

## Multipliers on modulation spaces

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**Abstract.** The purpose of this paper is to study the multipliers on modulation spaces  $M^{p,q}(\mathbf{R}^d)$  for  $0 < p, q < \infty$ . In particular, it is shown in the case  $0 < p < 1$  that elements of  $\mathcal{B}^K$  ( $K > d/(2p)$  and  $K \in \mathbf{N}$ ), consisting of all functions  $f \in C^K$  whose derivatives  $\partial^\alpha f \in L^\infty$  for any multi-index  $\alpha$  such that  $|\alpha| \leq K$ , are multipliers on  $M^{p,q}$ .

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### §1. Introduction

The modulation spaces  $M^{p,q}(\mathbf{R}^d)$  for general  $0 < p, q \leq \infty$ , which coincide with the usual modulation spaces when  $1 \leq p, q \leq \infty$ , have been constructed and several properties on  $M^{p,q}(\mathbf{R}^d)$  have been studied in [5], [6]. The aim of this paper is the study of the boundedness of the operators

$$\sigma(D)f = \int_{\mathbf{R}^d} e^{2\pi i x \cdot \xi} \sigma(\xi) \widehat{f}(\xi) d\xi$$

on  $M^{p,q}(\mathbf{R}^d)$  for  $0 < p, q < \infty$ .

When  $1 < p, q < \infty$ , it was already studied in Gröchenig and Heil [2], [4] and proved that  $\sigma(D)$  has a unique bounded extension on each  $M^{p,q}(\mathbf{R}^d)$  if  $\sigma \in M^{\infty,1}(\mathbf{R}^d)$ . However, as Gröchenig pointed it out in his paper [3], their argument doesn't cover when  $p$  or  $q = 1$  or  $\infty$ , since they use the facts that  $\mathcal{S}(\mathbf{R}^d)$  is dense in  $M^{p,q}(\mathbf{R}^d)$  and the dual of  $M^{p,q}(\mathbf{R}^d)$  is  $M^{p',q'}(\mathbf{R}^d)$  for  $1 \leq p, q < \infty$  and  $\frac{1}{p} + \frac{1}{p'} = 1 = \frac{1}{q} + \frac{1}{q'}$ . So in this paper, we calculate the  $M^{p,q}$ -norm of  $\sigma(D)f$  directly with our key lemma (Lemma 2.4) without using the duality, and examine what conditions on  $\sigma$  to guarantee the  $M^{p,q}$ -boundedness of  $\sigma(D)$ . In particular, it is shown in the case  $0 < p < 1$  that elements of  $\mathcal{B}^K$  ( $K > d/(2p)$  and  $K \in \mathbf{N}$ ), consisting of all functions  $f \in C^K$  whose derivatives  $\partial^\alpha f \in L^\infty$  for any multi-index  $\alpha$  such that  $|\alpha| \leq K$ , are multipliers on  $M^{p,q}$ .

§2. Preliminaries

2.1. Basic definition

The following notations will be used throughout this article. Let  $\mathcal{S}(\mathbf{R}^d)$  be the Schwartz space of all complex-valued rapidly decreasing infinitely differentiable functions on  $\mathbf{R}^d$  and  $\mathcal{S}'(\mathbf{R}^d)$  be the topological dual of  $\mathcal{S}(\mathbf{R}^d)$ . The Fourier transform is  $\hat{f}(\omega) = \int f(t)e^{-2\pi i\omega \cdot t} dt$ , and the inverse Fourier transform is  $\check{f}(t) = \hat{f}(-t)$ . We define for  $0 < p < \infty$

$$\|f\|_{L^p} = \left( \int_{\mathbf{R}^d} |f(t)|^p dt \right)^{\frac{1}{p}}$$

and  $\|f\|_{L^\infty} = \text{ess. sup}_{t \in \mathbf{R}^d} |f(t)|$ . We use the pairing  $\langle f, g \rangle$  between  $f \in \mathcal{S}'(\mathbf{R}^d)$  and  $g \in \mathcal{S}(\mathbf{R}^d)$ , in a manner consistent with the inner product  $\langle f, g \rangle = \int f(t)g(t)dt$  on  $L^2(\mathbf{R}^d)$ . For a function  $f$  on  $\mathbf{R}^d$ , the translation and the modulation operators are defined by

$$T_x f(t) = f(t - x), \quad \text{and} \quad M_\omega f(t) = e^{2\pi i\omega \cdot t} f(t) \quad (x, \omega \in \mathbf{R}^d),$$

respectively.

