

On a Bernoulli Property for Multi-dimensional Mappings with Finite Range Structure

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Introduction

In the previous paper [4], we considered ergodic properties of a mapping T defined on a bounded domain $X \subset R^d$ satisfying a "local Renyi's condition". The purpose of this paper is to prove that such a mapping T is weak Bernoulli if it admits a finite absolutely continuous invariant measure.

The mapping we consider is characterized by a certain type of partition $Q = \{X_a : a \in I\}$ of X and a finite number of subsets $U_0 (= X), U_1, \dots, U_N$ of X satisfying some special properties (see §1 for precise definitions). We shall call such a transformation T a multi-dimensional mapping with a finite range structure. If such a T satisfies the Renyi's condition, in addition, then it is known that T has a finite absolutely continuous invariant measure, and furthermore, under some additional conditions one can prove that Q is a weak Bernoulli partition ([9], [18]). On the other hand, when X is an interval of R^1 , Ledrappier established in [6] the weak Bernoulli property for a transformation T having a similar characterization under some further hypothesis, such as the existence of a finite invariant measure with positive entropy, but without assuming that T satisfies the Renyi's condition (cf. [2]). The main ingredient of his proof, which is patterned after the work of Sinai [15] (cf. [16]) and Ratner [12], is the use of Rohlin's formula for proving the absolute continuity of some conditional measures.

In this paper we establish a sufficient condition for a multi-dimensional mapping with a finite range structure to have the weak Bernoulli property when they do not necessarily satisfy the Renyi's condition. We do need, however, to make several assumptions on the transformation; some of these assumptions seem to be essential, while the others are seen

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to be purely technical (see §1). Under the assumptions explained in detail in §1, we will show that T is exact (§2), and that “Rohlin’s formula” holds for T (§3). In §4, we construct a natural extension for T and consider conditional measures with respect to the extension. By using Rohlin’s formula, we prove the absolute continuity of the conditional measures, and this will lead us to the desired conclusion along the line of argument used in [6] and [12]. Since the reasoning follows more or less the same pattern we will only sketch the outline of the argument used for this part.

The remainder (§5) of the paper is devoted to the discussion of three examples of multi-dimensional mapping with a finite range structure. One of these examples is a one parameter family of maps of an interval, and the others arise from the number theory: an inhomogeneous diophantine approximation problem and complex continued fractions. None of these transformations satisfy the Renyi’s condition, but all of them do satisfy a “local Renyi’s condition”. We will show that these transformations satisfy all of the assumptions made in §1, and therefore weak Bernoulli.

§1. Notations and results.

DEFINITION. A mapping T on a bounded domain $X \subset R^d$ is called “a multi-dimensional mapping with a finite range structure” if there exist a countable partition $Q = \{X_a : a \in I\}$ of X and a finite number of subsets $\{U_0, U_1, \dots, U_N\}$ of X satisfying the following Conditions (1)~(4):

(1) Each X_a is a measurable connected subset with piecewise smooth boundary.

(2) Each U_k has a positive measure.

(3) For each X_a the mapping $T|_{X_a}$ restricted on X_a is injective, of class C^1 , and $\det DT|_{X_a} \neq 0$.

(4) If $\text{int}(X_{a_1}) \cap \text{int}(T^{-1}X_{a_2}) \cap \dots \cap \text{int}(T^{-(n-1)}X_{a_n}) \neq \emptyset$, then $T^n(X_{a_1} \cap T^{-1}X_{a_2} \cap \dots \cap T^{-(n-1)}X_{a_n}) = U_k$ for some $k \in \{0, 1, \dots, N\}$.

To state our results, we introduce some notations. If $\text{int}(X_{a_1}) \cap \text{int}(T^{-1}X_{a_2}) \cap \dots \cap \text{int}(T^{-(n-1)}X_{a_n}) \neq \emptyset$, we denote $X_{a_1} \cap T^{-1}X_{a_2} \cap \dots \cap T^{-(n-1)}X_{a_n}$ by $X_{a_1 \dots a_n}$, and call it a cylinder of rank n with respect to T . $\mathcal{L}^{(n)}$ denotes the family of all cylinders $X_{a_1 \dots a_n}$ of rank n , and $\mathcal{L} = \bigcup_{n=1}^{\infty} \mathcal{L}^{(n)}$. If $X_{a_1 \dots a_n} \in \mathcal{L}^{(n)}$, we call the sequence $(a_1 \dots a_n)$ T -admissible. Denote the set of all T -admissible sequences of length n by $A(n)$. We write Ψ_a for $(T|_{X_a})^{-1}$ and define inductively

$$\Psi_{a_1 \dots a_n} = \Psi_{a_1 \dots a_{n-1}} \circ \Psi_{a_n}.$$

For a constant $C \geq 1$, we call a cylinder $X_{a_1 \dots a_n}$ an "R.C-cylinder" if it satisfies "Renyi's condition", i.e.

$$\sup_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)| \leq C \cdot \inf_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)| .$$

Let $R(C.T)$ denote the set of all R.C-cylinders. We define for $C \geq 1$

$$\begin{aligned} \mathcal{D}_n &= \{X_{a_1 \dots a_n} \in \mathcal{L}^{(n)} : X_{a_1 \dots a_j} \in \mathcal{L} \setminus R(C.T) \text{ for } 1 \leq j \leq n\} , \\ D_n &= \bigcup_{X_{a_1 \dots a_n} \in \mathcal{D}_n} X_{a_1 \dots a_n} , \\ \beta_n &= \{X_{a_1 \dots a_n} \in \mathcal{L}^{(n)} : X_{a_1 \dots a_{n-1}} \in \mathcal{D}_{n-1}, X_{a_1 \dots a_n} \in R(C.T)\} , \\ B_n &= \bigcup_{X_{a_1 \dots a_n} \in \beta_n} X_{a_1 \dots a_n} . \end{aligned}$$

Let $\lambda(\cdot)$ be the normalized Lebesgue measure on X .

We now state some conditions to be used in our results.

(C.1) (generator condition)

$$\bigvee_{m=1}^{\infty} T^{-m}Q = \varepsilon, \text{ i.e. the partition into points.}$$

Assume that there exists a constant $C \geq 1$ such that

(C.2) (transitivity condition)

for each j with $0 \leq j \leq N$, there exists a cylinder $X_{a_1 \dots a_{s_j}}$ contained in U_j such that $X_{a_1 \dots a_{s_j}} \in R(C.T)$ and $T^{s_j} X_{a_1 \dots a_{s_j}} = X$,

(C.3) if $X_{a_1 \dots a_n} \in R(C.T)$, then $X_{b_1 \dots b_k a_1 \dots a_n} \in R(C.T)$ for any $(b_1 \dots b_k a_1 \dots a_n) \in A(k+n)$,

(C.4) $\sum_{n=1}^{\infty} \lambda(D_n) < +\infty$.

Under the above conditions, we have

THEOREM 1. T is exact.

REMARK 1. In previous paper [4], we showed that T is ergodic and has a finite invariant measure μ equivalent to λ under the same conditions (C.1)~(C.4).

Assume further

(C.5) for all $n > 0$,

$$\begin{aligned} W_n &\equiv \sum_{m=0}^{\infty} \left(\sum_{X_{k_1 \dots k_m} \in \mathcal{D}_m} \left(\sup_{y \in T^m X_{k_1 \dots k_m} \cap \left(\bigcup_{j=1}^n B_j \right)} |\det D\Psi_{k_1 \dots k_m}(y)| \right) \right) \\ &< +\infty , \end{aligned}$$

(C.6) $^* \mathcal{D}_1 < +\infty$,

(C.7) there exists a positive integer l such that for all $n > 0$ and all $X_{a_1 \dots a_n} \in \mathcal{D}_n$

$$\frac{\sup_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)|}{\inf_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)|} = O(n^1),$$

(C.8) $\log |\det(DT(\cdot))| \in \mathcal{L}^1(X, \lambda)$.

Then we have

THEOREM 2. *Rohlin's formula (R) is true.*

$$(R): h(T) = \int_X \log |\det DT(x)| d\mu(x).$$

REMARK 2. In general, the density of μ is not bounded (for example, see §5). For this reason, we need some technical Conditions (C.5) and (C.6). These conditions allow us to have the following properties: The density of μ is bounded on $(D_n)^c$ for each $n > 0$, and therefore for all $n > 0$, there exists $M(n)$ such that

$$\frac{\mu(X_{a_1 \dots a_n})}{\lambda(X_{a_1 \dots a_n})} < M(n) \text{ for any } X_{a_1 \dots a_n} \in \mathcal{L}^{(n)}.$$

(This is proved in §3).

REMARK 3. (C.7) is a weaker Renyi's condition. It is easy to see that we can replace \mathcal{D}_n by $\mathcal{L}^{(n)}$, and this condition allows us to have

$$\inf_{x \in X} |\det DT(x)| > 0.$$

For main theorem, we also suppose

(C.4)* $\sum_{n=1}^{\infty} \lambda(D_n) \log n < +\infty,$

(C.9) *there exists a positive integer k_0 which satisfies the following; if $X_{a_1 \dots a_n} \in \mathcal{D}_n^c$ and $X_{a_2 \dots a_n} \in \mathcal{D}_{n-1}$, then*

$$X_{a_1 \dots a_n} \subset \bigcup_{j=1}^{k_0} B_j.$$

THEOREM 3. *Let T be a multi-dimensional mapping with a finite range structure satisfying (C.1)~(C.9). Then Q is a weak Bernoulli partition with respect to T .*

§2. Proof of Theorem 1.

