

**MULTIPLICITY OF NODAL SOLUTIONS FOR A CLASS OF  
 $p$ -LAPLACIAN EQUATIONS IN  $\mathbb{R}^N$** **YAN-HONG CHEN\***School of Mathematics and Computer Sciences  
Fujian Normal University, Fuzhou, 350007, PR China

(Communicated by Irena Lasiecka)

**Abstract**

We consider a class of  $p$ -Laplacian equations in  $\mathbb{R}^N$ . By carefully analyzing the compactness of the Palais-Smale sequences and constructing Nehari manifolds, we prove that for every positive integer  $m \geq 2$ , there exists a nodal solution with at least  $2m$  nodal domains.

**AMS Subject Classification:** 35J05, 35J20, 35J60**Keywords:**  $p$ -Laplacian equation, nodal solution, Nehari manifold**1 Introduction**

In this article, we consider the following  $p$ -Laplacian equation in the entire space

$$\begin{cases} -\Delta_p u + (\lambda a(x) + 1)|u|^{p-2}u = f(x, u), & x \in \mathbb{R}^N, \\ u(x) \rightarrow 0, & |x| \rightarrow \infty, \end{cases} \quad (P_\lambda)$$

where  $\Delta_p u := \operatorname{div}(|\nabla u|^{p-2}\nabla u)$  is the  $p$ -Laplacian operator with  $p \geq 2$ . We assume  $\lambda \geq 0$ ,  $N > p$ , moreover,  $a$  and  $f$  satisfy the following conditions:

(a<sub>1</sub>)  $a \in C(\mathbb{R}^N, \mathbb{R})$ ,  $a(x) \geq 0$ ,  $\Omega := \operatorname{int} a^{-1}(0)$  is non-empty and has smooth boundary,  $\bar{\Omega} = a^{-1}(0)$ .

(a<sub>2</sub>) There exists  $M_0 > 0$  such that

$$\operatorname{mes}(\{x \in \mathbb{R}^N : a(x) \leq M_0\}) < \infty,$$

here  $\operatorname{mes}(\cdot)$  denotes the Lebesgue measure on  $\mathbb{R}^N$ .

---

\*E-mail address: cyh1801@163.com

(a<sub>3</sub>)  $a$  is radially symmetric with respect to the first two coordinates, that is to say, if  $(x_1, x_2, z_3, \dots, z_N) \in \mathbb{R}^N$ ,  $(y_1, y_2, z_3, \dots, z_N) \in \mathbb{R}^N$  and  $|(x_1, x_2)| = |(y_1, y_2)|$ , then

$$a(x_1, x_2, z_3, \dots, z_N) = a(y_1, y_2, z_3, \dots, z_N).$$

(f<sub>1</sub>)  $f \in C^1(\mathbb{R}^N, \mathbb{R})$  and when  $t \rightarrow 0$ ,  $f(x, t) = o(|t|^{p-1})$  uniformly in  $x$ .

(f<sub>2</sub>) There are constants  $a_1 > 0$ ,  $a_2 > 0$  and  $p < q < p^* := \frac{Np}{N-p}$  such that

$$|f(x, t)| \leq a_1(1 + |t|^{q-1}), \quad |f_t(x, t)| \leq a_2(1 + |t|^{q-2})$$

for every  $x \in \mathbb{R}^N$ ,  $t \in \mathbb{R}$ .

(f<sub>3</sub>) There exists  $\mu > p$  such that for every  $x \in \mathbb{R}^N$ ,  $t \in \mathbb{R} \setminus \{0\}$ ,

$$0 < \mu F(x, t) := \mu \int_0^t f(x, s) ds \leq t f(x, t).$$

(f<sub>4</sub>)  $f$  is radially symmetric with respect to the first two coordinates, that is to say, if  $(x_1, x_2, z_3, \dots, z_N) \in \mathbb{R}^N$ ,  $(y_1, y_2, z_3, \dots, z_N) \in \mathbb{R}^N$  and  $|(x_1, x_2)| = |(y_1, y_2)|$ , then

$$f(x_1, x_2, z_3, \dots, z_N) = f(y_1, y_2, z_3, \dots, z_N).$$

(f<sub>5</sub>)  $f(x, t) = -f(x, -t)$  for every  $x \in \mathbb{R}^N$ ,  $t \in \mathbb{R}$ .

Under these assumptions, we have the following theorem.

**Theorem 1.1.** *Suppose (a<sub>1</sub>)-(a<sub>3</sub>) and (f<sub>1</sub>)-(f<sub>5</sub>) hold. For any given integer  $m > 0$ , there is  $\Lambda_m > 0$  such that problem  $(P_\lambda)$  has a nodal solution with at least  $2m$  nodal domains for all  $\lambda \geq \Lambda_m$ .*

For  $p = 2$ ,  $(P_\lambda)$  turns into a Schrödinger equation of the form

$$-\Delta u + (\lambda a(x) + 1)u = f(x, u), \quad u \in H^1(\mathbb{R}^N), \tag{S_\lambda}$$

which has been studied extensively. In [3], Bartsch and Wang showed that  $(S_\lambda)$  has a positive and a negative solution. If  $f$  is odd, they proved that  $(S_\lambda)$  possesses  $k(k \in \mathbb{N})$  pairs of nontrivial solutions. Moreover, Bartsch and Wang studied the general problem

$$-\Delta u + b(x)u = f(x, u), \quad x \in \mathbb{R}^N.$$

When  $f$  is odd, they got some existence and multiplicity results.

If  $f(x, u) = |u|^{q-2}u$ , Bartsch and Wang showed that  $(S_\lambda)$  possesses multiple positive solutions in [4]. In [8], Furtado proved the existence and multiplicity of solutions with exactly two nodal domains for  $(P_\lambda)$ , he also studied the concentration behavior of these solutions as  $\lambda \rightarrow \infty$ .

To prove Theorem 1.1, we will use the Nehari manifold technique. By a group constructing method from [12], we consider a minimizing problem on a group-action invariant Nehari manifold and get a nodal solution with at least  $2m$  nodal domains when  $\lambda$  is large enough.

The paper is organized as follows. In Section 2, we give some preparation and analyze the compactness of Palais-Smale sequences. In Section 3, we prove Theorem 1.1. In the following,  $C$  will denote different constants in different places and  $\|\cdot\|_q$  is the usual norm in  $L^q(\mathbb{R}^N)$ .

## 2 Preliminaries and compactness of Palais-Smale sequences

Let  $W^{1,p}(\mathbb{R}^N)$  be the usual space endowed with the norm

$$\|u\|_{W^{1,p}(\mathbb{R}^N)} = \left( \int_{\mathbb{R}^N} (|\nabla u|^p + |u|^p) dx \right)^{\frac{1}{p}}.$$

In the rest of this paper, we will use  $E_\lambda$  denote the space

$$E := \{u \in W^{1,p}(\mathbb{R}^N) : \int_{\mathbb{R}^N} a(x)|u|^p dx < \infty\}$$

with norm

$$\|u\|_\lambda = \left( \int_{\mathbb{R}^N} (|\nabla u|^p + (\lambda a(x) + 1)|u|^p) dx \right)^{\frac{1}{p}}, \lambda \geq 0.$$

Condition (a<sub>1</sub>) and the Sobolev theorem imply that the embedding  $E_\lambda \hookrightarrow L^q_{loc}(\mathbb{R}^N)$  is compact for all  $p \leq q < p^*$ . Define a functional  $\Phi_\lambda : E_\lambda \rightarrow \mathbb{R}$  as follow

$$\Phi_\lambda(u) = \frac{1}{p} \int_{\mathbb{R}^N} (|\nabla u|^p + (\lambda a(x) + 1)|u|^p) dx - \int_{\mathbb{R}^N} F(x, u) dx = \frac{1}{p} \|u\|_\lambda^p - \int_{\mathbb{R}^N} F(x, u) dx.$$

It is obvious that critical points of  $\Phi_\lambda$  correspond to solutions of  $(P_\lambda)$ . By (f<sub>1</sub>) and (f<sub>2</sub>),  $\Phi_\lambda \in C^1(E_\lambda, \mathbb{R})$  for all  $\lambda \geq 0$ .

