

**Joint Spectra of  $*$ -Hyponormal Operators  
on Uniformly  $c$ -Convex Spaces**

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**1. Introduction**

In the case of operators on Hilbert spaces, in [30] F.-H. Vasilescu characterized the (Taylor) joint spectrum for a commuting pair and in [16] R. Curto did it for a commuting  $n$ -tuple.

For those on Banach spaces, we have not yet such a characterization. In [24] A. McIntosh, A. Pryde and W. Ricker characterized the joint spectrum for a strongly commuting  $n$ -tuple of operators. In [7] M. Chō proved that the joint spectrum for such an  $n$ -tuple is the joint approximate point spectrum of it. And in [11] he characterized the joint spectrum for a doubly commuting  $n$ -tuple of strongly hyponormal operators on a uniformly smooth space.

In this paper, we will characterize the joint spectrum of a doubly commuting  $n$ -tuple of strongly  $*$ -hyponormal operators on a uniformly  $c$ -convex Banach space.

Let  $B(X)$  be the algebra of all bounded linear operators

on  $X$ . Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a commuting  $n$ -tuple of (bounded and linear) operators on  $X$ .

Let  $E^n$  be the complex exterior algebra on  $n$ -generators  $e_1, \dots, e_n$ , with product  $\wedge$ ;  $E^n$  is graded:  $E^n = \bigoplus_{k=-\infty}^{\infty} E_k^n$ , where  $E_k^n \wedge E_\ell^n \subset E_{k+\ell}^n$  and  $\{e_{j_1} \wedge \dots \wedge e_{j_k} : 1 \leq j_1 < \dots < j_k \leq n\}$  is a basis for  $E_k^n$  ( $k \geq 1$ ), while  $E_0^n \cong \mathbb{C}$  and  $E_k^n = \{0\}$  for  $k < 0$  and  $k > n$ . Let  $E_k^n(X) = X \otimes E_k^n$  and define

$$D_k^{(n)}: E_k^n(X) \longrightarrow E_{k-1}^n(X) \text{ by}$$

$$D_k^{(n)}(x \otimes e_{j_1} \wedge \dots \wedge e_{j_k}) = \sum_{i=1}^k (-1)^{i+1} T_{j_i} x \otimes e_{j_1} \wedge \dots \wedge \check{e}_{j_i} \wedge \dots \wedge e_{j_k}$$

when  $k > 0$  (here  $\check{e}$  means deletion), and  $D_k^{(n)} = 0$  when

$k \leq 0$  and  $k > n$ . A straightforward computation shows that

$$D_k^{(n)} \circ D_{k+1}^{(n)} = 0 \text{ for all } k, \text{ so that } \{E_k^n(X), D_k^{(n)}\}_{k \in \mathbb{Z}} \text{ is a}$$

chain complex, called the Koszul complex for  $\mathbf{T} = (T_1, \dots, T_n)$

and denoted by  $E(X, \mathbf{T})$ .

We define  $\mathbf{T} = (T_1, \dots, T_n)$  to be non-singular in case its associated Koszul complex is exact, that is,  $\text{Ker}(D_k^{(n)}) = \text{R}(D_{k+1}^{(n)})$  for all  $k$ . The (Taylor) joint spectrum  $\sigma(\mathbf{T})$  of  $\mathbf{T}$  is the set of  $z \in \mathbb{C}^n$  such that  $\mathbf{T} - z = (T_1 - z_1, \dots, T_n - z_n)$  is singular.

