

# Simply connected 6-manifolds of large degree of symmetry

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## Introduction

For a compact connected differentiable manifold  $M$ , we define the degree of symmetry, denoted by  $N(M)$ , the maximum of dimensions of compact connected Lie groups which can act on  $M$  almost effectively.

In this note we shall determine simply connected 6-manifolds up to diffeomorphism whose degree of symmetry is greater than 5. Let  $M$  be a simply connected closed 6-manifold and  $G$  a compact connected Lie group acting almost effectively on  $M$  with  $\dim G = N(M)$ . We may assume without loss of generality that  $G$  is a product  $T^r \times G_1 \times \cdots \times G_s$ , where  $T^r$  is  $r$ -dimensional torus and  $G_i$ 's are simply connected simple Lie groups. In [6], it is shown that if  $\dim G \geq 12$ , then  $G$  is transitive on  $M$ . In section 2, we shall determine simply connected 6-dimensional homogeneous spaces. Assume  $N(M) \leq 11$ . Then we may consider only  $Spin(5)$ ,  $SU(3)$  and  $SU(2)$  among the  $G_i$ 's. It is shown that  $r \leq 5$ . In section 3, we shall consider  $Spin(5)$ -actions,  $SU(3)$ -actions in section 4,  $SU(2) \times SU(2)$ -actions and  $SU(2) \times SU(2) \times SU(2)$ -actions in section 5 and  $G \times T^r$ -actions in section 6. We shall list the classification of simply connected 6-manifolds by degree of symmetry in the last section.

Our initial aim was to find an exotic homotopy complex projective 3-space of large degree of symmetry. Our results show the following

*Let  $M$  be a homotopy complex projective 3-space. If  $N(M)$  is greater than 5, then  $M$  is diffeomorphic to the standard complex projective 3-space.*

We can not determine degrees of symmetry of exotic homotopy complex projective 3-spaces.

## 1. Preliminaries

In this section we state some lemmas which are used in the sequel. Let  $(G, M)$  be a topological action. We denote by  $G_x$  the isotropy subgroup of  $G$  at  $x \in M$ , by  $G(x)$  the orbit of  $x$ ,  $M^* = M/G$  the orbit space, by  $F(G, M)$  the fixed point set and by  $M_{(H)}$  the set of points  $x$  of  $M$  whose isotropy subgroup is conjugate to  $H$ .

LEMMA 1 ([1], Chap. XIV 2.4).

Let  $(G, M)$  be a topological action. Assume that on  $M$  there is a smallest class  $(U)$  and a biggest class  $(V)$  of isotropy subgroups and that an element of  $(U)$  is contained in exactly one element of  $(V)$ . Then there is a continuous map  $f : M \rightarrow G/N(V, G)$  whose restriction to  $M_{(V)}$  is the projection of the fibration  $(M_{(V)}, G/N(V, G), F(V, M_{(V)}))$ .

REMARK. In particular, when  $V$  contains  $N(T)$  ( $T$  is a maximal torus of  $G$ ), we have  $V = N(V)$  and hence we have  $M_{(V)} = G/N(V) \times F(V, M_{(V)})$ . Thus the above  $f$  has a cross section and hence  $f^* : H^*(G/N(V); A) \rightarrow H^*(M; A)$  is injective for any abelian group  $A$ .

COROLLARY. Let  $M$  be a simply connected manifold on which  $SU(2)$  acts almost effectively with a maximal torus  $T$  (we may assume  $T$  is the standard maximal torus) as a connected principal isotropy subgroup. Then there is no point  $x$  of  $M$  whose isotropy subgroup is conjugate to  $N(T, SU(2))$ .

PROOF. Consider the case in which there is no fixed point. Assume  $SU(2)_x$  is conjugate to  $N = N(T, SU(2))$ . It is easy to see that  $N(N, SU(2)) = N$ . Hence it follows from lemma 1 that there is a map  $f : M \rightarrow RP_2$  such that  $f^* : H^1(RP_2; Z_2) \rightarrow H^1(M; Z_2)$  is injective, which is contradiction. Next consider the case in which there is at least one fixed point. Let  $F$  be the fixed point set. From a result in [7], it follows that principal isotropy subgroup is  $T$ .

Hence there are just two types of isotropy subgroups ( $T$ ) and  $SU(2)$ . It is known that  $\dim F \leq \dim X - 3$  and hence  $H^1(X - F; Z_2) = 0$ . By applying the same arguments as above to the restricted action to  $X - F$ , we can show that there is no point whose isotropy subgroup is conjugate to  $N$ . q.e.d.

LEMMA 2. ([3] Corollary)

Let  $(G, M)$  be a topological action with orbits of uniform dimension. If  $\pi_1(M) = 0$  and  $G_x$  is of maximal rank for every  $x \in M$ , then  $M = G/H \times M$ , where  $H$  is a connected principal isotropy subgroup.

LEMMA 3 ([2] Chap. II 6. 1) If  $M$  is a  $G$ -space,  $G$  a compact Lie group, such that  $M/G$  is homeomorphic to  $I = [0, 1]$ , then there is a global cross section for the orbit map  $\pi : M \rightarrow M/G$ .

LEMMA 4 ([2] Chap. I, 3. 4). Let  $G$  be a compact group acting on spaces  $X$  and  $Y$  and  $\sigma : X/G \rightarrow X$  be a cross section for  $\pi : X \rightarrow X/G$ . Let  $\varphi : X/G \rightarrow Y$  be a map such that  $G\sigma(x^*) \leq G\varphi(x^*)$  for all  $x^*$  of  $X/G$ . Then there is a unique equivariant map  $\bar{\varphi} : X \rightarrow Y$  such that  $\bar{\varphi} = \varphi_0 \pi$ .

REMARK. Let  $(G, X)$  and  $(G, Y)$  be two  $G$ -spaces such that their orbit spaces are homeomorphic to  $[0, 1]$ . If there is a homeomorphism  $h : X/G \rightarrow Y/G$  preserving the orbit structures, then  $(G, X)$  and  $(G, Y)$  are equivariant homeomorphic. For instance, if  $(G, X)$  and  $(G, Y)$  have principal orbits of codimension one, then their isotropy subgroups are  $(H_X), (K_X), (L_X)$  and  $(H_Y), (K_Y), (L_Y)$  respectively and their orbit spaces are homeomorphic to  $[0, 1]$ , where the orbit  $G/H_X, G/K_X$  and  $G/L_X$  correspond to  $(0, 1), \{0\}$  and  $\{1\}$

respectively, and analogous to  $(G, Y)$  (see the following lemma).

LEMMA 5 ([2] Chap. IV, 8.2). *Let  $(G, M)$  be a locally smooth action with principal orbit  $G/H$  of codimension one. Then*

a. *If every orbit is principal, then  $M$  is a  $G/H$ -bundle over  $M^* = S^1$  with structure group  $N(H)/H$ .*

b. *Otherwise, there are two non-principal orbits of type  $G/K$  and  $G/L$  with  $K \geq H$  and  $L \geq H$ . Moreover,  $K$  and  $L$  may be chosen so that  $M$  is the union of the mapping cylinders  $M_K$  and  $M_L$  of the mappings  $G/H \rightarrow G/K$  and  $G/K$  and  $G/H \rightarrow G/L$  respectively.*

REMARK. From a result in [2], it follows that  $K/H$  and  $L/H$  are spheres or finite sets. If  $M$  is simply connected, then  $K/H$  and  $L/H$  are of positive dimension. In fact, from van Kampen's theorem we have  $\pi_1(M) = \pi_1(M_K) * \pi_1(M_L) / \pi_1(G/H)$ . Since  $\pi_1(M) = 0$ , it follows easily that  $\pi_1(G/H) \rightarrow \pi_1(G/L)$  are surjective and hence  $K/H$  and  $L/H$  are connected and positive dimensional.

LEMMA 6. *Let  $(T^k, M^n)$  be an effective action which is assumed to be differentiable. If the Euler characteristic of  $M$  is positive, then  $k$  is not greater than  $n/2$ .*

In fact,  $F(T^k, M)$  is not empty because of  $\chi(M) > 0$ . Let  $\varphi_x$  be a local representation at  $x \in F(T, M)$ , then  $\varphi_x$  is faithful, so that we have  $k \leq n/2$ .

