

The Classification of Fano 3-Folds with Torus Embeddings

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Introduction

Let X be a smooth projective 3-fold over an algebraically closed field k . X is called a Fano 3-fold if the anti-canonical divisor $-K_X$ of X is ample. Recently, Ishkovsky has developed the theory of Fano 3-folds in his papers [1], [2] and has determined the structure of Fano 3-folds with Picard number 1. In this paper, we will consider Fano 3-folds with torus embedding (which we will call toric Fano 3-folds) and determine all the toric Fano 3-folds up to isomorphism. As the result, we see that there are 18 toric 3-folds and their Picard numbers are at most 5.

§ 1. The statement of the result.

THEOREM. (1) *If V is a toric Fano 3-fold, then the Picard number $\rho = \rho(V)$ of V is not greater than 5 and V is isomorphic to one of the following manifolds.*

- (I) ($\rho=1$) (a) P^3 ,
 (II) ($\rho=2$) (b) $P^2 \times P^1$, (c) $P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(1))$, (d) $P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(2))$,
 (e) $P(\mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1}(1))$.

In the following, we denote by DS_k ($6 \leq k \leq 8$) the toric Del Pezzo surfaces obtained from P^2 by blowing up $9-k$ points of P^2 (cf. (2.7)).

- (III) ($\rho=3$) (f) $P^1 \times P^1 \times P^1$, (g) $DS_8 \times P^1$, (h) $P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, 1))$,
 (i) $P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, -1))$,
 (j) $P(\mathcal{O}_{DS_8} \oplus \mathcal{O}_{DS_8}(\ell))$, where ℓ is the total transform of a line in P^2 ,
 (k) F_1^3 ; obtained by blowing up a line of $P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(2))$,
 (l) F_2^3 ; obtained by blowing up a point of $P(\mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1}(1))$.

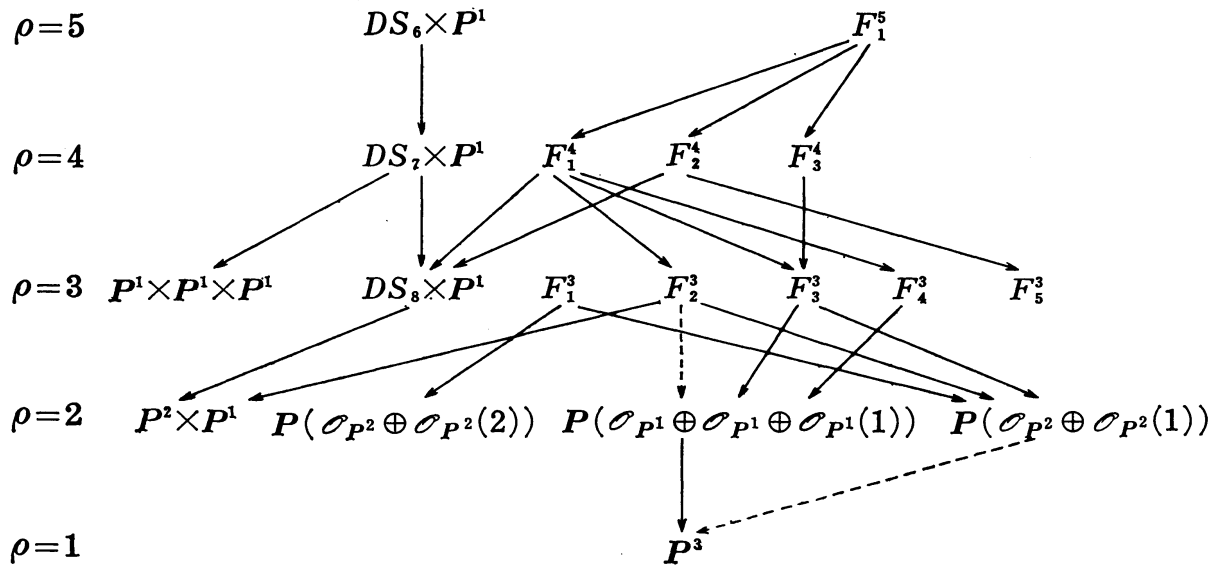
(The precise definition of F_i^p 's will be given in § 3.)