A point  $z \in \mathbb{C}^n$  is in the joint approximate point spectrum

$\sigma_{\text{ap}}(\mathbf{T})$  of  $\mathbf{T} = (T_1, \dots, T_n)$  if there exists a sequence  $\{x_k\}$  of unit vectors in  $X$  such that

$$\|(T_i - z_i)x_k\| \longrightarrow 0 \text{ as } k \longrightarrow \infty \text{ for } i = 1, \dots, n.$$

A point  $z \in \mathbb{C}^n$  is in the joint point spectrum  $\sigma_p(\mathbf{T})$  of  $\mathbf{T} =$

$(T_1, \dots, T_n)$  if there exists a non-zero vector  $x$  in  $X$  such that

$$T_i x = z_i x \quad \text{for } i = 1, \dots, n.$$

For an operator  $T \in B(X)$ , the usual spectrum, the approximate point spectrum and the point spectrum of  $T$  are denoted by  $\sigma(T)$ ,  $\sigma_\pi(T)$  and  $\sigma_p(T)$ , respectively.

We denote by  $X^*$  the dual space of  $X$ . Let us set

$$\pi = \{(x, f) \in X \times X^* : \|f\| = f(x) = \|x\| = 1\}.$$

The numerical range  $V(B(X), T)$  and the spatial numerical range  $V(T)$  of  $T$  are defined by

$$V(B(X), T) = \{F(T) : F \in B(X)^* \text{ and } \|F\| = F(I) = 1\}$$

and

$$V(T) = \{f(Tx) : (x, f) \in \pi\},$$

respectively. Then the following results are well-known for  $T \in B(X)$ :

- (1)  $\text{co } \sigma(T) \subset \overline{V(T)}$  and  $\overline{\text{co}} V(T) = V(B(X), T)$ , where  $\text{co } E$ ,  $\overline{E}$  and  $\overline{\text{co}} E$  are the convex hull, the closure and the closed convex hull of  $E$ , respectively.
- (2)  $V(T) \subset V(T^*) \subset \overline{V(T)}$ , where  $T^*$  is the dual operator of  $T$ .

If  $V(T) \subset \mathbb{R}$ , then  $T$  is called hermitian. Hence,  $T$  is hermitian iff  $T^*$  is hermitian. An operator  $T \in B(X)$  is called hyponormal if there are hermitian operators  $H$  and  $K$  such that  $T = H + iK$  and the commutator  $C = i(HK - KH) \geq 0$ , meaning that  $V(C) \subset \mathbb{R}^+ = \{ a \in \mathbb{R} : a \geq 0 \}$ . For an operator  $T = H + iK$ , we denote the operator  $H - iK$  by  $\bar{T}$ . An operator  $T = H + iK$  is called  $*$ -hyponormal if the inequality

$$\|e^{z\bar{T}}e^{-\bar{z}T}\| \leq 1$$

holds for all complex numbers  $z$ .

**Remark 1.** If  $T$  is a  $*$ -hyponormal operator on  $X$ , then  $T - \lambda I$  is  $*$ -hyponormal for every  $\lambda \in \mathbb{C}$  and  $\bar{T}^*$  is also a  $*$ -hyponormal operator on  $X^*$ .

Normal operators are obviously  $*$ -hyponormal. By Proposition 1 in [23],  $*$ -hyponormal operators are hyponormal. In particular, subnormal operators on a Hilbert space are  $*$ -hyponormal. An example of a hyponormal operator which is not  $*$ -hyponormal is shown in [23].

A  $*$ -hyponormal operator  $T = H + iK$  is called strongly  $*$ -hyponormal if  $H^2$  and  $K^2$  are both hermitian.

**Remark 2.** There is an hermitian operator  $H$  such that  $H^2$  is not hermitian. However, if  $H$  is hermitian then

$$V(H^2) \subset \{ z \in \mathbb{C} : \operatorname{Re} z \geq 0 \}.$$

Therefore, if  $T$  is strongly  $*$ -hyponormal, then

$$\sigma(\overline{TT}) \subset \overline{V(\overline{TT})} \subset \mathbb{R}^+.$$

An  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n)$  is called a doubly commuting  $n$ -tuple if  $\mathbf{T}$  is a commuting  $n$ -tuple and there exist hermitians  $H_j$  and  $K_j$  such that  $T_j = H_j + iK_j$  ( $j=1, \dots, n$ ) and  $T_j T_k = T_k T_j$  for  $j \neq k$ . For a commuting  $n$ -tuple  $\mathbf{T} = (T_1, \dots, T_n)$  with  $T_j = H_j + iK_j$  ( $j=1, \dots, n$ ), it is easy to see that  $\mathbf{T}$  is a doubly commuting  $n$ -tuple iff  $H_j$  and  $K_j$  commute with  $H_k$  and  $K_k$  for  $j \neq k$ , respectively.