LEMMA 7 ([4] Observ.). *Let  $(G, M)$  be a differentiable action. and  $K$  an equivariant differentiable transformation group. Suppose the  $G \times K$ -action on  $M$  is almost effective. Let  $K_0$  be the ineffective kernel of the induced  $K$ -action on  $M/G = X$ . Then  $G \times K_0$  acts naturally on the principal orbit  $G/H$  and the action is almost effective. Moreover  $K_0$  is locally isomorphic to  $N(H, G)/H$ .*

LEMMA 8 ([5] Lemma 1). *Let  $G = G_1 \times G_2$  act almost effectively on  $M$ . If  $G_1$  acts transitively on  $M$ , then  $G_2$  acts almost freely on  $M$ .*

## 2. Simply connected 6-dimensional homogeneous spaces

Let  $M$  be a simply connected 6-dimensional manifold. In this section we intend to determine all pair  $(G, M)$ , where  $G$  is a compact connected Lie group acting almost effectively and transitively. Without loss of generality we may assume that  $G$  is a product  $T^r \times G_1 \times \dots \times G_s$ , where  $T^r$  is  $r$ -dimensional torus and  $G_i$ 's are simply connected simple Lie groups. It is well known that  $\dim G \leq 21$  and the equality holds only in the case in which  $G = SO(7)$  and  $M = S^6$ . Moreover it is known that  $\dim G \leq 16$  when  $M \neq S^6$ . In the sequel, we denote by  $H$  a principal isotropy subgroup of  $G$ -action on  $M$ .

### Case 1. $\dim G = 16$

#### Subcase 1. $G = T \times Spin(6)$

Let  $p: G \rightarrow T$  be the projection. Since  $p(H) = H/H \cap Spin(6)$  and  $\dim p(H) \leq 1$ , we have  $\dim H \cap Spin(6) = 10$  or  $9$ . Consider the restricted  $Spin(6)$ -action on  $M$ , and put  $H_1$

$= H \cap Spin(6)$ . First consider the case in which  $\dim H_1 = 9$ . From the table in [9] it follows that 9-dimensional subgroups of  $Spin(6)$  are only of type  $T \times A_2$  and hence we have  $M = SO(6)/U(3)$ . Since the Euler characteristic of  $M$  is positive,  $G$  can not act on  $M$  almost effectively.

Next, if  $\dim H_1 = 10$ , it follows from lemma 5 that there are two non-principal isotropy subgroups  $K$  and  $L$  and  $M = M_K \cup M_L$ , where  $M_K$  and  $M_L$  are the mapping cylinders of the maps  $Spin(6)/H \rightarrow Spin(6)/K$  and  $Spin(6)/H \rightarrow Spin(6)/L$  respectively. Since there is no other subgroup of dimension 10 of  $Spin(6)$  than  $Spin(5)$ , we have  $K = L = Spin(6)$  and hence  $M = S^6$ .

**Subcase 2.  $G = SU(3) \times SU(3)$**

Let  $p_i : G \rightarrow SU(3)$  be the projection to the  $i$ -th factor for  $i = 1, 2$ . Since  $p_2(H) = H/SU(3) \cap H$ , we have  $\dim(H \cap SU(3)) \geq 2$ . From the consideration of subgroups of  $SU(3)$ , it follows that  $\dim(H \cap SU(3)) = 2$ . Thus we have  $SU(3)/H \cap SU(3) = M$ , and hence it follows from lemma 8 that  $SU(3)$  acts almost freely on  $M$  which is a contradiction.

**Subcase 3.  $G = SU(4) \times T$ .**

**Subcase 4.  $G = Spin(5) \times SU(2) \times SU(2)$**

By similar arguments it is shown that these cases are all impossible. Note that it is sufficient to consider only the above 4 cases because  $T^5$  can not act almost effectively on  $M$ .

**Case 2.  $\dim G = 15$**

There are two well known 6-dimensional homogeneous spaces  $SO(6)/U(3) = F_3$  and  $SU(4)/U(3) = CP_3$ . It is shown by the similar arguments that there is no other pair  $(G, M)$  with the required properties.

**Case 3.  $\dim G = 14$**

It is shown in [6] that there is no 14-dimensional group acting on 6-dimensional manifold transitively.

**Case 4.  $\dim G = 13$**

**Subcase 1.  $G = Spin(5) \times SU(2)$**

Let  $p_2 : G \rightarrow SU(2)$  be the projection. Clearly  $\dim H_1 \geq 4$ , where  $H_1 = H \cap Spin(5)$ . First we assume that  $\dim H_1 = 4$ . Since  $H_1$  is of rank 2,  $H_1$  is locally isomorphic to  $Spin(3) \times T$ , so that  $M = Spin(5)/Spin(3) \times T = Q_3 (= \text{complex quadric})$ . Hence it follows from lemma 8 that  $SU(2)$  acts almost freely on  $M$ , so that there is a fibration  $SU(2)/N \rightarrow M \rightarrow M/(SU(2)/N)$ , where  $N$  denotes the ineffective kernel. Thus it is easily shown that  $M/(SU(2)/N)$  is a simply connected 3-dimensional manifold and hence it is homotopically

equivalent to  $S^3$ . So, moreover, the homotopy exact sequence of the fibration implies that  $N=\{e\}$ , which contradicts to the fact  $\pi_2(M) \neq 0$ . Therefore we have  $\dim H_1=6$  and hence  $H_1 \sim SO(4)$  and  $p_2(H) = T$ . In fact if  $\dim H_1=0$ , then  $\dim p_2(H)=7$ . But this is impossible because there is no 7-dimensional subgroup of  $Spin(5)$ . Consequently  $H \sim SO(4) \times T$  and  $M = S^4 \times S^2$ . We can see in a similar way that there is no other  $(G, M)$  with  $\dim G = 13$ .

**Case 5.  $\dim G = 12$**

**Subcase 1.  $G = SU(2) \times SU(2) \times SU(2) \times SU(2)$**

Denote  $G = G_1 \times G_2$ , where  $G_1 \times G_2$ , where  $G_1$  and  $G_2$  are the product  $SU(2) \times SU(2)$  of former, and latter two factors, respectively, and let  $p_i : G \rightarrow G_i$  be the projection for  $i = 1, 2$ . First when  $\dim H \cap G_1 = 0$ , it is easy to show that  $M = S^3 \times S^3$ . Secondly, if  $\dim H_1 = 1 (H_1 = H \cap G_1)$ , we have  $\dim M/G_1$ , which implies the restricted  $G_1$ -action on  $M$  has a principal orbit with codimension one, and  $\dim N(H_1, G_1)/H_1 = 3$ . So, by lemma 7, a 3-dimensional group acts on  $M/G_1$  almost freely, but this contradicts to the fact  $\dim M/G_1 = 1$ . Thirdly, when  $\dim H_1 = 2$ , it is clear that  $N(H_1, G_1)/H_1$  is a finite set, and hence  $G_2$  acts on  $M/G_1$  almost effectively. But this is a contradiction. Lastly, when  $\dim H_1 = 3$ , we have  $\dim P_2(H) = 3$  and hence the above arguments show that it is impossible that  $\dim H \cap G_2$  is smaller than 3. Hence  $\dim H \cap G_2 = 3$ , so we have  $H = (H \cap G_1) \times (H \cap G_2)$ . Consequently we have  $M = S^3 \times S^3$ .

**Subcase 2.  $G = SU(3) \times SU(2) \times T$**

Consider the restricted  $SU(3) \times SU(3)/T \times T$ . Lemma 6 leads a contradiction. Secondly assume  $\dim H \cap SU(3) = 3$ . Since  $H \cap SU(3)$  is isomorphic to  $SU(2)$ , it is not difficult to see that the Euler characteristic of  $M$  is non-zero and hence  $G$  cannot act on  $M$  almost effectively.

**Case 6.  $\dim G = 11$ .**

**Subcase 1.  $G = Spin(5) \times T$**

Let  $H_1 = H \cap Spin(5)$ . It is clear that  $\dim H_1 = 4$ , and hence we have  $H_1 = SO(3) \times T$ , which means that  $M = Q_3$ . Since  $T$  acts almost freely on  $M$  there is a fibration  $T \rightarrow Q_3 \rightarrow Q_3/T$ . It follows that  $Q_3/T$  is a simply connected 5-manifold. From the Gysin's exact sequence of the fibration it follows immediately a contradiction.