- (IV) ($\rho=4$) (m) $DS_7 \times P^1$,
 (n) F_1^4 ; obtained by blowing up an exceptional line of $P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, -1))$,
 (o) F_2^4 ; obtained by blowing up an exceptional line of $DS_8 \times P^1$,

- (p) F_3^4 ; obtained by blowing up an exceptional line of $P(\mathcal{O}_{DS_8} \oplus \mathcal{O}_{DS_8}(\mathcal{L}))$.
- (V) ($\rho=5$) (q) $DS_6 \times P^1$,
- (r) F_1^5 ; obtained by blowing up an exceptional line of F_1^4 .

In the above statements, a line means a 1-dimensional T -stable subvariety.

(2) The blowing-up and blowing-down relations between these Fano 3-folds are indicated by the following diagram. (The arrow \rightarrow indicates a blowing-up with center a line and the dotted arrow \rightarrow indicates a blowing-up with center a point.)



where $F_3^3 = P(\mathcal{O}_{DS_8} \oplus \mathcal{O}_{DS_8}(\mathcal{L}))$, $F_4^3 = P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, -1))$, and $F_5^3 = P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, 1))$.

§ 2. Some preliminaries on toric 3-folds.

To carry out the classification, we need some knowledge of the theory of toric 3-folds, for which we refer to [3]. First we fix our notations.

(2.1) k is an algebraically closed field.

T is a three dimensional algebraic torus over k .

(Z^3, Δ) is a finite partial polyhedral decomposition.

$V = \text{Tem}(\Delta)$ is a non-singular complete toric 3-fold determined by Δ .

$Sk^1 = \{\sigma_1, \dots, \sigma_d\}$ is the set of 1-skeltons of Δ .

$n_i \in Z^3$ is the fundamental generator of σ_i ($i=1, \dots, d$).

$\{D_1, \dots, D_d\}$ is the set of T -stable prime divisors of V , where D_i is the closure of $\text{orb}(\sigma_i)$ ($i=1, \dots, d$).

$\rho = \rho(V)$ is the Picard number of V . Note that $\rho = d - 3$ in this case ([3], 6.1).

K_V is the canonical divisor of V . We can put $K_V = -\sum_{i=1}^d D_i$ (cf. [3], 6.6).

PROPOSITION (2.2) ([3], Proposition 9.1). *There are canonical bijections between the followings.*

(a) *The set of isomorphic classes of non-singular complete toric 3-folds.*

(b) *The set of isomorphic classes of admissible \mathbb{Z}^3 -weighted triangulations of S , where S is a sphere in \mathbb{R}^3 centered at the origin.*

(c) *The set of combinatoric isomorphic classes of admissible doubly \mathbb{Z} -weighted triangulations of S .*

By abuse of language, we will denote the \mathbb{Z}^3 -weighted or the doubly \mathbb{Z} -weighted triangulation of S corresponding to Δ by the same letter Δ .

(2.3) For a \mathbb{Z}^3 -weighted (or doubly \mathbb{Z} -weighted) triangulation Δ of S , let v_i be the vertex of Δ corresponding to σ_i and s_i be the number of edges concentrating to v_i ($i=1, \dots, d$). Note that the number of edges of Δ is $(\sum_{i=1}^d s_i)/2$ and the number of triangles of Δ is $(\sum_{i=1}^d s_i)/3$. By Euler's theorem, we have $(\sum_{i=1}^d s_i)/3 - (\sum_{i=1}^d s_i)/2 + d = 2$ or

$$(2.3.1) \quad \sum_{i=1}^d s_i = 6d - 12.$$

If $L = v_i \cdot v_j$ is the edge of Δ connecting the vertexes v_i and v_j , we will denote the line $D_i \cap D_j$ of V by the same letter L .