If a sequence  $(u_n) \subset E_\lambda$  satisfies that  $\Phi_\lambda(u_n) \rightarrow c$  for some  $c \in \mathbb{R}$  and  $\Phi'_\lambda(u_n) \rightarrow 0$  as  $n \rightarrow \infty$ , then  $(u_n)$  is a  $(PS)_c$ -sequence of  $\Phi_\lambda$ . We say  $\Phi_\lambda$  satisfies the  $(PS)_c$ -condition if any  $(PS)_c$ -sequence of  $\Phi_\lambda$  has a convergent subsequence.

For the space  $E_\lambda$ , we have the following proposition.

**Proposition 2.1.**  *$E_\lambda$  is a reflexive Banach space.*

*Proof.* Condition (a<sub>1</sub>) and  $\lambda \geq 0$  imply that the function

$$\lambda a + 1 : \mathbb{R}^N \rightarrow \mathbb{R} : x \mapsto \lambda a(x) + 1$$

is positive and measurable. According to Theorem 1.29 ([11]), it holds that

$$\varphi(X) = \int_X (\lambda a + 1) dx, \quad X \in \mathfrak{B}$$

is a measure on  $\mathfrak{B}$  which is the family of Borel sets in  $\mathbb{R}^N$  and

$$\int_{\mathbb{R}^N} g d\varphi = \int_{\mathbb{R}^N} g(\lambda a + 1) dx$$

for every measurable  $g$  on  $\mathbb{R}^N$  with range in  $[0, \infty]$ .

For the measure  $\varphi$ , we define a space

$$L^p(\varphi) := \{u \mid u \text{ is a measurable function on } \mathbb{R}^N \text{ and } \int_{\mathbb{R}^N} |u|^p d\varphi < \infty\}$$

with norm

$$\|u\|_{L^p(\varphi)} = \left( \int_{\mathbb{R}^N} |u|^p d\varphi \right)^{\frac{1}{p}} = \left( \int_{\mathbb{R}^N} (\lambda a + 1)|u|^p dx \right)^{\frac{1}{p}}.$$

By Theorem 3.11 in [11],  $L^p(\varphi)$  is a Banach space. Moreover, by Example 11.3 in [7],  $L^p(\varphi)$  is reflexive for all  $1 < p < \infty$ .

Assume  $(u_n) \subset E_\lambda$  is a Cauchy sequence, that is to say  $\|u_n - u_m\|_\lambda \rightarrow 0$  as  $m, n \rightarrow \infty$ . Then  $\|\nabla u_n - \nabla u_m\|_{L^p(\mathbb{R}^N)} \rightarrow 0$  and  $\|u_n - u_m\|_{L^p(\varphi)} \rightarrow 0$ . Since  $L^p(\mathbb{R}^N)$  and  $L^p(\varphi)$  are complete, there exist  $u$  and  $v$  such that

$$\nabla u_n \rightarrow u \in L^p(\mathbb{R}^N),$$

$$u_n \rightarrow v \in L^p(\varphi).$$

Since  $L^p(\varphi) \hookrightarrow L^p(\mathbb{R}^N)$ ,

$$u_n \rightarrow v \in L^p(\mathbb{R}^N).$$

From the proof of the fact that  $W^{1,p}(\mathbb{R}^N)$  is Banach space, we have  $u = \nabla v$ . So  $v \in E_\lambda$  and  $\|u_n - v\|_\lambda \rightarrow 0$ . This proves that  $E_\lambda$  is complete.

Define

$$T : E_\lambda \rightarrow L^p(\mathbb{R}^N) \times L^p(\varphi) : u \mapsto (\nabla u, u),$$

here  $\|\cdot\|_{L^p(\mathbb{R}^N) \times L^p(\varphi)} := \|\cdot\|_{L^p(\mathbb{R}^N)} + \|\cdot\|_{L^p(\varphi)}$ . Then  $\|\cdot\|_{E_\lambda}$  and  $\|\cdot\|_{L^p(\mathbb{R}^N) \times L^p(\varphi)}$  are equivalent norms, so  $E_\lambda$  is equivalent to  $T(E_\lambda)$  which is a closed subspace of  $L^p(\mathbb{R}^N) \times L^p(\varphi)$ . From Pettis theorem,  $T(E_\lambda)$  is reflexive and so  $E_\lambda$  is reflexive.  $\square$

The following proposition is the main conclusion of this section.

**Proposition 2.2.** *Suppose  $(a_1)$ - $(a_2)$  and  $(f_1)$ - $(f_3)$  hold. Then for any  $c \neq 0$  there exists  $\Lambda_c > 0$  such that  $\Phi_\lambda$  satisfies the  $(PS)_c$ -condition for all  $\lambda \geq \Lambda_c$ .*

The proof of Proposition 2.2 consists of a series of lemmas which occupy the rest of this section. The thoughts of proof for these lemmas are inspired by Lemma 2.3-2.5 in [4].

**Lemma 2.3.** *Let  $K_\lambda$  be the set of critical points of  $\Phi_\lambda$ . Then there exists  $\sigma > 0$  (independent of  $\lambda \geq 0$ ) such that  $\|u\|_\lambda \geq \|u\|_{W^{1,p}(\mathbb{R}^N)} \geq \sigma$  for all  $u \in K_\lambda \setminus \{0\}$ .*

*Proof.* For any  $\epsilon > 0$ , by  $(f_1)$ , there exists  $t \in [0, 1]$ , if  $|u| < t$ , then  $|f(x, u)| < \epsilon|u|^{p-1}$ , if  $t < |u| < 1$ , by  $(f_2)$ ,

$$f(x, u) < a_1(1 + |u|^{q-1}) < 2a_1 = t^{q-1} \frac{2a_1}{t^{q-1}} < A_\epsilon |u|^{q-1},$$

if  $|u| \geq 1$ , by  $(f_2)$ ,

$$f(x, u) < a_1(1 + |u|^{q-1}) < 2a_1 |u|^{q-1}.$$

Thus, for any  $\epsilon > 0$ , there exists  $A_\epsilon > 0$  such that

$$f(x, u) \leq \epsilon |u|^{p-1} + A_\epsilon |u|^{q-1}, \quad \forall x \in \mathbb{R}^N, u \in \mathbb{R}. \tag{2.1}$$

Choose  $\epsilon = 1/2$ , then for  $u \in K_\lambda \setminus \{0\}$ ,

$$\begin{aligned}
0 &= \langle \Phi'_\lambda(u), u \rangle \\
&= \int_{\mathbb{R}^N} (|\nabla u|^p + (\lambda a(x) + 1)|u|^p) dx - \int_{\mathbb{R}^N} f(x, u) u dx \\
&\geq \|u\|_\lambda^p - \frac{1}{2} \int_{\mathbb{R}^N} |u|^p dx - C \int_{\mathbb{R}^N} |u|^q dx \\
&\geq \frac{1}{2} \|u\|_\lambda^p - C \|u\|_q^q \\
&\geq \frac{1}{2} \|u\|_{W^{1,p}(\mathbb{R}^N)}^p - C \|u\|_{W^{1,p}(\mathbb{R}^N)}^q
\end{aligned}$$

where  $C > 0$  is independent of  $\lambda$ . Hence there exists  $\sigma > 0$  such that  $\|u\|_{W^{1,p}(\mathbb{R}^N)} \geq \sigma$ .  $\square$

**Lemma 2.4.** *There exists  $c_0 > 0$  (independent of  $\lambda$ ) such that if  $(u_n)$  is a  $(PS)_c$ -sequence of  $\Phi_\lambda$  then*

$$\limsup_{n \rightarrow \infty} \|u_n\|_\lambda^p \leq \frac{\mu p c}{\mu - p}$$

and if  $c \neq 0$ , then  $c \geq c_0$ .