A Banach space  $X$  will be said to be uniformly  $c$ -convex if for every  $\varepsilon > 0$  there is a  $\delta > 0$  such that  $\|y\| < \varepsilon$  whenever  $\|x\| = 1$  and  $\|x + \lambda y\| \leq 1 + \delta$  for all complex numbers  $\lambda$  with  $|\lambda| \leq 1$ .

The space  $\mathcal{L}^1(S, \Sigma, \mu)$  and the trace class  $C_1$  are uniformly  $c$ -convex. All uniformly convex spaces are uniformly  $c$ -convex.

See, for example K. Mattila [22], for details.

We now give an example of a doubly commuting pair of strongly  $*$ -hyponormal operators on a uniformly  $c$ -convex space. Let  $\mathcal{H}$  be a complex Hilbert space. Let  $C_p$  be the Schatten  $p$ -class for  $1 \leq p < \infty$ . Then it is well-known that  $C_p$  is uniformly  $c$ -convex. When  $A$  and  $B^*$  are  $*$ -hyponormal operators on  $\mathcal{H}$ , the operator  $\delta_{A,B}(T) = AT - TB$  ( $T \in C_p$ ) is a

\*-hyponormal operator on  $C_p$  ( $1 \leq p < \infty$ ) by Theorem 4 in [23]. And by Corollary 1.3 in [26], it holds that  $V(B(C_p), \delta_{A,B}) = \overline{W(A)} - \overline{W(B)}$ , where  $W(T) = \{(Tx, x) : x \in \mathcal{H} \text{ and } \|x\| = 1\}$ . Let

$$L_A(T) = AT \quad (T \in C_p).$$

When  $A = H + iK$  is \*-hyponormal operator on  $\mathcal{H}$ , it is clear that  $L_A = L_H + iL_K$  is a strongly \*-hyponormal operator on  $C_p$  ( $1 \leq p < \infty$ ). Hence, if  $\mathbf{A} = (A_1, \dots, A_n)$  is a doubly commuting n-tuple of strongly \*-hyponormal operators on  $\mathcal{H}$ , then  $L_{\mathbf{A}} = (L_{A_1}, \dots, L_{A_n})$  is a doubly commuting n-tuple of strongly \*-hyponormal operators on  $C_p$  ( $1 \leq p < \infty$ ).

For a commuting n-tuple  $\mathbf{T} = (T_1, \dots, T_n)$  such that  $T_j = H_j + iK_j$  ( $j=1, \dots, n$ ), a point  $z = (z_1, \dots, z_n) \in \mathbb{C}^n$  is in the complete star spectrum  $\sigma_{cs}(\mathbf{T})$  of  $\mathbf{T}$  if there is some partition  $\{j_1, \dots, j_k\} \cup \{\ell_1, \dots, \ell_m\} = \{1, \dots, n\}$  such that

$$\sum_{\mu=1}^k \overline{(T_{j_\mu} - z_{j_\mu})} (T_{j_\mu} - z_{j_\mu}) + \sum_{\nu=1}^m (T_{\ell_\nu} - z_{\ell_\nu}) \overline{(T_{\ell_\nu} - z_{\ell_\nu})}$$

is not invertible. In particular, the set

$$\{(z_1, \dots, z_n) \in \mathbb{C}^n : \sum_{j=1}^n (T_j - z_j) \overline{(T_j - z_j)} \text{ ' is not invertible } \}$$

is called the right spectrum of  $\mathbf{T}$  and denoted by  $\sigma_r(\mathbf{T})$ . Then it is clear that  $\sigma_\pi(\mathbf{T}) \subset \sigma_{cs}(\mathbf{T}) \cap \sigma(\mathbf{T})$ .

