

STRONGLY NEGLIGIBLE SETS IN FRÉCHET MANIFOLDS

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Let s denote the linear metric space which is the countable infinite product of lines. It is known [1] that s is homeomorphic to Hilbert space l_2 and, in light of [8] and [10], to all separable infinite-dimensional Fréchet spaces (and therefore, of course, to all such Banach spaces). We define a Fréchet *manifold* or *F-manifold* to be a separable metric space which admits an open cover by sets homeomorphic to open subsets of s . Banach manifolds, which may be similarly defined, have been studied by a number of authors. From the results cited above it follows that all separable metric Banach manifolds modeled on separable infinite-dimensional Banach spaces are, in fact, *F*-manifolds. Also, clearly, any open subset of an *F*-manifold is an *F*-manifold.

In this paper, we are concerned with homeomorphisms of *F*-manifolds onto dense subsets of themselves. The first result of the type we consider was due to Klee [11], who showed that for any compact set K in l_2 , l_2 is homeomorphic to $l_2 \setminus K$. Recently, there have been a number of results [2], [3], [4], [5], [7], [13], etc., showing that for various types of subsets K of certain linear metric spaces X , X is homeomorphic to $X \setminus K$. Bessaga [7] introduced the term "negligible" for such sets K . In some cases K was assumed compact, in others σ -compact (i.e. the countable union of compact sets) and in others K was assumed to be the countable union of closed sets of infinite deficiency (i.e. of infinite codimension). Indeed several different geometric methods [2], [3], [5], [7], [11] have been used to establish negligibility in various spaces. The results that σ -compact subsets of l_2 and of s are negligible were used in the proofs [1] and [5] that l_2 is homeomorphic to s . Questions of negligibility of subsets in Fréchet and Banach manifolds have also arisen. Where differentiable structures are assumed as for Banach spaces and manifolds and K is assumed closed, Bessaga [7], Corson, Eells and Kuiper [9], Kuiper and Burghilea [12], Moulis [13], Renz [15] and West have investigated conditions under which X and $X \setminus K$ are diffeomorphic,

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or they have used results of this type in other work. However, the results being announced in this paper are concerned only with homeomorphisms, not with diffeomorphisms.

In [6], Henderson, West, and the author introduced the concept of strong negligibility and characterized the strongly negligible closed subsets of an F -manifold. A subset K of a space X is *strongly negligible* if for any open cover G of X there exists a homeomorphism h of X onto $X \setminus K$ such that h is limited by G , i.e., for any $p \in X$ there exists $g \in G$ such that both p and $h(p)$ are elements of g .

A similar concept related to the metric of a space is the concept of metric negligibility. A set K in a metric space X is *metrically negligible* in X if for each $\epsilon > 0$, there exists a homeomorphism h of X onto $X \setminus K$ such that h moves no point more than ϵ . Clearly, in a metric space X , strong negligibility of a set K implies metric negligibility since we may select an open cover of X of mesh less than ϵ . It is non-trivial, but follows from Theorem I below that, in an F -manifold, metric negligibility of a set K implies strong negligibility of K .

Following [4], a closed set K has *Property Z* in a space X if for each nonnull homotopically trivial open set U in X , $U \setminus K$ is nonnull and homotopically trivial. (A set U is homotopically trivial if every map of an n -sphere S^n , $n \geq 0$, into U can be extended to a map into U of an $(n+1)$ -ball bounded by S^n .) In a sense, Property Z is "trivial homotopy negligibility." See [9] for a similar point-of-view.

The following theorem is proved in [6].

THEOREM 0. *A closed set K in an F -manifold X is strongly negligible iff K has Property Z.*

It should be noted that every compact set in an F -manifold X has Property Z in X , that every closed set of infinite deficiency in s or in a separable metric Banach space has Property Z in such space, and that every closed set which is a countable union of closed sets with Property Z in an F -manifold X has Property Z in X .

The principal result of this paper is the following theorem.

THEOREM I. *A set K in an F -manifold X is strongly negligible (or metrically negligible) in X iff K is a countable union of closed sets with Property Z in X .*

Theorem I includes, as special cases or easy corollaries, Theorem 0 and many or all of the previous results on negligibility in F -manifolds X under homeomorphisms of X onto dense subsets of itself.

The proof of necessity in Theorem I is fairly straightforward. We do not outline it here.