*Proof.* First we claim that if  $(u_n)$  is a  $(PS)_c$ -sequence of  $\Phi_\lambda$  then  $(u_n)$  is bounded. In fact,

$$\begin{aligned}
&c + o(1) + \|u_n\|_\lambda \cdot o(1) \\
&= \Phi_\lambda(u_n) - \frac{1}{\mu} \Phi'_\lambda(u_n) u_n \\
&= \left(\frac{1}{p} - \frac{1}{\mu}\right) \int_{\mathbb{R}^N} (|\nabla u_n|^p + (\lambda a(x) + 1)|u_n|^p) dx - \int_{\mathbb{R}^N} (F(x, u_n) - \frac{1}{\mu} f(x, u_n) u_n) dx \\
&\geq \left(\frac{1}{p} - \frac{1}{\mu}\right) \int_{\mathbb{R}^N} (|\nabla u_n|^p + (\lambda a(x) + 1)|u_n|^p) dx \\
&= \frac{\mu - p}{\mu p} \|u_n\|_\lambda^p
\end{aligned}$$

which implies that  $(u_n)$  is bounded. By (f<sub>3</sub>) we have

$$\begin{aligned}
c &= \limsup_{n \rightarrow \infty} \left( \Phi_\lambda(u_n) - \frac{1}{\mu} \Phi'_\lambda(u_n) u_n \right) \\
&= \limsup_{n \rightarrow \infty} \left( \left(\frac{1}{p} - \frac{1}{\mu}\right) \int_{\mathbb{R}^N} (|\nabla u_n|^p + (\lambda a(x) + 1)|u_n|^p) dx - \int_{\mathbb{R}^N} (F(x, u_n) - \frac{1}{\mu} f(x, u_n) u_n) dx \right) \\
&\geq \limsup_{n \rightarrow \infty} \left( \frac{1}{p} - \frac{1}{\mu} \right) \int_{\mathbb{R}^N} (|\nabla u_n|^p + (\lambda a(x) + 1)|u_n|^p) dx \\
&= \frac{\mu - p}{\mu p} \limsup_{n \rightarrow \infty} \|u_n\|_\lambda^p.
\end{aligned}$$

According to (2.1), choosing  $\epsilon = 1/2$ , then

$$\begin{aligned}
\langle \Phi'_\lambda(u), u \rangle &= \int_{\mathbb{R}^N} (|\nabla u|^p + (\lambda a(x) + 1)|u|^p) dx - \int_{\mathbb{R}^N} f(x, u) u dx \\
&\geq \frac{1}{2} \|u\|_\lambda^p - C \|u\|_\lambda^q.
\end{aligned}$$

So there exists  $\sigma_1 > 0$  such that for all  $\|u\|_\lambda < \sigma_1$

$$\frac{1}{4} \|u\|_\lambda^p < \langle \Phi'_\lambda(u), u \rangle. \tag{2.2}$$

Set  $c_0 = \sigma_1^p(\mu - p)/\mu p$ . If  $c < c_0$ , then

$$\limsup_{n \rightarrow \infty} \|u_n\|_\lambda^p \leq \frac{\mu p c}{\mu - p} < \sigma_1^p.$$

Thus  $\|u_n\|_\lambda < \sigma_1$  for  $n$  large enough. By (2.2),

$$\frac{1}{4} \|u_n\|_\lambda^p < \langle \Phi'_\lambda(u_n), u_n \rangle = o(1) \|u_n\|_\lambda.$$

Then  $\|u_n\|_\lambda \rightarrow 0$  as  $n \rightarrow \infty$ . Hence  $\Phi_\lambda(u_n) \rightarrow 0$ , i.e.,  $c = 0$  □

**Lemma 2.5.** *There exists  $\delta_0 > 0$  such that any  $(PS)_c$ -sequence  $(u_n)$  of  $\Phi_\lambda$  satisfies*

$$\liminf_{n \rightarrow \infty} \|u_n\|_q^q \geq \delta_0 c.$$

*Proof.* The proof is similar to Lemma 5.1 of [3]. For any  $u$ , by (f<sub>3</sub>) and (2.1), we have

$$\begin{aligned} \frac{1}{p} f(x, u)u - F(x, u) &\leq \frac{1}{p} f(x, u)u \\ &\leq \frac{\epsilon}{p} |u|^p + \frac{A_\epsilon}{p} |u|^q. \end{aligned}$$

If  $(u_n)$  is a  $(PS)_c$ -sequence of  $\Phi_\lambda$ , then

$$\begin{aligned} c &= \lim_{n \rightarrow \infty} \left( \Phi_\lambda(u_n) - \frac{1}{p} \Phi'_\lambda(u_n)u_n \right) \\ &= \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} \left( \frac{1}{p} f(x, u_n)u_n - F(x, u_n) \right) dx \\ &\leq \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} \left( \frac{\epsilon}{p} |u_n|^p + \frac{A_\epsilon}{p} |u_n|^q \right) dx \\ &\leq \lim_{n \rightarrow \infty} \left( \frac{\epsilon}{p} \|u_n\|_\lambda^p + \frac{A_\epsilon}{p} \int_{\mathbb{R}^N} |u_n|^q dx \right). \end{aligned}$$

By Lemma 2.4 it holds that

$$\begin{aligned} c &\leq \frac{\epsilon}{p} \cdot \frac{\mu p c}{\mu - p} + \frac{A_\epsilon}{p} \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} |u_n|^q dx \\ &\leq \frac{\mu \epsilon c}{\mu - p} + \frac{A_\epsilon}{p} \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} |u_n|^q dx. \end{aligned}$$

That is to say,

$$c - \frac{\mu \epsilon c}{\mu - p} \leq \frac{A_\epsilon}{p} \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} |u_n|^q dx.$$

Then  $\delta_0 = \left(1 - \frac{\mu \epsilon}{\mu - p}\right) \cdot \frac{p}{A_\epsilon}$  is the required constant. □

**Lemma 2.6.** For any  $\epsilon > 0$  there exists  $\Lambda_\epsilon > 0$ ,  $R_\epsilon > 0$  such that if  $(u_n)$  is a  $(PS)_c$ -sequence of  $\Phi_\lambda$  and  $\lambda \geq \Lambda_\epsilon$  then

$$\limsup_{n \rightarrow \infty} \|u_n\|_{B_{R_\epsilon}^c}^q \leq \epsilon$$

where  $B_{R_\epsilon}^c = \{x \in \mathbb{R}^N : |x| \geq R_\epsilon\}$ .

