

Representation of Witt Vectors by Formal Power Series and its Applications

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Introduction

In this paper we consider a representation of Witt vectors and its application to the Inaba theory of the construction of Galois extensions of a field of characteristic p and to the explicit formula for the residue vectors in the formal power series field.

E. Artin and O. Schreier characterized any cyclic extension L of order p of a field K of characteristic $p > 0$ by a root α of the equation $x^p = x + \mu: L = K(\alpha)$. Thereafter E. Witt [10] has extended this method to any cyclic p -extension L of K by considering Witt vectors: $L = K(\alpha)$, $\alpha^p = \alpha + \mu$. On the other hand, E. Inaba [4, 5, 6] expressed any finite Galois extension L of K of characteristic p by the matrix equation of the type $X^p = MX$, $M \in GL_m(K)$. We define in §1 an isomorphism f_u of the additive group $W_\infty(K)$ of Witt vectors into the multiplicative 1-unit group of the formal power series ring $K[[t]]$: $f_u(\alpha + \alpha') = f_u(\alpha) \cdot f_u(\alpha')$.*) As an application we consider in §2 the relation between the Witt theory and the Inaba theory.

Y. Kawada and I. Satake [7] applied the residue vectors defined in Witt [10] to the class formation theory over a formal power series field K in one variable with a finite constant field. In §3 we calculate the residue vectors by the use of the mapping f_u defined in §1. Using these results, we consider in §4 the orthogonal pairings and the duality defined by residue vectors. In §5 we consider the formal power series field K in one variable with a finite constant field and the cyclic extension field L of order p^n over K . We calculate the ramification index and the conductor of L over K .

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* See also Dieudonné [1] and Whaples [8].

Kawada and Mr. Teluhiko Hilano.

§1. Isomorphism of the additive group of Witt vectors into the multiplicative unit group of the formal power series ring.

1.1. Let p be a prime number, I_p the p -adic valuation ring of the rational numbers field \mathbb{Q} and $\mathbb{Q}[[x]]$ the formal power series ring over \mathbb{Q} . Then we define

$$(1.1) \quad G(x) = \exp\left(\sum_{i=0}^{\infty} \frac{1}{p^i} x^{p^i}\right) \in \mathbb{Q}[[x]].$$

LEMMA 1. *The coefficients $d_n (n \in \mathbb{Z})$ of x^n of $G(x)$ satisfy the following recursive relations:*

$$(1.2) \quad \begin{cases} d_n = 0 & (n < 0) \\ d_0 = 1 \\ d_n = \frac{1}{n} \sum_{i=0}^{\infty} d_{n-p^i} & (n > 0). \end{cases}$$

PROOF. Taking the formal derivation of (1.1), we have

$$G'(x) = \left(\sum_{i=0}^{\infty} \frac{1}{p^i} x^{p^i}\right)' G(x).$$

Hence

$$(1.3) \quad \sum_{n=1}^{\infty} n d_n x^{n-1} = \left(\sum_{i=0}^{\infty} x^{p^i-1}\right) \left(\sum_{n=0}^{\infty} d_n x^n\right).$$

Comparing the coefficients of x^{n-1} in both sides of (1.3), we obtain

$$n d_n = d_{n-1} + d_{n-p} + d_{n-p^2} + \cdots. \quad \text{Q.E.D.}$$

LEMMA 2. *All the coefficients of $G(x)$ are contained in I_p , i.e., $G(x) \in I_p[[x]]$.*^{*)}

PROOF. We shall show $d_n \in I_p$ by induction on n . By (1.2), it is sufficient to show $d_n \in I_p$ for $n \geq 1$ satisfying $p|n$. By (1.1), we have

$$G(x)^p = \exp\left(p \sum_{i=0}^{\infty} \frac{1}{p^i} x^{p^i}\right).$$

Hence

$$(1.4) \quad G(x)^p = G(x^p) \exp(px).$$

^{*)} We owe Mr. T. Hilano for this proof.

Comparing the coefficients of x^n in both sides of (1.4), we obtain

$$pd_n + p \cdot f(d_0, \dots, d_{n-1}) + d_{n/p}^p = d_{n/p} + p \sum_{\substack{i+pj=n, \\ i \geq 1}} \frac{p^{i-1}}{i!} d_j,$$

where $f(d_0, \dots, d_{n-1})$ is a polynomial of d_0, \dots, d_{n-1} with integer coefficients. Hence

$$d_n = -f(d_0, \dots, d_{n-1}) - \frac{1}{p}(d_{n/p}^p - d_{n/p}) + \sum_{\substack{i+pj=n \\ i \geq 0}} \frac{p^{i-1}}{i!} d_j.$$

Since $d_{n/p}^p - d_{n/p} \in pI_p$ and $p^{i-1}/i! \in I_p$, by our assumption we have $d_n \in I_p$.
 Q.E.D.

Let D be an integral domain containing I_p , t an indeterminate element over D , $W_\infty(D)$ the ring of Witt vectors of infinite length over D and $U^{(1)}(D[[t]])$ the 1-unit group of $D[[t]]$: $U^{(1)}(D[[t]]) = 1 + tD[[t]]$. For any element $u \in tD[[t]]$ and any Witt vector $X = (X_0, X_1, \dots) \in W_\infty(D)$, we define

$$(1.5) \quad \mathfrak{F}_u(X) = \prod_{j=0}^{\infty} G(X_j u^{p^j}).$$

$\mathfrak{F}_u(X)$ belongs to $U^{(1)}(D[[t]])$ by Lemma 2.

LEMMA 3.

$$(1.6) \quad \mathfrak{F}_u(X) = \exp \left(\sum_{i=0}^{\infty} \frac{X^{(i)}}{p^i} u^{p^i} \right)$$

where $X^{(i)}$ is the i -th ghost component of X : $X^{(i)} = \sum_{j=0}^i p^j X_j^{p^{i-j}}$. (See Witt [10].)

PROOF.

$$\begin{aligned} \mathfrak{F}_u(X) &= \prod_{j=0}^{\infty} G(X_j u^{p^j}) = \prod_{j=0}^{\infty} \exp \left(\sum_{i=0}^{\infty} \frac{1}{p^i} (X_j u^{p^j})^{p^i} \right) \\ &= \exp \left(\sum_{j=0}^{\infty} \sum_{i=j}^{\infty} p^{j-i} X_j^{p^{i-j}} u^{p^i} \right) \\ &= \exp \left(\sum_{i=0}^{\infty} \sum_{j=0}^i p^{j-i} X_j^{p^{i-j}} u^{p^i} \right) \\ &= \exp \left(\sum_{i=0}^{\infty} \frac{X^{(i)}}{p^i} u^{p^i} \right). \end{aligned} \quad \text{Q.E.D.}$$

PROPOSITION 1. The mapping \mathfrak{F}_u ($u \neq 0$) is an isomorphism of the additive group of $W_\infty(D)$ into the multiplicative group $U^{(1)}(D[[t]])$.

PROOF. By (1.6), we have

$$\begin{aligned} \mathfrak{F}_u(X+X') &= \exp\left(\sum_{i=0}^{\infty} \frac{(X+X')^{(i)}}{p^i} u^{p^i}\right) \\ &= \exp\left(\sum_{i=0}^{\infty} \frac{X^{(i)}+X'^{(i)}}{p^i} u^{p^i}\right) \\ &= \mathfrak{F}_u(X)\mathfrak{F}_u(X') \quad \text{for } X, X' \in W_{\infty}(D). \end{aligned}$$

If $\mathfrak{F}_u(X)=1$, then $\sum_{i=0}^{\infty} (X^{(i)}/p^i)u^{p^i}=0$. Hence we have $X=0$. Q.E.D.

Moreover, we have

$$(1.7) \quad \mathfrak{F}_u(VX) = \mathfrak{F}_{u^p}(X)$$

where $VX=(0, X_0, X_1, \dots)$ for $X=(X_0, X_1, \dots) \in W_{\infty}(D)$. For, we have

$$\begin{aligned} \mathfrak{F}_u(VX) &= \prod_{j=0}^{\infty} G((VX)_j u^{p^j}) \\ &= \prod_{j=1}^{\infty} G(X_{j-1} (u^p)^{p^{j-1}}) \\ &= \mathfrak{F}_{u^p}(X). \end{aligned}$$

For any integer i , choose a positive integer m such that $i < p^m$. Then for $X=(X_0, X_1, \dots) \in W_{\infty}(D)$ we define

$$(1.8) \quad h_i(X) = \sum d_{i_0} \cdots d_{i_{m-1}} X_0^{i_0} \cdots X_{m-1}^{i_{m-1}}$$

where the summation is extended over all systems $\{i_0, \dots, i_{m-1}\}$ of integers satisfying $i_0 + i_1 p + \dots + i_{m-1} p^{m-1} = i$. Since $d_n = 0$ for $n < 0$, we can verify that h_i is unchanged when we change m under the condition $i < p^m$ for fixed i . Further $h_n(X) = 0$ ($n < 0$), $h_0(X) = 1$ and $h_1(X) = X_0$. Then we have obviously by (1.5)

$$(1.9) \quad \mathfrak{F}_u(X) = \sum_{i=0}^{\infty} h_i(X) u^i.$$

Hence we have

$$(1.10) \quad \mathfrak{F}_u(X) \equiv 1 + X_0 u \pmod{u^2}.$$

By Proposition 1 and (1.9) we can easily see that

$$(1.11) \quad h_i(X+X') = \sum_{j=0}^i h_j(X) h_{i-j}(X') \quad \text{for } X, X' \in W_{\infty}(D).$$

LEMMA 4. For $u \neq 0$ $m \geq 1$ and $n \geq 0$, the following two conditions are equivalent:

- (i) $m \leq p^n \text{ ord } u$
- (ii) $\mathfrak{F}_u(V^n W_\infty(D)) \subset U^{(m)}(D[[t]])$

where $U^{(m)}(D[[t]]) = 1 + t^m D[[t]]$.