LEMMA (2.4). *If $-K_V$ is ample, then $d \leq 10$.*

PROOF. For an edge $L = v_i \cdot v_j$ of Δ (or a line $D_i \cap D_j$ of V), we put $\delta_L = (D_i^2 \cdot D_j) + (D_i \cdot D_j^2) + 1$. Since $-K_V$ is ample, $(-K_V \cdot L) > 0$. On the other hand, if v and v' are the vertexes such that the triangles $\{v, v_i, v_j\}$ and $\{v', v_i, v_j\}$ belong to Δ and if D and D' are divisors of V corresponding to v and v' respectively, we have $(-K_V \cdot L) = (\sum_{m=1}^d D_m \cdot D_i \cdot D_j) = (D_i^2 \cdot D_j) + (D_i \cdot D_j^2) + (D_i \cdot D_j \cdot D) + (D_i \cdot D_j \cdot D') = \delta_L + 1$. Hence we get $\delta_L \geq 0$ for every edge L .

Moreover, we have

$$(2.4.1) \quad \sum_L \delta_L = \sum_{1 \leq i < j \leq d} \{(D_i^2 \cdot D_j) + (D_i \cdot D_j^2) + 1\} = \sum_{i=1}^d \left\{ \sum_{j \neq i} (D_i \cdot D_j^2) \right\} + \frac{1}{2} \sum_{i=1}^d s_i.$$

Since each D_i is a non-singular complete toric surface and the set $\{D_j \cap D_i \mid j \neq i, D_i \cap D_j \neq \emptyset\}$ is the set of T -stable prime divisors on D_i , we have

$$(2.4.2) \quad \sum_{j \neq i} (D_i \cdot D_j^2) = 12 - 3s_i \quad (\text{cf. [3], § 8}).$$

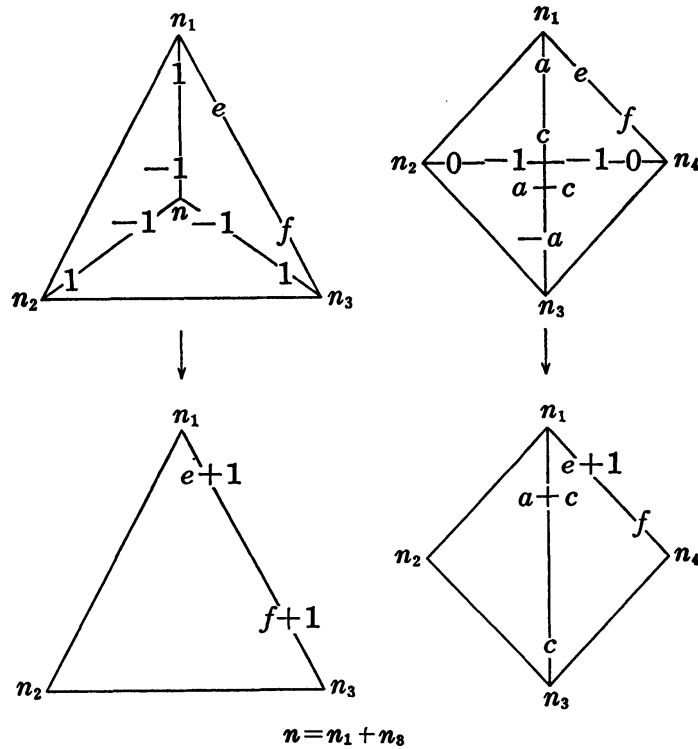
Putting (2.4.1), (2.4.2) and (2.3.1) together, we get

$$(2.4.3) \quad \sum_L \delta_L = \sum_{i=1}^d (12 - 3s_i) + \frac{1}{2} \sum_{i=1}^d s_i = 30 - 3d \geq 0.$$

PROPOSITION (2.5). $-K_V$ is ample if and only if for every triangle τ of Δ , $(m(\tau), n_j) > -1$ for $n_j \notin \tau$, where $m(\tau)$ is an element of $(\mathbf{Z}^3)^\vee$ (dual of \mathbf{Z}^3) determined by $(m(\tau), n_i) = -1$ for $n_i \in \tau$.

PROOF. This is a special case of ([3], 6.5).

PROPOSITION (2.6) ([3], 9.2). Let v be a vertex of Δ and v_1, \dots, v_s be the vertexes of its link going around v in this order. The divisor D corresponding to v can be contracted smoothly to a point (resp. to a line) if and only if $s=3$ and $n=n_1+n_2+n_3$ (resp. $s=4$ and $n=n_1+n_3$ or $n=n_2+n_4$). The contraction takes place as in the following figures.