From a basic fact proved in Rohlin's paper [13], it is sufficient to show that for all measurable sets E of positive measure with measurable images $TE, T^2E, \dots,$

$$\lim_{n \rightarrow \infty} \mu(T^n E) = \mu(X) .$$

Let ε be a positive number. By Lemma 2.1 in §2 of [4], there exists $X_{a_1 \dots a_n} \in R(C.T)$ such that

$$\lambda(E \cap X_{a_1 \dots a_n}) > (1 - \varepsilon)\lambda(X_{a_1 \dots a_n}) ,$$

and therefore

$$(2.1) \quad \varepsilon \cdot \lambda(X_{a_1 \dots a_n}) > \lambda(X_{a_1 \dots a_n} \cap E^c) .$$

It follows from (2.1) and relation

$$\lambda(T^n X_{a_1 \dots a_n} \cap (T^n E)^c) \leq \int_{E^c \cap X_{a_1 \dots a_n}} |\det DT^n(x)| d\lambda(x)$$

that

$$(2.2) \quad \lambda(T^n X_{a_1 \dots a_n} \cap (T^n E)^c) < C \cdot \varepsilon \cdot \lambda(T^n X_{a_1 \dots a_n}) .$$

From (C.2), for $T^n X_{a_1 \dots a_n} = U_j$, there exists $X_{a_1 \dots a_{s_j}} \subset U_j$ such that

$$X_{a_1 \dots a_{s_j}} \in R(C.T) \text{ and } T^{s_j} X_{a_1 \dots a_{s_j}} = X ,$$

hence

$$(2.3) \quad \lambda(X_{a_1 \dots a_{s_j}} \cap (T^n E)^c) < C \cdot \varepsilon \cdot \lambda(T^n X_{a_1 \dots a_n}) .$$

Put $D = \min_{0 \leq j \leq N} (\lambda(X_{a_1 \dots a_{s_j}}) / \lambda(U_j))$, then

$$(2.4) \quad \lambda(X_{a_1 \dots a_{s_j}} \cap (T^n E)^c) < \frac{C \cdot \varepsilon}{D} \lambda(X_{a_1 \dots a_{s_j}}) .$$

By virtue of the properties of $X_{a_1 \dots a_{s_j}}$, it follows from (2.4) that

$$\begin{aligned} & \lambda(T^{s_j}(X_{a_1 \dots a_{s_j}} \cap (T^n E)^c)) \\ & \leq C \left\{ \inf_{x \in X_{a_1 \dots a_{s_j}}} |\det DT^{s_j}(x)| \right\} \lambda(X_{a_1 \dots a_{s_j}} \cap (T^n E)^c) \\ & < \frac{C^2 \cdot \varepsilon}{D} \cdot \lambda(X_{a_1 \dots a_{s_j}}) \cdot \inf_{x \in X_{a_1 \dots a_{s_j}}} |\det DT^{s_j}(x)| \\ & < \frac{C^2 \cdot \varepsilon}{D} . \end{aligned}$$

Using the equality

$$\lambda(T^{s_j}(X_{a_1 \dots a_{s_j}} \cap (T^n E)^c)) = 1 - \lambda(T^{s_j}(X_{a_1 \dots a_{s_j}} \cap T^n E)) ,$$

we obtain

$$\lambda(T^{n+s_j}E) > \lambda(T^{s_j}(X_{a_1 \dots a_{s_j}} \cap T^n E)) > 1 - \frac{C^2 \cdot \epsilon}{D}.$$

From this and the fact that $\mu \sim \lambda$, the theorem follows (cf. [4]). □

§3. The proof of Theorem 2.

We now prepare some lemmas to be used in the proof of Theorem 2. We note that in [4] T -invariant ergodic measure μ such that $\mu \sim \lambda$ was given by

$$\mu(A) = \sum_{m=0}^{\infty} \nu(T^{-m}A \cap D_m)$$

for any measurable set A , where $\nu \sim \lambda$ and there is a constant $G > 1$ satisfying

$$G^{-1} \leq \frac{d\nu}{d\lambda} \leq G.$$

As we have announced in introduction, we first prove

LEMMA 3.1. *There exists a monotone increasing sequence $\{\hat{M}(n)\}_{n>0}$ such that for any $X_{a_1 \dots a_{n+k}} \subset B_n$*

$$\frac{\mu(X_{a_1 \dots a_{n+k}})}{\lambda(X_{a_1 \dots a_{n+k}})} < \hat{M}(n).$$

Furthermore, we have for all $n > 0$ and $X_{a_1 \dots a_n} \in \mathcal{L}^{(n)}$,

$$(3.1) \quad G^{-1} \leq \frac{\mu(X_{a_1 \dots a_n})}{\lambda(X_{a_1 \dots a_n})} \leq M(n),$$

where

$$M(n) = \max \left\{ \hat{M}(n), \max_{X_{a_1 \dots a_n} \in \mathcal{L}^{(n)}} \left\{ \frac{\mu(X_{a_1 \dots a_n})}{\lambda(X_{a_1 \dots a_n})} \right\} \right\}.$$

PROOF. We note that the following equality is true.

$$X_{k_1 \dots k_m a_1 \dots a_n} = \Psi_{k_1 \dots k_m}(T^m X_{k_1 \dots k_m} \cap X_{a_1 \dots a_n}).$$

From this, we have

$$\begin{aligned} \mu(X_{a_1 \dots a_{n+k}}) &\leq G \sum_{m=0}^{\infty} \left(\sum_{X_{k_1 \dots k_m} \in \mathcal{L}^{(m)}} \lambda(X_{k_1 \dots k_m a_1 \dots a_{n+k}}) \right) \\ &= G \sum_{m=0}^{\infty} \left(\sum_{X_{k_1 \dots k_m} \in \mathcal{L}^{(m)}} \left(\int_{T^m X_{k_1 \dots k_m} \cap X_{a_1 \dots a_{n+k}}} |\det D\Psi_{k_1 \dots k_m}(x)| d\lambda(x) \right) \right) \end{aligned}$$

$$\begin{aligned} &\leq G \sum_{m=0}^{\infty} \left(\sum_{X_{k_1 \dots k_m} \in \mathcal{D}_m} \left(\sup_{x \in T^m X_{k_1 \dots k_m} \cap X_{a_1 \dots a_{n+k}}} |\det D\Psi_{k_1 \dots k_m}(x)| \cdot \lambda(T^m X_{k_1 \dots k_m} \cap X_{a_1 \dots a_{n+k}}) \right) \right) \\ &\leq G \sum_{m=0}^{\infty} \left(\sum_{X_{k_1 \dots k_m} \in \mathcal{D}_m} \left(\sup_{x \in T^m X_{k_1 \dots k_m} \cap X_{a_1 \dots a_{n+k}}} |\det D\Psi_{k_1 \dots k_m}(x)| \cdot \lambda(X_{a_1 \dots a_{n+k}}) \right) \right) . \end{aligned}$$

If $X_{a_1 \dots a_{n+k}} \subset B_n$, then

$$\mu(X_{a_1 \dots a_{n+k}}) \leq G \cdot W_n \cdot \lambda(X_{a_1 \dots a_{n+k}}) .$$

Taking $G \cdot W_n$ for $\hat{M}(n)$, we obtain the first statement. Note that $X_{a_1 \dots a_n} \in \mathcal{D}_n^c$ implies $X_{a_1 \dots a_n} \subset \cup_{j=1}^n B_j$. Then, from (C.6) the second assertion is verified immediately. \square

The Conditions (C.7) and (C.8) allow us to have the following properties:

LEMMA 3.2. (3.2-a) $\log |\det DT(x)| \in \mathcal{L}^1(X, \mu)$,
 (3.2-b) for all $n > 0$ and $j \in \{0, \dots, N\}$, put

$$c^j(n) \equiv - \sum_{\substack{(a_1 \dots a_n): \\ X_{a_1 \dots a_n} \cap U_j \neq \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \lambda(X_{a_1 \dots a_n} \cap U_j) .$$

Then $c^j(n) < +\infty$.

(3.2-c) $H(Q) = - \sum_a \mu(X_a) \log \mu(X_a) < +\infty$.

REMARK 4. Since (C.2) implies $X \in \{U_0, \dots, U_N\}$, (3.2-b) allows us to have for all $n > 0$ $-\sum \lambda(X_{a_1 \dots a_n}) \log \lambda(X_{a_1 \dots a_n}) < +\infty$.

PROOF. Here we denote $T_{X_a}^1$ by T_a . Since

$$\int_X \max(0, \log |\det DT(x)|) d\mu(x) = \sum_a \int_{X_a} \max(0, \log |\det DT_a(x)|) d\mu(x) ,$$

and

$$\sup_{x \in X_a} (\log |\det DT_a(x)|) \leq \log(\sup_{x \in X_a} |\det DT_a(x)|) ,$$

it follows from (C.7) and (3.1) that

$$\begin{aligned} \int_X \max(0, \log |\det DT(x)|) d\mu(x) &\leq \sum_a \max(0, \mu(X_a) \log(\sup_{x \in X_a} |\det DT_a(x)|)) \\ &\leq \sum_a \max(0, \mu(X_a) \log(C_1 \inf_{x \in X_a} |\det DT_a(x)|)) \\ &\leq \sum_a \max(0, M(1) \cdot \lambda(X_a) \log C_1 + M(1) \lambda(X_a) \log(\inf_{x \in X_a} |\det DT_a(x)|)) \\ &\leq \sum_a M(1) \lambda(X_a) \log C_1 + \sum_a \max(0, M(1) \lambda(X_a) \log(\inf_{x \in X_a} |\det DT_a(x)|)) , \end{aligned}$$

where C_1 is a constant such that

$$\frac{\sup_{x \in T\bar{X}_a} |\det D\Psi_a(x)|}{\inf_{x \in TX_a} |\det D\Psi_a(x)|} < C_1$$

for all $X_a \in \mathcal{L}^{(1)}$.

On the other hand, using the inequality

$$\log(\inf_{x \in X_a} |\det DT_a(x)|) \leq \inf_{x \in X_a} (\log |\det DT_a(x)|),$$

we have

$$\sum_a \max(0, \log(\inf_{x \in X_a} |\det DT_a(x)|) \cdot \lambda(X_a)) \leq \int_X \max(0, \log |\det DT(x)|) d\lambda.$$

From this and (C.8), we obtain

$$\begin{aligned} & \int_X \max(0, \log |\det DT(x)|) d\mu(x) \\ & < M(1) \log C_1 (\sum_a \lambda(X_a)) + M(1) \int_X \max(0, \log |\det DT(x)|) d\lambda \\ & < +\infty. \end{aligned}$$

Now we remark that $E \equiv \inf_{x \in X} |\det DT(x)| > 0$. In fact, the relation

$$\lambda(T_a X_a) = \int_{X_a} |\det DT_a(x)| d\lambda \leq C_1 \cdot \inf_{x \in X_a} |\det DT_a(x)| \cdot 1$$

allows us to have

$$\inf_{x \in X_a} |\det DT_a(x)| \geq \frac{L}{C_1} \quad \text{for all } X_a \in \mathcal{L}^{(1)},$$

where $L \equiv \min_{0 \leq j \leq N} \lambda(U_j)$. This implies $\inf_{x \in X} |\det DT(x)| > 0$. From this, we have immediately

$$\int_X \min(0, \log |\det DT(x)|) d\mu(x) \geq \int_X \min(0, \log E) d\mu(x) > -\infty.$$

Therefore, combining the above results, we conclude (3.2-a).

