

## Simple $C^*$ -Algebras Generated by Isometries

Joachim Cuntz

Fachbereich Mathematik, TU Berlin, D-1000 Berlin 12

**Abstract.** We consider the  $C^*$ -algebra  $\mathcal{O}_n$  generated by  $n \geq 2$  isometries  $S_1, \dots, S_n$  on an infinite-dimensional Hilbert space, with the property that  $S_1 S_1^* + \dots + S_n S_n^* = \mathbf{1}$ . It turns out that  $\mathcal{O}_n$  has the structure of a crossed product of a finite simple  $C^*$ -algebra  $\mathcal{F}$  by a single endomorphism scaling the trace of  $\mathcal{F}$  by  $1/n$ . Thus,  $\mathcal{O}_n$  is a separable  $C^*$ -algebra sharing many of the properties of a factor of type  $III_\lambda$  with  $\lambda = 1/n$ . As a consequence we show that  $\mathcal{O}_n$  is simple and that its isomorphism type does not depend on the choice of  $S_1, \dots, S_n$ .

A  $C^*$ -algebra is simple if it contains no non-trivial closed two-sided ideals. We call a simple  $C^*$ -algebra with unit infinite if it contains an element  $X$  such that  $X^*X = \mathbf{1}$  and  $XX^* \neq \mathbf{1}$ . While non-separable algebras of this type are well known (e.g. the Calkin algebra or type III factors on a separable Hilbert space) there is to my knowledge no explicit example of a separable simple infinite  $C^*$ -algebra. The existence of such algebras was proved by Dixmier in [9, 2.1] by the following argument. Let  $S_1, S_2$  be two isometries ( $S_i^* S_i = \mathbf{1}$ ,  $i = 1, 2$ ) on an infinite-dimensional Hilbert space  $\mathcal{H}$  such that  $S_1 S_1^* + S_2 S_2^* = \mathbf{1}$ . Since the  $C^*$ -algebra  $C^*(S_1, S_2)$  generated by  $S_1$  and  $S_2$  has a unit, it contains a maximal proper two-sided ideal  $\mathcal{I}$ . The quotient  $C^*(S_1, S_2)/\mathcal{I}$  is separable, simple and infinite. One of the results of the present paper is that  $C^*(S_1, S_2)$  itself is already simple (thus answering the question of Dixmier to this effect). More generally, we study the  $C^*$ -algebra generated by  $n \geq 2$  isometries  $S_1, \dots, S_n$  satisfying  $\sum_{i=1}^n S_i S_i^* = \mathbf{1}$  (this condition implies in particular that the range projections  $S_i S_i^*$  are pairwise orthogonal). We include the case  $n = \infty$ . We note incidentally that J. Roberts, motivated by investigations on superselection sectors, has studied closed linear spaces generated by isometries with this property [15]. These spaces are in fact Hilbert spaces and  $C^*(S_1, \dots, S_n)$  is from this point of view the  $C^*$ -algebra generated by a Hilbert space.

We construct a faithful conditional expectation of  $C^*(S_1, \dots, S_n)$  onto a  $C^*$ -subalgebra  $\mathcal{F}$  and show that  $C^*(S_1, \dots, S_n)$  is the crossed product of  $\mathcal{F}$  by a single endomorphism  $\Phi$  (in a sense to be made precise in Section 2). If  $n$  is finite, then  $\mathcal{F}$  is a

UHF-algebra in the sense of Glimm [12] of type  $n^\infty$  and  $\Phi$  scales the trace of  $\mathcal{F}$  by  $1/n$ . Thus we have here the  $C^*$ -analogue of a factor of type  $\text{III}_\lambda$  with  $\lambda = 1/n$  (cf. [6]). We use this description of  $C^*(S_1, \dots, S_n)$  to show that the isomorphism class of  $C^*(S_1, \dots, S_n)$  does not depend on the choice of  $S_1, \dots, S_n$ —that is, if  $\hat{S}_1, \dots, \hat{S}_n$  is a second family of isometries satisfying  $\sum_{i=1}^n \hat{S}_i \hat{S}_i^* = \mathbf{1}$  then  $C^*(\hat{S}_1, \dots, \hat{S}_n)$  is canonically isomorphic to  $C^*(S_1, \dots, S_n)$ . We denote in the following (the isomorphism class of)  $C^*(S_1, \dots, S_n)$  by  $\mathcal{O}_n$ .

It is then easy to see that  $\mathcal{O}_n$  is simple. What is more,  $\mathcal{O}_n$  is simple in a very strong sense—for every  $0 \neq X \in \mathcal{O}_n$  there are  $A, B \in \mathcal{O}_n$  such that  $AXB = \mathbf{1}$ . Among infinite simple  $C^*$ -algebras the algebras  $\mathcal{O}_n$  play a universal role comparable to that which UHF-algebras play among antiliminary  $C^*$ -algebras. Any simple infinite  $C^*$ -algebra  $\mathcal{A}$  with unit  $\mathbf{1}$  contains, given  $n = 2, 3, \dots, \infty$ , a  $C^*$ -subalgebra  $\mathcal{A}_n$  with  $\mathbf{1} \in \mathcal{A}_n$  such that a quotient of  $\mathcal{A}_n$  is isomorphic to  $\mathcal{O}_n$ . For  $n = \infty$  the subalgebra  $\mathcal{A}_\infty$  can even be chosen in such a way that  $\mathcal{A}_\infty$  itself is isomorphic to  $\mathcal{O}_\infty$ .

Since the algebras  $\mathcal{O}_n$  represent quite a new type of  $C^*$ -algebras they give rise to a number of counterexamples. From the representation as a crossed product it becomes clear by the recent results in [7], [4] that  $\mathcal{O}_n$  is nuclear. On the other hand we show that  $\mathcal{O}_n$  can not be an inductive limit of  $C^*$ -algebras of type I. This answers to the negative a question which arose naturally in the recent development of the theory of nuclear  $C^*$ -algebras (cf. [3]). J. Rosenberg after reading this article showed that  $\mathcal{O}_n$  is even amenable [16]. Since  $\mathcal{O}_n$  is clearly not strongly amenable this solves a problem of Johnson [13, 10.2].

$C^*$ -algebras generated by isometries have been studied before by various authors. Curiously enough, it usually turns out that the isomorphism class of these  $C^*$ -algebras does not depend on the choice of the isometries—but only on their algebraic relations. The difference between the present paper and investigations such as [2, 5, 11] lies in the fact that the isometries considered here are in every respect non-commutative.

We remark further that O. Bratteli has recently shown that the crossed product of the CAR-algebra by a gauge automorphism is simple [1]. However, these automorphisms do not scale the trace, so the algebras obtained are finite.

**1. The Algebras  $\mathcal{O}_n$**

In the following we fix  $n = 2, 3, \dots, \infty$  and a (finite or infinite) sequence  $\{S_i\}_{i=1}^n$  of isometries (i.e.  $S_i^* S_i = \mathbf{1}$ ) on a Hilbert space  $\mathcal{H}$ . If  $n$  is finite we assume that  $\sum_{i=1}^n S_i S_i^* = \mathbf{1}$ . If  $n$  is infinite we assume that  $\sum_{i=1}^r S_i S_i^* \leq \mathbf{1}$  for every  $r \in \mathbb{N}$ . We are going to determine the structure of the  $C^*$ -algebra  $C^*(S_1, \dots, S_n)$  (we use this notation also if  $n$  is infinite) generated by  $\{S_i\}_{i=1}^n$ .

**1.1.** Given  $k \in \mathbb{N}$ , let  $W_k^n$  be the set of all  $k$ -tuples  $(j_1, \dots, j_k)$ , with  $j_i \in \{1, \dots, n\}$  ( $i = 1, \dots, k$ ) if  $n$  is finite, or  $j_i \in \mathbb{N}$  if  $n$  is infinite. Further let  $W_0^n = \{0\}$  and  $W_\infty^n = \bigcup_{k=0}^\infty W_k^n$ . We write  $S_0 = 1$  and, given  $\alpha = (j_1, \dots, j_k) \in W_k^n$ , we denote by  $S_\alpha$  the isometry  $S_\alpha = S_{j_1} S_{j_2} \dots S_{j_k}$ . Let  $\ell(\alpha) = k$  be the length of  $\alpha$  and  $\ell(0) = 0$ .