We recall from [1] and [2] the construction of a larger space  $X^0$  from a given Banach space  $X$ . Then the mapping  $T \longrightarrow T^0$  is an isometric isomorphism of  $B(X)$  onto a closed subalgebra of  $B(X^0)$ . Let  $\text{Lim}$  be a fixed Banach limit on the space of all bounded sequences of complex numbers with the norm  $\|\{\lambda_n\}\| = \sup \{|\lambda_n| : n \in \mathbb{N}\}$ . Let  $\tilde{X}$  be the space of all bounded sequences  $\{x_n\}$  of  $X$ . Let  $N$  be the subspace of  $\tilde{X}$  consisting of all bounded sequences  $\{x_n\}$  with  $\text{Lim} \|x_n\|^2 = 0$ . The space  $X^0$  is defined as the completion of the quotient space  $\tilde{X} / N$  with respect to the norm  $\|\{x_n\} + N\| = (\text{Lim} \|x_n\|^2)^{1/2}$ . For an operator  $T \in B(X)$ , the corresponding operator  $T^0$  on  $X^0$  is defined by  $T^0(\{x_n\} + N) = \{Tx_n\} + N$ . Then the following results hold for  $T \in B(X)$ :

$$\sigma(T) = \sigma(T^0), \quad \sigma_{\pi}(T) = \sigma_{\pi}(T^0) = \sigma_p(T^0) \quad \text{and} \quad \overline{\text{co}} V(T) = V(T^0).$$

Hence,  $H$  is non-negative and hermitian iff  $H^0$  is non-negative and hermitian, respectively.

See [1] and [2] for details.

## 2. Characterization

First we will prepare some results.

**Theorem A** ([29], Theorem 4.8). Let  $T = (T_1, \dots, T_n)$  be a commuting  $n$ -tuple of operators and  $f$  an  $m$ -tuple of polynomials

in  $n$ -variables. Then

$$\sigma(f(\mathbf{T})) = f(\sigma(\mathbf{T})).$$

**Theorem B** ([15], Theorem 1 and [27], Theorem 3.4). Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a commuting  $n$ -tuple of operators and  $f$  an  $m$ -tuple of polynomials in  $n$ -variables. Then

$$\sigma_{\pi}(f(\mathbf{T})) = f(\sigma_{\pi}(\mathbf{T})).$$

**Theorem C** ([22], Theorem 2.5). Let  $X$  be uniformly  $c$ -convex and let  $H$  be a hermitian, non-negative operator on  $X$ . If there are sequences  $\{x_n\} \subset X$  and  $\{f_n\} \subset X^*$  such that  $\|x_n\| = \|f_n\| = 1$  for each  $n$  with  $f_n(x_n) \rightarrow 1$  and  $f_n(Hx_n) \rightarrow 0$ , then  $Hx_n \rightarrow 0$ .

**Theorem D** ([28], Theorem 3.6). Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a commuting  $n$ -tuple of operators on a Banach space  $X$ . Then  $\sigma(\mathbf{T}) = \sigma(\mathbf{T}^*)$ , where  $\mathbf{T}^* = (T_1^*, \dots, T_n^*)$ .

**Theorem E** ([12], Theorem 6.6). Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of operators on a complex Banach space  $X$ . Then  $\sigma(\mathbf{T}) \subset \sigma_{CS}(\mathbf{T})$ .

**Lemma 1.** Let  $T = H + iK$  be a  $*$ -hyponormal operator on a Banach space  $X$ . If  $\{x_n\}$  is a bounded sequence in  $X$  such that  $Tx_n \rightarrow 0$ , then  $Hx_n \rightarrow 0$  and  $Kx_n \rightarrow 0$ .

