij}^r)^2.$$

Using again the Gauss equation, we have

$$(2.6) \quad 2\tau - \sum_{1 \leq \alpha \neq \beta \leq n-1} h_{\alpha\alpha}^{n+1} h_{\beta\beta}^{n+1} + 2 \sum_{i < j} (h_{ij}^{n+1})^2 + \sum_{r=n+2}^{2m} \sum_{i,j=1}^n (h_{ij}^r)^2 = \\ = 2S(e_n, e_n) + \frac{1}{4}(n-1)(n-2)(c+3) + 2 \sum_{i=1}^{n-1} (h_{in}^{n+1})^2 +$$

$$+ \sum_{n+2}^{2m} \left\{ (h_{nn}^r)^2 + 2 \sum_{i=1}^{n-1} (h_{in}^r)^2 + \left( \sum_{\alpha=1}^{n-1} h_{\alpha\alpha}^r \right)^2 \right\},$$

where  $S$  is the Ricci tensor of  $M$ .

Combining (2.5) and (2.6), we obtain

$$\begin{aligned} \frac{n^2}{2} \|H\|^2 + \frac{1}{2}(n-1)(c+3) &\geq 2S(e_n, e_n) + 2 \sum_{i=1}^{n-1} (h_{in}^{n+1})^2 + \\ &+ \sum_{r=n+2}^{2m} \left\{ \sum_{i=1}^{n-1} (h_{in}^r)^2 + \left( \sum_{\alpha=1}^{n-1} h_{\alpha\alpha}^r \right)^2 \right\}, \end{aligned}$$

which implies (2.1).

ii) Assume  $H(p) = 0$ . Equality holds in (2.1) if and only if

$$(2.7) \quad \begin{cases} h_{1n}^r = \dots = h_{n-1,n}^r = 0, \\ h_{nn}^r = \sum_{i=1}^{n-1} h_{ii}^r \end{cases}, \quad r \in \{n+1, \dots, 2m\}.$$

Then  $h_{in}^r = 0, \forall i \in \{1, \dots, n\}, r \in \{n+1, \dots, 2m\}$ , i.e.  $X \in \mathcal{N}_p$ .

iii) The equality case of (2.1) holds for all unit tangent vectors at  $p$  if and only if

$$(2.8) \quad \begin{cases} h_{ij}^r = 0, i \neq j, r \in \{n+1, \dots, 2m\}, \\ h_{11}^r + \dots + h_{nn}^r - 2h_{ii}^r = 0, \quad i \in \{1, \dots, n\}, r \in \{n+1, \dots, 2m\}. \end{cases}$$

We distinguish two cases:

a)  $n \neq 2$ , then  $p$  is a totally geodesic point;

b)  $n = 2$ , it follows that  $p$  is a totally umbilical point.

The converse is trivial. □

By polarization, from Theorem 2.1, we derive:

**Theorem 2.2.** *Let  $M$  be an  $n$ -dimensional  $C$ -totally real submanifold in a  $(2m+1)$ -dimensional Sasakian space form  $\widetilde{M}(c)$  of constant  $\phi$ -sectional curvature  $c$ . Then the Ricci tensor  $S$  satisfies*

$$(2.9) \quad S \leq \frac{1}{4} \left\{ n^2 \|H\|^2 + (n-1)(c+3) \right\} g.$$

*The equality case of (2.9) holds identically if and only if either  $M$  is a totally geodesic submanifold or  $n = 2$  and  $M$  is a totally umbilical submanifold.*

### 3. Minimality of $C$ -totally real submanifolds.

Let  $\widetilde{M}(c)$  be a  $(2n+1)$ -dimensional Sasakian space form and  $M$  an  $n$ -dimensional  $C$ -totally real submanifold of  $\widetilde{M}(c)$ .

We denote by  $\mathcal{R}$  the maximum Ricci curvature function on  $M$  (see [4]), defined by

$$\mathcal{R}(p) = \max\{S(u, u) | u \in T_p^1 M\}, \quad p \in M,$$

where  $T_p^1 M = \{u \in T_p M | g(u, u) = 1\}$ .

If  $n = 3$ ,  $\mathcal{R}$  is the Chen first invariant  $\delta_M$  defined in [2]. For  $n > 3$ ,  $\mathcal{R}$  is the Chen invariant  $\delta(n-1)$  (see [5]).