**Subcase 2.  $G = SU(3) \times SU(2)$**

It is clear that  $\dim H_1 (H_1 = H \cap SU(3)) = 2$ . When  $\dim H_1 = 2$  we have  $H_1 = T \times T$  and hence  $M = SU(3)/T \times T$ . So  $SU(2)$  acts on  $M$  almost freely, but this is impossible. If  $\dim H_1 = 3$ , then  $H_1 = SU(2)$  and hence  $M/SU(3)$  is one dimensional. On the other hand  $SU(2)$

$/N(\dim N \leq 1)$  acts almost effectively on  $M/SU(3)$ , which is a contradiction. Lastly, when  $\dim H_1 = 4$ , we have  $H_1 = N(SU(2), SU(3))$  and hence it follows from lemma 2 that  $M = CP_2 \times M^*$ , where  $M^*$  is a simply connected 2-manifold. Consequently we have  $M = CP_2 \times S^2$ . By the same arguments we can show that all other cases are impossible.

**Case 7.**  $\dim G = 10.$   $M = Q_3.$

**Case 8.**  $\dim G = 9.$   $M = S^2 \times S^2 \times S^2$

**Case 9.**  $\dim G = 8$   $M = SU(3)/T \times T$

**Case 10.**  $\dim G = 7$  There is no group acting transitively on 6-dimensional manifold. We omit the proof of above results because they are not difficult.

### 3. The 6-dimensional manifolds on which $Spin(5)$ acts almost effectively

From now on, let  $M$  be a simply connected 6-dimensional manifold. Suppose  $Spin(5)$  act on  $M$  almost effectively with  $H$  as a principal isotropy subgroup. Since we may assume that  $\dim Spin(5)/H = 5$ , we have  $\dim H \geq 5$ . By checking subgroups of  $Spin(5)$ , we know that  $H$  is locally isomorphic to  $Spin(4)$  and hence the  $Spin(5)$ -action induces an  $SO(5)$ -action with  $SO(4)$  as its connected principal isotropy subgroup. Suppose  $H = N(SO(4), SO(5))$ . Since there is no linear action of  $SO(5)$  with  $N(SO(4), SO(5))$  as a principal isotropy subgroup, we have the fixed point set  $F = F(SO(5), M) = \phi$ , and hence there is a unique orbit type. Then we have  $M = RP_4 \times M^*$ , which contradicts to the fact  $H_1(M; Z_2) = 0$ . Thus we have prove that any principal isotropy subgroup is conjugate to  $SO(4)$ .

**Case 1.**  $F = F(SO(5), M) = \phi$

We have a fibration  $S^4 \rightarrow M \rightarrow M/SO(5) = S^2$ , so it follows from the fact  $N(SO(4), SO(5))/SO(4) = Z_2$  that  $M = S^4 \times S^2$ .

**Case 2.**  $F \neq \phi$

In this case  $M^* = M/SO(5)$  is a simply connected 2-manifold with boundary. Since  $H^i(G(x); Q) = 0$  for  $0 < i < 4$ , the Vietoris-Begle's theorem implies that  $H^i(M^*; Q)$  is isomorphic to  $H^i(M; Q)$  for  $i \leq 3$ , and hence  $H^i(M; Q) = 0$  for  $0 < i \leq 3$ . Therefore we have  $M = S^6$ .

### 4. The 6-dimensional manifolds on which $SU(3)$ acts almost effectively

Suppose  $SU(3)$  act on  $M$  with  $H$  as a principal isotropy subgroup. We may assume  $\dim SU(3)/H \leq 5$ .

**Case 1.**  $\dim H = 4$

We may assume  $H = N(SU(2), SU(3))$ . Since  $H$  is maximal subgroup of  $SU(3)$

possible isotropy subgroups are only  $H$  and  $SU(3)$ . If  $F(SU(3), M) = \phi$ , it follows from lemma 2 that  $M = SU(3)/H \times M/SU(3) = CP_2 \times S^2$ . If  $F(SU(3), M) \neq \phi$ , since there are precisely two orbits types ( $H$ ) and  $SU(3)$ ,  $SU(3)/H$  must be a sphere, which is impossible.

**Case 2.  $\dim H=3$**

It follows from lemma 5 and the remark following it, that  $M^* = M/SU(3)$  is homeomorphic to  $[0, 1]$ , there are non-principal isotropy subgroups  $K$  and  $L$  such that  $M$  is the union of the mapping cylinders  $M_K$  and  $M_L$  of mappings  $SU(3)/H \rightarrow SU(3)/K$  and  $SU(3)/H \rightarrow SU(3)/L$  respectively. It also follows that  $K$  and  $L$  are singular isotropy subgroups.

**Subcase 1.  $\dim K=4$  and  $\dim L=8$ .** It is easy to show that  $M$  is  $CP_3$ .

**Subcase 2.  $\dim K=\dim L=8$ .** It is clear that  $M$  is  $S^6$ .

**Subcase 3.  $\dim K=\dim L=4$ .** It is not difficult to see that  $M$  is  $(CP_3) \# (\pm CP_3)$ .

For the degree of symmetry of  $(CP_3) \# (\pm CP_3)$ , we have the following

PROPOSITION.  $N(CP_3) \# (\pm CP_3) = 9$ .

PROOF. We shall prove the proposition only for  $M = CP_3 \# CP_3$ . Since the Euler characteristic of  $M$  is 6, lemma 6 implies that there is no compact connected Lie group with rank  $\geq 4$  acting almost effectively on  $M$ . Assume  $N(M) \geq 9$ , in other words, there is a group  $G$  acting almost effectively on  $M$  with  $\dim G \geq 9$  and rank  $G \leq 3$ . Since  $SO(5)$  and  $SU(2) \times SU(2) \times SU(2)$  cannot act on  $M$  almost effectively (see section 5),  $SU(3) \times T$  is only one possible one. It is easy to see that  $N(SU(3), SU(4))$  acts on  $M$  almost effectively. This shows that  $N(M) = 9$ . Q.E.D.

**5. The 6-dimensional manifolds on which  $SU(2) \times SU(2)$  or  $SU(2) \times SU(2) \times SU(2)$  acts almost effectively**

Suppose  $G = SU(2) \times SU(2)$  acts on  $M$  with  $H$  as a principal isotropy subgroup. Then it is shown that  $\dim H \leq 3$ . Assume  $\dim H \geq 4$ . Put  $H^0 = H_1 \times H_2$ , it follows from  $\dim H \geq 4$  and rank  $H=2$  that at least one of the  $H_1$ 's must be  $G_i = SU(2)$ , which contradicts to almost effectivity. Hence  $\dim H \leq 3$ .

**Case A.  $\dim H=3$ .**

It is easy to show that  $G$  acts on  $G/H^0$  almost effectively. Clearly  $G/H^0$  is a simply connected 3-dimensional manifold and hence it is a 3-dimensional homotopy sphere. So it is well known that  $G/N$  is just  $SO(4)$  where  $N$  is the ineffective kernel of the  $G$ -action on  $G/H^0$ .

Thus we need only consider the  $SO(4)$ -action on  $M$  with  $H$  as a principal isotropy subgroup,  $\dim H=3$ . The inclusion  $H^0 = SO(3) \rightarrow SO(4)$  is a faithful 4-dimensional real representation of  $SO(3)$ . But such a representation is only  $\rho \oplus \theta$  so, that  $N(SO(3), SO(4))/SO(3) = Z_2$ . So our action has no exceptional orbit.

If there is no singular orbit, the  $SO(4)$ -action has only one orbit ( $H$ ) and hence there is a fibration  $SO(4)/H \rightarrow M \rightarrow M^*$ . Hence we have  $M = S^3 \times S^3$ .