**Proof.** Consider the larger space  $X^0$  and the corresponding



operator  $T^0 = H^0 + iK^0$ . Then  $T^0$  is a  $*$ -hyponormal operator on  $X^0$ . And since  $T^0(\{x_n\} + N) = 0$ , by Theorem 3 in [23] it follows that  $H^0(\{x_n\} + N) = K^0(\{x_n\} + N) = 0$ . Therefore, it follows that  $\text{Lim } \|Hx_n\|^2 = 0$  and  $\text{Lim } \|Kx_n\|^2 = 0$ . If the sequence  $\{\|Hx_n\|\}$  does not converge to zero, there exist a number  $\varepsilon > 0$  and a subsequence  $\{x_{n_k}\}$  of  $\{x_n\}$  such that  $\|Hx_{n_k}\|^2 \geq \varepsilon$  for all  $k \in \mathbb{N}$ . Then  $\text{Lim } \|Hx_{n_k}\|^2 \geq \varepsilon$ . Hence we have  $H^0(\{x_{n_k}\} + N) \neq 0$ . However, since  $Tx_{n_k} \rightarrow 0$ , it follows that  $T^0(\{x_{n_k}\} + N) = 0$ . Also by Theorem 3 in [23] we have  $H^0(\{x_{n_k}\} + N) = 0$ . It is a contradiction.  $Kx_n \rightarrow 0$  is proved analogously.

**Theorem 2.** Let  $X$  be uniformly  $c$ -convex. Let  $T = H + iK$  be a  $*$ -hyponormal operator on  $X$ . Then  $\sigma(T) = \{z : \bar{z} \in \sigma_{\pi}(\bar{T})\}$ .

**Proof.** Since  $T - z$  is also  $*$ -hyponormal for every  $z \in \mathbb{C}$ , we may only prove that  $0 \in \sigma(T)$  iff  $0 \in \sigma_{\pi}(\bar{T})$ . Let  $0$  be in  $\sigma_{\pi}(\bar{T})$ . Then we have  $0 \in \sigma(T\bar{T}) = \sigma(\bar{T}^*T^*)$ . Since  $\text{Re } \sigma(\bar{T}^*T^*) \subset \mathbb{R}^+$ , there exists a sequence  $\{f_n\}$  of unit vectors in  $X^*$  such that  $\bar{T}^*T^*f_n \rightarrow 0$ . Since  $\bar{T}^*$  is  $*$ -hyponormal, from Lemma 1 we have that  $T^{*2}f_n \rightarrow 0$  and also  $0 \in \sigma(T^*) = \sigma(T)$ . Conversely, Let  $0$  be in  $\sigma(T)$ . Since either  $T\bar{T}$  or  $\bar{T}T$  is not invertible, by Theorem C we may assume that  $T\bar{T}$  is not invertible. Since  $T$  is  $*$ -hyponormal, by Lemma 1 we have that there exists a sequence  $\{x_n\}$  of unit vectors in  $X$  such that  $T^2x_n \rightarrow 0$ . Hence, by the

spectral mapping theorem for a approximate point spectrum, we have  $0 \in \sigma_{\pi}(\bar{T})$ .

**Lemma 3.** Let  $X$  be uniformly  $c$ -convex. Let  $\bar{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of strongly  $*$ -hyponormal operators on  $X$ . If  $\sum_{j=1}^k T_j T_j + \sum_{j=k+1}^n T_j \bar{T}_j$  is not invertible ( $1 \leq k \leq n$ ), then  $\sum_{j=1}^n T_j \bar{T}_j$  is not invertible.

**Proof.** Put  $S = (T_1 T_1, \dots, T_k T_k, T_{k+1} \bar{T}_{k+1}, \dots, T_n \bar{T}_n)$ . Then  $S$  is a commuting  $n$ -tuple. Since by Remark 2

$$\sigma(T_j T_j) \cup \sigma(T_j \bar{T}_j) \subset \mathbb{R}^+ \quad (j=1, \dots, n),$$

by Theorem A it follows that

$$\sigma\left(\sum_{j=1}^k T_j T_j + \sum_{j=k+1}^n T_j \bar{T}_j\right) \subset \mathbb{R}^+.$$

Hence,  $0$  is in the approximate point spectrum of  $\sum_{j=1}^k T_j T_j +$

$\sum_{j=k+1}^n T_j \bar{T}_j$ . By Theorem B, it holds that  $0 = (0, \dots, 0) \in \sigma_{\pi}(S)$ .