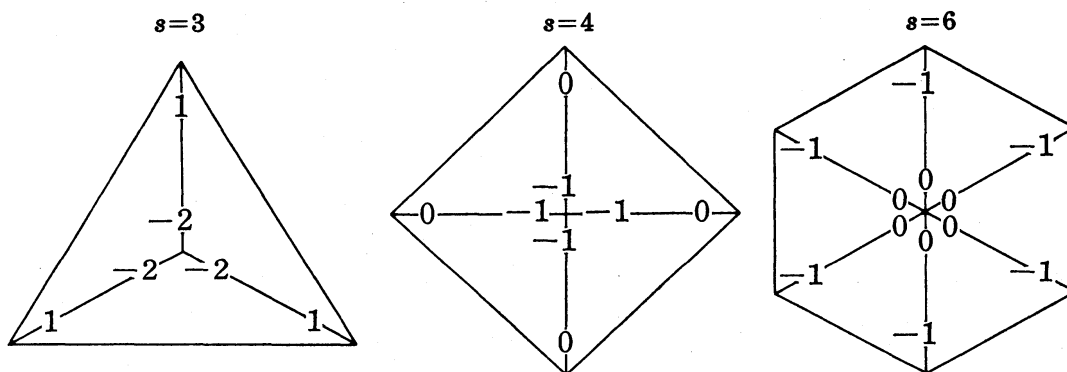
PROPOSITION (2.7). If X is a 2-dimensional complete smooth torus embedding with ample $-K_X$, then X is isomorphic to one of the following surfaces. (1) P^2 , (2) $P^1 \times P^1$, (3) DS_k ($6 \leq k \leq 8$), where DS_k is the surface obtained from P^2 by blowing up $9-k$ points of P^2 . In this case, the

center of the blowing up is intersection of two coordinate axes of P^2 .

§ 3. The classification.

LEMMA (3.1). *There exists no toric Fano 3-folds for $d=9$ or 10.*

PROOF. Let v be a vertex of Δ and s be the number of edges concentrating to v . If $d=10$, by (2.4.3), we must have $\delta_L=0$ for every edge L of Δ . Then, considering the double Z -weighting, we see that the possible value of s is 3, 4 or 6 and that the weighted link around the vertex v must be one of the followings.

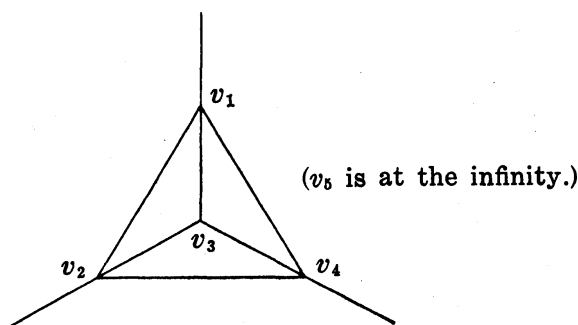


We can easily see that these weighted links cannot make an admissible double Z -weighting.

If $d=9$, let δ_v be the sum of δ_L concentrating to v . Then by (2.4.3), $\delta_v \leq 3$ for every vertex v . There are 33 types of weighted links of a vertex v satisfying the condition $\delta_v \leq 3$. But we can see that these cannot make an admissible double Z -weighted triangulation.

(3.2) Now, let us begin the classification. As we have seen, $4 \leq d \leq 8$. If $d=4$, then $V \cong P^3$ (cf. [3], Theorem 7.1).

(3.3) If $d=5$, the triangulation of the sphere is as follows.



As V is smooth, we may assume $n_1=(1, 0, 0)$, $n_2=(0, 1, 0)$, and $n_3=(0, 0, 1)$. Also, as $\det({}^t n_1, {}^t n_3, {}^t n_4)=\det({}^t n_3, {}^t n_2, {}^t n_4)=\det({}^t n_5, {}^t n_1, {}^t n_4)=\det({}^t n_5, {}^t n_2, {}^t n_1)=\det({}^t n_5, {}^t n_4, {}^t n_2)=1$, we may put $n_4=(-1, -1, c)$ and $n_5=(a, b, -1)$, where a, b, c are integers such that $ac=bc=0$. Moreover, by (2.5), we have inequalities $a+b < 2$, $c < 3$, $2a-ac-b > -2$, $2b-bc-a > -2$ and $ac+bc-c < 0$. From these inequalities, it follows that Δ is isomorphic to one of the following \mathbb{Z}^3 -weightings. (In what follows, we describe the \mathbb{Z}^3 -weighting by the matrix $N=({}^t n_1, \dots, {}^t n_d)$.)