2.2. Modulation spaces and Basic properties

We recall the definition of the modulation spaces.

First for  $\alpha > 0$  we define  $\Phi^\alpha(\mathbf{R}^d)$  to be the space of all  $g \in \mathcal{S}(\mathbf{R}^d)$  satisfying

$$\text{supp } \hat{g} \subset \{\xi \mid |\xi| \leq 1\}, \quad \text{and} \quad \sum_{k \in \mathbf{Z}^d} \hat{g}(\xi - \alpha k) \equiv 1, \quad \forall \xi \in \mathbf{R}^d.$$

In the following, we choose a sufficiently small  $\alpha > 0$  so that the function space  $\Phi^\alpha(\mathbf{R}^d)$  is not empty. With this, we have defined the modulation spaces as follows:

DEFINITION 2.1. Given a  $g \in \Phi^\alpha(\mathbf{R}^d)$ , and  $0 < p, q \leq \infty$ , we define the modulation space  $M^{p,q}(\mathbf{R}^d)$  to be the space of all tempered distributions  $f \in \mathcal{S}'(\mathbf{R}^d)$  such that the quasi-norm

$$\|f\|_{M^{p,q}} := \left( \int_{\mathbf{R}^d} \left( \int_{\mathbf{R}^d} |f * (M_\omega g)(x)|^p dx \right)^{\frac{q}{p}} d\omega \right)^{\frac{1}{q}}$$

is finite, with obvious modifications if  $p$  or  $q = \infty$ .

We state basic properties of modulation spaces, which will play an important role in this article (see [5]).

PROPOSITION 2.2. *Let  $0 < p, q \leq \infty$  and  $g \in \Phi^\alpha(\mathbf{R}^d)$ . Then*

$$(a) \quad \left( \sum_{k \in \mathbf{Z}^d} \left( \int_{\mathbf{R}^d} |f * (M_{\alpha k} g)(x)|^p dx \right)^{\frac{q}{p}} \right)^{\frac{1}{q}}$$

*is an equivalent quasi-norm on  $M^{p,q}(\mathbf{R}^d)$  with modifications if  $p$  or  $q = \infty$ .*

(b) *Different test functions  $g_1, g_2 \in \Phi^\alpha(\mathbf{R}^d)$  define the same space and equivalent quasi-norms on  $M^{p,q}(\mathbf{R}^d)$ .*

(c) *Let  $0 < p_0 \leq p_1 \leq \infty$  and  $0 < q_0 \leq q_1 \leq \infty$ . Then*

$$M^{p_0, q_0}(\mathbf{R}^d) \subset M^{p_1, q_1}(\mathbf{R}^d).$$

(d) *We have the continuous embeddings*

$$\mathcal{S}(\mathbf{R}^d) \subset M^{p,q}(\mathbf{R}^d) \subset \mathcal{S}'(\mathbf{R}^d)$$

*for  $0 < p, q \leq \infty$ .*

(e)  *$M^{p,q}(\mathbf{R}^d)$  is a quasi-Banach space if  $0 < p, q \leq \infty$  (Banach space if  $1 \leq p, q \leq \infty$ ).*

(f) *If  $0 < p, q < \infty$ , then  $\mathcal{S}(\mathbf{R}^d)$  is dense in  $M^{p,q}(\mathbf{R}^d)$ .*

These facts have been derived from the following.

Let  $0 < p \leq \infty$ , and  $\Gamma$  be a compact subset of  $\mathbf{R}^d$ . Then  $L_\Gamma^p$  is defined by

$$L_\Gamma^p = \{f \in \mathcal{S}'(\mathbf{R}^d) \mid \exists \xi_0 \in \mathbf{R}^d, \text{supp } \widehat{f} \subset \xi_0 + \Gamma, \|f\|_{L^p} < \infty\}.$$

LEMMA 2.3 ([5] Theorem 2.5). *Let  $\Gamma$  be a compact subset of  $\mathbf{R}^d$  and let  $0 < p \leq q \leq \infty$ . Then there exists a positive constant  $C$  (which depends only on the diameter of  $\Gamma$  and  $p$ ) such that*

$$\|f\|_{L^q} \leq C \|f\|_{L^p}$$

*holds for all  $f \in L_\Gamma^p$ .*

LEMMA 2.4 ([5] Lemma 2.6). *Let  $0 < p \leq 1$  and  $\Gamma, \Gamma'$  be compact subsets of  $\mathbf{R}^d$ . Then there exists a positive constant  $C$  (which depends only on the diameters of  $\Gamma, \Gamma'$  and  $p$ ) such that*

$$\left\| |f| * |g| \right\|_{L^p} \leq C \|f\|_{L^p} \|g\|_{L^p}$$

*holds for all  $f \in L_\Gamma^p$  and all  $g \in L_{\Gamma'}^p$ .*

In the sequel, we shall not distinguish between equivalent quasi-norms of a given quasi-normed space.