If there are singular orbits, the  $SO(4)$ -action has  $(SO(3))$  and  $SO(4)$  as orbit types and the orbit space  $M^*$  is a simply connected 3-dimensional manifold with boundary  $bM^* = M^G$ . From consideration of a local representation at a fixed point it follows that  $\dim M^G = 2$ . Moreover, since there is a cross section for the map  $M - M^G \rightarrow M^* - M^G$  (lemma 2), we have a cross section for the orbit map  $M \rightarrow M^G$  ([2], 1. 3. 2)). On the other hand the standard  $SO(4)$ -action on  $S^6$  has  $(SO(3))$  and  $SO(4)$  as orbit types and the orbit space  $S^6/SO(4) = D^3$ . Consequently it follows from lemma 4 that  $M$  is homeomorphic to  $S^6$ , and hence  $M$  is diffeomorphic to  $S^6$ .

**Case B.  $\dim H = 2$ .**

We may put  $H^0 = T \times T$ . Since the principal orbit is of codimension two, it is known ([2], IV 8. 6) that if there are singular orbits, there is no exceptional orbit and  $M^*$  is a 2-disk with boundary  $bM^* = B^* = B/G$  where  $B$  is the union of all singular orbits.

First we consider the case that our action has no singular orbit. Since the action has uniform dimensional orbit, it follows from lemma 2 that  $M$  is homeomorphic to  $S^2 \times S^2 \times S^2$ . Thus  $M$  is diffeomorphic to  $S^2 \times S^2 \times S^2$ .

From now on, we assume that the action has singular orbits. Let  $G_x$  be a singular isotropy subgroup. Because of  $\text{rank } G_x = 2$ , we can show that  $\dim G_x$  is larger than 3, that is,  $G_x$  is either of the form  $G_1 \times N$  or  $N \times G_2$  where  $N$  is positive dimensional. Let  $K$  be any singular isotropy subgroup. Then there is a fibration  $G/K \rightarrow B_{(K)}^*$  where  $B_{(K)} = \{x \in B \mid (G_x) = (K)\}$  and  $B_{(K)}^* = B_{(K)}/G$ , so that we have  $\dim B_{(K)} \leq 3$  since  $\dim G/K \leq 2$  and  $\dim B_{(K)}^* \leq 1$ . There we have  $\dim B \leq 3$  and hence  $\pi_1(M - B) = 0$ . From consideration of the fibration  $G/H \rightarrow M - B \rightarrow M^* - B^*$ , it follows that  $\pi_1(G/H) = 0$  and hence  $H$  is connected i.e.  $H = T \times T$ . On the other hand, the singular isotropy subgroups are either of the form  $G_1 \times N$  or  $N \times G_2$  where  $\dim N = 1$  and  $N/T$  is contained in  $Z_2$ . Since the restricted  $G_i$ -action ( $i = 1, 2$ ) has no special exceptional orbit ([2], IV. 12),  $N/T = \{e\}$ , i.e.  $N = T$ . Thus it has shown that the possible orbit types are  $(T \times T) = (H)$ ,  $(G_1 \times T) = (K)$ ,  $(T \times G_2) = (L)$  and  $G_1 \times G_2 = G$ .

Consequently there are possible five cases as follows;

- i)  $(H)$  and  $(K)$ , or  $(H)$  and  $(L)$ ,
- ii)  $(H)$ ,  $(K)$  and  $G$ , or  $(H)$ ,  $(L)$  and  $G$ ,
- iii)  $(H)$ ,  $(K)$  and  $(L)$ ,
- iv)  $(H)$  and  $G$
- v)  $(H)$ ,  $(K)$ ,  $(L)$  and  $G$ .

**Subcase. i) The action has  $(H)$  and  $(K)$  as orbit types**

Since the restricted  $G_2$ -action on  $M$  has unique orbit type  $(T)$ , it follows from lemma 2 that  $M$  is homeomorphic to  $S^2 \times M/G_2$ . Moreover the  $G_1$ -action on  $M/G_2$  has  $(T)$  and  $G_1$  as orbit types, and hence it follows from the following lemma that  $M/G_2$  is homeomorphic to  $S^4$ . Thus  $M$  is diffeomorphic to  $S^2 \times S^4$ .



LEMMA. Let  $N$  be a simply connected, closed 4-dimensional manifold. If  $SU(2)$  acts almost effectively on  $N$  with a principal isotropy subgroup  $T$  and the non-empty fixed point set, then  $N$  is homeomorphic to  $S^4$ .

PROOF. There is a cross section for the orbit map  $N \rightarrow N^* = N/SU(2)$  and  $N^*$  is 2-disk with boundary  $F(SU(2), N)$ . On the other hand, let  $SU(2)$  act on  $S^4$  regarding  $SU(2)$  as the subgroup  $SO(3)$  of  $SO(5)$ . Then the orbit space is 2-disk whose boundary is the fixed point set. Thus it follows from the remark following lemma 4 that  $N$  is homeomorphic to  $S^4$ .

**Subcase ii). The action has (H), (K) and G as orbit types.**

Put  $F = F(G, M) \neq \emptyset$ . It is known ([2], IV. 3. 8) that  $\dim F \leq 1$ . If  $\dim F = 1$ , then  $F = bM^*$  and hence  $B_{(K)} = \emptyset$  which contradicts to the assumption. Thus  $F$  is a finite set. Let  $V$  be a slice at  $x \in F$  in  $M$  and  $S$  unit sphere in  $V$ . Since the restricted  $G$ -action on  $S$  has a principal isotropy subgroup  $H$ , it follows from lemma 5 that  $S^5/G$  is homeomorphic to  $[0, 1]$ ,  $G/K$  is corresponding to  $\{0\}$  and  $\{1\}$ , and  $S^5$  is homeomorphic to the union of two copies of the mapping cylinder of  $G/H = S^2 \times S^2 \rightarrow G/K = S^2$ . But we can easily deduce a contradiction.

**Subcase iii). The action has (H), (K) and G as orbit types.**

In this case  $bM^* = M_{(K)}^* \cup M_{(L)}^*$ . But  $M^*$  is connected and hence  $M_{(K)}^* \cap M_{(L)}^*$  is not empty which is impossible.

**Subcase iv). The action has (H) and G as orbit types.**

Since there are only two orbits around  $x \in F$ , it is shown in the remark following lemma 5 that  $G/H$  must be sphere, this is impossible.

**Subcase v). The action has (H), (K), (L) and G as orbit types.**

First of all we show that there is a cross section for the orbit map  $M \rightarrow M^* = M/G$ . In fact, consider the restricted  $G_1$ -action on  $M$ , it is easily shown from  $\dim M^{G_1} \leq 3$  that  $M - M^{G_1}$  and hence  $M^*_L - M^{G_1}$  is simply connected where  $M_1^* = M_1/G_1$ . Since  $M - M^{G_1} \rightarrow M^*_1 - M^{G_1}$  is a fiber bundle with fibre  $G_1/H_1 = S^2$  and the structure group  $\Gamma_{H_1} = N(T, SU(2))/T = Z_2$  where  $H_1 = H \cap G_1 = T$ , it follows that the associated principal  $N(T, SU(2))/T = Z_2$  where  $H_1 = H \cap G_1 = T$ , the associated principal bundle  $\Gamma_{H_1} \rightarrow M^{H_1} - M^{G_1} \rightarrow M_1^* - M^{G_1}$  is trivial, and hence there is a cross section  $C' \subset M^{H_1} - M^{G_1}$ . Hence it follows from [2] (1. 3. 2) that there is a cross section for the orbit map  $M \rightarrow M^*$ . Next consider the  $G_2$ -action on  $M_1^*$ , then similar arguments show that the orbit map  $M_1^* \rightarrow M_1^*/G_2 = M^*$  has also a cross section. Composing these sections, we have the required one.

The same arguments as in subcase ii) show that  $M^G$  is a finite set. Put  $M^G = \{x_1^*, x_2^*, \dots, x_r^*\}$ . Decompose  $M_{(K)}^*$  and  $M_{(L)}^*$  into connected components;  $M_{(K)}^* = \bigcup_{i=1}^s A_i$  and  $M_{(L)}^* = \bigcup_{i=1}^t B_i$ . We first claim that  $r$  is even. It is clear that  $\overline{A_i} \cap \overline{A_j} (i \neq j)$  and  $\overline{B_i} \cap \overline{B_j} (i \neq j)$  are in  $M^G$ . To prove our assertion it is sufficient to show that for any two components  $A_i$  and  $A_j$  (or  $B_i$  and  $B_j$ ) of  $M_{(K)}^*$  (or  $M_{(L)}^*$ )  $\overline{A_i} \cap \overline{A_j}$  (or  $\overline{B_i} \cap \overline{B_j}$ ) is empty. Suppose the contrary, i.e.  $\overline{A_i} \cap \overline{A_j} (i \neq j) = \{x_k^*\}$ . Let  $V$  be a slice at  $x_k$  in  $M$  and  $S$  a unit sphere in  $V$ . Then  $G$  acts on  $V$ , and hence on  $S$  with a principal isotropy subgroup  $H$  and only one singular orbit  $(K)$ . The arguments similar to subcase ii) show that this is impossible. Put  $r = 2k$ .

