

A NOTE ON THE MINIMUM MODULUS OF A CLASS OF INTEGRAL FUNCTIONS

S. M. SHAH

A well known theorem due to Littlewood, Wiman, and Valiron¹ states that for any integral function of order less than one-half,

$$\log m(r) > (\text{a positive constant}) \log M(r),$$

on a sequence of circles of indefinitely increasing radius. I consider in this note a class of integral functions which have this property and prove the following theorem.

THEOREM 1. *Hypothesis:*

(1) (R_n) is any sequence of positive numbers such that $R_1 > 1$, $R_n/R_{n-1} \geq \lambda > 1$.

(2) (p_n) is any sequence of positive integers.

(3) $a_{11}, a_{12}, \dots, a_{1p_1}, a_{21}, \dots, a_{2p_2}, \dots$ are a set of points such that $0 < |a_{11}| \leq |a_{12}| \leq \dots$ and such that a finite number a_{n1}, \dots, a_{np_n} lie inside the ring $(R_n - R_n^\alpha < |z| < R_n)$ where $0 < \alpha < 1$.

(4) μ_n is a sequence of positive integers such that $\sum_1^\infty p_n/\beta^{\mu_n}$ is convergent, β being any constant greater than one.

(5) The exponent of convergence of the points

$$a_{nr} \exp(2\pi i\nu/\mu_n),$$

where $r = 1, 2, \dots, p_n$; $\nu = 0, 1, 2, \dots, \mu_n - 1$; $n = 1, 2, 3, \dots$, is ρ ($0 \leq \rho < \infty$).

(6)² Lower bound $\{\mu_n\} \geq 1 + \rho$.

Conclusion:

(7) The canonical product

$$(8) \quad f(z) = \prod_{n=1}^{\infty} \prod_{s=1}^{p_n} \left\{ 1 - \frac{z^{\mu_n}}{a_{ns}} \right\}$$

formed with these points as zeros is of order ρ ; and the values of $r = |z|$ for which the inequality

$$m(r, f) > CM(r, f),$$

Received by the editors February 4, 1946, and, in revised form, November 29, 1946.

¹ G. Valiron, *Lectures on the general theory of integral functions*, pp. 128–130.

² It is possible to choose R_n , p_n , and so on, satisfying the conditions (1) to (6). Example: $R_n = 2^{2^n}$; $p_n = n^2 2^n$; $\mu_n = 2^n$. Here $\rho = 1$.

where $C = C(\lambda, \epsilon) > 0$, is satisfied form a set of upper density greater than $1 - 1/\lambda - \epsilon$.

THEOREM 2. If (1), (2), (3), (4), (5), and (6) hold and if $\rho > 0$ and if furither²

$$(9) \quad \sum_{n=1}^N \mu_n p_n / R_N^\rho \rightarrow \infty \quad \text{with } N \rightarrow \infty$$

then

$$\limsup_{r \rightarrow \infty} \log m(r, f) / r^\rho = \infty,$$

where f is the canonical product (8); and the values of r for which $\log m(r, f) > \Delta r^\rho$ where Δ is any arbitrarily large constant form a set of upper density greater than $1 - 1/\lambda - \epsilon$.

THEOREM 3. Hypothesis: Let $\rho > 0$ be nonintegral and (1), (2), (3), (4), and (5) hold.³

Conclusion:

(10) Any integral function of order ρ with exactly these zeros will be of the form

$$(11) \quad F(z) = e^{g(z)} P(z) \prod_{n=1}^{\infty} \prod_{s=1}^{p_n} \left\{ 1 - \frac{z^{\mu_n}}{a_{ns}^{\mu_n}} \right\},$$

where $g(z)$ is a polynomial of degree not exceeding ρ , $P(z)$ a polynomial;⁴ and the values of r for which

$$\log m(r, F) > (1 - \epsilon) \log M(r, F)$$

holds will form a set of upper density greater than $1 - 1/\lambda - \epsilon$.

THEOREM 4. If $\rho > 0$ and (1), (2), (3), (4), (5), and (9) hold⁵ then conclusion (10) holds.