$$(1) \quad N = \begin{bmatrix} 1 & 0 & 0 & -1 & -1 \\ 0 & 1 & 0 & -1 & -1 \\ 0 & 0 & 1 & 0 & -1 \end{bmatrix}.$$

In this case, the divisor D_4 contracts to a line in P^3 and $V \cong P(\mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1}(1))$. (This is the case (e) of the Theorem.)

$$(2) \quad N = \begin{bmatrix} 1 & 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 & 0 \\ 0 & 0 & 1 & 1 & -1 \end{bmatrix}.$$

In this case, the divisor D_3 contracts to a point in P^3 and $V \cong P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(1))$. (This is the case (c) of the Theorem.)

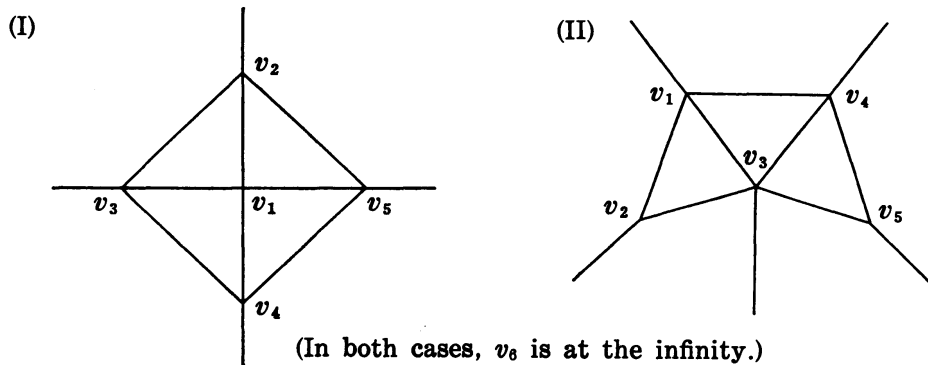
$$(3) \quad N = \begin{bmatrix} 1 & 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 & 0 \\ 0 & 0 & 1 & 0 & -1 \end{bmatrix}.$$

In this case, $V \cong P^2 \times P^1$. (This is the case (b) of the Theorem.)

$$(4) \quad N = \begin{bmatrix} 1 & 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 & 0 \\ 0 & 0 & 1 & 2 & -1 \end{bmatrix}.$$

In this case, $V \cong P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(2))$. (This is the case (c) of the Theorem.)

(3.4) $d=6$. There are two non-isomorphic triangulations of S as indicated by following figures.



By the same argument as in (3.3), we see that Δ is isomorphic to one of the followings. (As in (3.3), the matrix N is $({}^t n_1, \dots, {}^t n_6)$.)

Case (II). (1)

$$N = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & -1 \\ 0 & 1 & 0 & -1 & -1 & -1 \\ 0 & 0 & 1 & 0 & 0 & -1 \end{bmatrix}.$$

The divisor D_1 can be blown down to a line and we get $P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(2))$. Also, if we contract the divisor D_4 to a line, we get $P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(1))$. This is F_1^3 (case (k)) of the Theorem.

(2)

$$N = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & -1 \\ 0 & 1 & 0 & -1 & -1 & 0 \\ 0 & 0 & 1 & 0 & 0 & -1 \end{bmatrix}.$$

We can contract D_5 (resp. D_1, D_4) to a point (resp. to a line) and get $P(\mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1}(1))$ (resp. $P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(1)), P^2 \times P^1$). This is the variety F_2^3 (case (l)) of the Theorem.

Case (I). (3)

$$N = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & -1 \\ 0 & 1 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & -1 & 1 \end{bmatrix}.$$

We can contract D_1 (resp. D_5) to a line and get $P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(1))$ (resp. $P(\mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1}(1))$). This is the variety $P(\mathcal{O}_{DS_8} \oplus \mathcal{O}_{DS_8}(\ell))$ (case (j)) of the Theorem.