By the condition (C.7), we have for all $n > 0$ a constant C_n such that

$$\sup_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)| < C_n \cdot \inf_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)|$$

for any $X_{a_1 \dots a_n} \in \mathcal{L}^{(n)}$. Using this and the equality

$$\int_{X_{a_1 \dots a_n}} \log |\det DT^n(x)| d\lambda = \int_{X_{a_1 \dots a_n}} \log \left(\frac{1}{|\det D\Psi_{a_1 \dots a_n}(T^n x)|} \right) d\lambda,$$

we obtain

$$\begin{aligned} & \int_X \log |\det DT^n(x)| d\lambda(x) \\ & \geq \sum_{(a_1 \dots a_n) \in A(n)} \lambda(X_{a_1 \dots a_n}) \log \left(\frac{1}{\sup_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)|} \right) \\ & \geq \sum_{(a_1 \dots a_n) \in A(n)} \lambda(X_{a_1 \dots a_n}) \log \left(\frac{1}{C_n \cdot \inf_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)|} \right). \end{aligned}$$

Note that for all U_j , there exists $X_{b_1 \dots b_l}^{(j)}$ such that

$$T^l X_{b_1 \dots b_l}^{(j)} = U_j.$$

Therefore, if $X_{a_1 \dots a_n} \cap U_j \neq \emptyset$ then we have the following;

$$\begin{aligned} \lambda(X_{a_1 \dots a_n} \cap U_j) &= \int_{X_{a_1 \dots a_n} \cap T^l X_{b_1 \dots b_l}^{(j)}} d\lambda(x) \\ &= \int_{T^n(X_{a_1 \dots a_n} \cap T^l X_{b_1 \dots b_l}^{(j)})} |\det D\Psi_{a_1 \dots a_n}(x)| d\lambda(x) \\ &= \int_{T^{n+l}(X_{b_1 \dots b_l a_1 \dots a_n})} |\det D\Psi_{a_1 \dots a_n}(x)| d\lambda(x) \\ &\geq \inf_{x \in T^{n+l}(X_{b_1 \dots b_l a_1 \dots a_n})} |\det D\Psi_{a_1 \dots a_n}(x)| \cdot \lambda(T^{n+l} X_{b_1 \dots b_l a_1 \dots a_n}) \\ &\geq \inf_{x \in T^n(X_{a_1 \dots a_n})} |\det D\Psi_{a_1 \dots a_n}(x)| \cdot L. \end{aligned}$$

In particular, for $U_j = X$, we have $\lambda(X_{a_1 \dots a_n}) \geq \inf |\det D\Psi_{a_1 \dots a_n}(x)| L$ similarly. Using the above inequality, we obtain

$$\begin{aligned} & \int_X \log |\det DT^n(x)| d\lambda(x) \\ & \geq \log \frac{1}{C_n} + \sum_{\substack{X_{a_1 \dots a_n} \\ X_{a_1 \dots a_n} \cap U_j \neq \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \left(\frac{1}{\inf_{x \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(x)|} \right) \\ & \quad + \sum_{\substack{X_{a_1 \dots a_n} \\ X_{a_1 \dots a_n} \cap U_j = \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \left(\frac{1}{\inf |\det D\Psi_{a_1 \dots a_n}(x)|} \right) \\ & \geq \log \frac{1}{C_n} + \sum_{\substack{X_{a_1 \dots a_n} \\ X_{a_1 \dots a_n} \cap U_j \neq \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \left(\frac{L}{\lambda(X_{a_1 \dots a_n} \cap U_j)} \right) \\ & \quad + \sum_{\substack{X_{a_1 \dots a_n} \\ X_{a_1 \dots a_n} \cap U_j = \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \left(\frac{L}{\lambda(X_{a_1 \dots a_n})} \right) \end{aligned}$$

$$\begin{aligned}
 &= \log \frac{L}{C_n} + \sum_{\substack{X_{a_1 \dots a_n} \\ X_{a_1 \dots a_n} \cap U_j \neq \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \left(\frac{1}{\lambda(X_{a_1 \dots a_n} \cap U_j)} \right) \\
 &\quad + \sum_{\substack{X_{a_1 \dots a_n} \\ X_{a_1 \dots a_n} \cap U_j = \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \left(\frac{1}{\lambda(X_{a_1 \dots a_n})} \right).
 \end{aligned}$$

Thus

$$\begin{aligned}
 & - \sum_{\substack{X_{a_1 \dots a_n} \\ X_{a_1 \dots a_n} \cap U_j \neq \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \lambda(X_{a_1 \dots a_n} \cap U_j) \\
 & \leq \int_x \log |\det DT^n(x)| d\lambda(x) + \log \frac{C_n}{L} + \sum_{\substack{X_{a_1 \dots a_n} \\ X_{a_1 \dots a_n} \cap U_j = \emptyset}} \lambda(X_{a_1 \dots a_n}) \log \lambda(X_{a_1 \dots a_n}) \\
 & < \int_x \log |\det DT^n(x)| d\lambda + \log \frac{C_n}{L}
 \end{aligned}$$

for all U_j . Note that $(d\mu/d\lambda) \geq G^{-1}$ and hence

$$\int_x \log |\det DT^n(x)| d\lambda \leq G \cdot n \int_x \log |\det DT(x)| d\mu(x).$$

This implies the statement of (3.2-b).

From (3.1), we have

$$\begin{aligned}
 \sum_a \lambda(X_a) \log \lambda(X_a) &\leq \sum_a \lambda(X_a) (\log G + \log \mu(X_a)) \\
 &\leq (\sum_a \lambda(X_a)) \log G + \frac{1}{M(1)} \sum \mu(X_a) \log \mu(X_a).
 \end{aligned}$$

Therefore, (3.2-b) and the inequality

$$- \sum_a \lambda(X_a) \log \lambda(X_a) + \log G \geq - \frac{1}{M(1)} \sum \mu(X_a) \log \mu(X_a)$$

imply (3.2-c). □

From now on, using these lemmas we prove Theorem 2. Since

$$\begin{aligned}
 \frac{1}{n} \log \left\{ \frac{\inf_{y \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(y)|}{\sup_{y \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(y)|} \right\} &\leq \frac{1}{n} \log \left\{ \frac{|\det D\Psi_{a_1 \dots a_n}(T^n x)|}{\lambda(X_{a_1 \dots a_n})} \right\} \\
 &\leq \frac{1}{n} \log \left\{ \frac{\sup |\det D\Psi_{a_1 \dots a_n}(y)|}{L \cdot \inf_{y \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(y)|} \right\}
 \end{aligned}$$

(where $X_{a_1 \dots a_n}$ denotes a cylinder of rank n containing x), by the Condition (C.7) we have

$$-\frac{1}{n} \log O(n) \leq \frac{1}{n} \log \left(\frac{|\det D\Psi_{a_1 \dots a_n(x)}(T^n x)|}{\lambda(X_{a_1 \dots a_n(x)})} \right) \leq \frac{1}{n} \log \frac{1}{L} + \frac{1}{n} \log O(n),$$

and hence

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \frac{1}{\lambda(X_{a_1 \dots a_n(x)})} = \lim_{n \rightarrow \infty} \frac{1}{n} \log \frac{1}{|\det D\Psi_{a_1 \dots a_n(x)}(T^n x)|}.$$

Using the relation

$$\frac{1}{|\det D\Psi_{a_1 \dots a_n(x)}(T^n x)|} = |\det DT^n(x)|,$$

we obtain

$$\lim_{n \rightarrow \infty} \frac{1}{\lambda(X_{a_1 \dots a_n(x)})} = \lim_{n \rightarrow \infty} \frac{1}{n} \log |\det DT^n(x)| \quad \text{for a.e. } x,$$

therefore by the ergodic theorem

$$\lim_{n \rightarrow \infty} \frac{1}{\lambda(X_{a_1 \dots a_n(x)})} = \int_X \log |\det DT(x)| d\mu(x) \quad \text{for a.e. } x.$$

We remark that Lemma 3.1 allows us to have

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \frac{\mu(X_{a_1 \dots a_n(x)})}{\lambda(X_{a_1 \dots a_n(x)})} = 0 \quad \text{for a.e. } x \in X.$$

This implies

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \frac{1}{\mu(X_{a_1 \dots a_n(x)})} = \int_X \log |\det DT(x)| d\mu(x) \quad \text{for a.e. } x \in X.$$

On the other hand, by Lemma 3.2, the Shannon-McMillan theorem ([1], [3]) allows us to conclude

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \frac{1}{\mu(X_{a_1 \dots a_n(x)})} = h(T) \quad \text{for a.e. } x \in X.$$

Therefore we have

$$h(T) = \int_X \log |\det DT(x)| d\mu(x). \quad \square$$

§4. Proof of Theorem 3.

In order to prove the main theorem, we construct an invertible

extension of T as follows; let Z be the set of sequences of I , $z = (z_{-1}, z_{-2}, \dots, z_{-n}, \dots)$. We call the system (Y, \bar{T}) the extension of (X, T) , where Y is the subset of $X \times Z$ composed of all pairs $(x; z)$ such that, for all $n > 0$, there exists x_{-n} in X satisfying

$$Tx_{-1} = x, \quad Tx_{-n} = x_{-n+1}, \quad x_{-n} \in X_{s_{-n}},$$

and \bar{T} is defined by

$$\bar{T}(x; z) = (x'; z'), \quad \text{with } x' = Tx, \quad z'_{-n} = z_{-n+1}$$

for all $n > 1$ and z'_{-1} is the unique index for which $x \in X_{s'_{-1}}$. It is easy to see that the projection π onto X commutes with the map T and that, for any invariant measure μ on X , there exists a unique invariant measure $\bar{\mu}$ on Y whose image by π is μ . If the measure μ is ergodic, so is $\bar{\mu}$. Many basic results about the natural extension of an endomorphism were stated in Rohlin's paper [13].

Now, we prepare some notations. Let $\bar{X}_a = \pi^{-1}X_a$, $\bar{Q} = \{\bar{X}_a\}$, $\xi = \bigvee_{i=1}^{\infty} \bar{T}^i \bar{Q}$, and $\eta = \bar{Q}^{\vee} \xi$. Then, we prove a property for conditional measures in the Rohlin decomposition, with respect to η , from which weak Bernoulli property for T follows. Throughout this section, we suppose the assumptions of Theorem 3 are valid.

LEMMA 4.1. *The measurable partition $\eta = \bar{Q}^{\vee} \xi$ has the following properties:*

- (4.1-a) $\bar{T}^{-1} \eta \geq \eta$,
- (4.1-b) $\bigvee_{n=-\infty}^{\infty} \bar{T}^{-n} \eta = \varepsilon$,
- (4.1-c) $\bigwedge_{n=-\infty}^{\infty} \bar{T}^{-n} \eta = \nu$,
- (4.1-d) $h(\bar{T}) = H(\bar{T}^{-1} \eta | \eta)$.

