

## FINITE DIMENSIONAL $H$ -SPACES<sup>1</sup>

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1. **Introduction.** An  $H$ -space is a space  $X$  with base point  $e$  equipped with a continuous function

$$X \times X \xrightarrow{\mu} X$$

such that  $\mu(x, e) = x = \mu(e, x)$  for all  $x \in X$ . This condition can also be formulated as follows. The *wedge*  $X \vee X$  of  $X$  is defined by

$$X \vee X = (X \times e) \cup (e \times X) \subset X \times X,$$

and the *folding map*  $\nabla$  is given by

$$\nabla(x, e) = x, \quad \nabla(e, x) = x, \quad \nabla: X \vee X \rightarrow X.$$

The  $H$ -space condition is then that the following diagram commutes

$$\begin{array}{ccc} X \times X & \xrightarrow{\mu} & X \\ \uparrow i & \nearrow \nabla & \\ X \vee X & & \end{array}$$

where  $i$  is the inclusion map. This formulation has the advantage that we can now relax the condition and just require that the diagram be homotopy commutative; i.e., that the maps  $\mu \circ i$  and  $\mu \circ i \sim \nabla$ .

When one is considering different  $H$ -space structures  $\mu, \mu'$  on an  $H$ -space  $X$  this distinction between the diagram commuting and homotopy commutating is important. But we will be concerned solely

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<sup>3</sup> The author felt no need to expand the talk to a survey of  $H$ -spaces because of the monograph of Stasheff, " $H$ -spaces from the homotopy point of view," Springer, 1970. The only exceptions are §8, which notes some recent results not covered in the talk (nor in Stasheff's monograph), and a bibliography much more extensive than just references made in the paper.

with the question: Given  $X$ , does  $X$  support any  $H$ -space structure  $\mu$ . Then the distinction is unimportant. For if  $X$  is nice enough to have the homotopy extension property and  $\mu \circ i \sim \nabla$ , then there exists a  $\mu': X \times X \rightarrow X$  with  $\mu' \circ i = \nabla$ . From this it also follows easily that if  $Y$  is an  $H$ -space and  $X$  has the homotopy type of  $Y$ ,  $X \sim Y$ , then  $X$  is also an  $H$ -space.

An important example of  $H$ -spaces is the *space of loops* in a space. If  $y_0 \in Y$ , we define

$$\Omega(Y, y_0) = \{f: [0, 1] \rightarrow Y \mid f \text{ is continuous, } f(0) = f(1) = y_0\},$$

and topologize this set with the compact-open topology. An  $H$ -space structure  $\mu: \Omega \times \Omega \rightarrow \Omega$  on  $\Omega$  is given by

$$\begin{aligned} \mu(f, g)(t) &= f(2t), & 0 \leq t \leq 1/2, \\ &= g(2t - 1), & 1/2 \leq t \leq 1. \end{aligned}$$

Thus, in a vague sense, we have as many  $H$ -spaces as we have topological spaces. But loop spaces are usually infinite dimensional in a very real sense. For example, it is a classical result due to M. Morse that

$$H^{k(n-1)}(\Omega(S^n, y_0); \mathbf{Z}) \neq 0$$

for  $k = 1, 2, 3, \dots$ .

So we will consider finite-dimensional  $H$ -spaces. As a matter of fact we consider *compact, simply connected manifolds* and ask which of these will support an  $H$ -space structure. For many of the important  $H$ -space questions we are ignoring (e.g. how many  $H$ -space structures a given  $H$ -space  $X$  has, does  $X$  have homotopy associative or homotopy commutative structures, etc.) the reader is referred to Stasheff's monograph.

We begin by recalling the classification of a very special class of  $H$ -spaces.

**2. Lie groups.** A *topological group*  $(X, \mu)$  is an  $H$ -space such that  $\mu$  is associative and such that left translations by elements  $a$  of  $X$

$$\begin{aligned} L_a: X &\rightarrow X \\ x &\rightarrow \mu(a, x) \end{aligned}$$

are homeomorphisms. Since the affirmative solution to Hilbert's fifth problem by Gleason and Montgomery-Zippin, we know that if  $X$  is a manifold which is a topological group, then  $X$  is actually a Lie group; i.e. it has a differentiable structure in which the group operations are analytic. Powerful analytic and algebraic tools have been

used in the study of Lie groups and all Lie groups are known. All Lie groups can be built up from vector groups, tori and *simple* groups (those having no closed normal subgroups). All of the simple groups are known from the work of E. Cartan, Killing and Weyl.