**1.2.** With this notation we have the following lemma.

**Lemma.** a) Let  $\mu, \nu \in W_\infty^n$  and  $\ell(\mu) = \ell(\nu)$ . Then  $S_\mu^* S_\nu = \delta_{\mu\nu} \mathbf{1}$ .

b) Let  $\mu, \nu \in W_\infty^n$  and let  $P, Q$  be the range projections of  $S_\mu, S_\nu$  respectively. Suppose  $S_\mu^* S_\nu \neq 0$ .

If  $\ell(\mu) = \ell(\nu)$  then  $S_\mu = S_\nu$  and  $P = Q$ .

If  $\ell(\mu) < \ell(\nu)$  then  $S_\nu = S_\mu S_{\mu'}$  with  $\mu' \in W_{\ell(\nu) - \ell(\mu)}^n$  and  $P > Q$ .

If  $\ell(\mu) > \ell(\nu)$  then  $S_\mu = S_\nu S_{\nu'}$  with  $\nu' \in W_{\ell(\mu) - \ell(\nu)}^n$  and  $P < Q$ .

*Proof.* a) follows easily from the relation  $S_i^* S_j = \delta_{ij} \mathbf{1}$ .

b) The first assertion follows immediately from a). To prove the second assertion write  $S_\nu = S_\alpha S_{\mu'}$  where  $\ell(\alpha) = \ell(\mu)$  and  $\ell(\mu') = \ell(\nu) - \ell(\mu)$ . By a) we have  $S_\mu^* S_\alpha S_{\mu'} = \delta_{\mu\alpha} S_{\mu'}$ , whence  $\alpha = \mu$ . Finally  $Q = S_\nu S_\nu^* = S_\alpha (S_\mu S_{\mu'}^*) S_\alpha^* < S_\alpha S_\alpha^* = P$ .

**1.3. Lemma.** Let  $M \neq 0$  be a word in  $\{S_i\} \cup \{S_i^*\}$ . Then there are two unique elements  $\mu, \nu \in W_\infty^n$  such that  $M = S_\mu S_\nu^*$ .

*Proof.* Let  $M = X_1 \dots X_r$  where  $X_j \in \{S_i\} \cup \{S_i^*\}$  ( $j = 1, \dots, r$ ). In this expression we may cancel out every term of the form  $X_i X_{i+1}$  with  $X_i X_{i+1} = \mathbf{1}$ . After finitely many such eliminations we get an expression for  $M$  in lowest terms  $M = Y_1 \dots Y_s$  where  $Y_i Y_{i+1} \neq \mathbf{1}$  ( $i = 1, \dots, s-1$ ). Since  $S_i^* S_j = \delta_{ij} \mathbf{1}$  and  $M \neq 0$ , the  $Y_i$  must satisfy the following

$$Y_j \in \{S_i\} \Rightarrow Y_{j-1} \in \{S_i\} \quad (j = 2, \dots, s).$$

Thus, if  $j_0$  is the largest number between 0 and  $s$  such that  $Y_{j_0} \in \{S_i\}$ , we have  $Y_j \in \{S_i\}$  for  $1 \leq j \leq j_0$  and  $Y_j \in \{S_i^*\}$  for  $j_0 + 1 \leq j \leq s$ . This shows that there are  $\mu, \nu \in W_\infty^n$  such that  $M = S_\mu S_\nu^*$ . Assume that  $\alpha, \beta \in W_\infty^n$  are such that  $M = S_\alpha S_\beta^*$ . Then obviously  $S_\mu^* S_\alpha \neq 0$  (since  $M^* M \neq 0$ ) and  $S_\mu S_\mu^* = M M^* = S_\alpha S_\alpha^*$ . Thus the range projections of  $S_\mu$  and  $S_\alpha$  coincide and according to Lemma 1.2b) we get  $S_\mu = S_\alpha$ . The same argument applied to  $M^*$  shows  $S_\nu = S_\beta$ .

**1.4.** Let  $\mathcal{F}_0^n = \mathbf{C}\mathbf{1}$  and let  $\mathcal{F}_k^n$  be the C\*-algebra generated by the set  $\{S_\mu S_\nu^* \mid \mu, \nu \in W_k^n\}$ . We denote by  $\mathcal{M}_r$  the star algebra of  $r \times r$  complex matrices and by  $\mathcal{K}$  the algebra of compact operators on an infinite dimensional separable Hilbert space.

**Proposition.** If  $n$  is finite then  $\mathcal{F}_k^n$  is star isomorphic to  $\mathcal{M}_{n^k}$  and  $\mathcal{F}_k^n \subset \mathcal{F}_{k+1}^n$  ( $k = 0, 1, 2, \dots$ ). If  $n$  is infinite then  $\mathcal{F}_k^n$  is star isomorphic to  $\mathcal{K}$  for all  $k > 0$ .

*Proof.* According to 1.2a), for  $\mu, \mu', \nu, \nu' \in W_k^n$ , we have

$$(S_\mu S_\nu^*)(S_{\mu'} S_{\nu'}^*) = \delta_{\nu\mu'} S_\mu S_{\nu'}^*.$$

Since also  $(S_\mu S_\nu^*)^* = S_\nu S_\mu^*$  this shows that  $\{S_\mu S_\nu^* \mid \mu, \nu \in W_k^n\}$  is a self-adjoint system of matrix units generating  $\mathcal{F}_k^n$ . If  $n$  is finite, then

$$S_\mu S_\nu^* = \sum_{i=1}^n S_\mu S_i S_i^* S_\nu^*$$

is in  $\mathcal{F}_{k+1}^n$  since each summand on the right hand side is in  $\mathcal{F}_{k+1}^n$ .

**1.5.** Let  $\mathcal{F}^n$  be the C\*-algebra generated by the union of all  $\mathcal{F}_k^n$  ( $k = 0, 1, 2, \dots$ ). Proposition 1.4 shows that  $\mathcal{F}^n$  is a UHF-algebra of type  $n^\infty$ , if  $n$  is finite. If  $n$  is infinite  $\mathcal{F}^\infty$  is not a UHF-algebra but an AF-algebra.

**1.6.** We are now going to describe the algebra  $\mathcal{P}$  generated algebraically by  $\{S_i\}_{i=1}^n$  and  $\{S_i^*\}_{i=1}^n$ . We take and fix one of the  $S_i$ , say  $S_1$ . To emphasize the special role of

$S_1$ , we will write  $V$  for  $S_1$  and  $V^{-1}$  for  $S_1^*$ . Let  $M = S_\mu S_\nu^*$  be a word in  $\{S_i\}$  and  $\{S_i^*\}$ . Let  $r = \ell(\mu)$ ,  $s = \ell(\nu)$  and  $k = r - s$ .

If  $k > 0$  set  $\hat{M} = S_\mu S_\nu^* S_1^{*k}$ . Then  $\hat{M} \in \mathcal{F}_r^n$  and  $M = \hat{M} V^k$ .

If  $k < 0$  set  $\hat{M} = S_1^{-k} S_\mu S_\nu^*$ . Then  $\hat{M} \in \mathcal{F}_s^n$  and  $M = V^k \hat{M}$ .

If  $k = 0$  then  $M \in \mathcal{F}_r^n = \mathcal{F}_s^n$ .

Since any  $A \in \mathcal{P}$  is a linear combination of words,  $A$  can be written in the form

$$A = \sum_{i=-N}^{-1} V^i A_i + A_0 + \sum_{i=1}^N A_i V^i$$

where the  $A_i$  are in  $\mathcal{F}^n$ . We write  $A_i = F_i(A)$ .

**1.7. Proposition.** *The elements  $A_i = F_i(A)$  are uniquely determined by the construction described above (they do not depend on the special representation of  $A$  as a linear combination of words). We have  $\|F_i(A)\| \leq \|A\|$ .*

For the proof of this proposition we first need a lemma. Let  $n$  be finite and let  $\{\varepsilon_i\}_{i \in \mathbb{N}}$  with  $\varepsilon_i \in \{1, \dots, n\}$  be a sequence which is aperiodic in the sense that there is no  $i_0 > 0$  such that  $\{\varepsilon_i\}_{i \geq i_0}$  becomes periodic. Given  $r \in \mathbb{N}$ , write  $U_r = S_{\varepsilon_1} \dots S_{\varepsilon_r}$  and  $P_r = U_r U_r^*$ .