PROOF. From the definition of η it is immediate that η is a measurable partition, and (4.1-a) and (4.1-b) hold. By Theorem 1, we know that \bar{T} is a Kolmogorov automorphism. From this and (3.2-c) of Lemma 3.2, we have (4.1-c). (4.1-d) is an immediate consequence of (4.1-b). □

Let

$$H_1 = \min_{X_a \in \mathcal{D}_1} \left\{ \frac{\lambda(X_a)}{C_1} \right\} \quad \text{and} \quad H_2 = \frac{1}{\inf_{x \in X} |\det DT(x)|}.$$

Then we have, for any $X_a \in \mathcal{D}_1$,

$$(4.1) \quad H_1 \leq |\det D\Psi_a(x)| \leq H_2, \quad x \in TX_a.$$

Define for any $y = (x; z)$ and $y' = (x'; z)$

$$\Delta(y, y') \equiv \limsup_{j \rightarrow \infty} \prod_{n=1}^j \left| \frac{\det DT(\pi(\bar{T}^{-n}y))}{\det DT(\pi(\bar{T}^{-n}y'))} \right|.$$

Let

$$\begin{aligned} \mathcal{F}^{(n)} &\equiv \{(a_{-1}, a_{-2}, \dots, a_{-n}) : X_{a_{-n}, a_{-n+1}, \dots, a_{-1}} \in \mathcal{D}_n\}, \\ \alpha^{(n)} &\equiv \{(a_{-1} \dots a_{-n}) : (a_{-1} \dots a_{-n+1}) \in \mathcal{F}^{(n-1)}, (a_{-1} \dots a_{-n}) \notin \mathcal{F}^{(n)}\}, \\ F^n &\equiv \bigcup_{(a_{-1}, \dots, a_{-n}) \in \mathcal{F}^{(n)}} (\bar{T}\bar{X}_{a_{-1}} \cap \bar{T}^2\bar{X}_{a_{-2}} \cap \dots \cap \bar{T}^n\bar{X}_{a_{-n}}), \\ A^n &= \bigcup_{(a_{-1}, \dots, a_{-n}) \in \alpha^{(n)}} (\bar{T}\bar{X}_{a_{-1}} \cap \dots \cap \bar{T}^n\bar{X}_{a_{-n}}). \end{aligned}$$

We note that, from the Condition (C.3), $(a_{-1} \dots a_{-n}) \in \mathcal{F}^{(n)}$ implies $(a_{-1} \dots a_{-k}) \in \mathcal{F}^{(k)}$ for all $k(1 \leq k \leq n)$. With the above definitions, we show the following lemmas.

LEMMA 4.2. *If $(a_{-1} \dots a_{-n}) \in \alpha^{(n)}$, then there exists an integer $i(0 \leq i \leq k_0 - 1)$ such that $X_{a_{-n}, a_{-n+1}, \dots, a_{-n+i}} \in R(C.T)$.*

PROOF. If $n \leq k_0$, then it is trivial. Let $n > k_0$, and suppose that for all $i(0 \leq i \leq k_0 - 1)$

$$(4.2.1) \quad X_{a_{-n}, \dots, a_{-n+i}} \notin R(C.T).$$

Then we have $X_{a_{-n}, \dots, a_{-n+k_0-1}} \in \mathcal{D}_{k_0}$. On the other hand, from (C.9) if $X_{a_{-n+1}, \dots, a_{-1}} \in \mathcal{D}_{n-1}$ and $X_{a_{-n}, \dots, a_{-1}} \in \mathcal{D}_n^c$, then

$$X_{a_{-n}, \dots, a_{-1}} \subset \bigcup_{j=1}^{k_0} B_j.$$

This contradicts (4.2.1). □

LEMMA 4.3. $\lim_{n \rightarrow \infty} \bar{\mu}(F^n) = 0$, and therefore $Y = \bigcup_{n=1}^{\infty} A^n \pmod{0}$.

PROOF. We note that $\bar{\mu}(F^n) = \mu(D_n)$. From (C.4) and relations

$$\begin{aligned} \mu(D_n) &= \sum_{m=0}^{\infty} \left(\sum_{X_{k(m)} \in \mathcal{D}_m} \left(\sum_{X_{a(n)} \in \mathcal{D}_n} \nu(X_{k(m)a(n)}) \right) \right) \\ &\leq \sum_{m=0}^{\infty} \left(\sum_{X_{k(m)} \in \mathcal{D}_m} \int_{D_n \cap T^m X_{k(m)}} |\det D\Psi_{k(m)}(x)| d\lambda(x) \right) \cdot G, \end{aligned}$$

we have $\lim_{n \rightarrow \infty} \bar{\mu}(F^n) = 0$. (Here $k(m) = (k_1 \dots k_m)$, and $a(n) = (a_1 \dots a_n)$). And hence, the equality

$$\bar{\mu}\left(\left(\bigcup_{n=1}^{\infty} A^n\right)^\circ\right) = \mu\left(\left(\bigcup_{n=1}^{\infty} B_n\right)^\circ\right)$$

implies the second assertion. □

For each k with $0 \leq k \leq k_0 - 1$, we define for $n > k$

$$\alpha_k^{(n)} = \{(a_{-1} \cdots a_{-n}) \in \alpha^{(n)} : X_{a_{-1} \cdots a_{-n+k}} \in R(C.T)\},$$

and

$$A_k^n = \bigcup_{(a_{-1} \cdots a_{-n}) \in \alpha_k^{(n)}} (\bar{T} \bar{X}_{a_{-1}} \cap \cdots \cap \bar{T}^n \bar{X}_{a_{-n}}).$$

Then by Lemma 4.2 we can easily see that

$$\text{for } n > k_0 \quad \alpha^{(n)} = \bigcup_{k=0}^{k_0-1} \alpha_k^{(n)}, \quad A^n = \bigcup_{k=0}^{k_0-1} A_k^n$$

and

$$\text{for } n \leq k_0 \quad \alpha^{(n)} = \bigcup_{k=0}^{n-1} \alpha_k^{(n)}, \quad A^n = \bigcup_{k=0}^{n-1} A_k^n,$$

where the above unions are disjoint.

LEMMA 4.4. For $\bar{\mu}$ a.e. $y \in Y$, there exists a positive integer $K = K(y)$ such that

for any $y' \in \xi(y)$ we have

$$\frac{1}{C} \cdot \left(\frac{H_1}{H_2}\right)^{K(y)} \leq \Delta(y, y') \leq C \cdot \left(\frac{H_2}{H_1}\right)^{K(y)},$$

and so

$$\begin{aligned} \frac{1}{C} \left(\frac{H_1}{H_2}\right)^{K(y)} \int_{\eta(y)} dy' &\leq \int_{\eta(y)} \Delta(y, y') dy' \\ &\leq C \left(\frac{H_2}{H_1}\right)^{K(y)} \int_{\eta(y)} dy', \end{aligned}$$

where $\xi(y)$ and $\eta(y)$ denote the elements of ξ and η containing y respectively, and d denotes the natural Lebesgue measure on each element of η .

PROOF. For $y \in A_k^n$ from (C.3) and (4.1) we can easily see that for any $y' \in \xi(y)$

$$\frac{1}{C} \left(\frac{H_1}{H_2}\right)^{n-k-1} \leq \Delta(y, y') \leq C \left(\frac{H_2}{H_1}\right)^{n-k-1}.$$

Putting $K(y) = n - k - 1$, we have the statement of Lemma 4.4 immediately. □

As we have announced in introduction, our main goal of this section is to prove

PROPOSITION 4.5. *The conditional measures of $\bar{\mu}$ with respect to the partition η are given by*

$$q(y, B) = \frac{\int_{B \cap \eta(y)} \Delta(y, y') dy'}{\int_{\eta(y)} \Delta(y, y') dy'}$$

for each $y \in Y$ and B a measurable subset.

To prove this proposition, we have to show the following:

LEMMA 4.6. *For each $n > 0$, $\log q(y, [\bar{T}^{-n}\eta](y))$ is $\bar{\mu}$ -integrable.*

REMARK 5. With this done, we can prove Proposition 4.5 as Ledrappier did in [6]. Therefore, we only give the outline of the argument used for this part. In fact, since the following equality is valid:

$$\log q(y, [\bar{T}^{-n}\eta](y)) = -\log |\det DT^n(\pi y)| + \log \{k \circ \bar{T}^n(y)\} - \log k(y),$$

where $k(y) = \int_{\eta(y)} \Delta(y, y') dy'$, Lemma 4.6 allows us to apply the next classical lemma to our case;

LEMMA (cf. [6]). *Let $(Y, \bar{\mu}, \bar{T})$ be a dynamical system and $g_i, i = 1, 2, 3$, be functions related by $g_1 = g_2 + g_3 \circ \bar{T} - g_3$, with g_2 and g_1 integrable. Then we have*

$$\lim \frac{1}{n} g_3 \circ \bar{T}^n = \hat{g}_1 - \hat{g}_2 = 0 \quad \bar{\mu} \text{ a.e. ,}$$

where \hat{g} denotes the point-wise limit of ergodic averages of g . Then we can see that the following relation is true:

$$\begin{aligned} -\int \log q(y, [\bar{T}^{-n}\eta](y)) d\bar{\mu}(y) &= \int \log |\det DT^n(x)| d\mu(x) \\ &= n \int \log |\det DT(x)| d\mu(x). \end{aligned}$$

On the other hand, if $p(y, \cdot)$ is the conditional measure of $\bar{\mu}$ with respect to η , then by Lemma 4.1, (4.1-d) we have

$$n \cdot h(T) = H(\bar{T}^{-n}\eta | \eta) = -\int \log p(y, [\bar{T}^{-n}\eta](y)) d\bar{\mu}(y).$$

Therefore, by the Rohlin's formula we obtain

$$\int \log \frac{q(y, [\bar{T}^{-n}\eta](y))}{p(y, [\bar{T}^{-n}\eta](y))} d\bar{\mu}(y) = 0 .$$

By the concavity of the function \log and from (4.1-b), the proposition follows by letting n go to infinity (cf. [7], [11]).