The compact simply connected simple Lie groups are:

(i) The *spin groups*  $\text{Spin}(n)$ , of dimension  $n(n-1)/2$  (these are double coverings of the rotation groups  $S\mathcal{O}(n)$ ).

(ii) The *special unitary groups*  $\text{SU}(n)$ , of dimension  $n^2-1$ .

(iii) The *symplectic groups*  $\text{Sp}(n)$ , of dimension  $2n^2+n$ .

(iv) The five *exceptional groups*,  $G_2, F_4, E_6, E_7, E_8$  of dimensions 14, 52, 78, 133, 248.

The existence of these exceptional groups is mysterious and one has the impression that he is looking at a small part of a jig-saw puzzle. It is a long-range hope that by studying  $H$ -spaces we will see more of the puzzle and that these groups will be a part of some reasonable pattern.

Incidentally, in classifying spaces which support a Lie group structure one need not worry about what kind of equivalence of spaces is used (e.g. homotopy equivalence, homeomorphism). It is a remarkable theorem due to Baum and Browder [8] that if two simple Lie groups  $G_1, G_2$  are homotopy equivalent, then they are *isomorphic*. This result was extended by Scheerer [64] to all simply connected Lie groups.

**3. Equivalence of spaces.** For classifying  $H$ -spaces there is no result like the Baum-Browder result for Lie groups, and we must decide what is the most appropriate equivalence relation. If we insist on homeomorphism as an equivalence, we get too many  $H$ -spaces to make classification reasonable. This is pointed up sharply by the following theorem due to John Morgan (unpublished).

**THEOREM.** *Let  $M^n$  be a compact simply connected manifold with  $n \geq 6$ . Then there exist infinitely many nonhomeomorphic manifolds  $\{M_i\}$  each with the homotopy type of  $M$  if and only if*

$$H^{4k}(M^n; \mathcal{Q}) \neq 0$$

for some  $k > 0$  with  $4k < n$ .

If we have an  $H$ -space with such cohomology, then all of the  $M_i$  will also be  $H$ -spaces. For example,

$$H^8(\text{SU}(4); \mathcal{Q}) \neq 0,$$

so these are infinitely many  $H$ -space manifolds all with the homotopy type of  $\text{SU}(4)$ .

Thus we work with homotopy types—two manifolds are equivalent if they have the same homotopy type. This will eliminate the problem we had using homeomorphism as equivalence, for it is a recent result of Curjel-Douglas [26] that in any dimension  $n$  there are only finitely many homotopy types of spaces which will support an  $H$ -space structure.

In working toward a classification there are obviously two lines of attack.

- A. Find conditions  $X$  must satisfy if it is to be an  $H$ -space.
- B. Find new  $H$ -spaces.

We will be concerned with A in the next two sections.

**4. Cohomology and homotopy of  $H$ -spaces.** There is a classical result due to H. Hopf [38] about the rational cohomology of a (finite-dimensional)  $H$ -space  $X$ ; namely,

$$H^*(X; \mathcal{Q}) = \Lambda(x_1, \dots, x_r),$$

that is,  $H^*(X; \mathcal{Q})$  is an exterior algebra. Furthermore, the dimension of each generator  $x_i$  is odd.

(This contrasts sharply with the situation for infinite-dimensional  $H$ -spaces. For example,  $\Omega(S^{2n+1}, y_0)$  has, as cohomology ring, a polynomial algebra on one generator of dimension  $2n$ .)

The number  $r$  of generators in

$$H^*(X; \mathcal{Q}) = \Lambda(x_1, \dots, x_r)$$

is called the *rank* of the  $H$ -space  $X$ . If  $X$  is a Lie group, this agrees with the usual definition of rank.

A. Borel [10] has calculated that  $\mathbf{Z}_p$  cohomology of an  $H$ -space ( $p$  a prime)

$$H^*(X; \mathbf{Z}_p) = \Lambda(x_1, \dots, x_r) \oplus \mathbf{Z}_p[y_1, \dots, y_m]/(y_1^{p r_1} \cdots y_m^{p r_m}).$$

That is, it is a sum of an exterior algebra and a truncated polynomial algebra. If  $p > 2$  then the  $x_i$  have odd dimension and  $y_j$  have even dimension. If  $p = 2$  all generators have odd dimension.