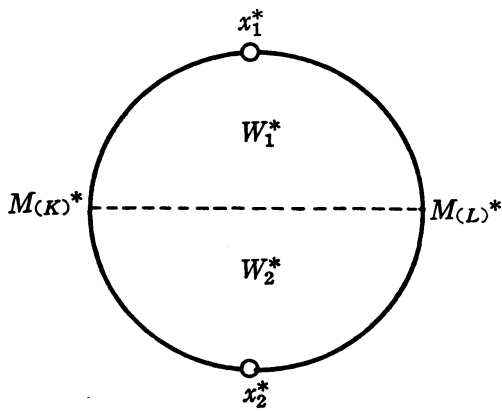


Fig. 1.

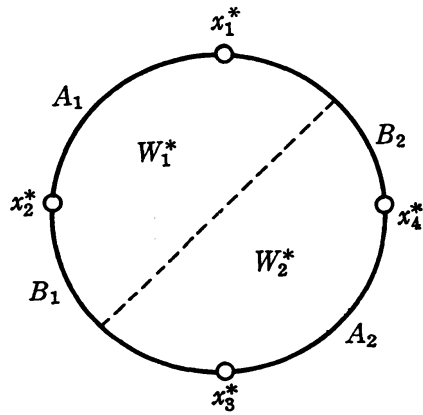


Fig. 2.

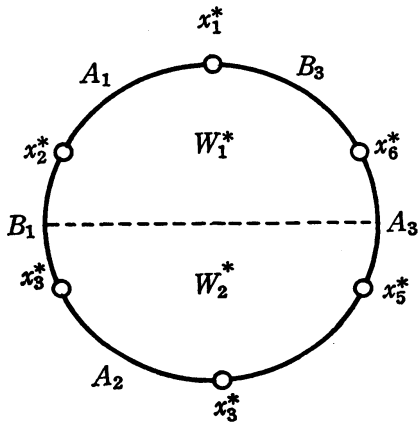


Fig. 3.

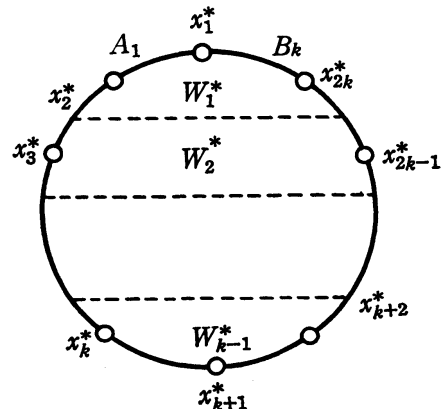


Fig. 4.

(a) The case  $\chi(M^G) = 2$ .

In this case,  $M^*$  is illustrated as Fig. 1. Let  $W_i^*$  denote the subset as in Fig. 1, and  $W_i$  the inverse image of  $W_i^*$  by the orbit map. We define a  $G$ -action on  $D^6$  by  $(g_1, g_2)(x, y) = (g_1x, g_2y)$  for  $(g_1, g_2) \in G$  and  $(x, y) \in D^3 \times D^3 \approx D^6$  where  $g_i$  acts on  $D^3$  as an element of  $SO(3)$ . Then the orbit space  $D^6/G$  is homeomorphic to  $W_i^*$  by a homeomorphism preserving orbit structures. Then it follows from lemma 4 that  $W_i$  is homeomorphic to  $D^6$  and hence  $M$  is homeomorphic to  $S^6$ . Thus  $M$  is diffeomorphic to  $S^6$ .

**(b) The case  $\chi(\mathbf{M}^G)=4$ .**

In this case,  $M^*$  is illustrated as Fig. 2. Let  $W_i^*$  and  $W_i$  be as above. We define a  $G$ -action on  $S^3 \times D^3$  by  $(g_1, g_2 y)(x, y) = (g_1 x, g_2 y)$  for  $(g_1, g_2) \in G$  and  $(x, y) \in S^3 \times D^3$  where  $g_1$  acts on  $S^3$  as an element of  $SO(4)$  and  $g_2$  acts on  $D^3$  as an element of  $SO(3)$ . Then the orbit space  $S^3 \times D^3/G$  is homeomorphic to  $W_i^*$  by a homeomorphism preserving the orbit structures. The same arguments as above show that  $M$  is diffeomorphic to  $S^3 \times S^3$ .

**(c) The case  $(\chi)\mathbf{M}^G=6$ .**

In this case,  $M^*$  is illustrated as Fig. 3. From (a) (b) it is easily shown that  $W_i$  is homeomorphic to  $S^3 \times S^3 - \text{Int } D^6$  and hence  $M$  is homeomorphic to  $S^3 \times S^3 \# S^3 \times S^3$ . Thus  $M$  is diffeomorphic to  $S^3 \times S^3 \# S^3 \times S^3$ . We remark that in this case  $SU(2) \times SU(2)$  acts on  $M$  in a standard way.

**(d) The case  $\chi(\mathbf{M}^G)=2k(k \geq 4)$ .**

In this case,  $M^*$  is illustrated as Fig. 4. It is easily shown as above that  $W_1$  and  $W_{k-1}$  are homeomorphic to  $S^3 \times S^3 - \text{Int } D^6$ , and  $W_i$  is homeomorphic to  $S^3 \times S^3 - \text{Int } D^6 - \text{Int } D^6$  for  $i=2, \dots, k-2$ . Hence  $M$  is diffeomorphic to the connected sum of  $(k-1)$  copies of  $S^3 \times S^3$ .

**Case C.  $\dim H=1$ .**

First of all we prove the following;

**PROPOSITION.**  $H_*(G/H; Q) = H_*(S^2 \times S^3; Q)$ .

**PROOF.** Let  $H^0$  be the identity component of  $H$  and  $S$  a maximal torus of  $G$  containing  $H^0 = T^1$ . Consider the fibration  $S/H^0 = T^1 \rightarrow G/H^0 \rightarrow G/S$ . It follows from the fact the second Stiefel-Whitney class  $w_2(G/S)$  of  $G/S$  is zero, that  $w_2(G/H^0) = 0$ . Since  $G/H^0$  is a simply connected 5-manifold with the second Betti number  $b_2(G/H^0) = 1$ ,  $G/H^0$  is diffeomorphic to  $S^2 \times S^3$  (see [8]). Since  $G/H^0$  is a finite covering space of  $G/H$ , we have  $H_*(G/H; Q) = H_*(S^2 \times S^3; Q)$ . Q.E.D.

For the case in which  $\dim H=1$ , it follows from lemma 5 and the remark following it that there are two types (K) and (L) of singular isotropy subgroups and  $M$  is the union of mapping cylinders  $M_K$  and  $M_L$ .

We claim that  $\dim K$  and  $\dim L$  are smaller than 5. In fact, suppose  $\dim K \geq 5$ . Then it is easy to see that  $K=G$ . Choose a fixed point  $x$ . Since  $M$  is the union of mapping cylinders  $M_K$  and  $M_L$ , any small neighborhood of  $x$  is homeomorphic to a cone over  $G/H$ , which contradicts to the fact  $M$  is a manifold at  $x$ .