PROOF OF LEMMA 4.6. Let $y \in A_k^m$, $y = (x; a_{-1}, a_{-2}, \dots, a_{-m+k+1}, a_{-m+k}, \dots, a_{-m}, \dots)$. Then a simple calculation gives

$$q(y, [\bar{T}^{-n}\eta](y)) = \frac{\int_{[\bar{T}^{-(m-k-1+n)\eta}](\bar{T}^{-(m-k-1)y})} \Delta(\bar{T}^{-(m-k-1)y}, y') dy'}{\int_{[\bar{T}^{-(m-k-1)\eta}](\bar{T}^{-(m-k-1)y})} \Delta(\bar{T}^{-(m-k-1)y}, y') dy'}$$

Since $(1/C) \leq \Delta(\bar{T}^{-(m-k-1)y}, y') \leq C$ on $\eta(\bar{T}^{-(m-k-1)y})$, this implies the following:

$$\begin{aligned} q(y, [\bar{T}^{-n}\eta](y)) &\geq \frac{1}{C^2} \cdot \frac{\int_{[\bar{T}^{-(m-k-1+n)\eta}](\bar{T}^{-(m-k-1)y})} dy'}{\int_{[\bar{T}^{-(m-k-1)\eta}](\bar{T}^{-(m-k-1)y})} dy'} \\ &= \frac{1}{C^2} \cdot \frac{\lambda(X_{a_{-m+k+1} \dots a_0 a_1 \dots a_n(\pi(\bar{T}^{-(m-k-1)y}))} \cap U_{j(y)})}{\lambda(X_{a_{-m+k+1} \dots a_0(\pi(\bar{T}^{-(m-k-1)y}))} \cap U_{j(y)})} \\ &\geq \frac{1}{C^2} \cdot \frac{\lambda(X_{a_{-m+k+1} \dots a_0 a_1 \dots a_n(\pi(\bar{T}^{-(m-k-1)y}))} \cap U_{j(y)})}{\lambda(X_{a_{-m+k+1} \dots a_0(\pi(\bar{T}^{-(m-k-1)y}))})} , \end{aligned}$$

where $\pi(\bar{T}^k y) \in X_{a_k}$ ($0 \leq k \leq n$) and

$$U_{j(y)} \equiv \bigcap_{j=0}^{\infty} T^{j+1} X_{a_{-m+k-j} \dots a_{-m+k}} .$$

Note that there exists $X_{b_1 \dots b_l}$ such that $U_{j(y)} = T^l X_{b_1 \dots b_l}$ and hence we can write $X_{a_{-m+k+1} \dots a_{-1}} \cap U_{j(y)} = T^l (X_{b_1 \dots b_l a_{-m+k+1} \dots a_{-1}})$.

Then we can see that

$$\begin{aligned} &T^{m-k-1}(X_{a_{-m+k+1} \dots a_{-1} a_0 \dots a_n} \cap U_{j(y)}) \\ &= T^{m-k-1}(T^l X_{b_1 \dots b_l a_{-m+k+1} \dots a_{-1}} \cap T^{-(m-k-1)} X_{a_0 \dots a_n}) \\ &= U_{t(y)} \cap X_{a_0 \dots a_n(y)} \end{aligned}$$

for some $t(y) \in \{0, 1, \dots, N\}$. Using this, we have

$$\begin{aligned} &\lambda(X_{a_{-m+k+1} \dots a_{-1} a_0 \dots a_n} \cap U_{j(y)}) \\ &= \lambda(\Psi_{a_{-m+k+1} \dots a_{-1}} \circ T^{m-k-1}(X_{a_{-m+k+1} \dots a_n} \cap U_{j(y)})) \\ &= \int_{U_{t(y)} \cap X_{a_0 \dots a_n(y)}} |\det D\Psi_{a_{-m+k+1} \dots a_{-1}}(x')| d\lambda(x') \end{aligned}$$

$$\geq \inf_{x' \in U_{t(y)} \cap X_{a_0 \dots a_n(y)}} |\det D\Psi_{a_{-m+k+1} \dots a_{-1}}(x')| \cdot \lambda(U_{t(y)} \cap X_{a_0 \dots a_n(y)}) .$$

On the other hand, the following inequality is true:

$$\begin{aligned} \lambda(X_{a_{-m+k+1} \dots a_{-1} a_0}) &= \int_{X_{a_0} \cap T^{m-k-1} X_{a_{-m+k+1} \dots a_{-1}}} |\det D\Psi_{a_{-m+k+1} \dots a_{-1}}(x')| d\lambda(x') \\ &\leq \sup_{x' \in X_{a_0} \cap T^{m-k-1} X_{a_{-m+k+1} \dots a_{-1}}} |\det D\Psi_{a_{-m+k+1} \dots a_{-1}}(x')| \cdot 1 \\ &\leq \sup_{x' \in T^{m-k-1} X_{a_{-m+k+1} \dots a_{-1}}} |\det D\Psi_{a_{-m+k+1} \dots a_{-1}}(x')| . \end{aligned}$$

Combining the above results, we obtain

$$\begin{aligned} q(y, [\bar{T}^{-n}\eta](y)) &\geq \frac{1}{C^2} \frac{\inf_{x' \in T^{m-k-1} X_{a_{-m+k+1} \dots a_{-1}}} |\det D\psi_{a_{-m+k+1} \dots a_{-1}}(x')|}{\sup_{x' \in T^{m-k-1} X_{a_{-m+k+1} \dots a_{-1}}} |\det D\psi_{a_{-m+k+1} \dots a_{-1}}(x')|} \lambda(U_{t(y)} \cap X_{a_0 \dots a_n(y)}) , \end{aligned}$$

and therefore by the Condition (C.7)

$$q(y, [\bar{T}^{-n}\eta](y)) \geq \begin{cases} \frac{1}{C^2} \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) & \text{if } y \in A_0^1 \\ \frac{1}{C^2} \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) \cdot O\left(\frac{1}{(m-k-1)^t}\right) \\ \geq \frac{1}{C^2} \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) \cdot O\left(\frac{1}{(m-1)^t}\right) & \text{if } y \in A_k^m (m \geq 2) . \end{cases}$$

From this and the equality

$$\int_Y \log q(y, [\bar{T}^{-n}\eta](y)) d\bar{\mu}(y) = \sum_{m=1}^{\infty} \int_{A^m} \log q(y, [\bar{T}^{-n}\eta](y)) d\bar{\mu}(y) ,$$

it follows that

$$\begin{aligned} &\int_Y \log q(y, [\bar{T}^{-n}\eta](y)) d\bar{\mu}(y) \\ &\geq \int_{A_0^1} \left\{ \log \frac{1}{C^2} + \log \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) \right\} d\bar{\mu}(y) \\ &\quad + \sum_{m=2}^{\infty} \int_{A^m} \left\{ \log \frac{1}{C^2} + \log \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) + \log O\left(\frac{1}{(m-1)^t}\right) \right\} d\bar{\mu}(y) \\ &\geq 2 \left\{ \left(\log \frac{1}{C^2} \right) \times \bar{\mu}(Y) + \int_Y \log \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) d\bar{\mu}(y) \right\} \\ &\quad + \sum_{m=2}^{\infty} \bar{\mu}(A^m) \log \left(O\left(\frac{1}{(m-1)^t}\right) \right) . \end{aligned}$$

Now, we estimate $\int_Y \log \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) d\bar{\mu}(y)$. For each $i \in \{0, \dots, N\}$, let $\mathcal{Z}_i = \{y \in Y: \bar{U}_{t(y)} = U_i\}$, where

$$U_{t(y)} = T^{m-k-1}(U_{j(\bar{T}^{-m+k+1}y)} \cap X_{a_{-m+k+1} \dots a_{-1}}) \quad \text{for } y \in A_k^*.$$

Then we have

$$\begin{aligned} & \int_Y \log \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) d\bar{\mu}(y) \\ &= \sum_{i=0}^N \int_{\mathcal{Z}_i} \log \lambda(X_{a_0 \dots a_n(y)} \cap U_i) d\bar{\mu}(y) \\ &\geq \sum_{i=0}^N \int_Y \log \lambda(X_{a_0 \dots a_n(y)} \cap U_i) d\bar{\mu}(y) \\ &= \sum_{i=0}^N \left(\sum_{(a_0 \dots a_n) \in A(n)} \mu(X_{a_0 \dots a_n}) \log \lambda(X_{a_0 \dots a_n} \cap U_i) \right) \\ &\geq M(n+1) \sum_{i=0}^N \left(\sum_{(a_0 \dots a_n) \in A(n)} \lambda(X_{a_0 \dots a_n}) \log \lambda(X_{a_0 \dots a_n} \cap U_i) \right), \end{aligned}$$

(the last inequality follows from Lemma 3.1). Therefore by (3.2-b) of Lemma 3.2 $\int_Y \log \lambda(X_{a_0 \dots a_n(y)} \cap U_{t(y)}) d\bar{\mu}(y) > -\infty$.

Next, we estimate $\sum_{m=2}^\infty \bar{\mu}(A^m) \log(1/O((m-1)^t))$. By Lemma 3.1, we obtain

$$\begin{aligned} \bar{\mu}(A^m) &= \sum_{(a_{-1} \dots a_{-m}) \in \alpha^{(m)}} \mu(X_{a_{-m} \dots a_{-1}}) \\ &\leq \hat{M}(k_0) \sum_{(a_{-1} \dots a_{-m}) \in \alpha^{(m)}} \lambda(X_{a_{-m} \dots a_{-1}}) \\ &= \hat{M}(k_0) \left\{ \sum_{(a_{-1} \dots a_{-m}) \in \alpha^{(m)}} \int_{TX_{a_{-m}} \cap X_{a_{-m+1} \dots a_{-1}}} |\det D\Psi_{a_{-m}}(x)| d\lambda(x) \right\} \end{aligned}$$

and by (C.7)

$$\begin{aligned} \bar{\mu}(A^m) &\leq \hat{M}(k_0) \left\{ \sum_{(a_{-1} \dots a_{-m}) \in \alpha^{(m)}} C_1 \cdot \inf_{x \in TX_{a_{-m}}} |\det D\Psi_{a_{-m}}(x)| \cdot \lambda(X_{a_{-m+1} \dots a_{-1}}) \right\} \\ &\leq \hat{M}(k_0) \cdot C_1 \left\{ \sum_{(a_{-1} \dots a_{-m}) \in \alpha^{(m)}} \int_{TX_{a_{-m}}} |\det D\Psi_{a_{-m}}(x)| d\lambda \cdot \frac{1}{L} \lambda(X_{a_{-m+1} \dots a_{-1}}) \right\} \\ &= \frac{\hat{M}(k_0)}{L} \cdot C_1 \left\{ \sum_{a_{-m}} \left(\sum_{\substack{(a_{-m+1} \dots a_{-1}) \\ (a_{-m} \dots a_{-1}) \in \alpha^{(m)}}} \lambda(X_{a_{-m+1} \dots a_{-1}}) \right) \lambda(X_{a_{-m}}) \right\} \\ &\leq \frac{\hat{M}(k_0) C_1}{L} \cdot \lambda(D_{m-1}) \left\{ \sum_{a_{-m}} \lambda(X_{a_{-m}}) \right\} \\ &\leq \frac{\hat{M}(k_0)}{L} \cdot C_1 \cdot \lambda(D_{m-1}). \end{aligned}$$

Therefore by (C.4)* we have $\sum_{m=2}^\infty \bar{\mu}(A^m) \log\{1/O((m-1)^t)\} > -\infty$. Conse-

quently we have the conclusion of Lemma 4.6. □

Proposition 4.5 implies that the conditional measures of $\bar{\mu}$ with respect to η are all absolutely continuous with respect to $\bar{\mu}$. Note that by Theorem 2 \bar{T} is a Kolmogorov automorphism and hence the Pinsker partition $\bigwedge_{n=-\infty}^{\infty} \bigvee_{k=-\infty}^n \bar{T}^k \bar{Q}$ is trivial. Combining these results, we see that the sufficient condition for the weak Bernoulli partition is satisfied, i.e. the conditional measures of $\bar{\mu}$ with respect to η must all coincide on the remote past σ algebra $\sigma(\bigwedge_{n=-\infty}^{\infty} \bigvee_{k=-\infty}^{-n} \bar{T}^k \bar{Q})$, and this property of the conditional measures implies that Q is a weak Bernoulli partition. More detailed proof may be found for example in [6] [12] (cf. [8] [10]).