*Proof.* For  $R > 0$ , we set

$$A(R) := \{x \in \mathbb{R}^N : |x| > R, a(x) \geq M_0\},$$

$$B(R) := \{x \in \mathbb{R}^N : |x| > R, a(x) < M_0\}.$$

According to Lemma 2.4,

$$\begin{aligned} \int_{A(R)} |u_n|^p dx &\leq \frac{1}{\lambda M_0 + 1} \int_{\mathbb{R}^N} (\lambda a(x) + 1) |u_n|^p dx \\ &\leq \frac{1}{\lambda M_0 + 1} \int_{\mathbb{R}^N} (|\nabla u_n|^p + (\lambda a(x) + 1) |u_n|^p) dx \\ &\leq \frac{1}{\lambda M_0 + 1} \left( \frac{\mu p c}{\mu - p} \right) \rightarrow 0, \quad \text{as } \lambda \rightarrow \infty. \end{aligned}$$

Choosing  $s, s'$  such that  $ps < p^*, 1/s + 1/s' = 1$ . Applying Hölder inequality and (a<sub>2</sub>), we have

$$\begin{aligned} \int_{B(R)} |u_n|^p dx &\leq \left( \int_{\mathbb{R}^N} |u_n|^{ps} dx \right)^{1/s} \left( \int_{B(R)} dx \right)^{1/s'} \\ &\leq C \|u_n\|_\lambda^p \cdot (\text{mes}(B(R)))^{1/s'} \rightarrow 0, \quad \text{as } R \rightarrow \infty. \end{aligned}$$

Setting  $\theta = \frac{N(q-p)}{pq}$ , the Gagliardo-Nirenberg inequality yields

$$\begin{aligned} \int_{B_R^c} |u_n|^q dx &\leq C \|\nabla u_n\|_{B_R^c}^{\theta q} \cdot \|u_n\|_{B_R^c}^{(1-\theta)q} \\ &\leq C \|u_n\|_\lambda^{\theta q} \left( \int_{A(R)} |u_n|^p dx + \int_{B(R)} |u_n|^p dx \right)^{(1-\theta)q/p} \\ &\leq C \left( \frac{\mu p c}{\mu - p} \right)^{\theta q/p} \left( \int_{A(R)} |u_n|^p dx + \int_{B(R)} |u_n|^p dx \right)^{(1-\theta)q/p}. \end{aligned}$$

The first summand on the right can be arbitrarily small if  $\lambda$  is large. The second summand on the right will be arbitrarily small if  $R$  is large by (a<sub>2</sub>). This completes the proof.  $\square$

The next two results will overcome the lack of Hilbertian structure.

**Lemma 2.7.** (Lemma 3 of [1]) Set  $M \geq 1$ ,  $p \geq 2$  and  $A(y) = |y|^{p-2}y, y \in \mathbb{R}^M$ . Consider a sequence of vector functions  $\eta_n : \mathbb{R}^N \rightarrow \mathbb{R}^M$  such that  $(\eta_n) \subset (L^p(\mathbb{R}^N))^M$  and  $\eta_n(x) \rightarrow 0$  for a.e.  $x \in \mathbb{R}^N$ . Then, if there exists  $M > 0$  such that

$$\int_{\mathbb{R}^N} |\eta_n|^p dx \leq M \quad \text{for all } n \in \mathbb{N},$$

then we have

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} |A(\eta_n) + A(\vartheta) - A(\eta_n + \vartheta)|^{\frac{p}{p-1}} dx = 0$$

for each  $\vartheta \in (L^p(\mathbb{R}^N))^M$ .

*Remark 2.8.* From the proof of the Lemma 2.7, we can conclude that if

$$\int_{\mathbb{R}^N} (\lambda a(x) + 1) |\eta_n|^p dx \leq M \quad \text{for all } n \in \mathbb{N},$$

then for each  $\vartheta \in (L^p(\varphi))^M$ ,

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} (\lambda a(x) + 1) |A(\eta_n) + A(\vartheta) - A(\eta_n + \vartheta)|^{\frac{p}{p-1}} dx = 0.$$

**Lemma 2.9.** Let  $(u_n)$  be a  $(PS)_c$ -sequence of  $\Phi_\lambda$ , then, up to a sequence,  $u_n \rightharpoonup u$  in  $E_\lambda$  and  $u$  is a weak solution of  $(P_\lambda)$ . Moreover,  $u_n^1 = u_n - u$  is a  $(PS)_{c'}$ -sequence of  $\Phi_\lambda$ , here  $c' = c - \Phi_\lambda(u)$ .

*Proof.* First,  $(u_n)$  is bounded in  $E_\lambda$  by Lemma 2.4, hence there is a subsequence of  $(u_n)$  such that

$$\begin{aligned} u_n &\rightharpoonup u \in E_\lambda, \quad \text{as } n \rightarrow \infty, \\ u_n &\rightarrow u \in L_{loc}^q(\mathbb{R}^N), \quad p \leq q < p^*, \\ u_n(x) &\rightarrow u(x) \quad \text{a.e. } x \in \mathbb{R}^N. \end{aligned} \quad (2.3)$$

We claim that

$$\nabla u_n(x) \rightarrow \nabla u(x) \quad \text{a.e. } x \in \mathbb{R}^N. \quad (2.4)$$

In fact, define  $P_n : \mathbb{R}^N \rightarrow \mathbb{R}$  as follow

$$P_n(x) = (|\nabla u_n(x)|^{p-2} \nabla u_n(x) - |\nabla u(x)|^{p-2} \nabla u(x)) \nabla (u_n(x) - u(x)) \quad (2.5)$$

and  $K \subset \mathbb{R}^N$  is a compact subset. For any given  $\epsilon > 0$ , set

$$K_\epsilon = \{x \in \mathbb{R}^N : \text{dist}(x, K) \leq \epsilon\}.$$

Choose a cut-off function  $\psi \in C^\infty(\mathbb{R}^N)$  such that  $0 \leq \psi \leq 1$ ,  $\psi \equiv 1$  in  $K$  and  $\psi \equiv 0$  in  $\mathbb{R}^N \setminus K_\epsilon$ , then by the definition of  $P_n$  we have

$$\begin{aligned} 0 \leq \int_K P_n dx &\leq \int_{\mathbb{R}^N} P_n \psi dx \\ &= \int_{\mathbb{R}^N} |\nabla u_n|^p \psi dx - \int_{\mathbb{R}^N} |\nabla u_n|^{p-2} (\nabla u_n \cdot \nabla u) \psi dx \\ &\quad + \int_{\mathbb{R}^N} |\nabla u|^{p-2} (\nabla u \cdot \nabla (u - u_n)) \psi dx. \end{aligned} \quad (2.6)$$

Since  $(\psi u_n)$  is bounded in  $E_\lambda$  and  $\Phi'_\lambda(u_n) \rightarrow 0$ , it holds that

$$\lim_{n \rightarrow \infty} \langle \Phi'_\lambda(u_n), \psi u_n \rangle = \lim_{n \rightarrow \infty} \langle \Phi'_\lambda(u_n), \psi u \rangle = 0.$$