Consequently there are possible six cases as follows;

- |                               |                               |                            |
|-------------------------------|-------------------------------|----------------------------|
| 1) $\dim K = \dim L = 2$ ,    | 2) $\dim K = 3, \dim L = 2$ , | 3) $\dim K = \dim L = 3$ , |
| 4) $\dim K = 4, \dim L = 2$ , | 5) $\dim K = 4, \dim L = 3$ , | 6) $\dim K = \dim L = 4$ . |

**Subcase 1.  $\dim K = \dim L = 2$ .**

Since  $K^0 = T \times T \subseteq K \subseteq N(T, G_1) \times N(T, G_2)$ , we have  $K/K^0 \subseteq Z_2 \oplus Z_2$ . Similarly we have  $L/L^0 \subseteq Z_2 \oplus Z_2$ . Without loss of generality we may assume  $\dim G_1 \cap H = 0$ . It is not

difficult to see that the induced action of  $G_2$  on  $M/G_1$  has uniform dimensional orbits and every isotropy subgroup has maximal rank. Hence it follows from lemma 2 that  $G_2$  acts on  $M/G_1$  with unique orbit  $G_2/T$ . This means that  $K/K^0$  is either  $Z_2 \oplus 0$  or 0. Similarly  $L/L^0$  is also either  $Z_2 \oplus 0$  or 0. Consequently only the following three cases are possible; i)  $K=L=N(T, G_1) \times T$ , ii)  $K=N(T, G_1) \times T, L=T \times T$ , iii)  $K=L=T \times T$ .

In subcases i) and ii), the restricted  $G_1$ -action on  $M$  has only two types of isotropy subgroups,  $(G_1 \cap H)$  and  $(N(T))$ . This contradicts to Corollary to lemma 1.

**Subcase iii).** From the Gysin's sequence of the fibre bundle  $S^1 \rightarrow G/H \rightarrow G/L$  it follows easily that  $H$  is connected. Hence we have  $G/H = S^2 \times S^3$ . By considering the fibre bundle  $G_1/H \cap G_1 \rightarrow G/H \rightarrow G_2/P_2(H)$ , we have  $\pi_1(G_1/H \cap G_1) = 0$  and Hence  $H \cap G_1 = \{e\}$ . Thus it is easily shown that the above fibre bundle is  $(S^3 \times S^2, S^2, S^3, p_{r_1})$ . From the following commutative diagram of fiberings;

$$\begin{array}{ccccc}
 K_1/H \cap K_1 = S^1 & \longrightarrow & K/H = S^1 & \longrightarrow & K_2/P_2(H) \cap K_2 = \text{a point} \\
 \downarrow & & \downarrow & & \downarrow \\
 G_1/H \cap G_1 = S^3 & \longrightarrow & G/H = S^3 \times S^2 & \longrightarrow & G_2/P_2(H) = S^2 \\
 \downarrow \text{Hopf map} & & \downarrow & & \downarrow \\
 G_1/K \cap G_1 = S^2 & \longrightarrow & G/K = S^2 \times S^2 & \longrightarrow & G_2/P_2(K) = S^2
 \end{array}$$

it follows that the projection of the fibre bundle  $K/H \rightarrow G/H \rightarrow G/K$  is  $h \times \text{id}$  where  $h: S^3 \rightarrow S^2$  is the Hopf map, so that the mapping cylinder  $M_K$  is  $(CP_2 - \text{Int } D^4) \times S^2$ . Similarly  $M_L$  is also  $(CP_2 - \text{Int } D^4) \times S^2$ . Consequently it follows from lemma 5 that  $M$  is homeomorphic to  $(CP_2 \# CP_2) \times S^2$ . Thus  $M$  is diffeomorphic to  $(CP_2 \# CP_2) \times S^2$ .

### Subcase 2. $\dim K=3$ and $\dim L=2$ .

We may assume  $\dim p_2(H)=1$ . Clearly  $p_2(K) = SU(2) = G_2$ . It is easy to show that  $M/G_1$  is a simply connected 3-dimensional manifold and the induced action of  $G_2$  on  $M/G_1$  has  $(p_2(H))$ ,  $((p_2(L))$  and  $G_2$  as orbit types. From  $\dim(N/G)^{G_2} = 0$  ([2], IV 3. 8) it follows that  $M/G_1 - (M/G_1)^{G_2}$  is simply connected. Since  $G_2$  acts on  $M/G_1 - (M/G_1)^{G_2}$  with uniform dimensional orbits, it follow from lemma 2 that  $p_2(H) = p_2(L) = T$  and hence  $L$  is either  $N(T, SU(2)) \times T$  or  $T \times T$ .

The restricted  $G_1$ -action on  $M$  has  $(H \cap G_1)$ ,  $(K \cap G_1)$  and  $(L \cap G_1)$  as orbit types. But from the relations  $H/H \cap G_1 = p_2(H) = T$ ,  $K/K \cap G_1 = p_2(H) = T$ ,  $K/K \cap G_1 = p_2(K) = S^3$  and  $K/H = S^2$  ([2]), we have  $\# \pi_0(K \cap G_1) \leq \# \pi_0(H \cap G_1)$  and hence  $H \cap G_1 = K \cap G_1$ .

So the arguments similar to in subcase 1 show that the case  $L - N(T, SU(2)) \times T$  is impossible. Hence  $L = T \times T$ .

Also the same arguments as subcase 1 show that  $H$  is connected,  $H \cap G_1 = K \cap G_1 = \{e\}$  and the mapping cylinder  $M_L$  is  $(CP_2 - \text{Int } D^4) \times S^2$ . As to  $K$ , from the following commutative diagram;

$$\begin{array}{ccccc}
K/L=S^2 & \simeq & K \cap G_1/H \cap G_1 \times P_2(K)/P_2(H) & \simeq & \{*\} \times S^2 \\
\downarrow & & & & \downarrow \{*\} \times \text{id} \\
G/H=S^3 \times S^2 & \simeq & G_1/H \cap G_1 \times G_2/P_2(H) & \simeq & S^3 \times S^2 \\
\downarrow & & & & \downarrow \text{id} \times c \\
G/K=S^3 & \simeq & G_1/K \cap G_1 \times G_2/P_2(K) & \simeq & S^3 \times \{*\}
\end{array}$$

it follows that the projection of the fibration  $K/H \rightarrow G/H \rightarrow G/K$  is  $\text{id} \times c$  where  $c$  is a constant map, and hence the mapping cylinder  $M_K$  is  $S^3 \times D^3$ . Consequently  $M = ((CP_2 - \text{Int } D^4) \times S^2) \cup S^3 \times S^2 \cup S^3 \times D^3$ . This manifold is clearly obtained from  $CP_2 \times S^2$  by surgery based on the homotopy class of the embedding  $S^2 \rightarrow \{*\} \times S_2 \subset CP_2 \times S_2$ .

**Subcase 3.  $\dim K = \dim L = 3$ .**

Consider the spectral sequence of the fibration  $K/K^0 \rightarrow G/K^0 \rightarrow G/K$ . Then we  $H_2(G/K; \mathbb{Q})$  because of  $G/K_0 = S_3$ . Similarly  $H_2(G/L; \mathbb{Q}) = 0$ . Therefore the Mayer-Vietoris's exact sequence implies that  $H_2(M; \mathbb{Q}) = H_4(M; \mathbb{Q}) = 0$  and  $H_3(M; \mathbb{Q}) = 2\mathbb{Q}$ , and hence  $M$  is homeomorphic to  $S^3 \times S^3$ . Thus  $M$  is diffeomorphic to  $S^3 \times S^3$  [8].

**Subcase 4.  $\dim K = 4$  and  $\dim L = 2$ .**

We may assume that  $K = G_1 \times K_2$  where  $\dim K_2 = 1$  and  $L^0 = T \times T$ .

(a) The case  $\dim p_2(H) = 1$ .

Since  $M/G_1$  is a simply connected 3-dimensional manifold and  $G_2$  acts on  $M/G_1$  with uniform dimensional orbits, it follows from a result in [3] that the isotropy subgroups are connected, i.e.  $K_2 = T$ . Also we can show that  $H$  is connected because there is a fibration  $K/H \rightarrow G/H \rightarrow G/K$  where  $K/H = S^3$  and  $G/K = S^2$ . Similarly  $L$  is also connected, i.e.  $L = T \times T$ .