Therefore, there exists a sequence  $\{x_{\ell}\}$  of unit vectors in  $X$  such that  $T_j T_j x_{\ell} \rightarrow 0$  ( $j=1, \dots, k$ ) and  $T_j \bar{T}_j x_{\ell} \rightarrow 0$  ( $j=k+1, \dots, n$ ). When  $T_j = H_j + iK_j$ , we put that  $C_j = i(H_j K_j - K_j H_j) \geq 0$  for  $j = 1, \dots, k$ . Choose a linear functional  $f_{\ell} \in X^*$  such that  $\|f_{\ell}\| = f_{\ell}(x_{\ell}) = 1$  for each  $\ell$ . Since then  $f_{\ell}((H_j^2 + K_j^2)x_{\ell}) \geq 0$ ,  $f_{\ell}(C_j x_{\ell}) \geq 0$  and  $f_{\ell}(T_j T_j x_{\ell}) = f_{\ell}((H_j^2 + K_j^2)x_{\ell}) + f_{\ell}(C_j x_{\ell}) \rightarrow 0$  for  $j = 1, \dots, k$ , it follows by Theorem C that

$$(H_j^2 + K_j^2)x_{\ell} \rightarrow 0 \quad \text{and} \quad C_j x_{\ell} \rightarrow 0 \quad \text{for } j = 1, \dots, k.$$

Therefore, it follows that  $T_j \bar{T}_j x_\ell = (H_j^2 + K_j^2 - C_j) x_\ell \longrightarrow 0$  for  $j = 1, \dots, n$ .

**Theorem 4.** Let  $X$  be uniformly  $c$ -convex. Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of strongly  $*$ -hyponormal operators on  $X$ . Then

$\sigma_{CS}(\mathbf{T}) = \sigma_r(\mathbf{T}) = \{(z_1, \dots, z_n) \in \mathbb{C}^n : (\bar{z}_1, \dots, \bar{z}_n) \in \sigma_\pi(\bar{\mathbf{T}})\}$ ,  
where  $\bar{\mathbf{T}} = (\bar{T}_1, \dots, \bar{T}_n)$ .

**Proof.** It is clear that

$$\{(z_1, \dots, z_n) \in \mathbb{C}^n : (\bar{z}_1, \dots, \bar{z}_n) \in \sigma_\pi(\bar{\mathbf{T}})\} \subset \sigma_r(\mathbf{T}) \subset \sigma_{CS}(\mathbf{T}).$$

Assume that  $\alpha = (\alpha_1, \dots, \alpha_n)$  is in  $\sigma_{CS}(\mathbf{T})$ . Since  $\mathbf{T} - z = (T_1 - z_1, \dots, T_n - z_n)$  is a doubly commuting  $n$ -tuple of strongly  $*$ -hyponormal operators for every  $z \in \mathbb{C}^n$ , so we may assume that  $\alpha = 0$ . By Lemma 3, it follows that  $\sum_{j=1}^n T_j \bar{T}_j$  is not invertible and there exists a sequence  $\{x_\ell\}$  of unit vectors in  $X$  such that

$$T_j \bar{T}_j x_\ell \longrightarrow 0 \quad \text{for } j = 1, \dots, n.$$

And by Lemma 1 it follows that  $T_j^2 x_\ell \longrightarrow 0$  for  $j = 1, \dots, n$ . Consider a function  $g(z) := (z_1^2, \dots, z_n^2)$  for  $z = (z_1, \dots, z_n) \in \mathbb{C}^n$ . Applying the spectral mapping theorem, Theorem B, for  $g$ , we have  $g(\sigma_\pi(\bar{\mathbf{T}})) = \sigma_\pi(g(\bar{\mathbf{T}}))$ . Hence, we have  $0 \in \sigma_\pi(\bar{\mathbf{T}})$ .