That is to say,

$$\begin{aligned} o(1) &= \int_{\mathbb{R}^N} (|\nabla u_n|^p \psi + |\nabla u_n|^{p-2} (\nabla u_n \cdot \nabla \psi) u_n + (\lambda a(x) + 1) |u_n|^p \psi) dx \\ &\quad - \int_{\mathbb{R}^N} f(x, u_n) \psi u_n dx, \end{aligned} \quad (2.7)$$

and

$$\begin{aligned} o(1) &= \int_{\mathbb{R}^N} (|\nabla u_n|^{p-2} (\nabla u_n \cdot \nabla \psi) u + |\nabla u_n|^{p-2} (\nabla u_n \cdot \nabla u) \psi) dx \\ &\quad + \int_{\mathbb{R}^N} (\lambda a(x) + 1) |u_n|^{p-2} u_n u \psi dx - \int_{\mathbb{R}^N} f(x, u_n) \psi u dx. \end{aligned} \quad (2.8)$$

Up to a subsequence, we can assume that  $\psi u_n \rightarrow \psi u$  in  $E_\lambda$ , so

$$\lim_{n \rightarrow \infty} \langle \Phi'_\lambda(u), \psi u - \psi u_n \rangle = 0.$$

That is

$$\begin{aligned} o(1) &= \int_{\mathbb{R}^N} |\nabla u|^{p-2} \nabla u \cdot \nabla (u - u_n) \psi dx + \int_{\mathbb{R}^N} |\nabla u|^{p-2} \nabla u \cdot \nabla \psi (u - u_n) dx \\ &\quad + \int_{\mathbb{R}^N} (\lambda a(x) + 1) |u|^{p-2} u (u - u_n) \psi dx - \int_{\mathbb{R}^N} f(x, u) \psi (u - u_n) dx. \end{aligned} \quad (2.9)$$

By (2.6)-(2.9) and the fact that  $\psi \equiv 0$  in  $\mathbb{R}^N \setminus K_\epsilon$ , we have

$$\begin{aligned} 0 &\leq \int_K P_n dx \\ &\leq \int_{K_\epsilon} f(x, u_n) \psi u_n dx - \int_{K_\epsilon} |\nabla u_n|^{p-2} (\nabla u_n \cdot \nabla \psi) u_n dx - \int_{K_\epsilon} (\lambda a(x) + 1) |u_n|^p \psi dx \\ &\quad + \int_{K_\epsilon} |\nabla u_n|^{p-2} (\nabla u_n \cdot \nabla \psi) u dx + \int_{K_\epsilon} (\lambda a(x) + 1) |u_n|^{p-2} u_n u \psi dx - \int_{K_\epsilon} f(x, u_n) \psi u dx \\ &\quad - \int_{\mathbb{R}^N} |\nabla u|^{p-2} (\nabla u \cdot \nabla \psi) (u - u_n) dx - \int_{K_\epsilon} (\lambda a(x) + 1) |u|^{p-2} u (u - u_n) \psi dx \\ &\quad + \int_{K_\epsilon} f(x, u) \psi (u - u_n) dx + o(1) \\ &= \int_{K_\epsilon} |\nabla u_n|^{p-2} (\nabla u_n \cdot \nabla \psi) (u - u_n) dx - \int_{\mathbb{R}^N} |\nabla u|^{p-2} (\nabla u \cdot \nabla \psi) (u - u_n) dx \\ &\quad + \int_{K_\epsilon} (\lambda a(x) + 1) (|u_n|^{p-2} u_n u - |u_n|^p) \psi dx + \int_{K_\epsilon} (\lambda a(x) + 1) (|u|^{p-2} u u_n - |u|^p) \psi dx \\ &\quad + \int_{K_\epsilon} f(x, u_n) \psi (u_n - u) dx + \int_{K_\epsilon} f(x, u) \psi (u - u_n) dx + o(1) \\ &:= A_1 + A_2 + A_3 + A_4 + A_5 + A_6 + o(1), \quad \text{as } n \rightarrow \infty, \end{aligned} \quad (2.10)$$

where

$$\begin{aligned}
 A_1 &= \int_{K_\epsilon} |\nabla u_n|^{p-2} (\nabla u_n \cdot \nabla \psi) (u - u_n) dx, \\
 A_2 &= \int_{K_\epsilon} |\nabla u|^{p-2} (\nabla u \cdot \nabla \psi) (u - u_n) dx, \\
 A_3 &= \int_{K_\epsilon} (\lambda a(x) + 1) (|u_n|^{p-2} u_n u - |u_n|^p) \psi dx, \\
 A_4 &= \int_{K_\epsilon} (\lambda a(x) + 1) (|u|^{p-2} u u_n - |u|^p) \psi dx, \\
 A_5 &= \int_{K_\epsilon} f(x, u_n) \psi (u_n - u) dx, \\
 A_6 &= \int_{K_\epsilon} f(x, u) \psi (u - u_n) dx.
 \end{aligned}$$

Since  $(u_n)$  is bounded in  $E_\lambda$ , thus  $u_n \rightarrow u \in L^p(K_\epsilon)$ . So we have

$$\begin{aligned}
 |A_1| &\leq |\nabla \psi|_\infty \int_{K_\epsilon} |\nabla u_n|^{p-1} |u_n - u| dx \\
 &\leq |\nabla \psi|_\infty \|u_n\|_\lambda^{p-1} \|u_n - u\|_{p, K_\epsilon} \\
 &= o(1), \quad \text{as } n \rightarrow \infty.
 \end{aligned}$$

In the same way,  $\lim_{n \rightarrow \infty} A_2 = 0$ . The Hölder inequality,  $a(x)\psi$  is bounded in  $K_\epsilon$  and  $u_n \rightarrow u$  in  $L^p(K_\epsilon)$  imply that

$$\begin{aligned}
 |A_3| &= \left| \int_{K_\epsilon} (\lambda a(x) + 1) \psi |u_n|^{p-2} u_n (u - u_n) dx \right| \\
 &\leq C \left( \int_{K_\epsilon} |u_n|^p dx \right)^{(p-1)/p} \left( \int_{K_\epsilon} |u - u_n|^p dx \right)^{1/p} \\
 &\leq C \|u_n\|_\lambda^{p-1} \|u_n - u\|_{p, K_\epsilon} \\
 &= o(1), \quad \text{as } n \rightarrow \infty.
 \end{aligned}$$

Similarly,  $\lim_{n \rightarrow \infty} A_4 = 0$ . As for  $A_5$ ,

$$\begin{aligned}
 |A_5| &\leq \left( \int_{K_\epsilon} |f(x, u_n)|^{q/(q-1)} dx \right)^{(q-1)/q} \left( \int_{K_\epsilon} |u_n - u|^q dx \right)^{1/q} \\
 &\leq C \left( \int_{K_\epsilon} (1 + u_n^{q-1})^{q/(q-1)} dx \right)^{(q-1)/q} \left( \int_{K_\epsilon} |u_n - u|^q dx \right)^{1/q} \\
 &\leq C \left( \int_{K_\epsilon} (1 + |u_n|^q) dx \right)^{(q-1)/q} \left( \int_{K_\epsilon} |u_n - u|^q dx \right)^{1/q} \\
 &\leq (C + C \|u_n\|_\lambda^{q-1}) \|u_n - u\|_{q, K_\epsilon} \\
 &= o(1), \quad \text{as } n \rightarrow \infty.
 \end{aligned}$$

Similarly,  $\lim_{n \rightarrow \infty} A_6 = 0$ . Therefore, we can rewrite (2.10) as

$$0 \leq \int_K (|\nabla u_n|^{p-2} \nabla u_n - |\nabla u|^{p-2} \nabla u) \cdot \nabla (u_n - u) dx \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

Using the fact that  $(|a|^{p-2}a - |b|^{p-2}b)(a - b) \geq C_p |a - b|^p$  for every  $a, b \in \mathbb{R}^N$  ([13], p.210), we obtain

$$\lim_{n \rightarrow \infty} \int_K |\nabla u_n - \nabla u|^p dx = 0. \quad (2.11)$$

Since  $K$  is arbitrary, (2.4) holds.