§5. Examples.

In this section, we present three examples to which we can apply our theorem. First we consider the following one parameter family of maps on an interval.

EXAMPLE 1. Let $X=[0, 1]$, and for α with $0 < \alpha < 1$ define

$$f_{\alpha}(x) = \begin{cases} \frac{x}{(1-x^{\alpha})^{1/\alpha}} ; & x < \left(\frac{1}{2}\right)^{1/\alpha} \\ \frac{1}{\left(\frac{1}{2}\right)^{1/\alpha} - 1} x + \frac{1}{\left(1 - \left(\frac{1}{2}\right)^{1/\alpha}\right)} ; & x \geq \left(\frac{1}{2}\right)^{1/\alpha} \end{cases} \quad (\text{see Figure 1}).$$

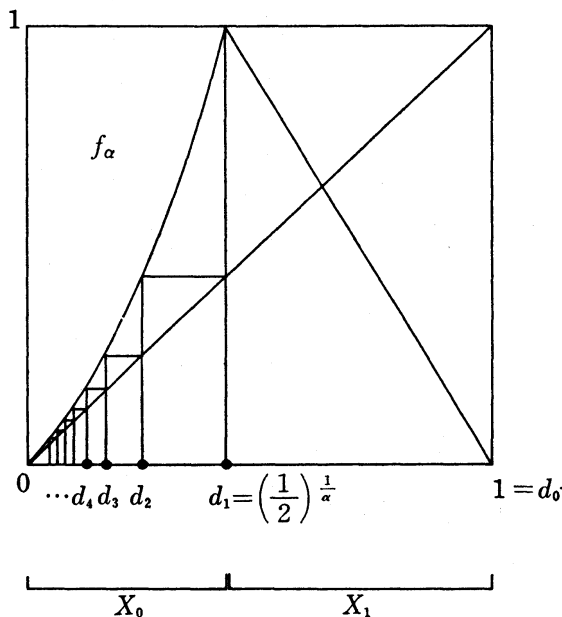


FIGURE 1

Let $X_0 = [0, (1/2)^{1/\alpha}]$, $X_1 = [(1/2)^{1/\alpha}, 1]$, $\Psi_0(x) = x / ((1+x^\alpha)^{1/\alpha})$, $\Psi_1(x) = \{(1/2)^{1/\alpha} - 1\}x + 1$. Then simple calculation gives the following properties:

- (1) $(\Psi_0)'(x)$ is a positive and monotone decreasing function on $[0, 1]$,
- (2) $((\Psi_0)''/(\Psi_0)')(x)$ is a negative and monotone increasing function on $[0, 1]$. Let $X_{\underbrace{00\dots01}_n} = [d_n, d_{n-1}]$. From (1), we have

$$\Psi_0'(d_{k-1}) \leq \Psi_0'(x) \leq \Psi_0'(d_k) \quad \text{for any } x \in X_{\underbrace{00\dots01}_k}.$$

Therefore

$$(3) \quad \frac{\sup_{x \in X} |(\Psi_{\underbrace{00\dots01}_n})'(x)|}{\inf_{x \in X} |(\Psi_{\underbrace{00\dots01}_n})'(x)|} \leq \frac{\Psi_0'(d_n)}{\Psi_0'(1)} \leq 2^{1+1/\alpha}.$$

We remark that

- (4) if

$$n \geq \left[\frac{2(2^{1/\alpha} - 1)^{\alpha/(\alpha+1)} - 2^{1/(\alpha+1)}}{2^{1/(\alpha+1)} - (2^{1/\alpha} - 1)^{\alpha/(\alpha+1)}} + 1 \right]$$

(where $[\]$ denotes the integer part of a number), then

$$|\Psi_1'| < \inf_{x \in X_{\underbrace{00\dots01}_n}} |\Psi_0'(x)|,$$

and

- (5) for any sequence $(a_1 \dots a_n) \in A(n)$ such that $a_k = 1$ for some $k \in \{1, 2, \dots, n-1\}$, we have $d_{n-1} \leq \inf_{x \in X_{a_1 \dots a_n}} \{x\}$.

From the above properties, we can show that there exists a constant $C > 1$ such that for all $n > 0$ and any $(a_1 \dots a_n) \in A(n)$ with $a_n = 1$

$$\frac{\sup_{x \in X} |(\Psi_{a_1 \dots a_n})'(x)|}{\inf_{x \in X} |(\Psi_{a_1 \dots a_n})'(x)|} < C.$$

In fact, by the mean value theorem for any $\theta, \theta' \in [0, 1]$ such that $\theta' - \theta > 0$, we have

- (6)

$$\log \left| \frac{(\Psi_{\underbrace{00\dots01}_n})'(\theta')}{(\Psi_{\underbrace{00\dots01}_n})'(\theta)} \right| = \sum_{j=1}^{n-1} \left| \left(\frac{\Psi_0''}{\Psi_0'} \right) (\xi_j(\theta, \theta')) \right| |(\Psi_{\underbrace{00\dots01}_{n-j}})'(\eta_j(\theta, \theta'))| \cdot (\theta' - \theta)$$

where $\xi_j(\theta, \theta') \in X_{\underbrace{00\dots01}_{n-j}}$, $\eta_j(\theta, \theta') \in X$.

On the other hand, for any $x, x' \in [0, 1]$ Properties (1), (2), (3), (4), and (5) allow us to have the following:

(7)

$$\begin{aligned} \left| \log \left| \frac{(\Psi_{a_1 \dots a_n})'(x')}{(\Psi_{a_1 \dots a_n})'(x)} \right| \right| &\leq \sum_{j=1}^{n-1} \left| \left(\frac{\Psi''_{a_j}}{\Psi'_{a_j}} \right) (\xi_j(x, x')) \right| \cdot |(\Psi_{a_{j+1} \dots a_n})'(\eta_j(x, x'))| \cdot |x' - x| \\ &\leq \sum_{j=1}^{n-1} \inf_{x \in X_{\underbrace{0 \dots 0 1}_{n-j}}} \left| \frac{\Psi''_0}{\Psi'_0} (x) \right| \inf_{x \in X_{\underbrace{0 \dots 0 1}_{n-j-1}}} \Psi'_0(x) \cdots \inf_{x \in X} \Psi'_0(x) \left(\frac{|\Psi'_1|}{\Psi'_0(1)} \right)^{n_0}, \end{aligned}$$

where

$$n_0 = \left[\frac{2(2^{1/\alpha} - 1)^{\alpha/(\alpha+1)} - 2^{1/(\alpha+1)}}{2^{1/(\alpha+1)} - (2^{1/\alpha} - 1)^{\alpha/(\alpha+1)}} + 1 \right].$$

Combining (5) and (6), we can take for $C \cdot 2^{(1+1/\alpha) \cdot 2 \cdot (2^{1/\alpha} - 1)}$. It is easy to see that (C.3) is satisfied and $B_n = X_{\underbrace{0 \dots 0 1}_n}$, and $D_n = X_{\underbrace{0 \dots 0}_n}$. To verify (C.1), we

remark that for all $n \geq n_0$,

$$\lambda(X_{a_1 \dots a_n}) \leq C \left(\frac{|\Psi'_1|}{\Psi'_0(1)} \right)^{n_0} \cdot \lambda(X_{\underbrace{0 \dots 0}_n}).$$

In fact, for any $(a_1 \dots a_n) \in A(n)$, there exists k with $0 \leq k \leq n$ such that $X_{a_1 \dots a_k} \in R(C.f_\alpha)$ and $X_{a_{k+1} \dots a_n} = D_{n-k}$. Therefore

$$\begin{aligned} \lambda(X_{a_1 \dots a_n}) &= \int_{X_{\underbrace{0 \dots 0}_{n-k}}} |(\Psi_{a_1 \dots a_k})'(x)| dx \\ &< C \cdot \inf_{x \in X_{\underbrace{0 \dots 0}_{n-k}}} |(\Psi_{a_1 \dots a_k})'(x)| \cdot \lambda(X_{\underbrace{0 \dots 0}_{n-k}}) \\ &< C \left(\frac{|\Psi'_1|}{\Psi'_0(1)} \right)^{n_0} \cdot \lambda(X_{\underbrace{0 \dots 0}_{n-k}}) \left(\inf_{x \in X_{\underbrace{0 \dots 0}_{n-1}}} \Psi'_0(x) \cdot \inf_{x \in X_{\underbrace{0 \dots 0}_{n-2}}} \Psi'_0(x) \cdots \inf_{x \in X_{\underbrace{0 \dots 0}_{n-k}}} \Psi'_0(x) \right). \end{aligned}$$

On the other hand

$$\begin{aligned} \lambda(X_{\underbrace{0 \dots 0}_n}) &= \int_{X_{\underbrace{0 \dots 0}_{n-k}}} |\Psi_{\underbrace{0 \dots 0}_k}'(x)| dx \\ &\geq \left(\inf_{x \in D_{n-1}} \Psi'_0(x) \cdot \inf_{x \in D_{n-2}} \Psi'_0(x) \cdots \inf_{x \in D_{n-k}} \Psi'_0(x) \right) \cdot \lambda(X_{\underbrace{0 \dots 0}_{n-k}}), \end{aligned}$$

consequently the above assertion is valid. From this and the fact $\lim_{n \rightarrow \infty} \lambda(X_{\underbrace{0 \dots 0}_n}) = 0$, we have (C.1). The Conditions (C.2), (C.4)*, (C.6) and

(C.9) are easily checked. Note that $\Psi_{\underbrace{0 \dots 0}_m}(x) = x/(1 + mx^\alpha)^{1/\alpha}$ and hence $(\Psi_{\underbrace{0 \dots 0}_m})'(x) = 1/(1 + mx^\alpha)^{1+1/\alpha}$. Then a direct calculation gives

$$W_n = \sum_{m=0}^{\infty} \frac{1}{(1 + m(1/(n+1)^{1/\alpha})^\alpha)^{1+1/\alpha}} \leq (n+1)^{1+1/\alpha} \left(\sum_{m=0}^{\infty} \frac{1}{(1+m)^{1+1/\alpha}} \right),$$

and

$$\frac{\sup_{x \in X} (\Psi_{\underbrace{0 \dots 0}_m})'(x)}{\inf_{x \in X} (\Psi_{\underbrace{0 \dots 0}_m})'(x)} \leq (1+m)^{1+1/\alpha}.$$

From these, (C.5) and (C.7) are satisfied. (C.8) and (C.9) are trivial. Therefore this example satisfies all of assumptions of Theorem 3.