**Theorem 5.** Let  $X$  be uniformly  $c$ -convex. Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of strongly  $*$ -hyponormal operators on  $X$ . Then

$$\sigma(\mathbf{T}) = \sigma_{cs}(\mathbf{T}) = \{(z_1, \dots, z_n) \in \mathbb{C}^n : (\bar{z}_1, \dots, \bar{z}_n) \in \sigma_{\pi}(\bar{\mathbf{T}})\},$$

where  $\bar{\mathbf{T}} = (\bar{T}_1, \dots, \bar{T}_n)$ .

**Proof.** By Theorems E and 4, we may only prove that if  $0 \in \sigma_{\pi}(\bar{\mathbf{T}})$ , then  $0 \in \sigma(\mathbf{T})$ . So assume that  $0 \in \sigma_{\pi}(\bar{\mathbf{T}})$ . Then there exists a sequence  $\{x_k\}$  of unit vectors in  $X$  such that

$$\bar{T}_j x_k \longrightarrow 0 \quad \text{for } j = 1, \dots, n.$$

Therefore, from  $0 \in \sigma(\sum_{j=1}^n T_j \bar{T}_j)$ , it follows that

$$0 \in \sigma((\sum_{j=1}^n T_j \bar{T}_j)^*) = \sigma(\sum_{j=1}^n T_j^* T_j^*).$$

Also  $(T_1^*, \dots, T_n^*)$  is a doubly commuting  $n$ -tuple of strongly  $*$ -hyponormal operators on  $X^*$ . From the proof of Lemma 3 there exists a sequence  $\{f_k\}$  of norm one functionals in  $X^*$  such that

$$T_j^* T_j^* f_k \longrightarrow 0 \quad \text{for } j = 1, \dots, n.$$

Since  $T_j^*$  is a  $*$ -hyponormal operator, by Lemma 1 it follows that  $T_j^{*2} f_k \longrightarrow 0$  ( $j = 1, \dots, n$ ). Hence, by the proof of Theorem 4, we have  $0 \in \sigma_{\pi}(\mathbf{T}^*)$ , where  $\mathbf{T}^* = (T_1^*, \dots, T_n^*)$ .

Therefore, by Theorem D, it follows that  $0 \in \sigma(\mathbf{T})$ .

**Theorem 6.** Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of strongly  $*$ -hyponormal operators on a Banach space  $X$  such that  $T_j = H_j + iK_j$  ( $j=1, \dots, n$ ). If  $\lambda - i\mu = (\lambda_1 - i\mu_1, \dots, \lambda_n - i\mu_n) \in \sigma_\pi(\mathbf{T})$ , then  $\lambda = (\lambda_1, \dots, \lambda_n) \in \sigma(\mathbf{H})$  and  $\mu = (\mu_1, \dots, \mu_n) \in \sigma(\mathbf{K})$ , where  $\mathbf{H} = (H_1, \dots, H_n)$  and  $\mathbf{K} = (K_1, \dots, K_n)$ .

**Proof.** We will prove the theorem by the method of induction. For  $n = 1$ , let  $T = H + iK$  be a strongly  $*$ -hyponormal operator on  $X$  and let  $\lambda - i\mu \in \sigma_\pi(\bar{T})$ . Then, from the first part of the proof of Theorem 2, it follows that  $\lambda + i\mu \in \sigma(T)$ . Hence we can choose a real number  $\mu'$  such that  $\lambda + i\mu'$  is in the boundary of  $\sigma(T)$ . So there exists a sequence  $\{x_k\}$  of unit vectors in  $X$  such that  $(T - (\lambda + i\mu'))x_k \rightarrow 0$ . By Lemma 1 it holds that  $(H - \lambda)x_k \rightarrow 0$ .  $\mu \in \sigma(K)$  is proved analogously.