PROOF. By (1.7) and (1.10), we have

$$\mathfrak{F}_u(V^n X) = \mathfrak{F}_{u^{p^n}}(X) = 1 + X_0 u^{p^n} + \dots$$

Hence (i) and (ii) are equivalent.

COROLLARY. \mathfrak{F}_u is a continuous mapping from $W_\infty(D)$ into $U^{(1)}(D[[t]])$.

1.2. Let K be a field of characteristic p , F_p the prime field of K and t an indeterminate element over K . We denote by $U^{(1)}(K[[t]])$ the 1-unit group of $K[[t]]$. For any element $u \in tK[[t]]$ and any Witt vector $\alpha = (\alpha_0, \alpha_1, \dots) \in W_\infty(K)$, we define

$$(1.1)' \quad \bar{G}(x) = \sum_{n=0}^{\infty} \bar{d}_n x^n \in F_p[[x]] \quad \text{for } \bar{d}_n = d_n \pmod{p} \in F_p$$

and

$$(1.5)' \quad f_u(\alpha) = \prod_{j=0}^{\infty} \bar{G}(\alpha_j u^{p^j}) \in U^{(1)}(K[[t]]).$$

PROPOSITION 1'. The mapping f_u ($u \neq 0$) is an isomorphism of the additive group of $W_\infty(K)$ into the multiplicative group $U^{(1)}(K[[t]])$.

PROOF. Let D be an arbitrary integral domain containing I_p such that there exists a ring homomorphism π of D onto K . For $X = (X_0, X_1, \dots) \in W_\infty(D)$ we define $W_\infty(\pi)(X) = (\pi X_0, \pi X_1, \dots) \in W_\infty(K)$, and for $Y = \sum_{i=0}^{\infty} Y_i t^i \in D[[t]]$ we define $\pi_t(Y) = \sum_{i=0}^{\infty} \pi(Y_i) t^i \in K[[t]]$. Then we have the following commutative diagram:

$$(1.12) \quad \begin{array}{ccc} W_\infty(D) & \xrightarrow{\mathfrak{F}_u} & U^{(1)}(D[[t]]) \\ W_\infty(\pi) \downarrow & \curvearrowright & \downarrow \pi_t \\ W_\infty(K) & \xrightarrow{f_u} & U^{(1)}(K[[t]]) \end{array}$$

If $f_u(\alpha) = 1$, then $\pi_t \circ \mathfrak{F}_u(X) = 1$ for any Witt vector $X \in W_\infty(D)$ such that $W_\infty(\pi)(X) = \alpha$. By (1.9) we have $h_i(X) \in \text{Ker } \pi$ for $i \geq 1$ and so $X_j \in \text{Ker } \pi$ for $j \geq 0$. Therefore we obtain $\alpha = 0$. Hence f_u is an into-isomorphism.

By (1.7), (1.9), (1.10), Lemma 4, Corollary and (1.12) we have

$$(1.7)' \quad f_u(V\alpha) = f_{u^p}(\alpha)$$

$$(1.9)' \quad f_u(\alpha) = \sum_{i=0}^{\infty} \bar{h}_i(\alpha) u^i$$

$$(1.10)' \quad f_u(\alpha) \equiv 1 + \alpha_0 u \pmod{u^2}.$$

LEMMA 4'. For $u \neq 0$, $m \geq 1$ and $n \geq 0$, the following two conditions are equivalent:

- (i) $m \leq p^n \text{ ord } u$
- (ii) $f_u(V^n W_{\infty}(K)) \subset U^{(m)}(K[[t]])$.

COROLLARY'. f_u is a continuous mapping from $W_{\infty}(K)$ into $U^{(1)}(K[[t]])$.

Moreover, we have

$$(1.13) \quad f_u(a\alpha) = f_u(\alpha)^a \quad \text{for } a \in W_{\infty}(F_p), \alpha \in W_{\infty}(K).$$

Since $f_u(n\alpha) = f_u(\alpha)^n$ holds for $n \in \mathbb{Z}$, by Proposition 1' and f_u is continuous, we have (1.13).

§2. Application to the Inaba theory on the construction of Galois extensions.

2.1. The isomorphic representation of the additive group of Witt vectors of length n by matrices.

We denote by $W_n(K)$ the ring of Witt vectors of length n over a field K of characteristic $p > 0$. Then we have the ring-isomorphism

$$(2.1) \quad W_n(K) \cong W_{\infty}(K) / V^n W_{\infty}(K)$$

where $V\alpha = (0, \alpha_0, \alpha_1, \dots)$ for $\alpha = (\alpha_0, \alpha_1, \dots) \in W_{\infty}(K)$. For $m \geq 2$ consider the set

$$B_m(K) = \left\{ B \in M_m(K) \mid B = \begin{pmatrix} 1 & b_1 & b_2 & \cdots & b_{m-1} \\ & \ddots & \ddots & \ddots & \vdots \\ & & \ddots & \ddots & b_2 \\ & & & \ddots & b_1 \\ 0 & & & & 1 \end{pmatrix} \right\}.$$

Then $B_m(K)$ is a subgroup of $GL_m(K)$. We denote such a matrix $B \in B_m(K)$ by $B = [1, b_1, b_2, \dots, b_{m-1}]$. Put

$$U^{(i)} = U^{(i)}(K[[t]]) = 1 + t^i K[[t]] \quad \text{for } i \geq 1.$$

Then we have the group-isomorphism

$$(2.2) \quad \varphi: B_m(K) \cong U^{(1)} / U^{(m)}$$

where $B=[1, b_1, b_2, \dots, b_{m-1}] \in B_m(K)$ is mapped to the residue class containing $1+b_1t+b_2t^2+\dots+b_{m-1}t^{m-1} \in U^{(1)}$ by φ .

LEMMA 5. For $u \in tK[[t]]$, $n \geq 1$ and $m \geq 1$ satisfying $(\text{ord } u)p^{n-1} + 1 \leq m \leq (\text{ord } u)p^n$, we can define the mapping $f_u^{(n)}$ satisfying the following commutative diagram:

$$(2.3) \quad \begin{array}{ccc} W_\infty(K) & \xrightarrow{f_u} & U^{(1)}(K[[t]]) \\ \text{canonical} \downarrow & \circlearrowleft & \downarrow \text{canonical} \\ W_n(K) & \xrightarrow{f_u^{(n)}} & B_m(K) \end{array}$$

where f_u is the mapping defined by (1.5)' in §1. Moreover, the mapping $f_u^{(n)}$ is injective. Hence the mapping $f_u^{(n)}$ is an isomorphism of the additive group of $W_n(K)$ into the multiplicative group $B_m(K)$.

PROOF. By Lemma 4', we have $f_u(V^n W_\infty(K)) \subset U^{(m)}$. Hence we can define the mapping $f_u^{(n)}$ with the above property. It is clear that $f_u^{(n)}$ is injective if $(\text{ord } u)p^{n-1} + 1 \leq m$. Q.E.D.

2.2. Relation between the Witt theory and the Inaba theory.

In this section we shall consider the relation between the Witt theory [10] and the Inaba theory [4, 5, 6] by the use of the mapping $f_u^{(n)}$ defined by (2.3). In the Witt theory we have the following theorem:

THEOREM (*). Let K be a field of characteristic $p > 0$, $L \supset K$ an abelian extension whose Galois group $G = \text{Gal}(L|K)$ is cyclic of order p^n and χ an isomorphic representation of G onto $W_n(F_p)$. Then there exists a vector $\alpha = (\alpha_0, \alpha_1, \dots, \alpha_{n-1}) \in W_n(L)$ such that $\varphi_u \alpha = P\alpha - \alpha = \mu \in W_n(K)$, $L = K(\alpha)$ and $\sigma\alpha = \alpha + \chi(\sigma)$ for $\sigma \in G$, where $\sigma\alpha = (\sigma\alpha_0, \sigma\alpha_1, \dots, \sigma\alpha_{n-1})$, $P\alpha = (\alpha_0^p, \alpha_1^p, \dots, \alpha_{n-1}^p)$ and $K(\alpha) = K(\alpha_0, \alpha_1, \dots, \alpha_{n-1})$.