**1.8. Lemma.** *Let  $M_1, \dots, M_m$  be words in  $S_1, \dots, S_n$  and  $S_1^*, \dots, S_n^*$  and let  $k$  be a natural number. Suppose that each  $M_i$  has the form  $M_i = S_\mu S_\nu^*$  where  $\ell(\mu) \neq \ell(\nu)$ . Then there is  $r \in \mathbb{N}$  such that*

$$P_r S_\alpha^* M_i S_\beta P_r = 0$$

for  $i = 1, \dots, m$  and for all  $\alpha, \beta \in W_k^n$ .

*Proof.* If  $M_i = S_\mu S_\nu^*$  where  $\ell(\mu) \neq \ell(\nu)$ , then  $S_\alpha^* M_i S_\beta = 0$  or we have after cancellation  $S_\alpha^* M_i S_\beta = S_\gamma S_\delta^*$  in lowest terms where  $\ell(\gamma) - \ell(\delta) = \ell(\mu) - \ell(\nu)$  (cf. 1.3). This shows that  $S_\alpha^* M_i S_\beta$  also satisfies the hypothesis on  $M_i$  of the Lemma for any  $\alpha, \beta \in W_k^n$ . Thus it suffices to show that for any finite collection  $M_1, \dots, M_{m'}$  of words of the form  $M_i = S_{\mu_i} S_{\nu_i}^*$  with  $\ell(\mu_i) \neq \ell(\nu_i)$ , there is  $r \in \mathbb{N}$  such that  $P_r M_i P_r = 0$  ( $i = 1, \dots, m'$ ). It suffices to prove this for the case  $m' = 1$ .

Let  $\ell(\mu_1) = p$  and  $\ell(\nu_1) = q$ . Then, for  $r > p, q$ , the expression  $L_r = U^{*r} M_1 U^r$  can be non-zero only if  $S_{\mu_1} = U_p$  and  $S_{\nu_1} = U_q$  (1.2b)). Thus  $L_r = S_{\varepsilon_r}^* \dots S_{\varepsilon_{p+1}}^* S_{\varepsilon_{q+1}} \dots S_{\varepsilon_r}$ . But then  $L_r$  must be zero for sufficiently large  $r$  since by assumption  $p \neq q$  and since  $\{\varepsilon_i\}$  is aperiodic.

*Proof of Proposition 1.7.* Since for  $i \geq 0$ , by construction  $F_{i+1}(A) = F_i(AV^*)$  and for  $i \leq 0$ ,  $F_{i-1}(A) = F_i(VA)$ , it suffices to prove the assertions for  $F_0(A)$ .

We consider first the case that  $n$  is finite. Choose an aperiodic sequence  $\{\varepsilon_i\}$  as in the preceding lemma. Let  $k$  be so large that  $F_0(A)$  is in  $\mathcal{F}_k^n$ . Using Lemma 1.8 we find  $r \in \mathbb{N}$ ,  $r > k$  such that  $P_r S_\alpha^* V^j A_j S_\beta P_r = 0$  for  $j = -N, \dots, -1$  and  $P_r S_\alpha^* A_j V^j S_\beta P_r = 0$  for  $j = 1, \dots, N$  and for all  $\alpha, \beta \in W_k^n$ . We set

$$Q = \sum_{\alpha \in W_k^n} S_\alpha P_r S_\alpha^*.$$

Then  $QV^j A_j Q = 0$  for  $j = -N, \dots, -1$  and  $Q A_j V^j Q = 0$  for  $j = 1, \dots, N$ . On the other hand  $Q$  commutes with every  $X \in \mathcal{F}_k^n$  and  $X \mapsto QXQ$  is an isomorphism of  $\mathcal{F}_k^n$  onto

$Q\mathcal{F}_k^n Q$ . In fact,  $QS_\alpha S_\beta^* = S_\alpha S_\beta^* Q = S_\alpha P_r S_\beta^*$  and the set  $\{S_\alpha P_r S_\beta^* | \alpha, \beta \in W_k^n\}$  is a self-adjoint system of matrix units generating  $Q\mathcal{F}_k^n Q$ . Thus

$$\|F_0(A)\| = \|QF_0(A)Q\| = \|QAQ\| \leq \|A\|.$$

Consider now the case  $n = \infty$ . There is a finite subset  $\mathbb{I}$  of  $\mathbb{N}$  such that  $A$  is a linear combination of words in  $S_i, S_i^*$  ( $i \in \mathbb{I}$ ). We assume that  $C^*(S_1, S_2, \dots)$  is represented on Hilbert space and choose an isometry  $\hat{S}$  such that  $\hat{S}^* \hat{S} = \mathbf{1}$  and

$$\hat{S} \hat{S}^* = P = \mathbf{1} - \sum_{i \in \mathbb{I}} S_i S_i^*.$$

We may assume that  $1 \in \mathbb{I}$  and define  $\hat{F}_i(X)$  for  $X$  in the star algebra  $\hat{\mathcal{P}}$  generated algebraically by  $S_i, i \in \mathbb{I}$  and  $\hat{S}$ , as above with respect to  $V = S_1$ . Then  $\hat{F}_0(A) = F_0(A)$  since  $A$  is an expression in  $S_i, S_i^*$  only. We know already from above that there is a projection  $Q$  in  $\hat{\mathcal{P}}$  such that  $Q\hat{F}_0(A)Q = QF_0(A)Q$  and  $\|Q\hat{F}_0(A)Q\| = \|F_0(A)\|$ . Hence

$$\|F_0(A)\| = \|\hat{F}_0(A)\| = \|Q\hat{F}_0(A)Q\| = \|QAQ\| \leq \|A\|.$$

Since in the finite and in the infinite case the mapping  $F_0(A) \mapsto QF_0(A)Q$  is an isomorphism, we finally see that  $F_0(A)$  is uniquely determined by  $QF_0(A)Q$ , hence by  $A$ .

**1.9.** Suppose that  $\{\hat{S}_i\}_{i=1}^n$  is a second family of isometries satisfying  $\sum_{i=1}^n \hat{S}_i \hat{S}_i^* = \mathbf{1}$  and let  $\hat{\mathcal{P}}$  be the star algebra generated algebraically by this family. It follows from 1.4 that  $\mathcal{F}^n \cap \mathcal{P}$  and  $\hat{\mathcal{F}}^n \cap \hat{\mathcal{P}}$  are algebraically isomorphic. Since these algebras are inductive limits of finite-dimensional  $C^*$ -algebras, they carry a unique  $C^*$ -norm. We may therefore identify  $\mathcal{F}^n$  and  $\hat{\mathcal{F}}^n$ . With this identification, if  $A \in \mathcal{P}$  and  $\hat{A}$  is the corresponding linear combination of words in  $\hat{\mathcal{P}}$ , then  $F_i(A) = F_i(\hat{A})$  for all  $i \in \mathbb{Z}$ . In particular,  $A = 0$  if and only if  $\hat{A} = 0$ . This shows that  $\mathcal{P}$  and  $\hat{\mathcal{P}}$  are algebraically star isomorphic. We equip  $\mathcal{P}$  with the largest  $C^*$ -norm

$$\|X\|_0 = \sup\{\|\varrho(X)\| \mid \varrho \text{ is a star representation of } \mathcal{P} \text{ on a separable Hilbert space}\}.$$

Let  $\mathcal{L}$  be the  $\|\cdot\|_0$ -completion of  $\mathcal{P}$ . Since  $\|\cdot\|_0$  is a  $C^*$ -norm which majorizes the initial norm on  $\mathcal{P}$ , the  $C^*$ -algebra  $C^*(S_1, \dots, S_n)$  is a quotient of  $\mathcal{L}$ . We shall show that  $\mathcal{L} \cong C^*(S_1, \dots, S_n)$ . This will imply

$$C^*(S_1, \dots, S_n) \cong \mathcal{L} \cong \hat{\mathcal{L}} \cong C^*(\hat{S}_1, \dots, \hat{S}_n)$$

**1.10.** The mappings  $F_i: \mathcal{P} \rightarrow \mathcal{F}^n (i \in \mathbb{Z})$  extend according to Proposition 1.7 to normdecreasing linear mappings  $F_i: C^*(S_1, \dots, S_n) \rightarrow \mathcal{F}^n$  and  $F_i: \mathcal{L} \rightarrow \mathcal{F}^n$  (the use of the same notation for both mappings will not cause confusion).  $F_0$  is a conditional expectation [17, p. 101].