Next we assume that the theorem is true for  $(n-1)$ -tuples. Consider the larger space  $X^0$  of  $X$  and the mapping  $T \rightarrow T^0$ . Since  $\lambda - i\mu \in \sigma_p(\bar{T}^0)$  for  $\bar{T}^0 = (T_1^0, \dots, T_n^0)$ , there exists a non-zero vector  $x^0$  in  $X^0$  such that

$$T_j^0 x^0 = (\lambda_j - i\mu_j)x^0 \quad \text{for } j = 1, \dots, n.$$

Let  $Y = \{y^0 : T_n^0 y^0 = (\lambda_n - i\mu_n)y^0\}$ . Since  $\mathbf{T}$  is a doubly commuting  $n$ -tuple,  $Y$  is invariant for every  $H_j^0$  and  $K_j^0$  ( $j=1, \dots, n-1$ ). Hence it follows that  $T_j^0|_Y = H_j^0|_Y + iK_j^0|_Y$  ( $j=1, \dots, n-1$ ) and  $\mathbf{T}' = (T_1^0|_Y, \dots, T_{n-1}^0|_Y)$  is a doubly commuting  $(n-1)$ -tuple of strongly  $*$ -hyponormal operators on  $Y$ . Since  $x^0$  is in  $Y$ , we have  $(\lambda_1 - i\mu_1, \dots, \lambda_{n-1} - i\mu_{n-1}) \in \sigma_p(\bar{\mathbf{T}}')$ . So by

the assumption of the induction, it follows that

$$(\lambda_1, \dots, \lambda_{n-1}) \in \sigma(\mathbf{H}^\sim) \quad \text{and} \quad (\mu_1, \dots, \mu_{n-1}) \in \sigma(\mathbf{K}^\sim),$$

where  $\mathbf{H}^\sim = (H_1^\circ|_Y, \dots, H_{n-1}^\circ|_Y)$  and  $\mathbf{K}^\sim = (K_1^\circ|_Y, \dots, K_{n-1}^\circ|_Y)$ . Hence, by Theorem 2.1 in [7] and Theorem 6.2 in [12], there exists a non-zero vector  $z^\circ \in Y$  such that  $H_j^\circ z^\circ = \lambda_j z^\circ$  for  $j = 1, \dots, n-1$ . Of course, it holds that  $T_n^\circ z^\circ = (\lambda_n - i\mu_n)z^\circ$ . Next let  $Z = \{w^\circ : H_j^\circ w^\circ = \lambda_j w^\circ \text{ for } j=1, \dots, n-1\}$ . It then also follows that  $T_n^\circ|_Z$  is a strongly  $*$ -hyponormal operator on  $Z$  and  $\lambda_n - i\mu_n \in \sigma_p(\overline{T_n^\circ|_Z})$ . Thus there exists a non-zero vector  $u^\circ$  in  $Z$  such that  $H_n^\circ u^\circ = \lambda_n u^\circ$ . Therefore, we have  $(\lambda_1, \dots, \lambda_n) \in \sigma(\mathbf{H})$ .  $(\mu_1, \dots, \mu_n) \in \sigma(\mathbf{K})$  is proved analogously.

By theorems 5 and 6 we have the following

**Corollary 7.** Let  $X$  be uniformly  $c$ -convex. Let  $\mathbf{T} = (T_1, \dots, T_n)$  be a doubly commuting  $n$ -tuple of  $*$ -hyponormal operators on  $X$  such that  $T_j = H_j + iK_j$  ( $j=1, \dots, n$ ). If  $\lambda + i\mu = (\lambda_1 + i\mu_1, \dots, \lambda_n + i\mu_n) \in \sigma(\mathbf{T})$ , then  $\lambda \in \sigma(\mathbf{H})$  and  $\mu \in \sigma(\mathbf{K})$ , where  $\mathbf{H} = (H_1, \dots, H_n)$  and  $\mathbf{K} = (K_1, \dots, K_n)$ .

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