(4)

$$N = \begin{bmatrix} 1 & 0 & 0 & 1 & -1 & -1 \\ 0 & 1 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & -1 & 0 \end{bmatrix}.$$

We can contract D_1 or D_5 to a line and get $P(\mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1}(1))$ in both cases. This variety is isomorphic to the P^1 -bundle $P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, -1))$ over $P^1 \times P^1$ (case (i)) of the Theorem.

(5)

$$N = \begin{bmatrix} 1 & 0 & 0 & 1 & 1 & -1 \\ 0 & 1 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & -1 & 0 \end{bmatrix}.$$

This variety is isomorphic to the P^1 -bundle $P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, 1))$ over $P^1 \times P^1$. (This is the case (h) of the Theorem.) In this case, we can contract D_1 in two different (but isomorphic) ways getting a variety which is not a Fano 3-fold.

(6)

$$N = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & -1 & 0 \end{bmatrix}.$$

This variety is isomorphic to $P^1 \times P^1 \times P^1$ (case (f) of the Theorem).

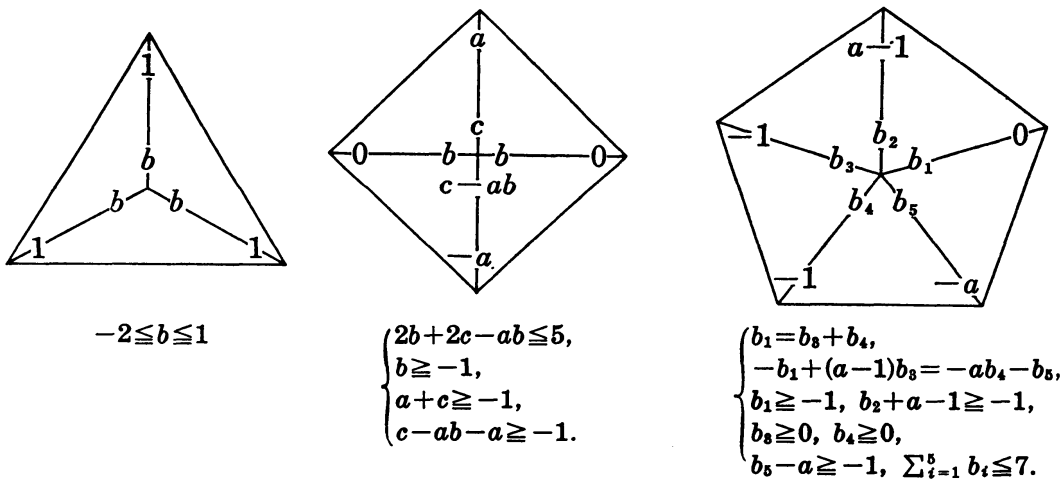
(7)

$$N = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & -1 \\ 0 & 1 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & -1 & 0 \end{bmatrix}.$$

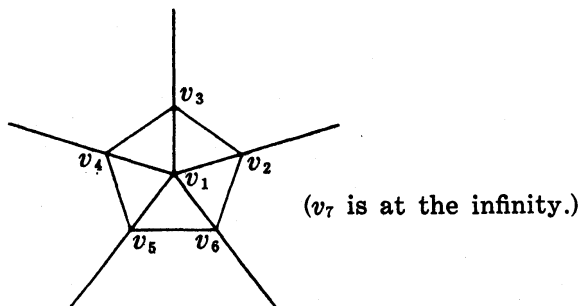
This variety is isomorphic to $DS_8 \times P^1$ (case (g) of the Theorem). We can contract D_1 to a line and get $P^2 \times P^1$.

(3.5) For the case of $d=7, 8$, we will carry out the classification using the language of the double Z -weightings of S .