**Proposition.** Let  $X \in \mathcal{L}$ . If  $F_i(X) = 0$  for all  $i \in \mathbb{Z}$ , then  $X = 0$ .

*Proof.* We use an argument which appears in [14, 1.2.5]. Let  $\mathcal{L}$  be faithfully represented on  $\mathcal{H}$ . By definition of the norm on  $\mathcal{L}$  the mapping  $\varrho_\lambda: S_i \mapsto \lambda S_i (i = 1, \dots, n)$  extends, for every  $\lambda \in \mathbb{C}$  with modulus 1 to a continuous star representation  $\varrho_\lambda$  of  $\mathcal{L}$  on  $\mathcal{H}$ . Note that  $\varrho_\lambda(X) = X$  for every  $X \in \mathcal{F}^n$ .

Given  $\xi, \eta \in \mathcal{H}$  with  $\|\xi\| = \|\eta\| = 1$ , let  $f$  be the function on the unit circle  $\mathbb{T}$  in  $\mathbb{C}$  which is defined by

$$f(\lambda) = (\varrho_\lambda(X) \xi | \eta) \quad (\lambda \in \mathbb{T}).$$

Let  $\{A_k\}$  be a sequence in  $\mathcal{P}$  which converges in  $\mathcal{L}$  to  $X$ . Consider the functions

$$h_k(\lambda) = (\varrho_\lambda(A_k) \xi | \eta) \quad (\lambda \in \mathbb{T}).$$

Since  $\|\varrho_\lambda(X) - \varrho_\lambda(A_k)\|_0 \leq \|X - A_k\|_0$ , the functions  $h_k$  tend to  $f$  uniformly on  $\mathbb{T}$ . We have

$$h_k(\lambda) = \sum_{i=-N}^{-1} (\lambda^i V^i F_i(A_k) \xi | \eta) + (F_0(A_k) \xi | \eta) + \sum_{i=1}^N (F_i(A_k) \lambda^i V^i \xi | \eta) = \sum_{i=-N}^N a_{ik} \lambda^i.$$

The  $i$ -th Fourier-coefficient  $a_{ik}$  of  $h_k$  converges to the  $i$ -th Fourier-coefficient  $f_i$  of  $f$  as  $k \rightarrow \infty$ .

But  $\lim_{k \rightarrow \infty} |a_{ik}| \leq \lim_{k \rightarrow \infty} \|F_i(A_k)\|_0 = 0$  by assumption for all  $i \in \mathbb{Z}$  so that  $f = 0$  and  $X = 0$ , since  $\xi, \eta$  were arbitrary.

*Remark 1.* The idea of the proof of 1.10 really consists in interpreting  $F_i(X)$  as  $i$ -th Fourier coefficient of the function  $\lambda \mapsto \varrho_\lambda(X)$  ( $\lambda \in \mathbb{T}$ ). In fact, the equation  $F_i(X) = \int_{\mathbb{T}} \varrho_\lambda(X) \lambda^{-i} d\lambda$  holds for every  $X \in \mathcal{L}$ .

*Remark 2.* Let  $A_k \in \mathcal{P}$  converge to  $X \in \mathcal{L}$ . Since

$$F_0(X * X) = \lim_{k \rightarrow \infty} \left[ \sum_{i < 0} F_i(A_k) * F_i(A_k) + F_0(A_k) * F_0(A_k) + \sum_{i > 0} V^{-i} F_i(A_k) * F_i(A_k) V^i \right]$$

we see from the proposition that  $F_0$  is faithful in  $\mathcal{L}$ .

This fact and Proposition 1.10 itself could have been derived in a slightly different approach from the general theory of crossed products [18]. We preferred the proof given above because it is very elementary and fits exactly into the framework of this paper.

**1.11. Proposition.**  $\mathcal{L}$  is canonically isomorphic to  $C^*(S_1, \dots, S_n)$ .

*Proof.* The identity mapping  $\pi : \mathcal{P} \rightarrow \mathcal{P}$  extends to a continuous star homomorphism  $\pi$  of  $\mathcal{L}$  onto  $C^*(S_1, \dots, S_n)$ . We show that  $\pi$  is injective. We obviously have  $F_i \circ \pi = \pi \circ F_i$  [after identification of  $\mathcal{F}^n$  and  $\pi^{-1}(\mathcal{F}^n)$ ]. If  $\pi(X) = 0$  then  $F_i(\pi(X)) = 0$  whence  $\pi(F_i(X)) = F_i(X) = 0$  for all  $i \in \mathbb{Z}$ .

**1.12. Theorem.** If  $\{\hat{S}_i\}_{i=1}^n$  is a second family of isometries satisfying  $\sum_{i=1}^n \hat{S}_i \hat{S}_i^* = \mathbf{1}$  (or  $\sum_{i=1}^r \hat{S}_i \hat{S}_i^* \leq \mathbf{1}$  for every  $r \in \mathbb{N}$ , if  $n = \infty$ ), then  $C^*(\hat{S}_1, \dots, \hat{S}_n)$  is canonically isomorphic to  $C^*(S_1, \dots, S_n)$  (i.e. the map  $\hat{S}_i \rightarrow S_i$  extends to an isomorphism from  $C^*(\hat{S}_1, \dots, \hat{S}_n)$  onto  $C^*(S_1, \dots, S_n)$ ).

*Proof.* This follows from 1.9 and 1.11. Note that in 1.9 all isomorphisms are canonical.

In view of this it makes sense to write  $\mathcal{O}_n$  for  $C^*(S_1, \dots, S_n)$  since the isomorphism class of  $\mathcal{O}_n$  does not depend on the choice of  $\{S_i\}_{i=1}^n$ . We remark that Theorem 1.12 also shows that  $\mathcal{O}_n$  is simple. In fact, let  $\mathcal{I}$  be a maximal ideal in  $\mathcal{O}_n = C^*(S_1, \dots, S_n)$  and  $\pi: \mathcal{O}_n \rightarrow \mathcal{O}_n/\mathcal{I}$  the canonical projection mapping. Then, by Theorem 1.12, the simple  $C^*$ -algebra  $\mathcal{O}_n/\mathcal{I} = C^*(\pi(S_1), \dots, \pi(S_n))$  is isomorphic to  $\mathcal{O}_n$ . But we are now going to show that  $\mathcal{O}_n$  has a property which is much stronger than simplicity (in [8] we raised the question if every infinite simple  $C^*$ -algebra with unit has this property).

**1.13. Theorem.** *Let  $n$  be finite and let  $X$  be a non-zero element of  $\mathcal{O}_n$ . Then there are  $A, B \in \mathcal{O}_n$  such that  $AXB = \mathbf{1}$ .*

*Proof.* By 1.10 we have  $F_0(X^*X) \neq 0$ . Without loss of generality assume that  $\|F_0(X^*X)\| = 1$ . Let  $Y \in \mathcal{P}$  be a positive element such that  $\|X^*X - Y\| < \varepsilon \leq 1/4$ . Then  $\|F_0(Y)\| \geq 1 - \varepsilon$  (1.7). In the proof of Proposition 1.7 we constructed a projection  $Q \in \mathcal{F}^n \cap \mathcal{P}$  such that  $\|QF_0(Y)Q\| = \|F_0(Y)\|$  and  $QYQ = QF_0(Y)Q$ . Let  $k$  be so large that  $QF_0(Y)Q$  is in  $\mathcal{F}_k^n$ . Since  $\mathcal{F}_k^n$  is a finite-dimensional  $C^*$ -algebra,  $QYQ$  has the form  $QYQ = \sum_{i=1}^s \lambda_i R_i$  where  $R_i$  are minimal projections in  $\mathcal{F}_k^n$  and  $\lambda_i$  are positive real numbers. There is  $i_0, 1 \leq i_0 \leq s$  such that  $\lambda_{i_0} \geq 1 - \varepsilon$  and there is a partial isometry  $U$  in  $\mathcal{F}_k^n$  such that  $U^*U = R_{i_0}$  and  $UU^* = S_1^k S_1^{*k}$  (note that  $S_1^k S_1^{*k}$  is a minimal projection in  $\mathcal{F}_k^n$ ). Then with  $A = S_1^{*k} U Q$  we have  $AYA^* = \lambda_{i_0} \mathbf{1}$  and

$$\|AX^*XA^* - \mathbf{1}\| \leq \|AX^*XA^* - AYA^*\| + \|AYA^* - \mathbf{1}\| \leq 2\varepsilon$$

(since  $\|A\| = 1$  and  $1 - \varepsilon \leq \lambda_{i_0} \leq 1 + \varepsilon$ ). This shows that  $AX^*XA^*$  is invertible and we are done.