**2.3. Multiplier operators and Symbol classes**

DEFINITION 2.5. Let  $0 < p, q < \infty$  and  $\sigma \in \mathcal{S}'(\mathbf{R}^d)$ . If the operator  $\sigma(D)$ , initially defined in  $\mathcal{S}(\mathbf{R}^d)$  by the relation

$$(2.1) \quad \sigma(D)f = (\sigma \cdot \widehat{f})^\vee,$$

satisfies the inequality

$$(2.2) \quad \|\sigma(D)f\|_{M^{p,q}} \leq C\|f\|_{M^{p,q}}, \quad f \in M^{p,q}(\mathbf{R}^d),$$

where  $C$  is independent of  $f$ , we say that  $\sigma$  is a multiplier on  $M^{p,q}$  and  $\sigma(D)$  is a multiplier operator on  $M^{p,q}$ .

DEFINITION 2.6. For  $g \in \Phi^\alpha(\mathbf{R}^d)$  and  $0 < p < \infty$ , we define  $S(p)$  to be the space of all tempered distributions  $\sigma \in \mathcal{S}'(\mathbf{R}^d)$  such that

$$(2.3) \quad \|\sigma\|_{S(p)} := \|\check{\sigma}\|_{M^{p,\infty}} = \sup_{k \in \mathbf{Z}^d} \left( \int_{\mathbf{R}^d} |(\sigma \cdot T_{\alpha k} \widehat{g})^\vee(x)|^p dx \right)^{\frac{1}{p}} < \infty.$$

**2.4. Main results**

We now formulate our results.

- (i) Let  $1 \leq p < \infty$ ,  $0 < q < \infty$  and  $\sigma \in S(1)$ . Then  $\sigma(D)$  is a multiplier operator on  $M^{p,q}(\mathbf{R}^d)$ .
- (ii) Let  $0 < p < 1$ ,  $0 < q < \infty$  and  $\sigma \in S(p)$ . Then  $\sigma(D)$  is a multiplier operator on  $M^{p,q}(\mathbf{R}^d)$ .

Precise statements of these results and their proof are stated in §3.

**2.5. Examples**

THEOREM 2.7. Let  $0 < p \leq 1$  and  $\delta_{x_n}$  be the Dirac measure at a point  $x_n \in \mathbf{R}^d$ . Then, for a sequence of complex numbers  $\{c_n\}_{n=-\infty}^\infty \in l^p(\mathbf{Z})$ ,

$$\sigma = \left( \sum_{n=-\infty}^\infty c_n \delta_{x_n} \right)^\wedge$$

belongs to  $S(p)$ .

PROOF. A direct calculation shows that for each  $k \in \mathbf{Z}^d$ ,

$$\check{\sigma} * M_{\alpha k} g(x) = \sum_{n=-\infty}^\infty c_n \langle \delta_{x_n}, \overline{M_{\alpha k} g(x - \cdot)} \rangle = \sum_{n=-\infty}^\infty c_n M_{\alpha k} g(x - x_n).$$

Hence it follows that

$$\begin{aligned} \|\check{\sigma} * M_{\alpha k} g(x)\|_{L^p}^p &= \int_{\mathbf{R}^d} \left| \sum_{n=-\infty}^{\infty} c_n M_{\alpha k} g(x - x_n) \right|^p dx \\ &\leq \sum_{n=-\infty}^{\infty} |c_n|^p \int |e^{2\pi i \alpha k \cdot (x-x_n)} g(x - x_n)|^p dx \\ &= \sum_{n=-\infty}^{\infty} |c_n|^p \|g\|_{L^p}^p < \infty. \end{aligned}$$

By taking  $\frac{1}{p}$ -th power and  $l^\infty$ -norm, we see that  $\sigma \in S(p)$ . □

**Remark.** Oberlin in [7] has proved that every bounded linear operator  $T$  on  $L^p(\mathbf{R}^d)$  ( $0 < p < 1$ ) which commutes with translations is represented by  $Tf = \sigma(D)f$  with  $\sigma = (\sum c_n \delta_{x_n})^\wedge$ , where  $\{c_n\} \in l^p(\mathbf{Z})$ .