In this section, we derive an inequality for the Chen invariant  $\mathcal{R}$  and prove that any  $C$ -totally real submanifold which satisfies the equality case, identically, is minimal. This is the Sasakian version of a result ([4]) of B.-Y. Chen for Lagrangian submanifolds in complex space forms.

**Theorem 3.1.** *Let  $M$  be an  $n$ -dimensional  $C$ -totally real submanifold in a  $(2n+1)$ -dimensional Sasakian space form  $\widetilde{M}(c)$  of constant  $\phi$ -sectional curvature  $c$ . Then*

$$(3.1) \quad \mathcal{R} \leq \frac{1}{4}\{n^2\|H\|^2 + (n-1)(c+3)\}.$$

*If  $M$  satisfies the equality case of (3.1) identically, then  $M$  is a minimal submanifold.*

*Proof.* The inequality (3.1) is an immediate consequence of the inequality (2.9).

We assume that  $M$  is a  $C$ -totally real submanifold of  $\widetilde{M}(c)$ , which satisfies the equality case of (3.1) at a point  $p \in M$ . We may choose an orthonormal basis  $\{e_1, \dots, e_n\}$  of  $T_p M$  such that  $\mathcal{R}(p) = S(e_n, e_n)$ . By the proof of Theorem 2.1, it follows that the equations (2.7) hold, where  $h_{ij}^r$  are the coefficients of the second fundamental form with respect to the orthonormal basis  $\{e_1, \dots, e_n, e_{n+1}, \dots, e_{2n+1}\}$ , with  $e_{n+j} = \phi e_j, j \in \{1, \dots, n\}$ ,  $e_{2n+1} = \xi$  and  $e_{n+1}$  parallel to the mean curvature vector  $H(p)$ .

Let  $A$  denote the shape operator of  $M$  in  $\widetilde{M}(c)$ . It is easy to prove that

$$(3.2) \quad A_{\phi X} Y = A_{\phi Y} X,$$

for all vector fields  $X, Y$  tangent to  $M$  (see, for instance, [9]). Then we have  $h_{ij}^{n+k} = h_{ik}^{n+j} = h_{jk}^{n+i}$ , for any  $i, j, k \in \{1, \dots, n\}$ .

Thus, using the equations (2.7), we find

$$H(p) = \frac{1}{n} \sum_{i=1}^n h_{ii}^{n+1} e_{n+1} = \frac{2}{n} h_{nn}^{n+1} e_{n+1} = \frac{2}{n} h_{1n}^{2n} e_{n+1} = 0.$$

Therefore  $M$  is a minimal submanifold. □

**Corollary 3.2.** *Let  $M$  be an  $n$ -dimensional  $C$ -totally real submanifold of a  $(2n+1)$ -dimensional Sasakian space form  $\widetilde{M}(c)$ . If  $\dim \mathcal{N}_p$  is positive constant, then  $M$  satisfies the equality case of (3.1) identically and is foliated by totally geodesic submanifolds.*

*Proof.* By the above proof, it follows that  $M$  satisfies the equality case of (3.1) at a point  $p \in M$  if and only if  $\dim \mathcal{N}_p \geq 1$ .

Assume that  $\dim \mathcal{N}_p$  is positive constant.

We prove that  $\mathcal{N}$  is involutive and its leaves are totally geodesic.

Let  $Y, Z \in \mathcal{N}$  and  $X \in \mathcal{N}^\perp$ . Codazzi equation implies  $g(X, \nabla_Y Z) = 0$ . Thus  $\nabla_Y Z \in \mathcal{N}$ , for all  $Y, Z \in \mathcal{N}$ . Therefore each leaf of  $\mathcal{N}$  is totally geodesic.

**Acknowledgements.** The authors would like to thank the referee for his valuable comments.

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Department of Mathematics  
Faculty of Education  
Yamagata University  
990-8560 Yamagata  
Japan  
E-mail:ej192@kdw.kj.yamagata-u.ac.jp

Faculty of Mathematics  
University of Bucharest  
Str. Academiei 14  
70109 Bucharest  
Romania  
E-mail:imihai@math.math.unibuc.ro

Received May 22, 2002 Revised August 23, 2002