Next we show  $\dim H \cap G_2 = 0$ . Then the restricted  $G_2$ -action on  $M/G$  has two orbit types  $(Z_m)$ ,  $(T)$ , and the  $G_1$ -action on  $M/G_2$  has  $(T)$  and  $G$  as orbit types. From  $(M/G_2)/G_1 = MG = [0, 1]$  and lemma 3 it follows that there is a cross section for  $M/G_2 \rightarrow (M/G_2)/G_1$ . Since  $SU(2)$  acts naturally on  $D^3$  with the principal orbit type  $(T)$  and fixed points, the orbit space  $D^3/SU(2)$  is  $[0, 1]$ , the remark following lemma 4 shows that  $M/G_2$  is equivariantly homeomorphic to  $D^3$  where  $bD^3 = M_{(T)} * = M_{(T)}/G_2$ . Consequently we have  $M_{(T)} \approx S^2 \times S^2$  since there is a fibre bundle  $SU(2)/T = S^2 \rightarrow M_{(T)} \rightarrow M_{(T)} * = S^2$  with the structure group  $N(T, SU(2))/T = Z_2$ . On the other hand the Mayer-Vietoris's sequence shows that  $H^2(M; \mathbb{Q}) = H^4(M; \mathbb{Q}) = 2\mathbb{Q}$  and  $H^3(M; \mathbb{Q}) = 0$ . Moreover from the fibration  $G_2/Z_m \rightarrow M - M_{(T)} \rightarrow D^3 - bD^3$  it follows that  $H_*(M - M_{(T)}; \mathbb{Q}) = H_*(G_2/Z_m; \mathbb{Q})$ . Thus from consideration of the cohomology exact sequence of pair  $(M, M_{(T)})$  we can easily deduce a contradiction.

Therefore we have  $\dim H \cap G_2 = 1$  and hence  $H = \{e\} \times (H \cap G_2)$ , so that  $G/H = G_1 \times G_2 / H \cap G_2 = S^3 \times S^2$ . Since it is easily shown that  $M_K = D^4 \times S^2$  and  $M_L = (CP_2 - \text{Int } D^4) \times S^2$ , and hence  $M$  is diffeomorphic to  $CP_2 \times S^2$ .

(b) The case  $\dim p_2(H) = 0$ .

In this case  $H \cap G_1$  is one dimensional and  $H^0 = (H \cap G_1)^0 \times \{e\}$ . Since  $K = G_1 \times T$ ,  $K/H$  is not  $S^3$  which contradicts to the remark following lemma 6.

**Subcase 5.  $\dim K = 4$  and  $\dim L = 3$ .**

We may assume  $K = G_1 \times K_2$  where  $\dim K_2 = 1$ . It is easily shown that  $G/K$  is either  $RP_2$  or  $S^2$  and  $G/L$  is a rational homology 3-dimensional sphere. If  $G/K = RP_2$ , the Mayer-Vietoris's sequence implies that  $M$  is a 6-dimensional 2-connected manifold and  $\chi(M) = 1$  which contradicts to the fact that  $\chi(M)$  must be even because of  $M = bW^7$  for some  $W$ .

Thus  $G/K = S^2$ . From the fibration  $K/H = S^3 \rightarrow G/H \rightarrow G/K$  it follows immediately that  $H$  is connected and  $G/H$  is homeomorphic to  $S^2 \times S^3$ .

(a) The case  $\dim p_2(H) = 1$ .

In this case we have  $p_2(H) = T$  and hence  $H \cap G_1 = \{e\}$ . More it is easy to show that  $p_2(L) = G_2$  and  $L \cap G_1 = \{e\}$ . Thus the induced action of  $G_2$  on  $M/G_1$  has  $(T)$  and  $G_2$  as orbit types. By similar arguments to in subcase 4 we can regard  $M/G_1$  as  $D^3$ , the  $G_2$ -action on  $M/G_1 = D_3$  as the standard one and  $M^{G_1} = S^2$ . Moreover there is a cross section for  $M \rightarrow M/G_1$  because there is one for  $M \rightarrow M/G$  by lemma 3. Consequently  $M$  is diffeomorphic to  $S^6$  on which  $SU(2)$  acts in the standard way.

(b) The case  $\dim p_2(H) = 0$ .

This is impossible as (b) in subcase 4.

**Subcase 6.  $\dim K = \dim L = 4$ .**

(a) The case  $K = G_1 \times K_2$  and  $L = G_1 \times L_2$  where  $\dim K_2$  and  $\dim L_2 = 1$ .

As above the case  $\dim p_2(H) = 0$  is shown to be impossible. So we have  $\dim p_2(H) = 1$ . Moreover we may assume as subcase 5 that  $K_2 = L_2 = T$ ,  $H$  is connected and  $H \cap G_1 = \{e\}$ . Thus  $G_1$  acts on  $M$  with orbit types  $(\{e\})$  and  $G_1$ , and  $G_2$  acts on  $M/G_1$  with unique orbit type  $(T)$ . Hence it follows from lemma 5 that  $M/G_1 \rightarrow (M/G_1)/G_2 = [0, 1]$  is a  $S^2$ -bundle with the structure group  $Z_2$ , and consequently  $M/G_1 = S^2 \times [0, 1]$  and  $M^{G_1} = S^2 \times S^2$ . Note that there is a cross section for the orbit map  $M \rightarrow M/G_1$ .

We define a  $SU(2)$ -action on  $S^2 \times S^4$  by  $g(x, y) = (x, gy)$  for  $g \in SU(2)$  and  $(x, y) \in S^2 \times S^4$  where  $gy$  is induced from the  $SU(2)$ -action on  $R^5$  defined by  $\rho \oplus \theta$ . Then this action has the same orbit space and the same set of fixed points as our  $G_1$ -action on  $M$ . Consequently  $M$  is diffeomorphic to  $S^2 \times S^4$ .

(b) The case  $K = G_1 \times K_2$  and  $L = L_1 \times G_2$  where  $\dim K_2 = \dim L_1 = 1$ .

First assume  $\dim p_2(H) = 1$ . As above  $K_2 = T$ . It is shown that  $M/G_1$  is simply connected and the induced  $G_2$ -action on  $M/G_1$  has 0-dimensional set of fixed points. Thus, since  $G_2$  acts on the simply connected manifold  $M/G_1 - (M/G_2)^{G_2}$  with uniform dimensional orbit type follows from lemma 2 that  $p_2(H)$  is connected and hence  $H$  is connected, i.e.  $H = \{e\} \times T$ . Hence  $L/H$  is not  $S^3$ , which is a contradiction.

The case  $\dim p_2(2) = 0$  is also impossible, because in this case  $\dim p_1(H) = 1$ .

(c) The case  $K = K_1 \times G_2$  and  $L = L_1 \times G_2$  where  $\dim K_1 = \dim L_1 = i$ .

The same arguments as in (a) show that  $M$  is diffeomorphic to  $S^4 \times S^2$ .

The remainder of this section will be devoted to studying  $SU(2) \times SU(2) \times SU(2)$ -actions.

Suppose  $G = SU(2) \times SU(2)$  acts on  $M$  with  $H$  as a principal isotropy subgroup. We may assume  $\dim H \geq 4$ . Consider the restricted  $G_1 \times G_2$ -action on  $M$  (denote by  $G_i$  the  $i$ -th factor of  $G$ ). Since we have already determined completely all  $M$ 's when  $\dim H \cap (G_1 \times G_2) \geq 2$ , we may assume  $\dim H \cap (G_1 \times G_2) = 1$ . So we need only consider the case  $\dim H = 4$ , because of  $p_3(H) = H/H \cap (G_1 \times G_2)$ .

Since the  $G$ -action has a principal orbit of codimension one, lemma 5 shows that it has two non-principal orbit types ( $K$ ) and ( $L$ ), and hence the restricted  $G_1 \times G_2$ -action on  $M$  has  $(H \cap (G_1 \times G_2))$ ,  $(K \cap (G_1 \times G_2))$  and  $(L \cap (G_1 \times G_2))$  as orbit types. Moreover we need only consider the case  $\dim K \cap (G_1 \times G_2)$  is either 2 or 3 and so is  $\dim L \cap (G_1 \times G_2)$ . Thus we consider only the three cases as follows;

i)  $\dim K = \dim L = 5$ .    ii)  $\dim K = 6$  and  $\dim L = 5$ .    iii)  $\dim K = \dim L = 6$ .