*Remark.* If in the situation of the preceding theorem  $X \geq 0$  and  $\|F_0(X)\| = 1$ , then it is obvious from the proof given above that  $A$  and  $B$  can be chosen such that  $\|A\|, \|B\| \leq 1 + \varepsilon$ , for any given  $\varepsilon > 0$ . (Moreover  $A, B$  can be chosen such that  $B = A^*$ .) We will use this in Section 3 where we will prove a version of Theorem 1.13 for  $\mathcal{O}_\infty$ . A different proof of 1.13 for the case  $n = \infty$  could also be given using methods similar (but more complicated) to those employed in the proof above.

## 2. Representation of $\mathcal{O}_n$ as a Crossed Product

**2.1.** Let  $n \geq 2$  be finite and let  $j \in \mathbb{Z}$ . Then  $\mathcal{F}^n$  can be represented as an infinite tensor product [17, 1.23.11]

$$\mathcal{F}^n = \bigotimes_{i=j}^{\infty} \mathcal{N}_i = \mathcal{A}_j \quad \text{where} \quad \mathcal{N}_i \cong \mathcal{M}_n \quad \text{for all } i.$$

Define embeddings

$$\mathcal{A}_0 \hookrightarrow \mathcal{A}_{-1} \hookrightarrow \mathcal{A}_{-2} \hookrightarrow \dots$$

by  $\mathcal{A}_j \ni X \mapsto e_{11} \otimes X \in \mathcal{A}_{j-1} = \mathcal{M}_n \otimes \mathcal{A}_j$ , where  $\{e_{ij} | i, j = 1, \dots, n\}$  denotes a self-adjoint system of matrix units in  $\mathcal{M}_n$ . If we take the  $C^*$ -inductive limit [17, 1.23] of this sequence we get a  $C^*$ -algebra  $\mathcal{C}_n$  isomorphic to  $\mathcal{K} \otimes \mathcal{F}^n$ . We may, of course,

continue the above sequence of embeddings to positive integers

$$\dots \hookrightarrow \mathcal{A}_2 \hookrightarrow \mathcal{A}_1 \hookrightarrow \mathcal{A}_0 \hookrightarrow \mathcal{A}_{-1} \hookrightarrow \dots$$

in the same way by  $\mathcal{A}_j \ni X \mapsto e_{11} \otimes X \in \mathcal{A}_{j-1}$  ( $j \in \mathbb{Z}$ ). Since all  $\mathcal{A}_j$  are isomorphic we may consider the automorphism  $\Phi$  of  $\mathcal{C}_n$  which is induced by the shift to the left, mapping an element in  $\mathcal{A}_j$  to the corresponding element in  $\mathcal{A}_{j+1}$ . One may express the action of  $\Phi$  somewhat informally by  $\Phi(X) = e_{11} \otimes X \in e_{11} \otimes \mathcal{A}_j \cong \mathcal{A}_j$  for  $X \in \mathcal{A}_{j-1}$ .

Let the crossed product  $C^*(\mathcal{C}_n, \Phi)$  be faithfully represented on the Hilbert space  $\mathcal{H}$ . Then there is a unitary  $U$  on  $\mathcal{H}$  such that  $\Phi(X) = UXU^*$  ( $X \in \mathcal{C}_n$ ) and  $C^*(\mathcal{C}_n, \Phi)$  is the closure of the set of finite sums of the form  $A = \sum_{i=-N}^N X_i U^i$  ( $X_i \in \mathcal{C}_n$ ). With  $\tilde{X}_i = U^{-i} X_i U^i$  this expression becomes

$$A = \sum_{i < 0} U^i \tilde{X}_i + X_0 + \sum_{i > 0} X_i U^i \quad (\tilde{X}_i, X_i \in \mathcal{C}_n).$$

Let  $P$  be the unit of  $\mathcal{A}_0 \subset C^*(\mathcal{C}_n, \Phi)$ . Since  $UPU^* = e_{11} \otimes P \in \mathcal{A}_0 = \mathcal{M}_n \otimes \mathcal{A}_1$  we have  $UP = PUP$  and  $PX_i U^i P = (PX_i P)(UP)^i$  for  $i > 0$  and  $PU^i \tilde{X}_i P = (UP)^{* - i} P \tilde{X}_i P$  for  $i < 0$ . With  $V = UP$  we get

$$PAP = \sum_{i < 0} V^i P \tilde{X}_i P + PX_0 P + \sum_{i > 0} P X_i P V^i.$$

Thus  $\mathcal{C}_n = PC^*(\mathcal{C}_n, \Phi)P$  is generated by  $\mathcal{A}_0 = P\mathcal{C}_n P$  together with  $V$ .

Let  $S_i = (e_{i1} \otimes P)V$  ( $i = 1, \dots, n$ ). Then  $S_i^* S_i = P$  and  $\sum_{i=1}^n S_i S_i^* = P$ . Further  $\mathcal{A}_0$  is generated by all elements of the form  $S_\mu S_\nu^*$  where  $\mu, \nu \in W_\infty$  and  $\ell(\mu) = \ell(\nu)$ . In fact, if  $\mu = (j_1, \dots, j_k)$  and  $\nu = (i_1, \dots, i_k)$ , then  $S_\mu S_\nu^* = e_{j_1 i_1} \otimes e_{j_2 i_2} \otimes \dots \otimes e_{j_k i_k} \otimes P \in \mathcal{A}_0 = \mathcal{M}_n \otimes \dots \otimes \mathcal{M}_n \otimes \mathcal{A}_k$ . Hence  $\mathcal{C}_n = C^*(S_1, \dots, S_n) \cong \mathcal{O}_n$ .

Let  $P_k$  be the unit of  $\mathcal{A}_k$  ( $k \leq 0$ ). Then  $C^*(\mathcal{C}_n, \Phi)$  is the inductive limit of  $P_k C^*(\mathcal{C}_n, \Phi) P_k$  ( $k \rightarrow -\infty$ ). It is not hard to see that  $P_{k-1} C^*(\mathcal{C}_n, \Phi) P_{k-1}$  is generated by  $P_k C^*(\mathcal{C}_n, \Phi) P_k$  together with  $\{e_{ij} \otimes P_k \mid 1 \leq i, j \leq n\} \subset \mathcal{A}_{k-1}$  and that, consequently,  $C^*(\mathcal{C}_n, \Phi)$  is isomorphic to  $\mathcal{H} \otimes \mathcal{O}_n$ .