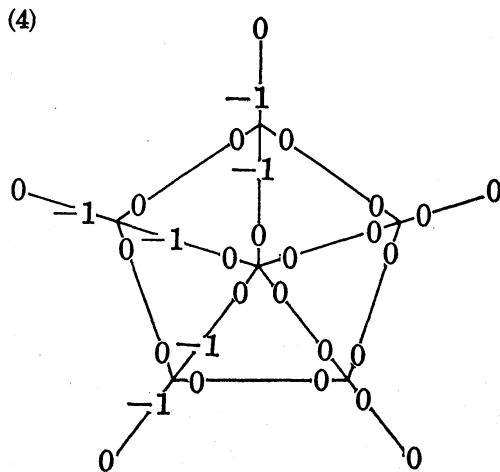
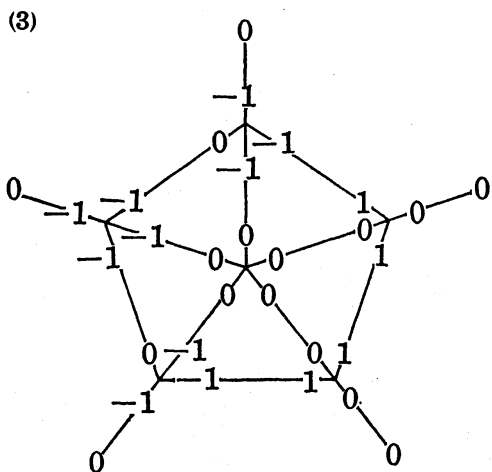
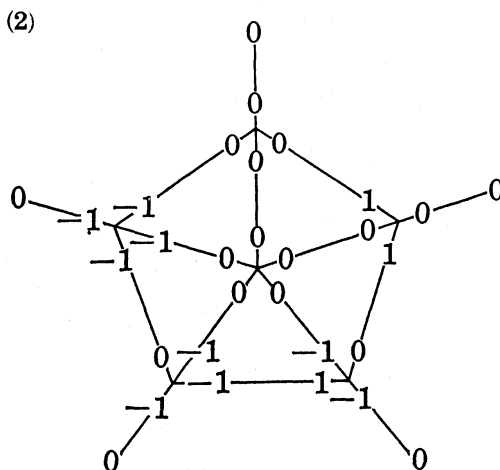
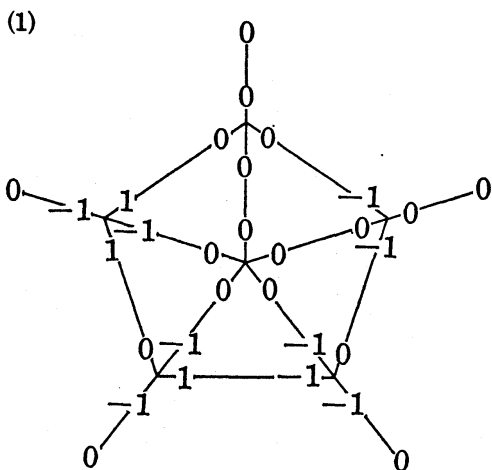
If $d=7$ ($\rho=4$), we have $\sum_L \delta_L = 9$. If v is a vertex of Δ , as $\delta_v \leq 9$, the weighted link of v is one of the followings.



There are 5 non-isomorphic triangulations of the sphere for $d=7$ (cf. [3], p. 77). Among these triangulations, only the following one can have admissible double Z -weightings which satisfy the conditions above.



There are four non-isomorphic double \mathbb{Z} -weighting satisfying the above conditions and it can be proved that the corresponding varieties are actually Fano 3-folds using (2.5). The followings are the list of double \mathbb{Z} -weightings.



The corresponding Z^3 -weightings are as follows;

(1)

$$N = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 & -1 & 0 & 1 \\ 0 & 0 & 1 & 0 & -1 & -1 & 0 \end{bmatrix}.$$

The divisor D_2 , D_4 , D_5 and D_6 are contractible to a line and we get F_2^3 , $P(\mathcal{O}_{DS_8} \oplus \mathcal{O}_{DS_8}(\ell))$, $P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, -1))$ and $DS_8 \times P^1$ respectively after contracting. This variety is F_1^4 (case (n)) of the Theorem.

(2)

$$N = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 & -1 & 0 & -1 \\ 0 & 0 & 1 & 0 & -1 & -1 & 0 \end{bmatrix}.$$

We can contract D_5 (resp. D_6) to a line and get $DS_8 \times P^1$ (resp. $P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, 1))$). We can contract D_4 in two different ways. But the both resulting varieties are not Fano 3-folds. This variety is F_2^4 (case (o)) of the Theorem.