These theorems make it easy to eliminate some candidates for  $H$ -spaces. For example, consider principal  $S^7$  bundles over  $SU(3)$ . By using the classifying bundle  $S^7 \rightarrow S^{15} \rightarrow S^8$  and a simple Serre spectral sequence argument one sees that only the trivial bundle  $S^7 \times SU(3)$  has a possible cohomology ring to be an  $H$ -space. It is interesting to contrast this with a theorem of Curtis-Mislin [27] showing that all principal  $SU(3)$  bundles over  $S^7$  are  $H$ -spaces.

W. Browder [17] proved that a finite-dimensional  $H$ -space  $X$  has  $\pi_2(X) = 0$ . (For  $X$  a Lie group this had been proved by Hopf.) He

also showed that  $X$  satisfies Poincaré Duality (without, of course, assuming, as we are, that our spaces are manifolds).

**5. Impossible rings.** A useful technique in eliminating candidates for  $H$ -spaces is based on the fact that *not all rings are realizable as cohomology rings of spaces*. For example, there does not exist a finite complex  $Y$  with torsion-free  $\mathbf{Z}$ -homology with the following cohomology ring (with  $\mathbf{Z}$  coefficients)

$$\begin{array}{cccccccccccc}
 H^2 & H^4 & H^6 & H^8 & H^{10} & H^{12} & H^{14} & H^{16} & H^{18} & H^{20} & H^{22} & H^{24} \\
 0 & 0 & 0 & \mathbf{Z} & 0 & \mathbf{Z} & 0 & \mathbf{Z} & 0 & \mathbf{Z} & 0 & \mathbf{Z} \\
 \text{generators:} & & & \downarrow x_1 & & \downarrow x_2 & & \downarrow x_3 & & \downarrow x_4 & & \downarrow x_5
 \end{array}$$

The generators are required to satisfy

$$x_1^2 = x_3, \quad x_2^2 = x_5, \quad x_1 x_2 = x_4.$$

The rest of the ring is arbitrary. This result has been proved independently by Hubbuck [41] and Douglas-Sigrist [30]. Its application to  $H$ -spaces is via the *Projective plane of an  $H$ -space*, a notion due to Stasheff [68]. We describe this briefly to show why the impossible ring given above was important.

Recall that the *Hopf construction*  $H(f)$  on a map  $f: A \times B \rightarrow C$  is the map of the join  $A * B$  to the suspension  $\Sigma C$  given by the formula

$$H(f)(a, b, t) = (f(a, b), t).$$

The projective plane  $P_2X$  of an  $H$ -space  $X$ ,  $\mu: X \times X \rightarrow X$ , is the mapping cone of  $H(\mu): X * X \rightarrow \Sigma X$ .

If  $X$  has dimension  $n$ , then  $P_2X$  has dimension  $2n+2$ . The term projective plane is justified somewhat by the following facts.

$$\begin{aligned}
 P_2(S^0) &= \mathbf{R}P^2, \\
 P_2(S^1) &= \mathbf{C}P^2, \\
 P_2(S^3) &= \mathbf{H}P^2 \text{ (quaternionic)}, \\
 P_2(S^7) &= \text{Cayley projective plane.}
 \end{aligned}$$

The cohomology of  $P_2X$  has been calculated by Browder and Thomas [20], and these results have been quite useful in eliminating certain candidates for  $H$ -spaces. Suppose some  $S^7$  bundle over  $S^{11}$ ,  $S^7 \rightarrow X \rightarrow S^{11}$ , were an  $H$ -space. Then  $H^*(P_2X; \mathbf{Z})$  would be the impossible ring given at the beginning of this section. Thus no  $S^7$  bundle over  $S^{11}$  is an  $H$ -space. Similarly one shows that no  $S^7$  bundle over  $S^{15}$  is an  $H$ -space. These were the only cases of sphere bundles over spheres which had not already been decided by Frank Adams [2].

**6. Pre-Zabrodsky  $H$ -spaces.** We now come to part B, the construction of new  $H$ -spaces. Recall that we consider only compact simply connected manifolds. Before 1968 only  $S^7$  and Lie groups (and, of course, products of these) were known. In 1968 the *Hilton-Roitberg Criminal  $X$*  [36], [37] appeared. The space  $X$  is a principal  $S^3$  bundle over  $S^7$  with the remarkable property that although  $X$  does not have the homotopy type of  $\mathrm{Sp}(2)$ , the spaces  $X \times S^3$  and  $\mathrm{Sp}(2) \times S^3$  are *diffeomorphic*. This shows  $X$  is an  $H$ -space since it is a retract of the Lie group  $X \times S^3$ , and it is easy to see that any retract of an  $H$ -space is an  $H$ -space. Unfortunately, the technique used here does not seem to work to produce more examples of  $H$ -spaces. But  $X$  was the first example (except  $S^7$ ) which does not have the homotopy type of any Lie group.