**THEOREM 2.8.** *Let  $1 \leq p < \infty$ . Then we have  $M^{\infty,p}(\mathbf{R}^d) \subset S(p)$ .*

**PROOF.** Since  $(\sigma \cdot T_\omega \hat{g})^\vee(x) = e^{2\pi i \omega \cdot x} \sigma * (M_{-x} \mathcal{I} \hat{g})(\omega)$ , where  $\mathcal{I} \hat{g}(\xi) = \hat{g}(-\xi)$  and  $M^{\infty,p}(\mathbf{R}^d)$  ( $1 \leq p < \infty$ ) is independent of the choice of a window  $g \in \mathcal{S}(\mathbf{R}^d) \setminus \{0\}$  (see [2] Proposition 11.3.2), it follows that

$$\begin{aligned} \|\sigma\|_{S(p)} &\leq c \sup_{\omega \in \mathbf{R}^d} \left( \int_{\mathbf{R}^d} |(\sigma \cdot T_\omega \hat{g})^\vee(x)|^p dx \right)^{\frac{1}{p}} \\ &\leq c \left( \int_{\mathbf{R}^d} \left( \sup_{\omega \in \mathbf{R}^d} |\sigma * (M_{-x} \mathcal{I} \hat{g})(\omega)| \right)^p dx \right)^{\frac{1}{p}} \leq c' \|\sigma\|_{M^{\infty,p}}. \end{aligned}$$

□

**THEOREM 2.9.** *Let  $0 < p < \infty$  and  $K$  be a positive integer. If  $K > \frac{d}{2p}$  then*

$$\mathcal{B}^K := \left\{ f \in C^K(\mathbf{R}^d) \mid \sum_{|\alpha| \leq K} \|\partial^\alpha f\|_{L^\infty} < \infty \right\}$$

*belongs to  $S(p)$ .*

PROOF. Let  $f \in \mathcal{B}^K$  and denote  $\Delta_\xi = \sum_{j=1}^d (\partial^2 / \partial \xi_j^2)$ . Then we have

$$\begin{aligned}
& (1 + 4\pi^2|x|^2)^K |(f \cdot T_{\alpha k} \widehat{g})^\vee(x)| \\
&= (1 + 4\pi^2|x|^2)^K \left| \int_{\mathbf{R}^d} f(\xi) \widehat{g}(\xi - \alpha k) e^{2\pi i x \cdot \xi} d\xi \right| \\
&= \left| \int_{\mathbf{R}^d} f(\xi) \widehat{g}(\xi - \alpha k) (1 - \Delta_\xi)^K e^{2\pi i x \cdot \xi} d\xi \right| \\
&= \left| \int_{\mathbf{R}^d} \sum_{|\alpha+\beta| \leq 2K} C_{\alpha,\beta} \partial^\alpha f(\xi) \partial^\beta \widehat{g}(\xi - \alpha k) e^{2\pi i x \cdot \xi} d\xi \right| \\
&\leq \sum_{|\alpha+\beta| \leq 2K} C_{\alpha,\beta} \|\partial^\alpha f\|_{L^\infty} \int_{\mathbf{R}^d} |\partial^\beta \widehat{g}(\xi)| d\xi.
\end{aligned}$$

Since  $K > \frac{d}{2p}$ , we have

$$\|f\|_{S(p)} \leq C \sum_{|\alpha| \leq 2K} \|\partial^\alpha f\|_{L^\infty}.$$

□

### §3. Proof of the main results

We now consider the behavior of  $\sigma(D)$  on  $M^{p,q}(\mathbf{R}^d)$ . Throughout this section,  $g$  denotes a function in  $\Phi^\alpha(\mathbf{R}^d)$ .