On the other hand, in the Inaba theory we have the following theorem:

THEOREM (**). Let K be a field of characteristic $p > 0$, $L \supset K$ a finite Galois extension whose Galois group is $G = \text{Gal}(L|K)$ and Λ an isomorphic representation of G into $GL_m(F_p)$. Then there exists a matrix $A = (a_{ij}) \in GL_m(L)$ such that $\varphi_\Lambda A = PA \cdot A^{-1} = M \in GL_m(K)$, $L = K(A)$ and $\sigma A = A \cdot \Lambda(\sigma)$ for $\sigma \in G$, where $\sigma A = (\sigma a_{ij})$, $PA = (a_{ij}^p)$, and $K(A) = K(a_{11}, \dots, a_{ij}, \dots, a_{mm})$.

When $L \supset K$ is a cyclic extension of order p^n and the range of Λ in

Theorem (**) is $B_m(F_p)$ where $p^{n-1}+1 \leq m \leq p^n$, we shall consider the relation between Theorem (*) and Theorem (**).

Theorem (*) \Rightarrow Theorem (**)

Let $L \supset K$ be a cyclic extension of order p^n and Λ an isomorphic representation of G into $B_m(F_p)$. For a generator σ_0 of G , we put

$$\Lambda(\sigma_0) = [1, \lambda_1, \dots, \lambda_{m-1}] \quad \text{and} \quad l = \min \{i \geq 1 \mid \lambda_i \neq 0\}.$$

Since the order of $\Lambda(\sigma_0)$ is p^n , we have $l \cdot p^{n-1} < m$. We determine an isomorphic representation χ of the cyclic group of order p^n such that $\chi(\sigma_0) = 1 \in W_n(F_p)$. Then by Theorem (*), there exists a vector $\alpha \in W_n(L)$ such that $\wp_v \alpha = \mu \in W_n(K)$, $L = K(\alpha)$ and $\sigma_0 \alpha = \alpha + 1$. On the other hand, the mapping \bar{G} defined by (1.1)' of $tF_p[[t]]$ to $U^{(1)}(F_p[[t]])$ is bijective. Hence there is an element $u \in tF_p[[t]]$ such that $\Lambda(\sigma_0) = \bar{G}(u) \bmod U^{(m)} = \bar{f}_u^{(n)}(1)$. Since $\text{ord } u = l$, by Lemma 5, the mapping $\bar{f}_u^{(n)}$ is an isomorphism. If we put $\bar{f}_u^{(n)}(\alpha) = A \in B_m(L)$ and $\bar{f}_u^{(n)}(\mu) = M \in B_m(K)$, then $\wp_I A = M$, $L = K(A)$ and $\sigma A = A \cdot \Lambda(\sigma)$ for $\sigma \in G$. Q.E.D.

Theorem (***) \Rightarrow Theorem (*)

Let $L \supset K$ be a cyclic extension of order p^n and χ an isomorphic representation of G onto $W_n(F_p)$. Then there exists a generator σ_0 of G such that $\chi(\sigma_0) = 1$. For $p^{n-1}+1 \leq m \leq p^n$, we define the mapping $\Lambda = \bar{f}_1^{(n)} \circ \chi$ of G into $B_m(F_p)$. Then the mapping Λ is an isomorphic representation of G and $\Lambda(\sigma_0) = [1, \bar{d}_1, \bar{d}_2, \dots, \bar{d}_{m-1}]$ where d_1, d_2, \dots are defined by (1.2) in §1 and $\bar{d}_n = d_n \pmod{p} \in F_p$. By Theorem (***) there exists a matrix $A \in B_m(L)$ such that $\wp_I A = M \in B_m(K)$, $L = K(A)$ and $\sigma A = A \cdot \Lambda(\sigma)$ for $\sigma \in G$. Now if we put $A' = AC$ for $C \in B_m(K)$, then $\wp_I A' = M' \in B_m(K)$, $L = K(A')$ and $\sigma A' = A' \cdot \Lambda(\sigma)$ for $\sigma \in G$. On the other hand, in Galois cohomology theory we have the following lemma:

LEMMA (*). *Let $L \supset K$ be a finite Galois extension whose Galois group is $G = \text{Gal}(L|K)$. Then the 1-cohomology group of G over $W_n(L)$ is trivial:*

$$H^1(G, W_n(L)) = 0 \quad (\text{see Witt [9]}).$$

Since χ is an isomorphism of G onto $W_n(F_p)$, by Lemma (*), there exists a vector $\alpha \in W_n(L)$ such that $\chi(\sigma) = \sigma(\alpha) - \alpha$ for $\sigma \in G$. In particular, since $\chi(\sigma_0) = 1$, $\sigma_0(\alpha) = \alpha + 1$.

LEMMA 6. *For $1 \leq l \leq m-1$ there exists a matrix $C(l) \in B_m(K)$ such that $A \cdot C(l) = [1, \bar{h}_1(\alpha), \bar{h}_2(\alpha), \dots, \bar{h}_l(\alpha), a', \dots]$ where h_i is defined by (1.8) in §1.*

PROOF. We shall prove the existence of $C(l)$ by induction on l . In case $l=1$ by $\sigma_0 A = A \cdot \Lambda(\sigma_0)$, we have $\sigma(a_1 - \bar{h}_1(\alpha)) = a_1 - \bar{h}_1(\alpha)$. Namely, there exists an element $c_1 \in K$ such that $\bar{h}_1(\alpha) = a_1 + c_1$. Hence put $C(1) = [1, c_1, 0, \dots, 0] \in B_m(K)$, we have $A \cdot C(1) = [1, \bar{h}_1(\alpha), a'_2, \dots]$. Let us assume that this lemma is valid for $l-1$. Then there exists $C(l-1) \in B_m(K)$ such that $A \cdot C(l-1) = [1, \bar{h}_1(\alpha), \dots, \bar{h}_{l-1}(\alpha), a'_l, \dots]$. Put $A' = A \cdot C(l-1)$. Since $\sigma_0 A' = A' \cdot \Lambda(\sigma_0)$ and $\bar{h}_l(\alpha + 1) = \sum_{j=0}^l \bar{h}_j(\alpha) \bar{h}_{l-j}(1)$ by (1.11), we have $\sigma(a'_l - \bar{h}_l(\alpha)) = a'_l - \bar{h}_l(\alpha)$. Hence there exists an element $c_l \in K$ such that $\bar{h}_l(\alpha) = a'_l + c_l$. Now if we put $C(l) = C(l-1) \underbrace{[1, 0, \dots, 0, c_l, 0, \dots, 0]}_l$, then

we have $A \cdot C(l) = [1, \bar{h}_1(\alpha), \bar{h}_2(\alpha), \dots, \bar{h}_l(\alpha), a'_{l+1} \dots]$. In particular, if we put $C(m-1) = C$, then we have $AC = [1, \bar{h}_1(\alpha), \bar{h}_2(\alpha), \dots, \bar{h}_{m-1}(\alpha)] = \bar{f}_t^{(n)}(\alpha)$. Hence we have $\wp_w \alpha = \mu \in W_n(K)$, $L = K(\alpha)$ and $\sigma \alpha = \alpha + \chi(\sigma)$ for $\sigma \in G$.

Q.E.D.

SIMPLE EXAMPLES.

1. If $L \supset K$ is cyclic of order p and $\Lambda(\sigma_0) = [1, 1, 1/2!, \dots, 1/(p-1)!] \in B_p(F_p)$ where σ_0 is a generator of G , then we have

$$\begin{cases} M = \left[1, \mu_0, \frac{\mu_0^2}{2!}, \dots, \frac{\mu_0^{p-1}}{(p-1)!} \right] \in B_p(K) \\ A = \left[1, \alpha_0, \frac{\alpha_0^2}{2!}, \dots, \frac{\alpha_0^{p-1}}{(p-1)!} \right] \in B_p(L) \end{cases}$$

where $\alpha_0^p = \alpha_0 + \mu_0$ and $\sigma_0 \alpha_0 = \alpha_0 + 1$.

2. If $L \supset K$ is cyclic of order p^n and $\Lambda(\sigma_0) = [1, \bar{d}_1, \bar{d}_2, \dots, \bar{d}_{m-1}] \in B_m(F_p)$ where σ_0 is a generator of G and $p^{n-1} + 1 \leq m \leq p^n$, then we have

$$\begin{cases} M = [1, \bar{h}_1(\mu), \bar{h}_2(\mu), \dots, \bar{h}_{m-1}(\mu)] \in B_m(K) \\ A = [1, \bar{h}_1(\alpha), \bar{h}_2(\alpha), \dots, \bar{h}_{m-1}(\alpha)] \in B_m(L) \end{cases}$$

where $\wp_w \alpha = \mu$ and $\sigma_0 \alpha = \alpha + 1$.