For any  $\omega \in C_0^\infty(\mathbb{R}^N)$ , we set  $K = \text{supp}(\omega)$ . From the proof of (2.11), it holds that  $\nabla u_n \rightarrow \nabla u$  and  $u_n \rightarrow u$  in  $L^p(K)$ . Thus

$$\begin{aligned} \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} |\nabla u_n|^{p-2} \nabla u_n \omega dx &= \lim_{n \rightarrow \infty} \int_K |\nabla u_n|^{p-2} \nabla u_n \omega dx \\ &= \int_K |\nabla u|^{p-2} \nabla u \omega dx \\ &= \int_{\mathbb{R}^N} |\nabla u|^{p-2} \nabla u \omega dx, \end{aligned}$$

and

$$\begin{aligned} \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} (\lambda a(x) + 1) |u_n|^{p-2} u_n \omega dx &= \lim_{n \rightarrow \infty} \int_K (\lambda a(x) + 1) |u_n|^{p-2} u_n \omega dx \\ &= \int_K (\lambda a(x) + 1) |u|^{p-2} u \omega dx \\ &= \int_{\mathbb{R}^N} (\lambda a(x) + 1) |u|^{p-2} u \omega dx. \end{aligned}$$

By (f<sub>2</sub>) we have

$$\begin{aligned} \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} f(x, u_n) \omega dx &= \lim_{n \rightarrow \infty} \int_K f(x, u_n) \omega dx \\ &= \int_K f(x, u) \omega dx \\ &= \int_{\mathbb{R}^N} f(x, u) \omega dx. \end{aligned}$$

Hence

$$\langle \Phi'_\lambda(u), \omega \rangle = \lim_{n \rightarrow \infty} \langle \Phi'_\lambda(u_n), \omega \rangle, \quad \forall \omega \in C_0^\infty(\mathbb{R}^N).$$

Since  $C_0^\infty(\mathbb{R}^N)$  is dense in  $E_\lambda$ , for any  $\omega \in E_\lambda$ , we have

$$\langle \Phi'_\lambda(u), \omega \rangle = \lim_{n \rightarrow \infty} \langle \Phi'_\lambda(u_n), \omega \rangle = 0, \quad (2.12)$$

i.e.,  $\Phi'_\lambda(u) = 0$ . Therefore  $u$  is a weak solution of  $(P_\lambda)$ .

Next we consider the new sequence  $u_n^1 = u_n - u$  and we will show that

$$\Phi_\lambda(u_n^1) \rightarrow c - \Phi_\lambda(u), \quad \text{as } n \rightarrow \infty, \quad (2.13)$$

and

$$\Phi'_\lambda(u_n^1) \rightarrow 0, \quad \text{as } n \rightarrow \infty. \quad (2.14)$$

We observe that

$$\begin{aligned} \Phi_\lambda(u_n^1) &= \Phi_\lambda(u_n) - \Phi_\lambda(u) + \frac{1}{p} \int_{\mathbb{R}^N} (|\nabla u|^p - |\nabla u_n|^p + |\nabla u_n^1|^p) dx \\ &\quad + \frac{1}{p} \int_{\mathbb{R}^N} (\lambda a(x) + 1)(|u|^p - |u_n|^p + |u_n^1|^p) dx \\ &\quad + \int_{\mathbb{R}^N} (F(x, u_n^1 + u) - F(x, u_n^1) - F(x, u)) dx. \end{aligned} \quad (2.15)$$

According to Brézis-Lieb Lemma ([14], Lemma 1.32), we can rewrite (2.15) as

$$\Phi_\lambda(u_n^1) = \Phi_\lambda(u_n) - \Phi_\lambda(u) + \int_{\mathbb{R}^N} (F(x, u_n^1 + u) - F(x, u_n^1) - F(x, u)) dx + o(1). \quad (2.16)$$

For any  $\epsilon > 0$ , choose  $R(\epsilon) > 0$  such that

$$\int_{B_{R(\epsilon)}^c} |u|^p dx \leq \epsilon, \quad \int_{B_{R(\epsilon)}^c} |u|^q dx \leq \epsilon, \quad (2.17)$$

where  $B_{R(\epsilon)}^c = \{x \in \mathbb{R}^N : |x| \geq R(\epsilon)\}$ . By (f<sub>1</sub>)-(f<sub>3</sub>), we have

$$\begin{aligned} &\int_{B_{R(\epsilon)}^c} |F(x, u_n^1 + u) - F(x, u_n^1)| dx \\ &\leq \int_{B_{R(\epsilon)}^c} |f(x, u_n^1 + \xi u)| \cdot |u| dx \\ &\leq C \int_{B_{R(\epsilon)}^c} ( (|u_n^1| + |u|)^{p-1} + (|u_n^1| + |u|)^{q-1} ) \cdot |u| dx \\ &\leq C \int_{B_{R(\epsilon)}^c} ( |u_n^1|^{p-1} + |u|^{p-1} + (|u_n^1| + |u|)^{q-1} ) \cdot |u| dx \\ &\leq C \|u_n^1\|_{L^p(B_{R(\epsilon)}^c)}^{p-1} \cdot \|u\|_{L^p(B_{R(\epsilon)}^c)} + C \|u\|_{L^p(B_{R(\epsilon)}^c)}^p \\ &\quad + C \left( \int_{B_{R(\epsilon)}^c} (|u_n^1| + |u|)^q dx \right)^{(q-1)/q} \left( \int_{B_{R(\epsilon)}^c} |u|^q dx \right)^{1/q} \\ &= O(\epsilon). \end{aligned}$$

By (2.1) and (f<sub>3</sub>),

$$\int_{B_{R(\epsilon)}^c} F(x, u) dx \leq C \int_{B_{R(\epsilon)}^c} (|u|^p + |u|^q) dx = O(\epsilon).$$

Since  $\epsilon$  is arbitrary, we obtain (2.13).

For any  $\omega \in E_\lambda$ , it holds that

$$\langle \Phi'_\lambda(u_n^1), \omega \rangle = \langle \Phi'_\lambda(u_n), \omega \rangle - \langle \Phi'_\lambda(u), \omega \rangle - \int_{\mathbb{R}^N} (f(x, u_n^1) - f(x, u_n) + f(x, u)) \omega dx + A + B$$

where

$$\begin{aligned} A &:= \int_{\mathbb{R}^N} (|\nabla u_n^1|^{p-2} \nabla u_n^1 + |\nabla u|^{p-2} \nabla u - |\nabla u_n|^{p-2} \nabla u_n) \nabla \omega dx, \\ B &:= \int_{\mathbb{R}^N} (\lambda a(x) + 1) (|u_n^1|^{p-2} u_n^1 + |u|^{p-2} u - |u_n|^{p-2} u_n) \omega dx. \end{aligned}$$

By Hölder inequality and Lemma 2.7, set  $\eta_n = \nabla u_n^1$  and  $\vartheta = \nabla u$ , we have

$$\begin{aligned} |A| &\leq \left( \int_{\mathbb{R}^N} (|\nabla u_n^1|^{p-2} \nabla u_n^1 + |\nabla u|^{p-2} \nabla u - |\nabla u_n|^{p-2} \nabla u_n)^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}} \|\nabla \omega\|_p \\ &\leq o(1) \|\omega\|_\lambda, \quad \text{as } n \rightarrow \infty. \end{aligned}$$