#### 3.1. The case $1 \leq p < \infty$

THEOREM 3.1. *Let  $1 \leq p < \infty$ ,  $0 < q < \infty$  and  $\sigma \in S(1)$ . Then the linear operator  $\sigma(D)$ , initially defined in the dense subspace  $\mathcal{S}(\mathbf{R}^d)$  of  $M^{p,q}(\mathbf{R}^d)$ , has a unique bounded extension on  $M^{p,q}(\mathbf{R}^d)$  and satisfies*

$$(3.1) \quad \|\sigma(D)f\|_{M^{p,q}} \leq c \|\sigma\|_{S(1)} \|f\|_{M^{p,q}}.$$

PROOF. First note that there exists a constant  $N$  (depending only on the size of  $\text{supp } \widehat{g}$ ,  $\alpha > 0$  and dimension  $d$ ) such that  $T_{\alpha k} \widehat{g} = \sum_{|r| \leq N} T_{\alpha(k+r)} \widehat{g} \cdot T_{\alpha k} \widehat{g}$  for

all  $k \in \mathbf{Z}^d$ . Then for  $f \in \mathcal{S}(\mathbf{R}^d)$ , we have

$$\begin{aligned}
(\sigma \cdot \widehat{f})^\vee * (M_{\alpha k} g)(x) &= (\sigma \cdot \widehat{f} \cdot T_{\alpha k} \widehat{g})^\vee(x) = \sum_{|r| \leq N} (\sigma \cdot T_{\alpha k} \widehat{g} \cdot \widehat{f} \cdot T_{\alpha(k+r)} \widehat{g})^\vee(x) \\
&= \sum_{|r| \leq N} (\sigma \cdot T_{\alpha k} \widehat{g})^\vee * (\widehat{f} \cdot T_{\alpha(k+r)} \widehat{g})^\vee(x)
\end{aligned}$$

From this and Young's inequality, we have

$$\|(\sigma \cdot \widehat{f})^\vee * M_{\alpha k} g(x)\|_{L^p} \leq \sum_{|r| \leq N} \|(\sigma \cdot T_{\alpha k} \widehat{g})^\vee(x)\|_{L^1} \|(\widehat{f} \cdot T_{\alpha(k+r)} \widehat{g})^\vee(x)\|_{L^p}.$$

Taking the  $l^q$ -norm on both sides, we obtain

$$\|\sigma(D)f\|_{M^{p,q}} \leq c \sup_{k \in \mathbf{Z}^d} \|(\sigma \cdot T_{\alpha k} \widehat{g})^\vee\|_{L^1} \|f\|_{M^{p,q}}$$

Then, since  $\mathcal{S}(\mathbf{R}^d)$  is dense and  $M^{p,q}(\mathbf{R}^d)$  is a quasi-Banach space, we have the desired result.  $\square$

### 3.2. The case $0 < p < 1$

**THEOREM 3.2.** *Let  $0 < p < 1$ ,  $0 < q < \infty$  and  $\sigma \in S(p)$ . Then the linear operator  $\sigma(D)$ , initially defined in the dense subspace  $\mathcal{S}(\mathbf{R}^d)$  of  $M^{p,q}(\mathbf{R}^d)$ , has a unique bounded extension on  $M^{p,q}(\mathbf{R}^d)$  and satisfies*

$$(3.2) \quad \|\sigma(D)f\|_{M^{p,q}} \leq C \|\sigma\|_{S(p)} \|f\|_{M^{p,q}}.$$

**PROOF.** Let  $f \in \mathcal{S}(\mathbf{R}^d)$ . Then we have

$$(\sigma \cdot \widehat{f})^\vee * (M_{\alpha k} g)(x) = \sum_{|r| \leq N} (\sigma \cdot T_{\alpha k} \widehat{g})^\vee * (\widehat{f} \cdot T_{\alpha(k+r)} \widehat{g})^\vee(x).$$

From this and Lemma 2.4, we have

$$\|(\sigma \cdot \widehat{f})^\vee * M_{\alpha k} g(x)\|_{L^p} \leq C \sum_{|r| \leq N} \|(\sigma \cdot T_{\alpha k} \widehat{g})^\vee(x)\|_{L^p} \|(\widehat{f} \cdot T_{\alpha(k+r)} \widehat{g})^\vee(x)\|_{L^p}.$$

Taking the  $l^q$ -norm on both sides, we obtain

$$\|\sigma(D)f\|_{M^{p,q}} \leq C' \sup_{k \in \mathbf{Z}^d} \|(\sigma \cdot T_{\alpha k} \widehat{g})^\vee\|_{L^p} \|f\|_{M^{p,q}}.$$

Then, since  $\mathcal{S}(\mathbf{R}^d)$  is dense and  $M^{p,q}(\mathbf{R}^d)$  is a quasi-Banach space, we have the desired result.  $\square$

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