REMARK. If $m = p^n$, then $\{1, \bar{h}_1(\alpha), \bar{h}_2(\alpha), \dots, \bar{h}_{p^n-1}(\alpha)\}$ is a base of L over K : $L = \bigoplus_{i=0}^{p^n-1} K \bar{h}_i(\alpha)$.

§3. Calculation of the residue vectors.

Let D be an integral domain of characteristic 0 and t an indeterminate element over D . For $Y \in D((t))$, $Y \neq 0$ and $Z \in W_\infty(D((t)))$, the residue vector (Y, Z) is defined as

$$(3.1) \quad (Y, Z)^{(n)} = \text{res} \left(\frac{dY}{Y} Z^{(n)} \right) \quad (n \geq 0)$$

where $Z^{(n)}$ and $(Y, Z)^{(n)}$ are n -th ghost components of Z and (Y, Z) , respectively (see Witt [10]).

LEMMA 7. Let D contain I_p , $j \geq 1$, $m \geq 1$ and $(j, p) = (m, p) = 1$. Let $Y = \mathfrak{F}_{i,j}(X)$ for $X \in W_\infty(D)$ where $\mathfrak{F}_{i,j}$ is defined by (1.5) and $Z = \{t^{-m}\} = (t^{-m}, 0, 0, \dots)$. Then we have

$$(Y, Z) = \begin{cases} jX & (m=j) \\ 0 & (m \neq j) \end{cases} .$$

PROOF. Using the formula (1.6) we have

$$\frac{d\mathfrak{F}_u(X)}{du} = \left(\sum_{i=0}^{\infty} X^{(i)} u^{p^i-1} \right) \cdot \mathfrak{F}_u(X) .$$

Therefore

$$\frac{1}{Y} \frac{dY}{dt} = \frac{1}{Y} \frac{dY}{dt^j} \frac{dt^j}{dt} = \left(\sum_{i=0}^{\infty} X^{(i)} (t^j)^{p^i-1} \right) \cdot jt^{j-1} = \sum_{i=0}^{\infty} jX^{(i)} t^{jp^i-1}$$

and $Z^{(n)} = t^{-mp^n}$. Hence we have

$$\begin{aligned} (Y, Z)^{(n)} &= \text{res} \left(Z^{(n)} \frac{dY}{Y} \right) = \text{res} \left(\sum_{i=0}^{\infty} jX^{(i)} t^{jp^i-mp^n-1} dt \right) \\ &= \begin{cases} jX^{(n)} & (m=j) \\ 0 & (m \neq j) \end{cases} . \end{aligned}$$

This proves our result.

Q.E.D.

Let C be a field of characteristic p and K the formal power series field in one variable t over the field C : $K = C((t))$. We denote by K^\times the multiplicative group of K . For $\alpha \in K^\times$ and $\beta \in W_\infty(K)$ the residue vector $(\alpha, \beta) \in W_\infty(C)$ is defined and satisfies the following properties:

Let $\alpha, \alpha' \in K^\times$, $\beta, \beta' \in W_\infty(K)$. Then

- (i) $(\alpha\alpha', \beta) = (\alpha, \beta) + (\alpha', \beta)$
- (ii) $(\alpha, \beta + \beta') = (\alpha, \beta) + (\alpha, \beta')$
- (iii) $(\alpha, c\beta) = c(\alpha, \beta)$ for $c \in W_\infty(C)$
- (iv) $(\alpha, V\beta) = V(\alpha, \beta)$
- (v) $(\alpha, P\beta) = P(\alpha, \beta)$

where $V\beta = (0, \beta_0, \beta_1, \dots)$ and $P\beta = (\beta_0^p, \beta_1^p, \dots)$ for $\beta = (\beta_0, \beta_1, \dots) \in W_\infty(K)$ (see Witt [10]). Hence by the continuity of (α, β) and the properties (i), (ii), the multiplicative group K^\times and the additive group of $W_\infty(K)$ are

paired to $W_\infty(C)$. In order to calculate the residue vector (α, β) for any $\alpha \in K^\times$ and $\beta \in W_\infty(K)$, we consider the decomposition of K^\times and $W_\infty(K)$ as follows.

PROPOSITION 2. *The multiplicative group K^\times is decomposed as*

$$(3.2) \quad K^\times = C^\times \times t^{\mathbb{Z}} \times \prod_{\substack{(j,p)=1 \\ j \geq 1}} f_{t^j}(W_\infty(C))$$

where $t^{\mathbb{Z}} = \{t^l \mid l \in \mathbb{Z}\}$ and the infinite product of $f_{t^j}(W_\infty(C))$ $((j, p) = 1, j \geq 1)$ means the direct product as topological groups.

PROOF. It is obvious that $K^\times = C^\times \times t^{\mathbb{Z}} \times U^{(1)}$. By the relation (1.5)' we have

$$f_{t^j}(a(j)) = \prod_{\nu=0}^{\infty} \bar{G}(a(j)_\nu t^{j\nu})$$

where \bar{G} is defined by (1.1)' in §1. Hence for any $\lambda \in U^{(1)}$, we can determine the components $a(j)_\nu \in C$ inductively such that

$$\lambda = \prod_{\substack{(j,p)=1 \\ j \geq 1}} f_{t^j}(a(j)) . \quad \text{Q.E.D.}$$

PROPOSITION 3. *The additive group $W_\infty(K)$ is decomposed as*

$$(3.3) \quad \begin{aligned} W_\infty(K) = & W_\infty(tC[[t]]) \oplus W_\infty(C) \\ & \oplus \overline{\bigoplus_{e=0}^{\infty} \bigoplus_{\substack{(m,p)=1 \\ m \geq 1}} W_\infty(C) P^e \{t^{-m}\}} \\ & \oplus \left(\bigoplus_{i=1}^{\infty} V^i \left(\overline{\bigoplus_{\substack{(m,p)=1 \\ m \geq 1}} W_\infty(C) \{t^{-m}\}} \right) \right) \end{aligned}$$

where \bar{M} means the closure of the subset M of the topological group $W_\infty(K)$, the sum \oplus means the usual direct sum and the sum \bigoplus means the direct sum as topological groups.

PROOF. It is clear that $W_\infty(K) = W_\infty(tC[[t]]) \oplus W_\infty(C[t^{-1}])$. Moreover,

$$W_\infty(C[t^{-1}]) = \sum_{i=0}^{\infty} \sum_{m=0}^{\infty} V^i(W_\infty(C)\{t^{-m}\}) .$$

Hence the additive group $W_\infty(K)$ is the sum of the additive groups of the right-hand side. We shall next show the uniqueness of the expression. $\overline{\bigoplus_{e=0}^{\infty} \bigoplus_{(m,p)=1, m \geq 1} W_\infty(C) P^e \{t^{-m}\}} = \{\sum_{e=0}^{\infty} \sum_{(m,p)=1}^{\infty} b(e, m) P^e \{t^{-m}\} \mid b(e, m) \in W_\infty(C), \lim_{e \rightarrow \infty} b(e, m) = \lim_{m \rightarrow \infty} b(e, m) = 0\}$ and $\overline{\bigoplus_{(m,p)=1}^{\infty} W_\infty(C) \{t^{-m}\}} = \{\sum_{(m,p)=1}^{\infty} b(m) \{t^{-m}\} \mid b(m) \in W_\infty(C), \lim_{m \rightarrow \infty} b(m) = 0\}$. Put

$$b(0) + \sum_{e=0}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} b(e, m) P^e \{t^{-m}\} + \sum_{i=1}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} V^i(b'(i, m)\{t^{-m}\}) = 0$$

where $b(0), b(e, m), b'(i, m) \in W_{\infty}(C)$ and $\lim_{m \rightarrow \infty} b(e, m) = \lim_{e \rightarrow \infty} b(e, m) = \lim_{m \rightarrow \infty} b'(i, m) = 0$. Comparing each component, we obtain that all components $b(0), b(e, m), b'(i, m)$ are 0. Q.E.D.