But among the isotropy subgroups of our action there is no 5-dimensional subgroup, and hence the cases i) and ii) are impossible. Assume  $\dim K = 5$ . Because of  $K/H = S^1$ , we have  $\text{rank } K > \text{rank } H = 2$ . Put  $K^0 = K_1 \times K_2 \times K_3$  it follows from  $K^0 \cap (G_1 \times G_2) = T \times T$  that  $K_3 = SU(2)$ . From the fibration  $K_3/H^0 \cap K^3 \rightarrow K^0/H^0 = S^1 \rightarrow p(K^0)/p(H^0) = S^1$ , where  $p: G \rightarrow G_1 \times G_2$  is the projection, we have  $\dim K_3/H^0 \cap K_3 = 0$  and hence  $H^0 \cap K_3 = SU(2)$ . Thus  $H \cap G_3 = SU(2)$  which contradicts to almost effectivity.

Consider the case iii). Then  $\dim K \cap (G_1 \times G_2) = \dim L \cap (G_1 \times G_2) = 3$ . So  $M$  has already determined to be  $S^3 \times S^3$ .

## 6. The 6-dimensional manifolds on which $G' \times T^r$ acts almost effectively

Put  $G = G' \times T^r$ . Then we may assume  $6 \leq \dim G \leq 11$ .

The case  $G$  is either of the form  $SU(2) \times SU(2) \times SU(2) \times T^r (r \geq 1)$  or  $SU(2) \times SU(2) \times T^r (r \geq 2)$ , is impossible. In fact, consider the restricted  $SU(2) \times SU(2)$ -action on  $M$ ,  $\chi(M)$  is easily shown to be positive. Because of  $\text{rank } G \geq 4$ , lemma 6 shows that the restricted action of a maximal torus of  $G$  on  $M$  is not almost effective, this is a contradiction. Similarly the case  $G = SU(3) \times T^r (r \geq 2)$  is impossible.

### Case 1. $G = SU(2) \times SU(2) \times T$ .

We need only consider the subcases i) and ii) of the case C in the restricted  $SU(2) \times SU(2)$ -action on  $M$ . From the relations  $\dim H \cap (G_1 \times G_2) = 1$ ,  $\dim H \geq 2$  and  $H/H \cap (G_1 \times G_2) \cong p_3(H)$  (denote by  $H$  a principal isotropy subgroup of  $G$ -action on  $M$  has two non-principal orbit types ( $K$ ) and ( $L$ ) with  $\dim K \geq 3$  and  $\dim L \geq 3$ . Since  $\dim K \cap (G_1 \times G_2)$  is 2 or 3 in our situation we have  $\dim K < 5$ . Similarly  $\dim L > 5$ .

If  $\dim K = 3$ , from consideration of the fibration  $K/H^0 \cap K \rightarrow K^0/H^0 \rightarrow p(K^0)/p(H^0)$  where  $p: G_1 \rightarrow G_1 \times G_2$  is the projection, and  $K^0/H^0 = S^1$ , we have  $K_3 = H^0 \cap K_3$  which contradicts to almost effectivity. Similarly  $\dim L \neq 3$ .

In the case  $\dim K = \dim L = 4$ , we have  $K/H = S^2$  and  $\text{rank } L = 2$ , so that  $\dim K \cap (G_1 \times G_2) = \dim L \cap (G_1 \times G_2) = 3$ . Hence  $M$  is diffeomorphic to  $S^3 \times S^3$ .

**Case 2.  $G = \text{SU}(2) \times T^3$ .**

Suppose  $G$  acts on  $M$  with  $H$  as a principal isotropy subgroup. Then  $\dim H = 1$ . In fact, since the restricted  $T^3$ -action is almost effective, we have  $\dim H \cap T^3 = 0$  so that we have  $\dim H = \dim p_1(H) = 1$  or  $3$  from  $H/H \cap T^3 = p_1(H)$ . If  $\dim H = 3$ ,  $p_1(H) = \text{SU}(2)$ . This is impossible.

**Subcase 1. The case  $\dim H \cap \text{SU}(2) = 0$ .**

In this case it is known ([2] IV. 4. 7) that  $M^* = M/\text{SU}(2)$  is a simply connected 3-dimensional manifold with or without boundary. Moreover the ineffective kernel  $N$  of the induced action of  $T^3$  on  $M^*$  is of dimension  $\leq 1$ , since  $N$  is a subgroup of  $N(H \cap \text{SU}(2); \text{SU}(2))/H \cap \text{SU}(2)$ . If  $\dim N = 0$ , that is,  $T^3$  acts on  $M^*$  almost effectively, then  $T^3$  is a principal orbit and hence  $M^*$  must be  $T^3$  which is impossible.

Thus  $T^2 = T^3/N$  acts effectively on  $M^*$  and it follows lemma 5 that  $M^*/T^2 = [0, 1]$ .

If  $bM^* \neq \phi$ , then we have  $bM^* = S^1 \times S^1$  from  $M^*/T^2 = [0, 1]$ , and hence  $M$  is homeomorphic to  $S^1 \times D^2$  which contradicts to simply connectedness of  $M^*$ .

If  $bM^* = \phi$ ,  $M^*$  must be homeomorphic to  $S^3$  and the restricted  $\text{SU}(2)$ -action on  $M$  has neither singular nor exceptional orbit. So from applying the Vietoris-Begle's theorem to  $M \rightarrow M^*$ , it follows that  $H^2(M; \mathbb{Q}) = 0$ . Therefore, since  $\chi(M) = 0$ , it follows from a result in [8] that  $M$  is diffeomorphic to  $S^3 \times S^3$ .

**Subcase 2. The case  $\dim H \cap \text{SU}(2) = 1$ .**

In this case  $H \cap \text{SU}(2)$  is either  $T$  or  $N(T; \text{SU}(2))$ . But it follows from corollary of lemma 1 that the latter case is impossible.

So  $H \cap \text{SU}(2) = T$ . Hence it follows from lemma 2 that  $M$  is homeomorphic to  $S^2 \times M^*$  where  $M^*$  is a simply connected 4-dimensional manifold with or without boundary. Then the induced action of  $T^3$  on  $M^*$  is also almost effective, and the orbit space  $M^*/T^3$  is  $[0, 1]$ . From this, we can show that, if  $bM^* = \phi$ ,  $M^*$  is homeomorphic to either  $S^1 \times S^1 \times S^2$  or  $S^1 \times S^3$ , and if  $bM^* \neq \phi$ , then  $bM^*$  is homeomorphic to  $S^1 \times S^1 \times S^1$  and hence  $M^*$  is homeomorphic to  $S^1 \times S^1 \times D^2$ . But they are not simply connected. So this case is also impossible.

REMARK. From this, it follows that all the cases  $G = \text{SU}(2) \times \text{Tr}(r \geq 4)$  are impossible.



## 7. Classification

Summing up the results in the preceding sections, we have the following table.

In this table  $N(M)$  denote the degree of symmetry of  $M$ ,  $G$  compact connected. Lie group which can act almost effectively on  $M$  and  $\varphi$  the action of  $G$  on  $M$ .

$N(M)$ .	$M$	$G$	$\varphi$
21	$S^6$	$SO(7)$	transitive
15	$F_3$	$SO(6)$	//
	$CP_3$	$SU(4)$	//
13	$S^4 \times S^2$	$SO(5) \times SU(2)$	//
12	$S^3 \times S^3$	$SO(4) \times SO(4)$	//
11	$CP_2 \times S^2$	$SU(3) \times SO(3)$	//
10	$Q_3$	$SO(5)$	//
9	$S^2 \times S^2 \times S^2$	$SO(3)^3$	//
	$CP_3 \# CP_3$	$SU(3) \times T$	} union of $N(SU(3); SU(4))$ -action on $CP_3 - \text{Int } D^6$
	$CP_3 \# (-CP_3)$		
8	$SU(3)/T \times T$	$SU(3)$	transitive
6	$k(S^3 \times S^3) (k \geq 2)$	$SU(2) \times SU(2)$	union of $SU(2) \times SU(2)$ -action on $S^3 \times S^3 - \text{Int } D^6$
	$(CP_2 \# CP_2) \times S^2$	//	} union of $SU(2) \times SU(2)$ -action on $(CP_2 - \text{Int } D^4) \times S^2$
	$S^3 \times D^3 S(CP_2 - \text{Int } D^4) \times S^2$	//	

Note that no manifold other than the above has the degree of symmetry  $\leq 5$ .

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