Now there is a new method due to Zabrodsky [83] which produces scads of examples.

**7. Mixing homotopy types.** A map  $f : X \rightarrow Y$  is a *rational equivalence* if

$$f^* : H^*(Y; \mathcal{Q}) \rightarrow H^*(X; \mathcal{Q})$$

is an isomorphism. It is a  $p$ -equivalence if  $f^*$  is an isomorphism using  $\mathbf{Z}_p$  as coefficients. If  $\mathcal{O}$  is the set of all primes and  $\mathcal{O}_1 \subset \mathcal{O}$ , a  $\mathcal{O}_1$  *equivalence* means a  $p$ -equivalence for each  $p \in \mathcal{O}_1$ .

**THEOREM (ZABRODSKY).** *Let  $f : X \rightarrow Y$  be a rational equivalence and let  $\mathcal{O}_1 \subset \mathcal{O}$ . Then  $f$  may be factored as*

$$X \xrightarrow{f_1} X(\mathcal{O}_1) \xrightarrow{f_2} Y$$

where  $f_1$  is a  $\mathcal{O}_1$  (and rational) equivalence,  $f_2$  is a  $\mathcal{O} - \mathcal{O}_1$  (and rational) equivalence, and  $f_2$  is a fibration. Moreover, if  $X$  and  $Y$  are  $H$ -spaces and  $f$  is an  $H$ -map, then  $X(\mathcal{O}_1)$  is an  $H$ -space and  $f_1, f_2$  are  $H$ -maps.

Instead of creating new  $H$ -spaces such as  $X(\mathcal{O}_1)$ , one may have a candidate at hand and want to establish that it is indeed an  $H$ -space. For that purpose the following corollary to Zabrodsky's theorem is useful.

**COROLLARY [27].** *Given*

$$X_0 \xrightarrow{f} X_1 \xrightarrow{g} X_2,$$

*$f, g$  rational equivalences such that  $f$  is a  $\mathcal{O}_1$ -equivalence and  $g$  is a  $\mathcal{O}_2$ -equivalence with  $\mathcal{O}_1 \cup \mathcal{O}_2 = \mathcal{O}$ . Suppose  $X_0, X_2$  are  $H$ -spaces having*

homotopy types of finite CW complexes. Then  $X_1$  is an  $H$ -space of the homotopy type of a finite CW complex.

Here is a simple example of how this corollary may be used.

**THEOREM [27].** All principal  $SU(3)$  bundles over  $S^7$  are  $H$ -spaces.

**PROOF.** Such bundles are classified up to bundle equivalence by homotopy classes of maps

$$f: S^7 \rightarrow B_{SU(3)}$$

and  $\pi_7(B_{SU(3)}) = \pi_6(SU(3)) = \mathbf{Z}_6$  by a result due to R. Bott [11]. A generator  $\alpha: S^7 \rightarrow B_{SU(3)}$  has pullback (= induced bundle)

$$SU(3) \rightarrow SU(4) \rightarrow S^7.$$

Let  $n$  denote a map  $S^7 \rightarrow S^7$  of degree  $n$ . Let  $Y_n$  be the total space of the bundle induced by

$$S^7 \xrightarrow{n} S^7 \xrightarrow{\alpha} B_{SU(3)}.$$

We easily see that  $Y_n$  is homotopy equivalent to  $Y_{-n}$  (in  $\mathbf{Z}_6$ ), so the only possible different homotopy types are

$$Y_0 = S^7 \times SU(3), \quad Y_1 = SU(4), \quad Y_2 \quad \text{and} \quad Y_3.$$

These are easily seen to be distinct homotopy types since  $\pi_6(Y_n) = \mathbf{Z}_6/n\mathbf{Z}_6$ . Consider the diagram

$$\begin{array}{ccccccc} Y_0 = Y_6 & \xrightarrow{\phi} & Y_2 & \xrightarrow{\psi} & Y_1 = SU(4) & & \\ & & \downarrow & & \downarrow & & \\ & & S^7 & \xrightarrow{3} & S^7 & \xrightarrow{2} & S^7 \xrightarrow{\alpha} B_{SU(3)}. \end{array}$$

Then  $\phi$  is a  $\mathcal{O} - \{3\}$  equivalence and  $\psi$  is a  $\mathcal{O} - \{2\}$  equivalence and both are rational equivalences. Since  $Y_0 = S^7 \times SU(3)$  and  $Y_1 = SU(4)$  are  $H$ -spaces, so is  $Y_2$ . Interchanging 2 and 3 we see that  $Y_3$  is an  $H$ -space. q.e.d.