THEOREM 1. *Let C be a field of characteristic $p > 0$ and $K = C((t))$. Then we can calculate the residue vectors by using Propositions 2 and 3 as follows. Let $\alpha \in K^{\times}$ and $\beta \in W_{\infty}(K)$ be*

$$\begin{cases} \alpha = c \times t^l \times \prod_{\substack{(j,p)=1 \\ j \geq 1}} f_{t^j}(a(j)) \\ \beta = \gamma + b(0) + \sum_{e=0}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} b(e, m) P^e \{t^{-m}\} + \sum_{i=1}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} V^i(b'(i, m)\{t^{-m}\}) \end{cases}$$

where $c \in C^{\times}, l \in \mathbb{Z}, a(j) \in W_{\infty}(C), \gamma \in W_{\infty}(tC[[t]]), b(0), b(e, m), b'(i, m) \in W_{\infty}(C)$ and $\lim_{e \rightarrow \infty} b(e, m) = \lim_{m \rightarrow \infty} b(e, m) = \lim_{m \rightarrow \infty} b'(i, m) = 0$. Then we have

$$(\alpha, \beta) = lb(0) + \sum_{e=0}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} mb(e, m) P^e a(m) + \sum_{i=1}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} m V^i(a(m) \cdot b'(i, m)).$$

PROOF. If $\alpha \in C^{\times}$, then we have $(\alpha, \beta) = 0$ for any $\beta \in W_{\infty}(K)$. And if $\beta \in W_{\infty}(tC[[t]])$, then we have $(\alpha, \beta) = 0$ for any $\alpha \in K^{\times}$. By the properties (i)~(v) of the residue vectors, it is sufficient to calculate four combinations:

$$(t, 1), \quad (t, \{t^{-m}\}), \quad (f_{t^j}(a), 1) \quad \text{and} \quad (f_{t^j}(a), \{t^{-m}\})$$

where $a \in W_{\infty}(C)$ and $(j, p) = (m, p) = 1$. It is clear that $(t, 1) = 1, (t, \{t^{-m}\}) = 0$ and $(f_{t^j}(a), 1) = 0$. Moreover, by Lemma 7 we have

$$(f_{t^j}(a), \{t^{-m}\}) = \begin{cases} ja & (m=j) \\ 0 & (m \neq j) \end{cases}. \quad \text{Q.E.D.}$$

We denote by $(\alpha, \beta)_n \in W_n(C)$ the residue vector of the length n for $\alpha \in K^{\times}$ and $\beta \in W_n(K)$. Similarly we can calculate $(\alpha, \beta)_n$.

§4. Orthogonal pairing and duality.

4.1. Let $C = F_q$ ($q = p^f, f \geq 1$) be a finite field of characteristic p with q elements, K the formal power series field with the coefficient field F_q and an indeterminate element $t: K = F_q((t))$. Since F_q is a cyclic extension

of degree f over F_p , the trace Tr from $W_\infty(F_q)$ to $W_\infty(F_p)$ is defined as

$$(4.1) \quad \text{Tr}(c) = \sum_{\sigma \in \text{Gal}(F_q|F_p)} (\sigma c_0, \sigma c_1, \dots) \in W_\infty(F_p)$$

for $c = (c_0, c_1, \dots) \in W_\infty(F_q)$. We define

$$(4.2) \quad \langle \alpha, \beta \rangle = \text{Tr}(\alpha, \beta) \in W_\infty(F_p)$$

for $\alpha \in K^\times, \beta \in W_\infty(K)$. Since the trace (4.1) is a continuous homomorphism of the additive group, the multiplicative group K^\times and the additive group $W_\infty(K)$ are paired to $W_\infty(F_p)$ by (4.2). Similarly the trace Tr from $W_n(F_q)$ to $W_n(F_p)$ is defined as

$$(4.3) \quad \text{Tr}(c) = \sum_{\sigma \in \text{Gal}(F_q|F_p)} (\sigma c_0, \dots, \sigma c_{n-1}) \in W_n(F_p)$$

for $c = (c_0, c_1, \dots, c_{n-1}) \in W_n(F_q)$. And we define

$$(4.4) \quad \langle \alpha, \beta \rangle_n = \text{Tr}(\alpha, \beta)_n \in W_n(F_p)$$

for $\alpha \in K^\times, \beta \in W_n(K)$. By (4.4), the multiplicative group K^\times and the additive group $W_n(K)$ are paired to $W_n(F_p)$. We shall calculate the residue vectors $\langle \alpha, \beta \rangle$ for any $\alpha \in K^\times$ and $\beta \in W_\infty(K)$. Since F_q is a cyclic extension of degree f over F_p , the additive group of $W_\infty(F_q)$ is a free abelian group of rank f over $W_\infty(F_p)$. Let $\{\alpha(1), \alpha(2), \dots, \alpha(f)\}$ be a base of $W_\infty(F_q)$ over $W_\infty(F_p)$ and $\{\beta(1), \beta(2), \dots, \beta(f)\}$ the complementary base of $\{\alpha(1), \dots, \alpha(f)\}$ such that

$$(4.5) \quad \text{Tr}(\alpha(k) \cdot \beta(h)) = \delta_{kh} \quad k, h = 1, 2, \dots, f$$

where Tr is defined by (4.1). In particular, we choose $\alpha(1) = 1$ so that $\text{Tr} \beta(1) = 1, \text{Tr} \beta(h) = 0$ ($h = 2, 3, \dots, f$) hold. Since the field of quotients K of $W_\infty(F_q)$ is an unramified extension of degree f over the field of quotients Q_p of $W_\infty(F_p)$, $\{\beta(1), \dots, \beta(f)\}$ is a base of $W_\infty(F_q)$ over $W_\infty(F_p)$. By Proposition 2, the multiplicative group K^\times is decomposed as

$$(4.6) \quad K^\times = F_q^\times \times t^z \times \prod_{\substack{(j,p)=1 \\ j \geq 1}} \prod_{k=1}^f \mathfrak{f}_{t^j}(W_\infty(F_p)\alpha(k)).$$

And by Proposition 3, the additive group $W_\infty(K)$ is decomposed as

$$(4.7) \quad W_\infty(K) = W_\infty(tF_q[[t]]) \oplus \left(\bigoplus_{h=1}^f W_\infty(F_p)\beta(h) \right) \\ \oplus \overline{\left(\bigoplus_{e=0}^{\infty} \bigoplus_{\substack{(m,p)=1 \\ m \geq 1}} \bigoplus_{h=1}^f W_\infty(F_p)P^e(\beta(h)\{t^{-m}\}) \right)} \\ \oplus \left(\bigoplus_{i=1}^{\infty} V^i \left(\bigoplus_{\substack{(m,p)=1 \\ m \geq 1}} \bigoplus_{h=1}^f W_\infty(F_p)\beta(h)\{t^{-m}\} \right) \right).$$

THEOREM 2. *Let K be the formal power series field with the coefficient field F_q and an indeterminate element t . Then we can calculate the residue vectors (4.2) by using (4.6) and (4.7) as follows. Let $\alpha \in K^\times$ and $\beta \in W_\infty(K)$ be*

$$\left\{ \begin{array}{l} \alpha = c \cdot t^l \cdot \prod_{\substack{j=1 \\ (j,p)=1}}^{\infty} \prod_{k=1}^f \hat{t}_{t^j} (a(j, k) \alpha(k)) \\ \beta = \gamma + \sum_{h=1}^f b(h) \beta(h) + \sum_{e=0}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} \sum_{h=1}^f b(e, m, h) P^e(\beta(h) \{t^{-m}\}) \\ \quad + \sum_{i=1}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} \sum_{h=1}^f b'(i, m, h) V^i(\beta(h) \{t^{-m}\}), \end{array} \right.$$

where $c \in F_q^\times$, $l \in \mathbb{Z}$, $a(j, k) \in W_\infty(F_p)$, $\gamma \in W_\infty(tF_q[[t]])$, $b(h)$, $b(e, m, h)$, $b'(i, m, h) \in W_\infty(F_p)$ and $\lim_{e \rightarrow \infty} b(e, m, h) = \lim_{m \rightarrow \infty} b(e, m, h) = \lim_{m \rightarrow \infty} b'(i, m, h) = 0$. Then we have

$$\begin{aligned} \langle \alpha, \beta \rangle &= lb(1) + \sum_{e=0}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} \sum_{h=1}^f ma(m, h) b(e, m, h) \\ &\quad + \sum_{i=1}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} \sum_{h=1}^f mp^i a(m, h) b'(i, m, h). \end{aligned}$$

PROOF. By Theorem 1, we have

$$\begin{aligned} (\alpha, \beta) &= l \cdot \sum_{h=1}^f b(h) \beta(h) + \sum_{e=0}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} m \sum_{h=1}^f b(e, m, h) P^e \beta(h) P^e \left(\sum_{k=1}^f a(m, k) \alpha(k) \right) \\ &\quad + \sum_{i=1}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{\infty} m V^i \left(\sum_{k=1}^f a(m, k) \alpha(k) \cdot \sum_{h=1}^f b(i, m, h) \beta(h) \right). \end{aligned}$$

By (4.5) we have

$$\begin{aligned} \langle \alpha, \beta \rangle &= \text{Tr}(\alpha, \beta) = lb(1) + \sum_{e=0}^{\infty} \sum_{m=1}^{\infty} \sum_{h=1}^f ma(m, h) b(e, m, h) \\ &\quad + \sum_{i=1}^{\infty} \sum_{m=1}^{\infty} \sum_{h=1}^f mp^i a(m, h) b'(i, m, h). \quad \text{Q.E.D.} \end{aligned}$$

4.2. Let $K = F_q((t))$ be the formal power series field ($q = p^f$, $f \geq 1$). We shall consider two pairings \langle, \rangle and \langle, \rangle_n defined by (4.2) and (4.4).