The proof of sufficiency depends heavily on the canonical compactification of s as the Hilbert cube I^∞ in which s is regarded as a product of open intervals and the Hilbert cube is regarded as the product of the closures of the open intervals. Thus $I^\infty = \prod_{j>0} I_j$ and $s = \prod_{j>0} I_j^0$ where for each $j>0$, $I_j = [-1, 1]$ and $I_j^0 = (-1, 1)$. We let $B(I^\infty)$ denote $I^\infty \setminus s$. A set $K \subset I^\infty$ is an *apparent boundary* of I^∞ if there exists a homeomorphism h of I^∞ onto I^∞ such that $h(K) = B(I^\infty)$.

In [6], a rather general procedure for reducing certain homeomorphism problems on F -manifolds to homeomorphism problems on the Hilbert cube or on s itself is given. The actual homeomorphism theorems on I^∞ and s that are needed in [6] can be found in [2], [4], [5]. While we use the general procedures of [6] (with slight modifications) to establish sufficiency in Theorem I, we also use the following new homeomorphism theorem about I^∞ .

THEOREM II. *Let $I^\infty \supset K \supset B(I^\infty)$. Then K is an apparent boundary of I^∞ iff K is a countable union of closed sets with Property Z in I^∞ .*

In effect, Theorem II characterizes those apparent boundaries of I^∞ which contain $B(I^\infty)$.

The sufficiency statement of Theorem II can be used to prove the somewhat stronger Theorem IIA below, which is in a form more readily adaptable for application to F -manifolds. An *endslice* of I^∞ is a set W such that for some $i>0$, $W = \{(x_j) \in I^\infty \mid x_i = 1 \text{ (or } -1)\}$.

THEOREM IIA. *Let W^* be a finite union of endslices in I^∞ , let $\epsilon > 0$, and let K be a countable union of closed sets with Property Z in I^∞ such that $K \cap W^* = \emptyset$. Then there exists a homeomorphism h of I^∞ onto I^∞ such that $h \upharpoonright W^* = \text{identity}$, $h(s \setminus K) = s$, and h moves no point more than ϵ .*

The "bridge" between Property Z in s and Property Z in I^∞ is given by the statement, proved in [4], that for any closed set K in s with Property Z in s , $\text{Cl } K$ in I^∞ has Property Z in I^∞ .

OUTLINE OF THE PROOF OF THEOREM II. Since an endslice in I^∞ has Property Z in I^∞ , $B(I^\infty)$ is a countable union of closed sets with Property Z in I^∞ . Hence necessity follows immediately. We shall reduce the proof of sufficiency to three elementary but nontrivial theorems whose formulations require some additional definitions.

A *core* is a set $C = \prod_{j>0} J_j$ where for each $j>0$, J_j is a closed interval contained in I_j^0 . A *basic core set* M structured on a core $C = \prod_{j>0} J_j$ is defined as $M = \{(x_j)_{j>0} \in s \mid \text{for all but finitely many } j, x_j \in J_j\}$. A *core set* is a subset of s which is σ -compact and contains a basic core set. It is easy to verify that a basic core set is a core set.

THEOREM III. *Every core set is an apparent boundary of I^∞ .*

THEOREM IV. For any basic core set M there is a homeomorphism g of I^∞ onto I^∞ such that $g(M) = B(I^\infty)$, and $g \circ g$ is the identity.

THEOREM V. For any set $K \subset I^\infty$ which is the countable union of closed sets with Property Z in I^∞ , there exist a homeomorphism f of I^∞ onto I^∞ and a basic core set M such that $f(K) \cap M = \emptyset$, and $f(B(I^\infty)) = B(I^\infty)$.

Theorems III and IV can be proved by a more delicate argument than that outlined in [4] for the proof of Theorem 11.1 there, together with selected apparatus like that found in [2]. Theorem V can be proved rather routinely from Lemma 6.1 of [4]. We now give a short proof of sufficiency for Theorem II based on Theorems III, IV, and V.

PROOF OF SUFFICIENCY FOR THEOREM II. Let K be as in the hypothesis. Let f be as in Theorem V, and g be as in Theorem IV. Let, by Theorem III, h carry $g \circ f(K)$ onto $B(I^\infty)$. Then $h \circ g \circ f$ is the desired homeomorphism.

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