Choose  $\eta_n = u_n^1$  and  $\vartheta = u$ , by Hölder inequality and Remark 2.8, it holds that

$$\begin{aligned} |B| &\leq \left( \int_{\mathbb{R}^N} (\lambda a(x) + 1) (|u_n^1|^{p-2} u_n^1 + |u|^{p-2} u - |u_n|^{p-2} u_n)^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}} \left( \int_{\mathbb{R}^N} (\lambda a(x) + 1) |\omega|^p dx \right)^{\frac{1}{p}} \\ &\leq o(1) \|\omega\|_\lambda, \quad \text{as } n \rightarrow \infty. \end{aligned}$$

Therefore, in order to obtain (2.14), by (2.12) we only need to show

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} (f(x, u_n^1) - f(x, u_n) + f(x, u)) \omega dx = 0. \quad (2.18)$$

For any  $\epsilon > 0$ , choose  $R(\epsilon) > 0$  such that

$$\left( \int_{B_{R(\epsilon)}^c} |u|^p dx \right)^{1/p} \leq \epsilon, \quad \left( \int_{B_{R(\epsilon)}^c} |u|^q dx \right)^{1/q} \leq \epsilon.$$

Thus

$$\begin{aligned} \int_{B_{R(\epsilon)}^c} |f(x, u) \omega| dx &\leq C \int_{B_{R(\epsilon)}^c} (|u|^{p-1} + |u|^{q-1}) |\omega| dx \\ &\leq C \cdot \epsilon^{p-1} \cdot \|\omega\|_\lambda + C \cdot \epsilon^{q-1} \cdot \|\omega\|_\lambda, \end{aligned}$$

and

$$\begin{aligned} &\int_{B_{R(\epsilon)}^c} |f(x, u_n^1) - f(x, u_n^1 + u)| \cdot |\omega| dx \\ &\leq \int_{B_{R(\epsilon)}^c} |f_t(x, u_n^1 + \xi u)| \cdot |u| \cdot |\omega| dx \\ &\leq C \int_{B_{R(\epsilon)}^c} ( (|u_n^1| + |u|)^{p-2} + (|u_n^1| + |u|)^{q-2} ) \cdot |u| \cdot |\omega| dx \\ &\leq C \int_{B_{R(\epsilon)}^c} ( |u_n^1|^{p-2} + |u|^{p-2} + |u_n^1|^{q-2} + |u|^{q-2} ) \cdot |u| \cdot |\omega| dx \\ &\leq C \|u_n^1\|_{L^p(B_{R(\epsilon)}^c)}^{p-2} \cdot \|u\|_{L^p(B_{R(\epsilon)}^c)} \cdot \|\omega\|_\lambda + C \|u\|_{L^p(B_{R(\epsilon)}^c)}^{p-1} \cdot \|\omega\|_\lambda \\ &\quad + C \|u_n^1\|_{L^q(B_{R(\epsilon)}^c)}^{q-2} \cdot \|u\|_{L^q(B_{R(\epsilon)}^c)} \cdot \|\omega\|_\lambda + C \|u\|_{L^q(B_{R(\epsilon)}^c)}^{q-1} \cdot \|\omega\|_\lambda \\ &\leq C \cdot \epsilon \cdot \|\omega\|_\lambda + C \cdot \epsilon^{p-1} \cdot \|\omega\|_\lambda + C \cdot \epsilon^{q-1} \cdot \|\omega\|_\lambda. \end{aligned}$$

By Lebesgue's Dominated Convergence Theorem, it holds that

$$\lim_{n \rightarrow \infty} \int_{B_{R(\epsilon)}} (f(x, u_n^1) - f(x, u_n) + f(x, u)) \omega dx = 0.$$

Since  $\epsilon$  is arbitrary, we obtain (2.18). This completes the proof.  $\square$

**Proof of Proposition 2.2** Choose  $0 < \epsilon < \delta_0 c_0 / 2$ , here  $c_0 > 0$  is given by Lemma 2.4 and  $\delta_0 > 0$  is given by Lemma 2.5. According to Lemma 2.6, we choose  $\Lambda_\epsilon > 0$  and  $R_\epsilon > 0$ , then  $\Lambda_c = \Lambda_\epsilon$  is required. Considering a  $(PS)_c$ -sequence  $(u_n)$  of  $\Phi_\lambda$  where  $\lambda \geq \Lambda_c$  and  $c \neq 0$ . By Lemma 2.9,  $u_n^1 = u_n - u$  is a  $(PS)_{c'}$ -sequence of  $\Phi_\lambda$  where  $c' = c - \Phi_\lambda(u)$ .

Assume  $c' \neq 0$ , then by Lemma 2.4, we have  $c' \geq c_0 > 0$ . By Lemma 2.5,

$$\liminf_{n \rightarrow \infty} \|u_n^1\|_q^q \geq \delta_0 c' \geq \delta_0 c_0.$$

Lemma 2.6 implies that

$$\limsup_{n \rightarrow \infty} \|u_n^1|_{B_{R(\epsilon)}}\|_q^q \leq \epsilon < \frac{\delta_0 c_0}{2}.$$

Assume that  $u_n^1 \rightharpoonup u^1 \in E_\lambda$ . By the definition of  $u_n^1$ ,  $u^1 = 0$ . Then

$$\begin{aligned} \delta_0 c_0 &\leq \liminf_{n \rightarrow \infty} \|u_n^1\|_q^q \\ &\leq \limsup_{n \rightarrow \infty} \|u_n^1\|_q^q \\ &< \limsup_{n \rightarrow \infty} \|u_n^1|_{B_{R(\epsilon)}}\|_q^q + \lim_{n \rightarrow \infty} \int_{B_{R(\epsilon)}} |u_n^1|^q dx \\ &\leq \frac{\delta_0 c_0}{2}, \end{aligned}$$

a contradiction. Therefore the assumption does not hold and so  $c' = 0$ .

From the proof of Lemma 2.4, we have  $u_n^1 \rightarrow 0$ , i.e.,  $u_n \rightarrow u$ . This completes the proof of Proposition 2.2.

### 3 Proof of Theorem 1.1

In order to prove Theorem 1.1, we will consider a constrained minimizing problem on some Nehari manifold. Inspired by [12], using the symmetrical assumption on  $a(x)$  and  $f(x, t)$ , this minimizing problem will be further constrained on a symmetrical Nehari manifold by Palais principle of symmetric criticality([10]). Set

$$N_\lambda = \{u \in E_\lambda \setminus \{0\} : \langle \Phi'_\lambda(u), u \rangle = 0\} = \{u \in E_\lambda \setminus \{0\} : \|u\|_\lambda^p = \int_{\mathbb{R}^N} f(x, u) u dx\}.$$