Next, we consider a skew product transformation which is associated to Diophantine approximation in inhomogeneous linear class.

EXAMPLE 2. Let $X = \{(x, y) \in R^2: 0 \leq y \leq 1, -y \leq x < -y + 1\}$ and define T on X by

$$T(x, y) = \left(\left(\frac{1}{x} \right) - \left[\frac{1-y}{x} \right] - \left[-\frac{y}{x} \right], - \left[-\frac{y}{x} \right] - \frac{y}{x} \right) \quad (\text{see Figure 2}).$$

This transformation is a multi-dimensional mapping with a finite range structure and has a finite invariant measure whose density is unbounded

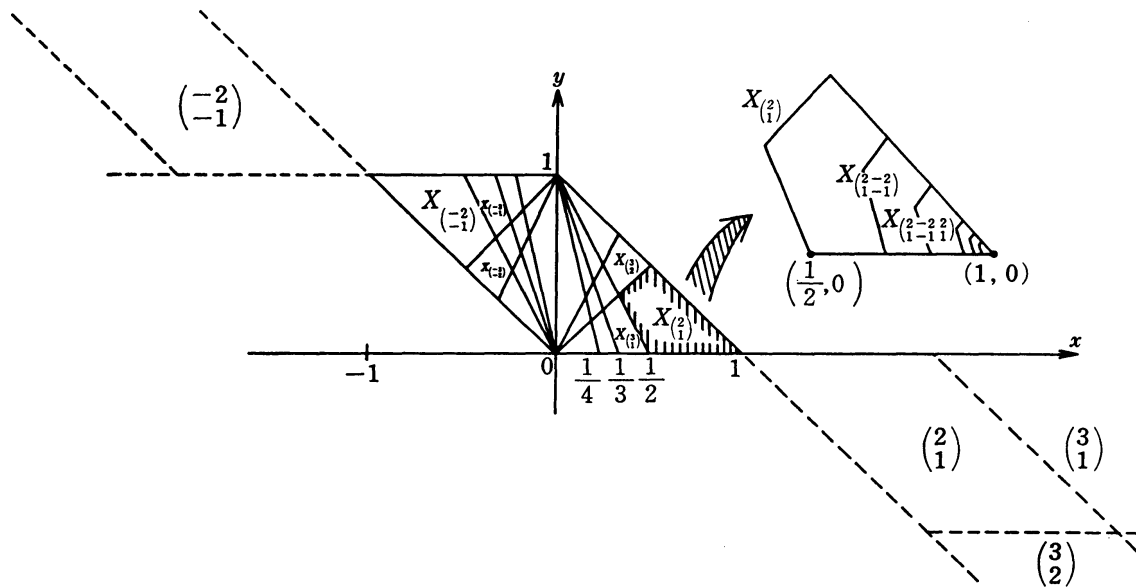


FIGURE 2

(see [4] and [5]).

Let

$$a(x, y) = \left[\frac{1-y}{x} \right] + \left[-\frac{y}{x} \right], \quad b(x, y) = - \left[-\frac{y}{x} \right],$$

$$a_k(x, y) = a(T^{k-1}(x, y)), \quad b_k(x, y) = b(T^{k-1}(x, y)),$$

and $q_n = a_n q_{n-1} + q_{n-2}$ ($q_0 = 1, q_1 = 0$). Then

$$\det D\Psi_{\begin{pmatrix} a_1 \cdots a_n \\ b_1 \cdots b_n \end{pmatrix}}(x, y) = \frac{1}{(q_n + xq_{n-1})^3}.$$

Moreover, we can easily see that

$$\mathcal{D}_n = \{ X_{\begin{pmatrix} 2 & -2 & 2 & -2 & \cdots \\ 1 & -1 & 1 & -1 & \cdots \end{pmatrix}}, X_{\begin{pmatrix} -2 & 2 & -2 & 2 & \cdots \\ -1 & 1 & -1 & 1 & \cdots \end{pmatrix}} \},$$

$$\lambda(D_n) = O\left(\frac{1}{n^2}\right), \quad |q_n| = O(n) \quad \text{for} \quad \begin{pmatrix} a_1 \cdots a_n \\ b_1 \cdots b_n \end{pmatrix} \in \mathcal{D}_n.$$

Therefore

$$\frac{\sup_{x \in T^n X} \begin{pmatrix} a_1 \cdots a_n \\ b_1 \cdots b_n \end{pmatrix} |\det D\Psi_{\begin{pmatrix} a_1 \cdots a_n \\ b_1 \cdots b_n \end{pmatrix}}(x, y)|}{\inf_{x \in T^n X} \begin{pmatrix} a_1 \cdots a_n \\ b_1 \cdots b_n \end{pmatrix} |\det D\Psi_{\begin{pmatrix} a_1 \cdots a_n \\ b_1 \cdots b_n \end{pmatrix}}(x, y)|} = O(n^3) \quad \text{for any} \quad X_{\begin{pmatrix} a_1 \cdots a_n \\ b_1 \cdots b_n \end{pmatrix}} \in \mathcal{D}_n,$$

and if we put $\rho_n = \sup_{(x,y) \in D_n^c} \{|x|\}$, then $W_n \leq \sum_{m=1}^{\infty} O(1/m^3) \cdot (1/(1-\rho_n)^3)$. Since $\det DT(x, y) = 1/x^3$, a direct calculation allows us to verify (C.8). Consequently, all of conditions of Theorem 3 are satisfied.

Finally, we consider a complex continued fraction transformation considered by S. Tanaka [17].

EXAMPLE 3. Let $X = \{z = x\alpha + y\bar{\alpha} : -1/2 \leq x, y \leq 1/2\}$ ($\alpha = 1 + i$) and define the transformation T on X by

$$Tz = \frac{1}{z} - \left[\frac{1}{z} \right]_1,$$

where $[z]_1$ denotes $[x + 1/2]\alpha + [y + 1/2]\bar{\alpha}$ for a complex number $z = x\alpha + y\bar{\alpha}$. Let $I = \{n\alpha + m\bar{\alpha} : m, n \in \mathbb{Z}\} \setminus \{0\}$. The map T induces a continued fraction expansion of $z \in X$,

$$z = \frac{1}{|a_1|} + \frac{1}{|a_2|} + \cdots + \frac{1}{|a_n|} + \cdots$$

where each a_i is contained in I . In his paper [17], he obtained the den-

sity function of the invariant measure which is unbounded, the ergodicity, and some limiting values by his own method. His method cannot apply to general case. Here, applying our theory we obtain further results, i.e. exactness, Rohlin's formula, and a weak Bernoulli property.

Define U_j ($0 \leq j \leq 4$) by

$$U_0 = X, \quad U_1 = \left\{ z \in X : \left| z + \frac{\alpha}{2} \right| \geq \frac{1}{\sqrt{2}} \right\}, \quad U_2 = -i \times U_1, \\ U_3 = -i \times U_2, \quad U_4 = -i \times U_3.$$

From the above definitions it is easy to see that T is a multidimensional map with a finite range structure, and Conditions (C.2) and (C.8) are satisfied. For (C.1), the detailed proof may be found in [17]. Define $q_n \in I$ ($n \geq -1$) inductively by

$$q_{-1} = 0, \quad q_0 = \alpha, \quad q_n = a_n q_{n-1} + q_{n-2} \quad (n \geq 1).$$

Then a simple calculation gives the relation

$$|\det D\Psi_{a_1 \dots a_n}(z)| = \frac{1}{|q_n|^4 |1 + (q_{n-1}/q_n)z|^4}$$

so that we can take Renyi's constant, $C=5^4$, and immediately we also verify (C.3) and (C.6) (see [17]). To examine (C.9), we show in Table 1, the admissibility of sequences $(a_1 \dots a_n)$ for which $X_{a_1 \dots a_n} \in \mathcal{D}_n$. This table shows that $k_0=3$ and $\# \mathcal{D}_n = 4(4n-3)$.

To estimate $\lambda(D_n)$, we remark that it is sufficient to estimate $\lambda(D'_n)$, where

$$\mathcal{D}'_n = \left\{ \begin{array}{l} (-2i, -2i, \dots, -2i) \\ (-2i, -2i, \dots, -2i, \bar{\alpha}) \\ (-2i, -2i, \dots, -2i, \bar{\alpha} \bar{\alpha}) \\ (-2i, -2i, \dots, -2i, \bar{\alpha} - \bar{\alpha}) \\ (-2i, -2i, \dots, -2i, \bar{\alpha} \bar{\alpha} - \bar{\alpha}) \\ (-2i, -2i, \dots, -2i, \bar{\alpha} - \bar{\alpha} - \bar{\alpha}) \\ (-2i, -2i, \dots, -2i, \bar{\alpha} \bar{\alpha} - \bar{\alpha} - \bar{\alpha}) \\ (-2i, -2i, \dots, -2i, \bar{\alpha} - \bar{\alpha} - \bar{\alpha} \bar{\alpha}) \\ \vdots \\ (-2i, \bar{\alpha}, \bar{\alpha}, -\bar{\alpha}, \dots) \\ (-2i, \bar{\alpha}, -\bar{\alpha}, -\bar{\alpha}, \dots) \\ (\bar{\alpha}, \bar{\alpha}, -\bar{\alpha}, -\bar{\alpha}), \dots \\ (\bar{\alpha}, -\bar{\alpha}, -\bar{\alpha}, \bar{\alpha}), \dots \end{array} \right\}$$