**2.2.** Let now  $n = \infty$ . For  $j \in \mathbb{N}$  let  $\mathcal{A}_j$  be the  $C^*$ -subalgebra of  $\mathcal{O}_\infty$  defined by  $\mathcal{A}_j = S_1^j \mathcal{F}^\infty S_1^{*j}$ . Then  $\mathcal{A}_{j-1} \cong \mathbf{C1} \oplus (\mathcal{H} \otimes \mathcal{A}_j)$ . On the other hand we also have  $\mathcal{A}_i \cong \mathcal{A}_0 = \mathcal{F}^\infty$  for all  $i \in \mathbb{N}$ . Define  $\mathcal{A}_j$  for negative  $j$  inductively by  $\mathcal{A}_{j-1} = \mathbf{C1} \oplus (\mathcal{H} \otimes \mathcal{A}_j)$ . We fix a minimal projection  $R$  in  $\mathcal{H}$  and consider the sequence of embeddings

$$\mathcal{A}_0 \hookrightarrow \mathcal{A}_{-1} \hookrightarrow \mathcal{A}_{-2} \hookrightarrow \dots$$

defined by  $\mathcal{A}_j \ni X \mapsto R \otimes X \in \mathcal{H} \otimes \mathcal{A}_j \subset \mathcal{A}_{j-1}$ . Let  $\mathcal{C}_\infty$  be the inductive limit of this sequence. Clearly  $\mathcal{C}_\infty$  is an  $AF$ -algebra. If as above we let  $\Phi$  be the automorphism of  $\mathcal{C}_\infty$  which is induced by the shift to the left on the above sequence (continued to positive integers) then  $\mathcal{O}_\infty \cong PC^*(\mathcal{C}_\infty, \Phi)P$  where  $P$  is the unit of  $\mathcal{A}_0 \subset C^*(\mathcal{C}_\infty, \Phi)$ .

**2.3.** We have seen that  $\mathcal{O}_n$  ( $n = 2, \dots, \infty$ ) is isomorphic to the crossed product of an  $AF$ -algebra by a single automorphism, cut down by a projection. By recent results of Connes [7, 6.8, 6.5, Theorem 6] and Choi and Effros [4, Corollary 3.2] this



proves that  $\mathcal{O}_n$  is nuclear. I am indebted to A. Connes and S. Sakai who called my attention to this fact. We show now that  $\mathcal{O}_n$  can not be obtained as an inductive limit of type I C\*-algebras.

**Proposition.** *Let  $n$  be finite and let  $S_1, \dots, S_n$  be isometries on a Hilbert space  $\mathcal{H}$  satisfying  $\sum_{i=1}^n S_i S_i^* = P \leq 1$ . Suppose that  $\mathcal{A} \subset \mathcal{L}(\mathcal{H})$  is a C\*-algebra containing elements  $A_1, \dots, A_n$  such that  $\|A_i - S_i\| < \varepsilon$ . If  $\varepsilon$  is sufficiently (depending on  $n$ ) small then there are  $\tilde{A}_1, \dots, \tilde{A}_n \in \mathcal{A}$  such that  $\tilde{A}_i^* \tilde{A}_i = 1$  and  $\sum_{i=1}^n \tilde{A}_i \tilde{A}_i^* \leq 1$ . If  $P = 1$  then  $\tilde{A}_1, \dots, \tilde{A}_n$  can be chosen such that the sum of the range projections of  $\tilde{A}_i$  equals 1.*

*Proof.* Let  $\varepsilon < 1/10$ . We have

$$\|A_i^* A_i - 1\| \leq \|A_i^* A_i - A_i^* S_i\| + \|A_i^* S_i - S_i^* S_i\| \leq (1 + \varepsilon)\varepsilon + \varepsilon < 3\varepsilon.$$

Hence  $A_i^* A_i$  is invertible and

$$\|A_i - A_i(A_i^* A_i)^{-\frac{1}{2}}\| \leq \|A_i\| \|1 - A_i^* A_i\| < (1 + \varepsilon)3\varepsilon < 4\varepsilon.$$

Now  $V_i = A_i(A_i^* A_i)^{-\frac{1}{2}}$  is an isometry and

$$\|V_i V_i^* - S_i S_i^*\| \leq \|V_i V_i^* - S_i V_i^*\| + \|S_i V_i^* - S_i S_i^*\| < 5\varepsilon + 5\varepsilon = 10\varepsilon.$$

Further

$$\begin{aligned} \|(V_i V_i^*)(V_j V_j^*)\| &\leq \|(S_i S_i^*)(S_j S_j^*)\| + \|(S_i S_i^* - V_i V_i^*)(S_j S_j^*)\| \\ &\quad + \|V_i V_i^*(V_j V_j^* - S_j S_j^*)\| < 20\varepsilon \quad \text{for } i \neq j. \end{aligned}$$

Given  $\delta > 0$ , by [12, 1.7], if  $\varepsilon$  is sufficiently small there is a family of pairwise orthogonal projections  $E_1, \dots, E_n$  in  $\mathcal{A}$  such that  $\|E_i - V_i V_i^*\| < \delta$ . Then  $\|E_i V_i - V_i\| < \delta$ . Thus  $V_i^* E_i V_i$  is invertible for small  $\delta$  and the elements  $\tilde{A}_i = (E_i V_i)(V_i^* E_i V_i)^{-\frac{1}{2}}$  are isometries. Moreover the elements  $\tilde{A}_i \tilde{A}_i^* = E_i$  are pairwise orthogonal projections and  $Q = \sum_{i=1}^n \tilde{A}_i \tilde{A}_i^*$  is a projection such that

$$\|Q - P\| = \left\| \sum_{i=1}^n (E_i - S_i S_i^*) \right\| \leq n(\delta + 10\varepsilon).$$

In particular  $Q = 1$  if  $P = 1$  and  $\varepsilon$  and  $\delta$  are sufficiently small.

**Corollary 1.** *Let  $\mathcal{A}$  be a C\*-subalgebra of  $\mathcal{O}_n$  ( $n$  finite) containing elements  $A_1, \dots, A_n$  such that  $\|A_i - S_i\| < \varepsilon$ . If  $\varepsilon$  is sufficiently (depending on  $n$ ) small then any such  $\mathcal{A}$  must contain a C\*-subalgebra which is isomorphic to  $\mathcal{O}_n$ .*

**Corollary 2.** *An infinite simple C\*-algebra  $\mathcal{B}$  with unit can not be an inductive limit of type I C\*-algebras.*

*Proof.* By [8, 2.2]  $\mathcal{B}$  contains isometries  $V_1, V_2$  such that  $V_1 V_1^* + V_2 V_2^* \leq 1$ . Let  $\mathcal{A}$  be a C\*-subalgebra of  $\mathcal{B}$  containing elements  $A_1, A_2$  such that  $\|A_i - V_i\| < \varepsilon$ . If  $\varepsilon$  is sufficiently small, then  $\mathcal{A}$  contains isometries  $\tilde{A}_1, \tilde{A}_2$  such that  $\tilde{A}_1 \tilde{A}_1^* + \tilde{A}_2 \tilde{A}_2^* \leq 1$ . Since a quotient of  $C^*(\tilde{A}_1, \tilde{A}_2)$  is isomorphic to  $\mathcal{O}_2$  (3.1) and  $\mathcal{O}_2$  is clearly not of Type I,  $\mathcal{A}$  can not be of type I.

**2.4.** As  $\mathcal{O}_n$  is simple, so is  $\mathcal{K} \otimes \mathcal{O}_n$ . But  $\mathcal{K} \otimes \mathcal{O}_n$  is even algebraically simple (i.e. has no non-trivial not necessarily closed two-sided ideals). This follows from the following general theorem.

**Theorem.** *Let  $\mathcal{A}$  be a simple  $C^*$ -algebra with unit. Then  $\mathcal{K} \otimes \mathcal{A}$  is algebraically simple if and only if there is  $k \in \mathbb{N}$  such that  $\mathcal{M}_k \otimes \mathcal{A}$  is infinite.*

*Proof.* “Only if part”. We use the notation of [8]. Assume that  $\mathcal{M}_k \otimes \mathcal{A}$  is finite and let  $P$  be a projection of dimension  $r$  and  $Q$  a projection of dimension 1 in  $\mathcal{M}_k$ . Then  $(P \otimes \mathbf{1} / Q \otimes \mathbf{1}) = r$  in  $\mathcal{M}_k \otimes \mathcal{A}$ . In fact, we have  $a = (P \otimes \mathbf{1} / Q \otimes \mathbf{1}) \leq r$ . On the other hand  $a < r$  would imply  $(P \otimes \mathbf{1} / R \otimes \mathbf{1}) = 1$  for any projection  $R \leq P$  of dimension  $a$  in  $\mathcal{M}_k$ . Since  $P \otimes \mathbf{1}$  is a finite projection in  $\mathcal{M}_k \otimes \mathcal{A}$  [8, 2.4], this is impossible [8, 2.1]. Assume now that  $\mathcal{M}_k \otimes \mathcal{A}$  is finite for any  $k \in \mathbb{N}$ . If  $P$  is a projection of dimension  $r$  and  $Q$  a projection of dimension 1 in  $\mathcal{K}$  then  $(P \otimes \mathbf{1} / Q \otimes \mathbf{1})$  in  $\mathcal{K} \otimes \mathcal{A}$  equals  $(P \otimes \mathbf{1} / Q \otimes \mathbf{1})$  in  $(P \otimes \mathbf{1}) (\mathcal{K} \otimes \mathcal{A}) (P \otimes \mathbf{1}) \cong \mathcal{M}_r \otimes \mathcal{A}$  hence equals  $r$  (we may assume  $Q \leq P$ ). Let  $P_1, P_2, \dots$  be a sequence of one-dimensional orthogonal projections in  $\mathcal{K}$  and let  $H = \sum_{i=1}^{\infty} \lambda_i P_i$  where  $\lambda_i > 0$  and  $\lambda_i \rightarrow 0$ .