THEOREM 5. If (1), (2), (3), (4), (5), and (6) hold and if $m_\sigma(r)$ and $M_\sigma(r)$ denote the lower and upper bounds of $|f(z)|$, where $f(z)$ is the canonical product (8), of order ρ ($0 \leq \rho < \infty$) in the annulus $r \leq |z| \leq r + r^\sigma$ ($\sigma < 1 - \rho$) then the values of r for which⁶

² For instance $R_n = 2^{2^n}$, $p_n = n$; $\mu_n = 2^{7(n-1)}$. Here $\rho = 7/2$.

⁴ $P(z)$ is a polynomial having zeros at points $a_{nr} \exp(2\pi i \nu / \mu_n)$, $r = 1, 2, \dots$, p_n ; $\nu = 0, 1, 2, \dots$, $\mu_n - 1$ and $n = 1, 2, \dots$, $n_1 - 1$ only.

⁵ See footnotes 2 and 3.

⁶ For a number of results on the flat regions of integral functions, see J. M. Whitaker, *A property of integral functions of finite order*, Quart. J. Math. Oxford Ser. vol. 2 (1931) pp. 252-258; B. J. Maitland, *The flat regions of integral functions of finite order*, *ibid.* vol. 15 (1944) pp. 84-96; and the references mentioned in the paper of Maitland.

$$m_\sigma(r) > C_1 M_\sigma(r),$$

where⁷ $C_1 = C_1(\lambda, \epsilon) > 0$, holds form a set of upper density greater than $1 - 1/\lambda - \epsilon$.

PROOF OF THEOREM 1. Let $|z| = R = \lambda^\gamma R_k$ ($0 < \gamma < 1$), where k is so large that

$$\lambda^\gamma R_k < R_{k+1} - R_{k+1}^\alpha,$$

$f(z) = P_1 P_2$, where

$$\begin{aligned} P_1 &= \prod_{n=1}^k \prod_{s=1}^{p_n} \left\{ 1 - \frac{z^{\mu_n}}{a_{ns}^{\mu_n}} \right\}, \\ P_2 &= \prod_{n=k+1}^\infty \prod_{s=1}^{p_n} \left\{ 1 - \frac{z^{\mu_n}}{a_{ns}^{\mu_n}} \right\}, \\ |P_1| &\leq \prod_{n=1}^k \prod_{s=1}^{p_n} \left\{ 1 + \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}} \right\} \\ &= \left(\prod_{n=1}^k \prod_{s=1}^{p_n} \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}} \right) \left(\prod_{n=1}^k \prod_{s=1}^{p_n} \left\{ 1 + \frac{|a_{ns}|^{\mu_n}}{R^{\mu_n}} \right\} \right) \\ &= P_{11} P_{12}, \end{aligned}$$

say. Now $|a_{ns}| < R_n$,

$$|P_{12}| \leq \prod_1^k \left\{ 1 + \left(\frac{R_n}{R} \right)^{\mu_n} \right\}^{p_n},$$

and $R_n/R \leq 1/\lambda^\gamma < 1$ for $n = 1, 2, \dots, k$, and $\sum p_n/\lambda^{\gamma \mu_n}$ is convergent. Hence

$$\begin{aligned} |P_{12}| &\leq C_2, \\ |P_2| &\leq \prod_{n=k+1}^\infty \prod_{s=1}^{p_n} \left\{ 1 + \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}} \right\}, \end{aligned}$$

where $|a_{ns}| \geq |a_{k+1,s}| \geq R_{k+1} - R_{k+1}^\alpha$,

$$\frac{R}{|a_{ns}|} \leq \frac{R}{R_{k+1} - R_{k+1}^\alpha} \sim \frac{\lambda^\gamma R_k}{R_{k+1}} \leq \frac{1}{\lambda^{1-\gamma}},$$

and $\sum p_n/\lambda^{(1-\gamma)\mu_n}$ is convergent. Hence

$$|P_2| \leq C_3$$

⁷ C, C_1, C_2, \dots denote finite positive (nonzero) constants.

and so

$$M(R) \leq C_2 C_3 \prod_{n=1}^k \prod_{s=1}^{p_n} \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}}.$$