(I) On the pairing \langle, \rangle defined by (4.2).

We denote by B the annihilator of the pairing \langle, \rangle .

THEOREM 3.

(i) *The additive group $W_\infty(K)$ is decomposed as*

$$(4.8) \quad W_\infty(K) = \wp W_\infty(K) \oplus W_\infty(F_p)\beta(1) \oplus \Omega_\infty$$

where Ω_∞ is the closure of $\bigoplus_{(m,p)=1, m \geq 1} W_\infty(F_q)\{t^{-m}\}$ in $W_\infty(K)$, i.e., $\Omega_\infty = \{\beta = \sum_{m=1, (m,p)=1}^\infty b(m)\{t^{-m}\} \mid b(m) \in W_\infty(F_q), \lim_{m \rightarrow \infty} b(m) = 0\}$ and $\wp = P-1$.

$$(ii) \quad \begin{cases} B(W_\infty(K)) = F_q^\times \\ B(K^\times) = \wp W_\infty(K) \end{cases}$$

Hence we have an orthogonal pairing

$$(4.9) \quad \langle , \rangle : (t^Z \times U^{(1)}) \times (W_\infty(F_p)\beta(1) \oplus \Omega_\infty) \longrightarrow W_\infty(F_p).$$

(iii) Let $\alpha \in K^\times$ and $\beta \in W_\infty(K)$

$$\begin{cases} \alpha = c \cdot t^l \cdot \prod_j \prod_k f_{t^j}(a(j, k)\alpha(k)) \\ \beta = \wp(\gamma) + b(0)\beta(1) + \sum_{m=1}^\infty \sum_{h=1}^f b(m, h)\beta(h)\{t^{-m}\} \end{cases}$$

where $c \in F_q^\times$, $l \in Z$, $a(j, k) \in W_\infty(F_p)$, $\gamma \in W_\infty(K)$, $b(0), b(m, h) \in W_\infty(F_p)$ and $\lim_{m \rightarrow \infty} b(m, h) = 0$.

Then

$$\langle \alpha, \beta \rangle = lb(0) + \sum_{\substack{m=1 \\ (m,p)=1}}^\infty \sum_{h=1}^f ma(m, h)b(m, h).$$

PROOF. (i) It is obvious that

$$W_\infty(tF_q[[t]]) = \wp W_\infty(tF_q[[t]]) \quad \text{and} \quad W_\infty(F_q) = \wp W_\infty(F_q) \oplus W_\infty(F_p)\beta(1).$$

Hence it is sufficient to prove

$$W_\infty(t^{-1}F_q[t^{-1}]) = \wp W_\infty(t^{-1}F_q[t^{-1}]) \oplus \Omega_\infty.$$

Since $W_\infty(t^{-1}F_q[t^{-1}])$ is closed in $W_\infty(K)$, $W_\infty(t^{-1}F_q[t^{-1}])$ contains $\wp W_\infty(t^{-1}F_q[t^{-1}]) \oplus \Omega_\infty$. Conversely, for any $e \geq 0$, $m \geq 1$, $(m, p) = 1$, $h = 1, 2, \dots, f$, we have

$$P^e(\beta(h)\{t^{-m}\}) = \wp \left(\sum_{i=0}^{e-1} P^i(\beta(h)\{t^{-m}\}) \right) + \beta(h)\{t^{-m}\}.$$

Hence

$$\bigoplus_{e=0}^\infty \bigoplus_{\substack{(m,p)=1 \\ m \geq 1}} \bigoplus_{h=1}^f W_\infty(F_p)P^e(\beta(h)\{t^{-m}\}) \subset \wp W_\infty(t^{-1}F_q[t^{-1}]) + \Omega_\infty.$$

For any

$$\beta \in \bigoplus_{i=1}^\infty \bigoplus_m \bigoplus_{h=1}^f W_\infty(F_p)V^i(\beta(h)\{t^{-m}\})$$

such that

$$\beta = \sum_{i=1}^{\infty} \sum_{\substack{m=1 \\ (m,p)=1}}^{m_i} \sum_{h=1}^f b'(i, m, h) V^i(\beta(h)\{t^{-m}\}),$$

we put

$$\beta(i) = \sum_{m=1}^{m_i} \sum_{h=1}^f b'(i, m, h) \beta(h)\{t^{-m}\} \in \bigoplus_m W_{\infty}(F_q)\{t^{-m}\}.$$

Then we have $\beta = \sum_{i=1}^{\infty} V^i(\beta(i))$. Put $\beta'(i) = \beta(i) + P\beta(i) + \dots + P^{i-1}\beta(i) \in W_{\infty}(t^{-1}F_q[t^{-1}])$ then $\wp(\beta(i)) = P^i(\beta(i)) - \beta(i)$. Hence

$$V^i(\beta(i)) = V^i P^i(\beta(i)) - V^i \wp(\beta'(i)) = p^i \beta(i) - \wp(V^i \beta'(i)).$$

Therefore we have

$$\begin{aligned} \beta &= \sum_{i=1}^{\infty} V^i(\beta(i)) = \sum_{i=1}^{\infty} p^i \beta(i) - \sum_{i=1}^{\infty} \wp(V^i(\beta'(i))) \\ &= \wp\left(-\sum_{i=1}^{\infty} V^i(\beta'(i))\right) + \sum_{i=1}^{\infty} p^i \beta(i) \\ &\in \wp(W_{\infty}(t^{-1}F_q[t^{-1}])) \oplus \Omega_{\infty}. \end{aligned}$$

(ii) We shall prove that $B(W_{\infty}(K)) = F_q^{\times}$. For $\alpha \in B(W_{\infty}(K))$ we can express $\alpha = c \cdot t^i \cdot \prod_j \prod_k f_{t^j}(a(j, k)\alpha(k))$ by (4.6). Since $\langle \alpha, \beta(1) \rangle = l$ and $\langle \alpha, \beta(h)\{t^{-m}\} \rangle = ma(m, h)$ by Theorem 2, we have $l=0$ and $a(m, h)=0$. Hence $\alpha = c \in F_q^{\times}$. It is obvious that $B(W_{\infty}(K))$ contains F_q^{\times} . We shall prove that $B(K^{\times}) = \wp W_{\infty}(K)$. For $\beta \in \wp W_{\infty}(K)$ there exists $\gamma \in W_{\infty}(K)$ such that $\beta = \wp \gamma$. Since $\langle \alpha, \beta \rangle = \langle \alpha, \wp \gamma \rangle = \wp \langle \alpha, \gamma \rangle = 0$ for all $\alpha \in K^{\times}$, we have $\beta \in B(K^{\times})$. By Theorem 2 and (i), it is obvious that $B(K^{\times})$ contains $\wp W_{\infty}(K)$.

(iii) The proof is clear by Theorem 2.

Q.E.D.

(II) On the pairing \langle, \rangle_n defined by (4.4).

We denote by B_n the annihilator of the pairing \langle, \rangle_n .

THEOREM 4.

(i) The additive group $W_n(K)$ is decomposed as

$$(4.10) \quad W_n(K) = \wp W_n(K) \oplus W_n(F_p) \tilde{\beta}(1) \oplus \Omega_n$$

where $\Omega_n = \bigoplus_{(m,p)=1, m \geq 1} W_n(F_q)\{t^{-m}\}^{\sim}$ and $\tilde{\beta} = (\beta_0, \beta_1, \dots, \beta_{n-1}) \in W_n(K)$ for $\beta = (\beta_0, \beta_1, \dots) \in W_{\infty}(K)$.

$$(ii) \quad \begin{cases} B_n(W_n(K)) = (K^{\times})^{p^n} \\ B_n(K^{\times}) = \wp W_n(K). \end{cases}$$

Hence we have an orthogonal pairing

$$(4.11) \quad \langle , \rangle_n: K^\times / (K^\times)^{p^n} \times (W_n(F_p)\tilde{\beta}(1) \oplus \Omega_n) \longrightarrow W_n(F_p).$$

(iii) Let $\alpha \in K^\times$ and $\beta \in W_n(K)$ be

$$\begin{cases} \alpha = c \cdot t^l \cdot \prod_j \prod_k f_{t^j}(a(j, k)\alpha(k)) \\ \beta = \varphi(\gamma) + b(0)\tilde{\beta}(1) + \sum_m \sum_h b(m, h)\tilde{\beta}(h)\{\tilde{t}^{-m}\}. \end{cases}$$

where $c \in F_q^\times$, $l \in \mathbf{Z}$, $a(j, k) \in W_\infty(F_p)$, $\gamma \in W_n(K)$, $b(0)$, $b(m, h) \in W_n(F_p)$. Then

$$\langle \alpha, \beta \rangle_n = lb(0) + \sum_m \sum_h m\tilde{a}(m, h)b(m, h).$$

PROOF. (i) The proof is similar to that of Theorem 3 (i).