(3)

$$N = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 & -1 & 0 & -1 \\ 0 & 0 & 1 & 1 & 0 & -1 & 1 \end{bmatrix}.$$

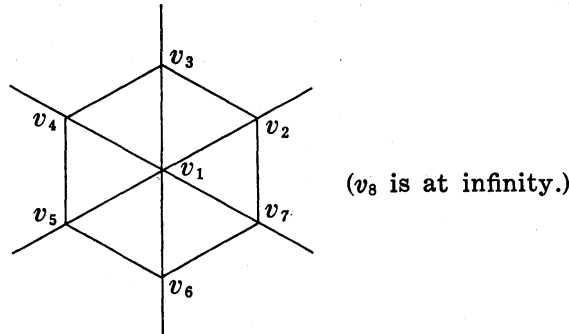
We can contract D_5 to a line and get $P(\mathcal{O}_{DS_8} \oplus \mathcal{O}_{DS_8}(\ell))$. The divisors D_3 , D_4 are also contractible to a line. But the resulting varieties are not Fano 3-folds. This variety is F_3^4 (case (p)) of the Theorem.

(4)

$$N = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 & -1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & -1 & 0 \end{bmatrix}.$$

This variety is isomorphic to $DS_7 \times P^1$ (case (m) of the theorem). We can contract D_3 (resp. D_4) and get $DS_8 \times P^1$ (resp. $P^1 \times P^1 \times P^1$). The divisor D_5 is contractible to a line. But the resulting manifold is not a Fano 3-fold.

(3.6) For $d=8$, classifying by double Z -weightings as in (3.5), we can see that the possibility of Δ is restricted to one of the following two types both of which have the same triangulation as follows.



(1)

$$N = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 & -1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & -1 & -1 & -1 \end{bmatrix}.$$

We can contract D_2 (resp. D_3, D_4, D_5, D_7) and get F_2^4 (resp. $F_3^4, F_2^4, F_1^4, F_1^4$). The divisor D_6 is contractible to a line in two ways. But the resulting varieties are not Fano 3-folds. This variety is F_1^5 (case (r)) of the Theorem.

(2)

$$N = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 1 & 0 & -1 & -1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & -1 & -1 & 0 \end{bmatrix}.$$

This variety is isomorphic to $DS_6 \times P^1$ (case (q) of the Theorem). We can contract D_2, D_3, D_4, D_5, D_6 or D_7 to a line and get $DS_7 \times P^1$.

This concludes the classification of the toric Fano 3-folds.

COROLLARY (3.7). *Indices and degrees of the above Fano 3-folds are as follows. (For the definition of indices and degrees of Fano 3-folds, see Iskovskih [1].)*

	P^3	$P^2 \times P^1$	$P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(2))$	$P(\mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1} \oplus \mathcal{O}_{P^1}(1))$
ρ	1	2	2	2
index	4	1	1	1
degree	1	54	62	54

	$P(\mathcal{O}_{P^2} \oplus \mathcal{O}_{P^2}(1))$	$P^1 \times P^1 \times P^1$	$DS_8 \times P^1$	$P(\mathcal{O}_{DS_8} \oplus \mathcal{O}_{DS_8}(\mathcal{L}))$	$P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, -1))$
	2	3	3	3	3
	2	2	1	1	1
	7	6	48	50	44

$P(\mathcal{O}_{P^1 \times P^1} \oplus \mathcal{O}_{P^1 \times P^1}(1, 1))$	F_1^3	F_2^3	$DS_7 \times P^1$	F_1^4	F_2^4	F_3^4	$DS_6 \times P^1$	F_1^5
3	3	3	4	4	4	4	5	5
1	1	1	1	1	1	1	1	1
52	50	46	42	40	44	46	36	36

COROLLARY (3.8). *The manifolds appearing in the above classification are not isomorphic as abstract varieties to each other.*

ADDED IN PROOF. After the submission of our paper, V. V. Batirev has obtained the same result as ours which appeared in *Izvestia Akademii Nauk, S.S.S.R.*, Vol. 45, no. 4, 1981.

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