Further

$$\begin{aligned} |P_1| &= \prod_{n=1}^k \prod_{s=1}^{p_n} \left| 1 - \frac{z^{\mu_n}}{a_{ns}^{\mu_n}} \right| \\ &\geq \prod_{n=1}^k \prod_{s=1}^{p_n} \left\{ \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}} - 1 \right\} \\ &\geq \left(\prod_{n=1}^k \prod_{s=1}^{p_n} \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}} \right) \left(\prod_{n=1}^k \prod_{s=1}^{p_n} \left\{ 1 - \frac{|a_{ns}|^{\mu_n}}{R^{\mu_n}} \right\} \right) \\ &= P_{11} P_{14} \end{aligned}$$

say. Since $\sum p_n / \lambda^{\gamma \mu_n}$ is convergent and

$$|P_2| \geq \prod_{n=k+1}^{\infty} \prod_{s=1}^{p_n} \left\{ 1 - \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}} \right\} \geq C_5,$$

$$(12) \quad m(R) \geq C_4 C_5 \prod_{n=1}^k \prod_{s=1}^{p_n} \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}} \cdots,$$

which gives that $m(R) \geq C_6 M(R)$ where $C_6 = C_6(\lambda, \gamma)$. Now given $\epsilon > 0$ let $\epsilon_1 = \epsilon \lambda^2 / (\lambda + 1 + \epsilon \lambda)$. Writing $\lambda^\gamma = \theta$ and $R = \theta R_k$, where $1 + \epsilon_1 \leq \theta \leq \lambda - \epsilon_1$ and $k \geq K$, K being so large that $R_K(\lambda - \epsilon_1) < R_{K+1} - R_{K+1}^\alpha$, we get $m(R) \geq C(\lambda, \epsilon) M(R)$. This inequality holds good over a set of upper density greater than

$$\frac{(\lambda - \epsilon_1) - (1 + \epsilon_1)}{\lambda - \epsilon_1} = 1 - \frac{1}{\lambda} - \epsilon.$$

PROOF OF THEOREM 2. We know from (12) that $m(R, f) \geq C_4 C_5 X$, where

$$X = \prod_{n=1}^k \prod_{s=1}^{p_n} \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}} \geq \lambda^{(\gamma \sum_1^k \mu_n p_n)}$$

$$\log m(R, f) \geq \log(C_4 C_5) + \log X \geq \log(C_4 C_5) + \gamma \log \lambda \left(\sum_1^k \mu_n p_n \right)$$

$$> \Delta R^\rho$$

for all large R .

Hence $\limsup_{r \rightarrow \infty} \log m(r, f) / r^\rho = \infty$. Further, the values of r for which $\log m(r, f) > \Delta r^\rho$ form a set of upper density greater than $1 - 1/\lambda - \epsilon$.

PROOF OF THEOREM 3. Given $\epsilon > 0$, let $\epsilon_2 = \epsilon / (2 - \epsilon)$. Since

$$\sum \mu_n p_n / (R_n - R_n^\alpha)^{\rho - \epsilon_2}$$

is divergent we have

$$\mu_n p_n \geq R_n^{\rho - \epsilon_2} \quad \text{or } n = k_1, k_2.$$

Let $|z| = R = \lambda^\gamma R_k$ ($0 < \gamma < 1$ and $1 + \epsilon_1 \leq \lambda^\gamma \leq \lambda - \epsilon_1$), where k takes the values k_1, k_2, \dots . If $X = \prod_{n=1}^k \prod_{s=1}^{p_n} R^{\mu_n} / |a_{ns}|^{\mu_n}$ then $X \geq \exp \{ \gamma \log \lambda \sum_{n=1}^k \mu_n p_n \}$ and so $\log X \geq C_8 \sum_{n=1}^k \mu_n p_n \geq C_8 R_k^{\rho - \epsilon_2} = C_7 R^{\rho - \epsilon_2}$. Choosing k and hence R sufficiently large we have, as in Theorem 1,

$$\begin{aligned} m(R, F) &> C_8 \exp \{ \log X - C_9 R^{[\rho]} \}, \\ \log m(R, F) &> \log C_8 + \log X - C_9 R^{[\rho]} \\ &> (1 - \epsilon_2) \log X. \end{aligned}$$

Similarly $\log M(R, F) < (1 + \epsilon_2) \log X$ which gives

$$\frac{\log m(R, F)}{\log M(R, F)} > \frac{1 - \epsilon_2}{1 + \epsilon_2} = 1 - \epsilon.$$

As in Theorem 1, this result holds for values of R forming a set of upper density greater than $1 - 1/\lambda - \epsilon$.

Theorem 4 can be similarly proved.