(ii) We shall prove that $B_n(W_n(K)) = (K^\times)^{p^n}$. For $\alpha \in B_n(W_n(K))$ we can express

$$\alpha = c \cdot t^l \cdot \prod_j \prod_k f_{t^j}(a(j, k)\alpha(k))$$

by (4.6). Since $\langle \alpha, \tilde{\beta}(1) \rangle_n = l \cdot 1_n$ and $\langle \alpha, \tilde{\beta}(h)\{\tilde{t}^{-m}\} \rangle_n = m\tilde{a}(m, h)$ by Theorem 2, we have $p^n | l$ and $p^n | a(m, h)$. Hence

$$\alpha \in F_q^\times \times t^{p^n \mathbf{Z}} \times \prod_j f_{t^j}(p^n W_\infty(F_q)) = (K^\times)^{p^n}.$$

It is obvious that $B_n(W_n(K))$ contains $(K^\times)^{p^n}$. The proof of $B_n(K^\times) = \varphi W_n(K)$ is similar to that of Theorem 3.

(iii) The proof is clear by Theorem 2. Q.E.D.

4.3. We shall consider the duality of two pairings \langle , \rangle and \langle , \rangle_n defined by (4.9) and (4.11) respectively.

ASSERTION (I). On the orthogonal pairing \langle , \rangle defined by (4.9).

(i) For any continuous homomorphism $\varphi: t^{\mathbf{Z}} \times U^{(1)} \rightarrow W_\infty(F_p)$, there exists an element $\beta \in W_\infty(F_p)\beta(1) \oplus \Omega_\infty$ such that $\varphi(\alpha) = \langle \alpha, \beta \rangle$ for $\alpha \in t^{\mathbf{Z}} \times U^{(1)}$.

(ii) For any continuous homomorphism $\psi: W_\infty(F_p)\beta(1) \oplus \Omega_\infty \rightarrow W_\infty(F_p)$ with $\psi(\beta(1)) \in \mathbf{Z}$, there exists an element $\alpha \in t^{\mathbf{Z}} \times U^{(1)}$ such that $\psi(\beta) = \langle \alpha, \beta \rangle$ for $\beta \in W_\infty(F_p)\beta(1) \oplus \Omega_\infty$.

PROOF. (i) Put $b(m, h) = m^{-1}\varphi(f_{t^m}(\alpha(h)))$ and $b(m) = \sum_{h=1}^f b(m, h)\beta(h)$. Since φ is a continuous mapping, $\lim_{m \rightarrow \infty, (m, p)=1} b(m) = 0$. If we put $\beta = \varphi(t)\beta(1) + \sum_{m=1, (m, p)=1}^\infty b(m)\{t^{-m}\}$, then $\beta \in W_\infty(F_p)\beta(1) \oplus \Omega_\infty$ and $\varphi(\alpha) = \langle \alpha, \beta \rangle$ for all $\alpha \in t^{\mathbf{Z}} \times U^{(1)}$.

(ii) We put

$$\alpha = t^l \cdot \prod_j \prod_k f_{t^j}(a(j, k)\alpha(k))$$

where $l = \psi(\beta(1)) \in Z$ and $a(j, k) = j^{-1}\psi(\beta(k)\{t^{-j}\}) \in W_\infty(F_p)$ for $j \geq 1, (j, p) = 1, k = 1, 2, \dots, f$. Then we have $\psi(\beta(1)) = \langle \alpha, \beta(1) \rangle$ and $\psi(\beta(k)\{t^{-j}\}) = \langle \alpha, \beta(k)\{t^{-j}\} \rangle$ by Theorem 2. Since ψ is a continuous homomorphism, we have $\psi(\beta) = \langle \alpha, \beta \rangle$ for any $\beta \in W_\infty(F_p)\beta(1) \oplus \Omega_\infty$. Q.E.D.

ASSERTION (II). On the orthogonal pairing \langle, \rangle_n defined by (4.11).

(i) For any continuous homomorphism

$$\varphi: K^\times / (K^\times)^{p^n} \longrightarrow W_n(F_p)$$

there exists an element $\beta \in W_n(F_p)\tilde{\beta}(1) \oplus \Omega_n$ such that $\varphi(\hat{\alpha}) = \langle \alpha, \beta \rangle_n$ for $\alpha \in K^\times$ where $\hat{\alpha} = \alpha \bmod (K^\times)^{p^n}$.

(ii) For any homomorphism $\psi: W_n(F_p)\tilde{\beta}(1) \oplus \Omega_n \rightarrow W_n(F_p)$ there exists an element $\alpha \in K^\times$ such that $\psi(\beta) = \langle \alpha, \beta \rangle_n$ for $\beta \in W_n(F_p)\tilde{\beta}(1) \oplus \Omega_n$. Since $K^\times / (K^\times)^{p^n}$ is compact, $W_n(K)/\mathfrak{g}W_n(K)$ is discrete and $W_n(F_p)$ is contained in R/Z , this is a special case of the duality theorem of Pontrjagin.

PROOF. (i) Since φ is a continuous mapping, there exists $m_0 \geq 1$ such that $\varphi(\mathfrak{f}_{i,j}(W_\infty(F_q))) = 0$ for $j \geq m_0$. We put

$$\beta = b(0)\tilde{\beta}(1) + \sum_{m=1}^{m_0} \sum_{h=1}^f b(m, h)\tilde{\beta}(h)\{\tilde{t}^{-m}\}$$

where $b(0) = \varphi(\hat{t}) \in W_n(F_p)$ and $b(m, h) = m^{-1}\varphi(\hat{\mathfrak{f}}_{i,m}(\alpha(h)))$ for $(m, p) = 1, m \geq 1, h = 1, 2, \dots, f$. Then we have $\varphi(\hat{t}) = \langle t, \beta \rangle_n$ and $\varphi(\hat{\mathfrak{f}}_{i,m}(\alpha(h))) = \langle \mathfrak{f}_{i,m}(\alpha(h)), \beta \rangle_n$ by Theorem 4 (iii). Since φ is a continuous homomorphism, we have $\varphi(\hat{\alpha}) = \langle \alpha, \beta \rangle_n$ for any $\alpha \in K^\times$.

(ii) We put

$$\alpha = t^l \times \prod_j \prod_k \mathfrak{f}_{i,j}(a(j, k)\alpha(k))$$

where $l \cdot 1_n = \psi(\tilde{\beta}(1)) \in W_n(F_p)$ and $\tilde{a}(j, k) = j^{-1}\psi(\tilde{\beta}(k)\{\tilde{t}^{-j}\})$ for $(j, p) = 1, j \geq 1, k = 1, 2, \dots, f$. Then we have $\psi(\tilde{\beta}(1)) = \langle \alpha, \beta(1) \rangle_n$ and $\psi(\tilde{\beta}(k)\{\tilde{t}^{-j}\}) = \langle \alpha, \beta(k)\{t^{-j}\} \rangle_n$ by Theorem 4 (iii). Since ψ is a homomorphism, we have $\psi(\beta) = \langle \alpha, \beta \rangle_n$ for any $\beta \in W_n(F_p)\tilde{\beta}(1) \oplus \Omega_n$. Q.E.D.

§5. Arithmetic of local fields of characteristic p .

Let $C = F_q (q = p^f, f \geq 1)$ be a finite field of characteristic p with q elements, K the formal power series field with the coefficient field F_q and an indeterminate element $t: K = F_q((t))$ and L a cyclic extension of order p^n over K . Then there exists a cyclic extension F_{q^d} ($d = p^s, s \geq 0$) over F_q and $T \in L$ such that $L = F_{q^d}((T))$ and $(t) = (T)^{p^l}$ in $F_{q^d}[[T]]$. Then p^l is called the ramification index of L over K and $d = p^s = [F_{q^d}: F_q]$ is called

the relative degree of L over K . Moreover, let r be the minimum positive integer i such that $U^{(i)} \subset N_{L|K}(L^\times)$. Then the ideal $(t)^r$ in $F_q[[t]]$ is called the conductor of L over K . On the other hand there exists an element $\beta \in W_n(K)$ such that $L = K(\wp^{-1}\beta)$ by Theorem (*) in §2. Moreover, by Theorem 4 (i) we can choose

$$\beta \in W_n(F_p)\tilde{\beta}(1) \oplus \Omega_n \quad \text{where} \quad \Omega_n = \bigoplus_{(m,p)=1, m \geq 1} W_n(F_q)\{\tilde{t}^{-m}\}$$

and we can express

$$\beta = b(0)\tilde{\beta}(1) + \sum_{\substack{m=1 \\ (m,p)=1}}^{m_0} \sum_{h=1}^f b(m, h)\tilde{\beta}(h)\{\tilde{t}^{-m}\} = b(0)\tilde{\beta}(1) + \sum_{m=1}^{m_0} b(m)\{\tilde{t}^{-m}\},$$

where $b(0), b(m, h) \in W_n(F_p)$ and $b(m) = \sum_{h=1}^f b(m, h)\tilde{\beta}(h) \in W_n(F_q)$. For $m \geq 1, (m, p) = 1$ and $b(m) \neq 0$, take the non-negative integer s_m such that $p^{s_m} | b(m)$ and $p^{s_m+1} \nmid b(m)$. If $b(m) = 0$, then $s_m = n$. And we put

$$(5.1) \quad l_m = n - s_m.$$

By these constants $l_m (1 \leq m \leq m_0, (m, p) = 1)$ we shall calculate the ramification index and the conductor of L over K .