**8. Remarks.** This section is devoted to a report on a few recent developments in the theory of finite-dimensional  $H$ -spaces. References are not attempted because none of the results are in print at this time. Spaces here are to have the homotopy type of *finite CW complexes*.

(a) The classification of  $H$ -spaces of rank  $\leq 2$  (see §4) is now complete. In the simply connected case this takes us up through dimension 10. The homotopy types are:

$$S^3, (S^3)^2, S^7, \text{SU}(3), (S^3)^3, S^3 \times S^7, \text{Sp}(2) = E_\omega, E_{3\omega}, E_{4\omega}, E_{5\omega}.$$

Here  $\omega$  is the map of  $S^7$  into  $B_{S^3}$  inducing  $\text{Sp}(2)$  and  $n\omega$  denotes a map  $S^7 \rightarrow S^7$  of degree  $n$  following  $\omega$ ,  $E_{n\omega}$  being the induced principal  $S^3$  bundle over  $S^7$ . This work was done by Curtis-Mislin, Hilton-Roitberg and Zabrodsky, but only Zabrodsky succeeded in eliminating the candidates  $E_{2\omega}$  and  $E_{6\omega}$ . Mislin has worked out the non-simply connected case, but his results in dimension 10 are not yet complete. Up through dimension 9 we have the following additions to the list above

$$S^1, PS^3, \text{SO}(3), PS^7, RP^7, \text{PSU}(3).$$

(b) Questions about associativity of the new 10-dimensional  $H$ -spaces are resolved as follows.  $E_{5\omega}$  (which is the Hilton-Roitberg Criminal) has the homotopy type of a loop space; Stasheff [69].  $S^3 \times S^7$  and  $E_{3\omega}$  admit no homotopy associative multiplication.  $E_{4\omega}$  was more difficult, but D. Rector has recently shown it has no homotopy associative multiplication.

(c) A general result as to which of a special class of principal bundles over spheres are  $H$ -spaces has been obtained by Harrison and Stasheff, again using Zabrodsky's method of mixing homotopy types. Let  $G \supset H$  be a pair of topological groups which are finite complexes. Assume that  $G/H = S^n$  and that the element  $\alpha \in \pi_{n-1}(H)$  classifying the principal bundle  $H \rightarrow G \rightarrow S^n$  is of *finite order*. Write

$$\alpha = \alpha_2 + \alpha_3 + \alpha_5 + \cdots + \alpha_p + \cdots + \alpha_q$$

where subscripts represent distinct primes and  $\alpha_p$  has  $p$ th power order. Let  $E_\beta$  be the bundle induced by  $\beta \in \pi_{n-1}(H)$ . Then suppose

$$\beta = \sum \epsilon_i \alpha_i$$

with  $\epsilon_i = 0, \pm 1$ . Then  $E_\beta$  is an  $H$ -space  $\Leftrightarrow$

- (i)  $n$  is odd and  $\epsilon_2 \neq 0$ , or
- (ii)  $n = 1, 3, 7$ .

(d) A contribution to the knowledge of the  $\mathbf{Z}_p$  cohomology of finite-dimensional topological groups  $X$  has recently been made by C. Wilkerson. By using Adams operations (see Hubbuck [41]), he generalized Serre's theorem for compact Lie groups, stating for which primes  $p$ ,  $X$  is a  $p$ -equivalent to a product of spheres.

(e) Curjel has shown that a frequently used hypothesis about  $H$ -spaces is frequently superfluous. Writing

$$H^*(X; \mathcal{Q}) = \Lambda(x_1, \cdots, x_r),$$



he shows that by repeatedly changing the  $H$ -space structure on  $X$ , the generators  $x_1, \dots, x_r$  may all be made primitive, so that  $H^*(X; \mathcal{Q})$  is primitively generated. The result extends to  $H^*(X; \mathcal{Z})$  if it has no torsion.

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