PROOF OF THEOREM 5. We know that for $|z| = R = \lambda^\gamma R_k$ ($0 < \gamma < 1$, $1 + \epsilon_1 \leq \lambda^\gamma \leq \lambda - \epsilon_1$)

$$m(R, f) \geq C_4 C_5 \prod_{n=1}^k \prod_{s=1}^{p_n} \frac{R^{\mu_n}}{|a_{ns}|^{\mu_n}}.$$

We can choose k so large that $R' = R + R^\sigma < \lambda^{\gamma + \epsilon_5} R_k$, where $\gamma + \epsilon_5 < 1$,

$$R' < R_{k+1} - R_{k+1}^\alpha.$$

Now

$$M(R', f) < C_{10} \prod_{n=1}^k \prod_{s=1}^{p_n} \frac{R'^{\mu_n}}{|a_{ns}|^{\mu_n}}$$

and therefore

$$\frac{m(R, f)}{M(R', f)} > \frac{C_4 C_5}{C_{10}} \left(\frac{R}{R'} \right)^{\sum_{n=1}^k \mu_n p_n}.$$

Now $Y = (R'/R)^{-\sum_{n=1}^k \mu_n p_n} = (1 + R^{\sigma-1})^{-\sum_{n=1}^k \mu_n p_n}$. Further $\sum_{n=1}^k \mu_n p_n < (C_{11} \log R) R^{\rho + \epsilon_6} < R^{\rho + \epsilon_7}$ for all large R . Hence $Y > \exp \{ -R^{\rho + \epsilon_7} \}$

$\log(1 + R^{\sigma-1})$ and $R^{\rho+\epsilon_7} \log(1 + R^{\sigma-1}) \sim R^{\rho+\epsilon_7+\sigma-1} \rightarrow 0$ as $R \rightarrow \infty$, since $\sigma < 1 - \rho$ and ϵ_7 can be chosen so small that $\sigma < 1 - \rho - \epsilon_7$. Hence $Y > 1/2$ for all large R and so

$$\frac{m(R, f)}{M(R', f)} > \frac{C_4 C_5}{2C_{10}}.$$

Further

$$\frac{m(R', f)}{M(R', f)} > C_{11}.$$

Hence

$$\frac{m_\sigma(R)}{M_\sigma(R)} = \min \left\{ \frac{m(R)}{M(R)}, \frac{m(R')}{M(R')} \right\} \geq \min \left\{ \frac{C_4 C_5}{2C_{10}}, C_{11} \right\} \geq C_1.$$

The values of R for which this result holds form a set of upper density greater than $1 - 1/\lambda - \epsilon$.

Added in proof. The positive numbers ϵ and ϵ_4 are chosen so small that

$$1/\lambda + \epsilon < 1; \quad [\rho] + \epsilon_4 < \rho.$$

In the proof of Theorem 1 we showed that

$$M(R) \leq C_2 C_3 P_{11}; \quad m(R) \geq C_4 C_5 P_{11},$$

both relations holding for all R such that

$$(1 + \epsilon_1)R_k \leq R \leq (\lambda - \epsilon_1)R_k \quad (k > K).$$

Here

$$C_2 = \prod_{n=1}^{\infty} \left\{ 1 + \left(\frac{1}{1 + \epsilon_1} \right)^{\mu_n} \right\}^{p_n}, \quad C_4 = \prod_{n=1}^{\infty} \left\{ 1 - \left(\frac{1}{1 + \epsilon_1} \right)^{\mu_n} \right\}^{p_n},$$

$$C_3 = \prod_{n=1}^{\infty} \left\{ 1 + \left(1 - \frac{\epsilon_1}{2\lambda} \right)^{\mu_n} \right\}^{p_n}, \quad C_5 = \prod_{n=1}^{\infty} \left\{ 1 - \left(1 - \frac{\epsilon_1}{2\lambda} \right)^{\mu_n} \right\}^{p_n}.$$

If $C = C_4 C_5 / C_2 C_3$ we have

$$m(R) \geq CM(R),$$

the inequality holding over a set of upper density greater than $1 - 1/\lambda - \epsilon$. If we further suppose that $\lambda = R_n / R_{n-1}$ ($n = 2, 3, \dots$), then this inequality holds good over a set of upper density greater than $1 - \lambda\epsilon(1 + \epsilon) / (\lambda - 1)$.