Then for any  $r \in \mathbb{N}$  and for any one-dimensional projection  $Q$  in  $\mathcal{K}$  we have

$$H \gtrsim \sum_{i=1}^r P_i = A_r, \quad \text{and} \quad (H \otimes \mathbf{1} / Q \otimes \mathbf{1}) \geq (A_r \otimes \mathbf{1} / Q \otimes \mathbf{1}) = r.$$

This shows that the ideal generated algebraically by  $Q \otimes \mathbf{1}$  in  $\mathcal{K} \otimes \mathcal{A}$  does not contain  $H \otimes \mathbf{1}$ .

“If part”. The proof is essentially contained already in [10, 3.1.4]. We have only to combine Dixmier’s argument with [8, 2.2]. We may assume that  $\mathcal{A}$  itself is infinite. Let  $E_1, E_2, \dots$  be a sequence of pairwise orthogonal one-dimensional projections in  $\mathcal{K}$  such that the sequence  $\{H_k\}_{k=1}^{\infty}$ , defined by  $H_k = \sum_{i=1}^k E_i$ , is an

approximate identity for  $\mathcal{K}$ . It is easy to see that  $H_k \otimes \mathbf{1}$  is an approximate identity for  $\mathcal{K} \otimes \mathcal{A}$  (it is enough to check this for the algebraic tensor product of  $\mathcal{K}$  and  $\mathcal{A}$ ).

Let  $\mathcal{J}$  be a non-zero ideal of  $\mathcal{K} \otimes \mathcal{A}$ . If  $X \neq 0$  is in  $\mathcal{J}$  then there is  $k$  such that  $(H_k \otimes \mathbf{1})X(H_k \otimes \mathbf{1}) \neq 0$  hence there are  $i, j, 1 \leq i, j \leq k$  such that  $(E_i \otimes \mathbf{1})X(E_j \otimes \mathbf{1}) \neq 0$ . If  $E_{ij} \in \mathcal{K}$  is a partial isometry with support projection  $E_j$  and range projection  $E_i$  then  $(E_i \otimes \mathbf{1})X(E_{ij} \otimes \mathbf{1})^*$  is in  $\mathcal{J}$  and is non-zero. Thus  $\mathcal{J} \cap E_i \otimes \mathcal{A}$  is non-zero, hence equals  $E_i \otimes \mathcal{A}$  since  $\mathcal{A} \cong E_i \otimes \mathcal{A}$  is algebraically simple.

From [8, 2.2] using induction we get the existence of infinitely many pairwise orthogonal projections  $F_i$  and elements  $V_i$  in  $\mathcal{A}$  such that  $V_i^* V_i = \mathbf{1}$  and  $V_i V_i^* = F_i$  ( $i = 1, 2, \dots$ ). We have  $E_1 \otimes F_i \sim E_1 \otimes \mathbf{1} \sim E_i \otimes \mathbf{1}$  in  $\mathcal{K} \otimes \mathcal{A}$ . Let  $U_i$  be a partial isometry in  $\mathcal{K} \otimes \mathcal{A}$  with range projection  $E_1 \otimes F_i$  and support projection  $E_i \otimes \mathbf{1}$ . With  $G_k = \sum_{i=1}^k F_i$  and  $Y_k = \sum_{i=1}^k U_i$  we have  $Y_k Y_k^* = E_1 \otimes G_k$  and  $Y_k^* Y_k = H_k \otimes \mathbf{1}$ .

To complete the proof it is enough to show that any positive element  $X$  of  $\mathcal{K} \otimes \mathcal{A}$  is in  $\mathcal{J}$ . Since  $(H_k \otimes \mathbf{1})X^{\frac{1}{2}}$  is a Cauchy sequence also  $Y_k X^{\frac{1}{2}}$  is a Cauchy sequence converging to an element  $Y$  of  $\mathcal{K} \otimes \mathcal{A}$ . Since  $(E_1 \otimes \mathbf{1})Y = Y$  and  $E_1 \otimes \mathbf{1} \in \mathcal{J}$  we have  $Y, Y^* \in \mathcal{J}$ . Therefore  $Y^* Y = X$  is in  $\mathcal{J}$ .

*Remark.* Let  $A, B \in \mathcal{K} \otimes \mathcal{O}_n$  and  $B \neq 0$ . There are  $i, j \in \mathbb{N}$  such that  $(E_i \otimes \mathbf{1})B(E_j \otimes \mathbf{1}) \neq 0$ . Let  $C = (E_{1i} \otimes \mathbf{1})(E_i \otimes \mathbf{1})B(E_j \otimes \mathbf{1})(E_{j1} \otimes \mathbf{1})$  ( $E_{ij}$  = partial isometry in  $\mathcal{K}$  with support projection  $E_j$  and range projection  $E_i$ ). Then  $C \neq 0$  and  $C \in E_1 \otimes \mathcal{O}_n$ . There are  $F, G$  in  $\mathcal{O}_n$  such that  $(E_1 \otimes F)C(E_1 \otimes G) = E_1 \otimes \mathbf{1}$  (1.13, 3.4).

Further there are  $X_1, \dots, X_r$  and  $Y_1, \dots, Y_r$  in  $\mathcal{K} \otimes \mathcal{O}_n$  such that  $A = \sum_{i=1}^r X_i(E_1 \otimes \mathbf{1})Y_i$  (the ideal generated by  $E_1 \otimes \mathbf{1}$  in  $\mathcal{K} \otimes \mathcal{O}_n$  consists exactly of all finite sums of this form). Let  $V_1, \dots, V_r$  be isometries in  $\mathcal{O}_n$  such that  $V_1V_1^*, \dots, V_rV_r^*$  are pairwise orthogonal projections in  $\mathcal{O}_n$ . Then

$$A = \left( \sum_{i=1}^r X_i(E_1 \otimes V_i^*) \right) (E_1 \otimes \mathbf{1}) \left( \sum_{i=1}^r (E_1 \otimes V_i) Y_i \right).$$

Together this shows that there are  $X, Y \in \mathcal{K} \otimes \mathcal{O}_n$  such that  $A = XBY$ .

### 3. Extensions of $\mathcal{O}_n$

**3.1. Proposition.** *Let  $V_1, \dots, V_n$  be isometries on a Hilbert space  $\mathcal{H}$  such that  $\sum_{i=1}^n V_iV_i^* \leq \mathbf{1}$  ( $n$  finite). Then the projection  $P = \mathbf{1} - \sum_{i=1}^n V_iV_i^*$  generates a closed two-sided ideal  $\mathcal{I}$  in  $C^*(V_1, \dots, V_n)$  which is isomorphic to  $\mathcal{K}$  and contains  $P$  as a minimal projection. The quotient  $C^*(V_1, \dots, V_n)/\mathcal{I}$  is isomorphic to  $\mathcal{O}_n$ .*

*Proof.* Define, given  $\mu \in W_\infty^n$ , an isometry  $V_\mu$  in the same way  $S_\mu$  was defined in Section 1. The closure of the set  $\mathcal{J}$  of all linear combinations of elements of the form  $V_\mu P V_\nu^*$  ( $\mu, \nu \in W_\infty^n$ ) is clearly a two-sided ideal in  $C^*(V_1, \dots, V_n)$ . On the other hand  $\mathcal{J}$  is contained in every two-sided ideal containing  $P$ .