TABLE 1

\mathcal{D}_1	\mathcal{D}_2	\mathcal{D}_3	\mathcal{D}_4	\mathcal{D}_5	\mathcal{D}_6	\dots
α	α	$-\alpha$	$-\alpha$	α	α	
$-\alpha$	α	α	$-\alpha$	$-\alpha$	α	
$\bar{\alpha}$	$\bar{\alpha}$	$-\bar{\alpha}$	$-\bar{\alpha}$	$\bar{\alpha}$	$\bar{\alpha}$	
$-\bar{\alpha}$	$\bar{\alpha}$	$\bar{\alpha}$	$-\bar{\alpha}$	$-\bar{\alpha}$	$\bar{\alpha}$	
2	$-\alpha$	α	α	$-\alpha$	$-\alpha$	
-2	$-\bar{\alpha}$	$\bar{\alpha}$	$\bar{\alpha}$	$-\bar{\alpha}$	$-\bar{\alpha}$	
2	α	$-\alpha$	$-\alpha$	α	α	
-2	$-\bar{\alpha}$	$\bar{\alpha}$	$\bar{\alpha}$	$-\bar{\alpha}$	$-\bar{\alpha}$	
2	α	$-\alpha$	$-\alpha$	α	α	
-2	$-\bar{\alpha}$	$\bar{\alpha}$	$\bar{\alpha}$	$-\bar{\alpha}$	$-\bar{\alpha}$	
2	α	$-\alpha$	$-\alpha$	α	α	
-2	$-\bar{\alpha}$	$\bar{\alpha}$	$\bar{\alpha}$	$-\bar{\alpha}$	$-\bar{\alpha}$	
2	α	$-\alpha$	$-\alpha$	α	α	
-2	$-\bar{\alpha}$	$\bar{\alpha}$	$\bar{\alpha}$	$-\bar{\alpha}$	$-\bar{\alpha}$	
$2i$						
$-2i$						

- (1) In this table 1, the sequence of symbols $(-\bar{\alpha}, -\bar{\alpha}, \bar{\alpha}, \bar{\alpha})^{a)}$ means $X_{-\bar{\alpha}, -\bar{\alpha}, \bar{\alpha}, \bar{\alpha}} \in \mathcal{D}_4$, in the same way, the sequence $(2, -2, \bar{\alpha}, \bar{\alpha}, -\bar{\alpha}, -\bar{\alpha})^{b)}$ means $X_{2, -2, \bar{\alpha}, \bar{\alpha}, -\bar{\alpha}, -\bar{\alpha}} \in \mathcal{D}_6$.
- (2) (*) The trees for $2i$ and $-2i$ are quite similar to trees for 2 and -2 , and hence are omitted.

and

$$D'_n = \bigcup_{X_{a_1 \dots a_n} \in \mathcal{D}'_n} X_{a_1 \dots a_n}.$$

Let $O(a, b, c)$ be a disc centered at (a, b) with the radius c . Since

$$D'_n \subset O\left(\frac{1}{2n}, \frac{2n-1}{2n}, \frac{\sqrt{2}}{2n}\right) \cup O\left(\frac{2n-1}{2n}, \frac{1}{2n}, \frac{\sqrt{2}}{2n}\right) \quad (\text{See Figure 3.}),$$

we have $\lambda(D'_n) \approx O(1/n^2)$ and so $\lambda(D_n) \approx O(1/n^2)$. Therefore (C.4)* is satisfied.

From the inequality

$$\frac{\sup_{z \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(z)|}{\inf_{z \in T^n X_{a_1 \dots a_n}} |\det D\Psi_{a_1 \dots a_n}(z)|} \leq \frac{(1 + |q_{n-1}/q_n|)^4}{(1 - |q_{n-1}/q_n|)^4},$$

to verify (C.7), it is sufficient to estimate $|q_{n-1}/q_n|$. A direct calculation of $|q_n|$ seems to be very complicated, but the author has achieved to obtain

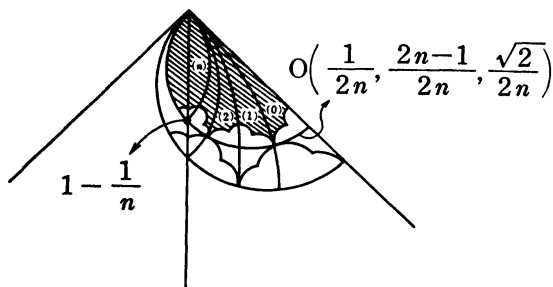
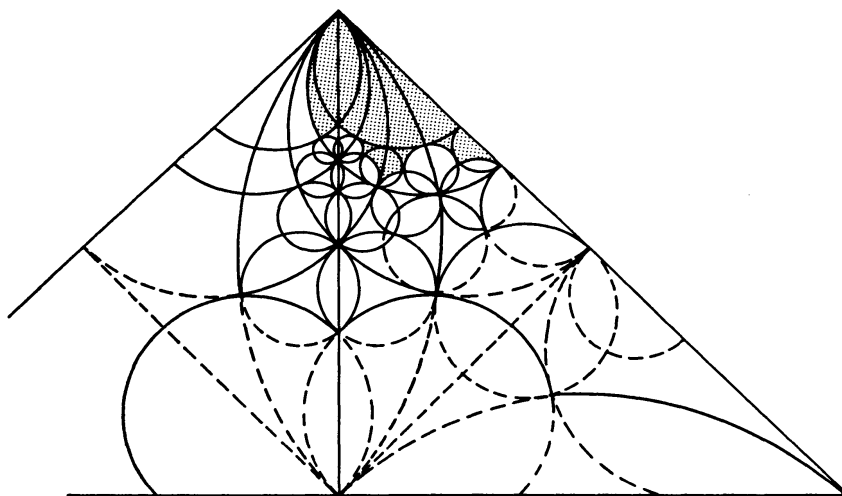


FIGURE 3

Here typical cylinders of \mathcal{D}_n are shown; (0) = $X(\bar{\alpha}, -\bar{\alpha}, -\bar{\alpha} \dots)$

(1) = $X(-2t, \bar{\alpha}, -\bar{\alpha} \dots)$

(2) = $X(-2t, -2t, \bar{\alpha}, -\bar{\alpha} \dots)$

⋮

(k) = $X(\underbrace{-2t, -2t, \dots, -2t}_k, \bar{\alpha}, -\bar{\alpha} \dots)$

⋮

(n) = $X(-2t, -2t, \dots, -2t)$

Other types have the same forms as these types and so are omitted.

the following table:

TABLE 2

type	$ q_n $
$(\alpha, \alpha, -\alpha, -\alpha, \dots)$	$ q_{2k-1} =2k, q_{2k} =\sqrt{4k^2+4k+2}$
$(\bar{\alpha}, \bar{\alpha}, -\bar{\alpha}, -\bar{\alpha}, \dots)$	$ q_{2k-1} =2\sqrt{k^2-2k+2}, q_{2k} =\sqrt{2k^2-2k+10}$
$(2i, 2i, \dots)$	$ q_n =\sqrt{2}(n+1)$
$(2, -2, 2, -2, \dots)$	
$(-2i, -2i, \dots)$	

Since the type $(\dots, 2i, \alpha, \alpha, -\alpha, -\alpha, \dots)$ is complicated, before we estimate $|q_n|$ we give a table of q_n as follows:

TABLE 3

type	$n=4l$	$n=4l+1$	$n=4l+2$	$n=4l+3$
$2i \dots 2i \alpha$	$(4l+1) + i(-4l+1)$	$4l+i(4l+2)$	$(-4l-3) + i(4l+1)$	$(-4l-2) + i(-4l-4)$
	$(l \geq 1)$	$(l \geq 1)$	$(l \geq 0)$	$(l \geq 0)$
$2i \dots 2i \alpha \alpha$	$(-4l+3) + i(-12l+3)$	$12l+i(-4l+2)$	$(4l-1) + i(12l+3)$	$(-12l-6) + i 4l$
$2i \dots 2i \alpha - \alpha$	$(-4l-1) + i(4l-1)$	$(-4l) + i(-4l-2)$	$(4l+3) + i(-4l-1)$	$(4l+2) + i(4l+4)$
	$(l \geq 1)$	$(l \geq 1)$	$(l \geq 1)$	$(l \geq 0)$
$2i \dots 2i \alpha \alpha - \alpha$	$(12l-9) + i(12l-5)$	$(-12l+2) + i(12l-6)$	$(-12l+3) + i(-12l-1)$	$(12l+4) + i(-12l)$
$2i \dots 2i \alpha - \alpha - \alpha$	$(-4l+3) + i(-4l-1)$	$(4l+2) + i(-4l+2)$	$(4l-1) + i(4l+3)$	$(-4l-4) + i 4l$
	$(l \geq 1)$	$(l \geq 1)$	$(l \geq 1)$	$(l \geq 1)$
$2i \dots 2i \alpha \alpha - \alpha - \alpha$	$(-20l+15) + i(12l-13)$	$(-12l+10) + i(-20l+10)$	$(20l-5) + i(-12l+7)$	$(12l-4) + i 20l$
$2i \dots 2i \alpha - \alpha - \alpha \alpha$	$(-4l+3) + i(-4l-1)$	$(4l+2) + i(-4l+2)$	$(4l-1) + i(4l+3)$	$(-4l-4) + i 4l$
	$(l \geq 2)$	$(l \geq 1)$	$(l \geq 1)$	$(l \geq 1)$
$2i \dots 2i \alpha \alpha - \alpha - \alpha \alpha$	$(-20l+19) + i(20l-25)$	$(-20l+20) + i(-20l+4)$	$(20l-9) + i(-20l+15)$	$(20l-10) + i(20l-4)$
$2i \dots 2i \alpha - \alpha - \alpha \alpha \alpha$	$(-4l-1) + i(4l-5)$	$(-4l+4) + i(-4l-2)$	$(4l+3) + i(-4l+3)$	$(4l-2) + i(4l+4)$
	$(l \geq 2)$	$(l \geq 2)$	$(l \geq 1)$	$(l \geq 1)$
\vdots	\vdots	\vdots	\vdots	\vdots

Therefore, we have the following:

$$\begin{array}{l|l}
 (2i, 2i, \dots, 2i, \underbrace{\alpha, \alpha, \dots}_m) & \begin{array}{l}
 m=2k \quad |q_{4i+j}| = \sqrt{\{32(4m^2+1)\}l^2 + O(l)} \\
 m=2k+1 \quad |q_{4i+j}| = \sqrt{\{32(2m+1)^2\}l^2 + O(l)} \\
 (j=0, 1, 2, 3)
 \end{array} \\
 \hline
 (2i, 2i, \dots, 2i, \underbrace{\alpha, -\alpha, \dots}_m) & \begin{array}{l}
 m=2k \quad |q_n| = \sqrt{(n+1)^2 + (n-m+1)^2} \\
 m=2k+1 \quad |q_n| = \sqrt{(n+1)^2 + (n-m)^2}
 \end{array}
 \end{array}$$

Other types are quite similar to one of the above types, and so are omitted. These allow us to verify (C.7).

Let $\rho_n = \sup_{z \in D_n^c} \{|z|\}$. Then it is easy to see that

$$W_n \leq \left\{ \sum_{m=0}^{\infty} \# \mathcal{D}_m O\left(\frac{1}{m^4}\right) \right\} \cdot \frac{1}{(1-\rho_n)^4} = \left\{ \sum_{m=0}^{\infty} O\left(\frac{1}{m^3}\right) \right\} \cdot \frac{1}{(1-\rho_n)^4} .$$

This implies (C.5).

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