**Proof of Theorem 1.1** Denote  $x = (y, z) = (y_1, y_2, z_3, \dots, z_N) \in \mathbb{R}^N$ . Let  $O(2)$  be the group of orthogonal transformations acting on  $\mathbb{R}^2$  by  $(g, y) \mapsto gy$ . For any integer  $m(m \geq 2)$ , define a subgroup  $G_m$  of  $O(2)$ (see [12]) as follows.  $G_m$  is generated by  $\alpha$  and  $\beta$  where  $\alpha$  is the

rotation in the  $y$ -plane by angle  $\frac{2\pi}{m}$  and  $\beta$  is a reflection. If  $m = 2$ ,  $\beta$  is a reflection in the line  $y_1 = 0$ , otherwise,  $\beta$  is a reflection in the line  $y_2 = y_1 \tan \frac{\pi}{m}$ . Write  $\omega = y_1 + iy_2$ , then

$$\alpha\omega = \omega e^{\frac{2\pi}{m}i},$$

$$\beta\omega = \bar{\omega} e^{\frac{2\pi}{m}i}.$$

For all  $g \in G_m, x \in \mathbb{R}^N$ , denote  $gx := (gy, z)$ . Define the action of  $G_m$  on  $E_\lambda$  as

$$(gu)x := \det(g)u(g^{-1}x).$$

We claim that  $\Phi_\lambda$  is invariant under  $G_m$ . That is to say  $\Phi_\lambda \circ g = \Phi_\lambda$  for all  $g \in G_m$ . Indeed, by  $g \in O(2)$ , conditions (a<sub>3</sub>), (f<sub>4</sub>), (f<sub>5</sub>) and the fact that Lebesgue measure is invariant under orthogonal transformation, we have

$$\begin{aligned} \Phi_\lambda(gu) &= \frac{1}{p} \int_{\mathbb{R}^N} (|\nabla(gu)(x)|^p + (\lambda a(x) + 1)|gu(x)|^p) dx - \int_{\mathbb{R}^N} F(x, (gu)(x)) dx \\ &= \frac{1}{p} \int_{\mathbb{R}^N} (|\nabla u(g^{-1}x)|^p + (\lambda a(x) + 1)|u(g^{-1}x)|^p) dx - \int_{\mathbb{R}^N} F(x, \det(g)u(g^{-1}x)) dx \\ &= \frac{1}{p} \int_{\mathbb{R}^N} (|\nabla u(g^{-1}x)|^p + (\lambda a(g^{-1}x) + 1)|u(g^{-1}x)|^p) dx - \int_{\mathbb{R}^N} F(g^{-1}x, u(g^{-1}x)) dx \\ &= \frac{1}{p} \int_{\mathbb{R}^N} (|\nabla u(g^{-1}x)|^p + (\lambda a(g^{-1}x) + 1)|u(g^{-1}x)|^p) dg^{-1}x - \int_{\mathbb{R}^N} F(g^{-1}x, u(g^{-1}x)) dg^{-1}x \\ &= \frac{1}{p} \int_{\mathbb{R}^N} (|\nabla u(x)|^p + (\lambda a(x) + 1)|u(x)|^p) dx - \int_{\mathbb{R}^N} F(x, u(x)) dx = \Phi_\lambda(u). \end{aligned}$$

Set

$$V = \{u \in E_\lambda : u(gx) = \det(g)u(x), \forall g \in G_m\}$$

and define

$$N_\lambda^{G_m} := \{u \in N_\lambda : gu = u, \forall g \in G_m\} = N_\lambda \cap V.$$

Then for all  $u \in N_\lambda^{G_m}$ , we have

$$gu(x) = \det(g)u(g^{-1}x) = \det(g)\det(g^{-1})u(x) = u(x), \quad \forall g \in G_m.$$

By the definition of Nehari manifold  $N_\lambda$ , critical points of  $\Phi_\lambda$  constrained on  $N_\lambda$  (see [14]) are critical points of  $\Phi_\lambda$ . Moreover, by Palais principle of symmetric criticality ([10]), we only need to find critical points of  $\Phi_\lambda$  restricted on  $N_\lambda^{G_m}$ .

Therefore, consider the following minimizing problem

$$C_\lambda^{G_m} = \inf_{u \in N_\lambda^{G_m}} \Phi_\lambda(u).$$

By (f<sub>3</sub>) and the definition of  $N_\lambda$ ,  $\Phi_\lambda$  bounded from below on  $N_\lambda^{G_m}$ , so  $-\infty < C_\lambda^{G_m} < \infty$ . Choose  $c = C_\lambda^{G_m}$ , let  $\Lambda_m := \Lambda_c$  be the corresponding constant given in Proposition 2.2. Assume  $\lambda \geq \Lambda_m$  and  $(u_n) \subset N_\lambda^{G_m}$  is a minimizing sequence of  $\Phi_\lambda$ . According to the Ekeland variational principle (Theorem 8.5 in [14]), we can assume  $(u_n)$  is a  $(PS)_c$ -sequence. By Proposition 2.2, the infimum is achieved by some  $u \in N_\lambda^{G_m}$ , that is to say,  $\Phi_\lambda(u) = C_\lambda^{G_m}$ .

From the definition of  $V$  and the fact that  $\det(\beta) = -1$ ,

$$u(\beta x) = \det(\beta)u(x) = -u(x).$$

So  $u$  will change sign when  $(y_1, y_2)$  cross perpendicularly the half lines  $y_2 = \pm y_1 \tan \frac{\pi j}{m}$  ( $y_1 \geq 0$ ),  $j = 1, 2, \dots, m$ . Hence  $u$  is a nodal solution with at least  $2m$  nodal domains.

This completes the proof of Theorem 1.1

### Acknowledgments

The author thanks the referee for careful reading of the manuscript and insightful comments.

### References

- [1] C.O. Alves, Existence of positive solutions for a problem with lack of compactness involving the  $p$ -Laplacian. *Nonlinear Anal.* **51**(2002), pp 1187-1206.
- [2] T. Bartsch, A. Pankov and Z.Q. Wang, Nonlinear Schrödinger equations with steep potential well. *Communications in Contemporary Mathematics* **3**(2001), pp 549–569.
- [3] T. Bartsch and Z.Q. Wang, Existence and multiplicity results for some superlinear elliptic problems on  $\mathbb{R}^N$ . *Comm. Partial Differential Equations* **20**(1995), pp 1725-1741.
- [4] T. Bartsch and Z.Q. Wang, Multiple positive solutions for a nonlinear Schrödinger equation. *Zeitschrift für Angewandte Mathematik und Physik* **51**(2000), pp 366-384.
- [5] T. Bartsch, Z.Q. Wang and M. Willem, The Dirichlet problem for superlinear elliptic equations. *Handbook of Differential Equations: Stationary Partial Differential Equations* **2**(2005), pp 1-55.
- [6] C. Brouttelande, The best constant problem for a family of Gagliardo-Nirenberg inequalities on a compact Riemannian manifold. *Proc. Edinburgh Math. Soc.* **46**(2003), pp 117-146.
- [7] John B. Conway, A Course in Functional Analysis. *Springer-Verlag* 1985.
- [8] M.F. Furtado, Multiple minimal nodal solutions for a quasilinear Schrödinger equation with symmetric potential. *Journal of Mathematical Analysis and Applications* **304**(2005), pp 170-188.
- [9] D. Fortunato and E. Jannelli, Infinitely many solutions for some nonlinear elliptic problems in symmetrical domains. *Proc. Roy. Soc. Edinburgh A* **105**(1987), pp 205-213.
- [10] R.S. Palais, The principle of symmetric criticality. *Comm. Math. Phys.* **69**(1979), pp 19-30.
- [11] W. Rudin, Real and Complex Analysis. *McGraw-Hill* 1970.

- [12] A. Szulkin and S. Waliullah, Sign-changing and symmetry-breaking solutions to singular problems. *Complex Variables and Elliptic Equations*, First published on 02 February 2011, doi:10.1080/17476933.2010.504849.
- [13] J.L. Vázquez, A strong Maximum Principle for some quasilinear elliptic equations. *Appl. Math. Optim.* **12**(1984), pp 191-202.
- [14] M. Willem, Minimax Theorems. *Birkhäuser* 1996.