Consider the product  $X = (V_\mu P V_\nu^*)(V_\alpha P V_\beta^*)$  ( $\mu, \nu, \alpha, \beta \in W_\infty^n$ ). After cancellation we have  $V_\nu^* V_\alpha = V_\gamma V_\delta^*$  ( $\gamma, \delta \in W_\infty^n$ ) in lowest terms (1.3). But  $P V_\gamma V_\delta^* P \neq 0$  if and only if  $V_\gamma V_\delta^* = \mathbf{1}$ , since  $P V_i = 0$  ( $i = 1, \dots, n$ ). Thus  $X \neq 0$  if and only if  $P V_\nu^* V_\alpha P \neq 0$  if and only if  $\nu = \alpha$  (1.2). Hence

$$(V_\mu P V_\nu^*)(V_\alpha P V_\beta^*) = \delta_{\nu\alpha} V_\mu P V_\beta^*$$

and

$$(V_\mu P V_\nu^*)^* = V_\nu P V_\mu^*.$$

In other words the set  $\{V_\mu P V_\nu^* | \mu, \nu \in W_\infty^n\}$  is a self-adjoint system of matrix units generating  $\mathcal{J}$ . Therefore  $\mathcal{J}$  can be mapped isomorphically onto a dense star subalgebra of  $\mathcal{K}$  which is an inductive limit of finite-dimensional  $C^*$ -algebras, hence carries a unique  $C^*$ -norm. This mapping must be isometric and extends to an isomorphism of  $\mathcal{J} = \bar{\mathcal{J}}$  onto  $\mathcal{K}$ .

*Remark 1.* It seems to be interesting to study more general extensions of  $\mathcal{O}_n$  by the compacts.

*Remark 2.* In the situation of the proposition, given  $i$  ( $1 \leq i \leq n$ ) and  $\mu, \nu \in W_\infty^n$ , there is  $k \in \mathbb{N}$  such that  $V_i^{*k} V_\mu P V_\nu^* V_i^k = 0$ . This shows that  $V_i^{*k} A V_i^k$  tends to zero as  $k \rightarrow \infty$  for each  $A \in \mathcal{J}$ .

**3.2.** Let  $\mathcal{A}$  be a simple  $C^*$ -algebra with unit. It follows by induction from [8, 2.2] that  $\mathcal{A}$  contains a sequence  $V_1, V_2, \dots$  of isometries satisfying  $\sum_{i=1}^k V_i V_i^* \leq \mathbf{1}$  for every  $k \in \mathbb{N}$ . We know already from Section 1 that  $C^*(V_1, V_2, \dots) \cong \mathcal{O}_\infty$ . From 3.1 we see that  $C^*(V_1, \dots, V_n)$  ( $n \geq 2$  finite) contains a closed two-sided ideal  $\mathcal{J}$  such that  $C^*(V_1, \dots, V_n)/\mathcal{J} \cong \mathcal{O}_n$ . Therefore  $\mathcal{O}_\infty$  is contained (with the same unit) in  $\mathcal{A}$  and  $\mathcal{O}_n$  is for any finite  $n \geq 2$  contained up to quotients in  $\mathcal{A}$ .

**3.3.** Consider  $\mathcal{O}_2 = C^*(S_1, S_2)$ . We put  $\hat{S}_1 = S_1^2, \hat{S}_2 = S_1 S_2$ , and  $\hat{S}_3 = S_2$ . Then  $\hat{S}_i^* \hat{S}_i = \mathbf{1}$  and  $\sum_{i=1}^3 \hat{S}_i \hat{S}_i^* = \mathbf{1}$  so that  $\mathcal{O}_3 \cong C^*(\hat{S}_1, \hat{S}_2, \hat{S}_3) \subset \mathcal{O}_2$ . By induction we get the following chain of inclusions

$$\mathcal{O}_2 \supset \mathcal{O}_3 \supset \mathcal{O}_4 \supset \dots \supset \mathcal{O}_\infty.$$

**3.4.** We use 3.1 to prove a version of 1.13 for  $\mathcal{O}_\infty$ .

**Theorem.** *Let  $X$  be a non-zero element of  $\mathcal{O}_\infty$ . Then there are  $A, B \in \mathcal{O}_\infty$  such that  $AXB = \mathbf{1}$ .*

*Proof.* We may assume that  $X \geq 0$  and  $\|F_0(X)\| = 1$ . Let  $Y$  be a positive element of the star algebra generated algebraically by  $S_1, S_2, \dots$  such that  $\|X - Y\| < \varepsilon < 1/4$ . Without loss of generality we may assume that  $\|F_0(Y)\| = 1$ .

There is a finite subset  $\mathbb{I}$  of  $\mathbb{N}$  such that  $Y$  is a linear combination of words in  $S_i, S_i^* (i \in \mathbb{I})$ . We assume that  $\mathcal{O}_\infty$  is represented on the Hilbert space  $\mathcal{H}$  and choose an isometry  $\hat{S}$  on  $\mathcal{H}$  such that  $\hat{S} \hat{S}^* = \mathbf{1} - \sum_{i \in \mathbb{I}} S_i S_i^*$ . Further we fix  $i_0 \in \mathbb{N}$  such that  $i_0 \notin \mathbb{I}$ . We consider the  $C^*$ -algebras  $\mathcal{A}_1$ , generated by  $S_i (i \in \mathbb{I})$  together with  $\hat{S}$ , and  $\mathcal{A}_2$ , generated by  $S_i (i \in \mathbb{I})$  together with  $S_{i_0}$ . The projection  $P = \mathbf{1} - \sum_{i \in \mathbb{I}} S_i S_i^* - S_{i_0} S_{i_0}^*$  generates a non-trivial closed two-sided ideal  $\mathcal{J}$  in  $\mathcal{A}_2$  (3.1) and  $\mathcal{A}_2/\mathcal{J}$  is canonically isomorphic to  $\mathcal{A}_1$  (1.12).

We may assume that  $1 \in \mathbb{I}$  and define  $\hat{F}_i$  in  $\mathcal{A}_1$  with respect to  $S_1$  and  $\tilde{F}_i$  in  $\mathcal{A}_2/\mathcal{J}$  with respect to  $\varrho(S_1)$  (where  $\varrho: \mathcal{A}_2 \rightarrow \mathcal{A}_2/\mathcal{J}$  is the canonical mapping) in the same way in which  $F_i$  was defined in Section 1. Then  $\hat{F}_0(Y) = F_0(Y)$  since  $Y$  is an expression in  $S_i, S_i^* (i \in \mathbb{I})$  only. Therefore

$$\|\tilde{F}_0(\varrho(Y))\| = \|\hat{F}_0(Y)\| = \|F_0(Y)\| = 1.$$

By the remark in 1.13 there are  $A, B \in \mathcal{A}_2/\mathcal{J}$  such that  $A\varrho(Y)B = \mathbf{1}$  and  $\|A\|, \|B\| < 1 + \varepsilon$ . Then  $A, B$  can be lifted to elements  $\tilde{A}, \tilde{B}$  in  $\mathcal{A}_2$  such that  $\|\tilde{A}\|, \|\tilde{B}\| < 1 + 2\varepsilon$ . We have  $\tilde{A}Y\tilde{B} = \mathbf{1} + K$  with  $K \in \mathcal{J}$ . By Remark 2 in 3.1 we get  $S_i^{*k}(\tilde{A}Y\tilde{B})S_i^k \rightarrow \mathbf{1}$  as  $k \rightarrow \infty$  for each  $i \in \mathbb{I}$ . Since

$$\|S_i^{*k}(\tilde{A}X\tilde{B})S_i^k - S_i^{*k}(\tilde{A}Y\tilde{B})S_i^k\| < (1 + 2\varepsilon)^2 \varepsilon < 1$$

this shows that  $S_i^{*k}(\tilde{A}X\tilde{B})S_i^k$  is invertible for sufficiently large  $k$ .

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