THEOREM 5. Let $F_q (q = p^f, f \geq 1)$ be a finite field of characteristic p with q elements, K the formal power series field: $K = F_q((t))$ and L a cyclic extension of order p^n over K .

(i) If we put

$$(5.2) \quad l = \max \{l_m | 1 \leq m \leq m_0, (m, p) = 1\},$$

where l_m is given by (5.1) then p^l is the ramification index of L over K .

(ii) If we put

$$(5.3) \quad r = \max \{mp^{l_m-1} + 1 | 1 \leq m \leq m_0, (m, p) = 1, l_m \geq 1\},$$

then $(t)^r$ is the conductor of L over K .

PROOF. (i) is easy to prove. We shall prove (ii). By Y. Kawada and I. Satake [7] (XII) p. 376, we have $N_{L|K}(L^\times) = B_n(\beta)$ where $B_n(\beta) = \{\alpha \in K^\times | \langle \alpha, \beta \rangle_n = 0\}$. Hence it is sufficient to prove that $U^{(r)} \subset B_n(\beta)$ and $U^{(r-1)} \not\subset B_n(\beta)$. For $r \geq 1, j \geq 1$ and $(j, p) = 1$ we define $r_j = \min \{e \geq 0 | jp^e \geq r\}$. Then we have

$$U^{(r)} = \prod_{\substack{(j,p)=1 \\ j \geq 1}} \mathfrak{f}_{t^j}(V^{r_j}(W_\infty(F_q))).$$

Hence any element $\alpha \in U^{(r)}$ can be expressed as

$$\alpha = \prod_{\substack{(j,p)=1 \\ j \geq 1}} \prod_{k=1}^f f_{i,j}(a(j,k)\alpha(k))$$

where $a(j,k) \in V^{r_j}(W_\infty(F_p))$. On the other hand,

$$\beta = b(0)\tilde{\beta}(1) + \sum_{\substack{(m,p)=1 \\ m=1}}^{m_0} \sum_{h=1}^f b(m,h)\tilde{\beta}(h)\{\tilde{t}^{-m}\}$$

where $b(m,h) \in V^{n-l_m}(W_n(F_p))$. Since

$$\langle \alpha, \beta \rangle_n = \sum_{m=1}^{m_0} \sum_{h=1}^f m\tilde{\alpha}(m,h)b(m,h)$$

by Theorem 4 (iii), and $l_m \leq r_m$, we have $\langle \alpha, \beta \rangle_n = 0$. Hence $U^{(r)} \subset B_n(\beta)$. Next we shall show that $U^{(r-1)} \not\subset B_n(\beta)$. Let j be a positive integer such that $(j,p)=1$, $l_j \geq 1$ and $r = jp^{l_j-1} + 1$. Then $(r-1)_j = l_j - 1$. Since $b(m) = \sum_{h=1}^f b(m,h)\tilde{\beta}(h)$, there exists $k \in \{1, 2, \dots, f\}$ such that $b(j,k) \notin V^{n-l_{j+1}}(W_n(F_p))$. If we put $\alpha = f_{i,j}(p^{l_j-1}\alpha(k))$, then $\alpha \in U^{(r-1)}$ and $\langle \alpha, \beta \rangle_n = jp^{l_j-1}b(j,k) \neq 0$. Hence we have $\alpha \notin B_n(\beta)$. Q.E.D.

For $\beta = (\beta_0, \beta_1, \dots, \beta_{n-1}) \in W_n(K)$ we put

$$K_j = K(\wp^{-1}(\beta_0, \dots, \beta_{j-1})) \quad (j=1, 2, \dots, n).$$

Then we have a sequence of fields $K = K_0 \subset K_1 \subset \dots \subset K_{n-1} \subset K_n = L$, where $K_{j+1} \supset K_j$ ($j=0, 1, \dots, n-1$) is a cyclic extension of order p . We shall consider the conductor of K_j over K . For $i=1, 2, \dots, n$, we put

$$(5.4) \quad h_i = \max \{m \mid 1 \leq m \leq m_0, (m,p)=1, l_m = n-i+1\}$$

where l_m is given by (5.1). If $\{m \mid 1 \leq m \leq m_0, (m,p)=1, l_m = n-i+1\} = \emptyset$, then we put

$$(5.5) \quad h_i = 0.$$

By Theorem 5 the conductor $(t)^r$ of L/K is determined by

$$(5.6) \quad r = 1 + \max \{h_1 p^{n-1}, h_2 p^{n-2}, \dots, h_{n-1} p, h_n\}.$$

If $(t)^{F_j}$ is the conductor of K_j over K , then we have similarly

$$(5.7) \quad \begin{aligned} F_j &= 1 + \max \{h_1 p^{j-1}, h_2 p^{j-2}, \dots, h_{j-1} p, h_j\} \\ &= 1 + \max \{p(F_{j-1} - 1), h_j\}. \end{aligned}$$

We can characterize the conductor of the intermediate fields.

THEOREM 6. Let (a_1, a_2, \dots, a_n) be an n -tuple of positive integers a_i . In order that there exists a sequence of fields $K=K_0 \subset K_1 \subset \dots \subset K_n=L$ such that $L \supset K$ is a totally ramified cyclic extension of order p^n and $(t)^{a_i+1}$ is the conductor of K_i over K it is necessary and sufficient that (a_1, a_2, \dots, a_n) satisfies the following relations:

$$(5.8) \quad \begin{cases} (i) & (a_1, p)=1, \\ (ii) & \text{either } a_i=pa_{i-1}, \text{ or } a_i > pa_{i-1}, (a_i, p)=1 \text{ for } i=2, 3, \dots, n. \end{cases}$$

PROOF. If we put $a_i=F_i-1$, then by (5.7) we have

$$(5.9) \quad a_1=h_1 \quad \text{and} \quad a_i=\max\{pa_{i-1}, h_i\} \quad (i=2, 3, \dots, n).$$

It is obvious that the relation (5.8) is a necessary condition by (5.9). Conversely, let (a_1, a_2, \dots, a_n) be an n -tuple with the properties (5.8). If we put $\beta=(t^{-a_1}, t^{-a_2}, \dots, t^{-a_n}) \in W_n(K)$, $L=K(\wp^{-1}\beta)$ and $K_j=K(\wp^{-1}(\beta_0, \beta_1, \dots, \beta_{j-1}))$, ($j=1, 2, \dots, n$), then by the relation (5.8) the conductor of K_j over K is $(t)^{a_j+1}$. Q.E.D.

REMARK. If $L \supset K$ is not a totally ramified extension in Theorem 6, then we must change the relation (5.8) for the following relations: If the relative degree of L over K is p^s , then

$$\begin{cases} (i)' & a_1=a_2=\dots=a_s=0, (a_{s+1}, p)=1 \\ (ii)' & \text{either } a_i=pa_{i-1}, \text{ or } a_i > pa_{i-1}, (a_i, p)=1 \text{ for } i=s+2, s+3, \dots, n. \end{cases}$$

By the above results, we can calculate the *ramification numbers* and the *discriminant ideal* of L over K by Hasse's formula (see Hasse [2]). Let v_1, v_2, \dots be the ramification numbers of L/K , then we have

$$(5.10) \quad v_\nu = a_1 + p(a_2 - a_1) + p^2(a_3 - a_2) + \dots + p^{\nu-1}(a_\nu - a_{\nu-1}) \quad (\nu=1, 2, \dots).$$

Moreover, if δ is the discriminant ideal of L/K , then we have

$$(5.11) \quad \delta = (t)^{p^n - l[(p^l - 1) + v_1(p^{l-1} - 1) + (v_2 - v_1)(p^{l-1} - 1) + \dots + (v_l - v_{l-1})(p - 1)]}$$

where p^l is the ramification index of L over K .

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