

## Twisting of Knots along Knotted Solid Tori

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**Abstract.** We twist a knot by twisting some solid tori, each of which contains the knot, one by one to the meridional direction. Suppose the solid tori have mutually disjoint essential toral boundaries in the knot exterior.

M. Kouro, K. Motegi and T. Shibuya have shown that, by twisting a knot along one or two solid tori in an inclusion relation, the resulting knot type is different from the original one (see [5] and [6]). In this paper, we investigate whether twisting along various solid tori also produces a different knot type or not.

### §1. Introduction.

Let  $K_1$  and  $K_2$  be unoriented knots in the oriented sphere  $S^3$ . If there exists an orientation preserving homeomorphism of  $S^3$  carrying  $K_1$  to  $K_2$ , then we write  $K_1 \cong K_2$ . This is equivalent to saying that  $K_1$  and  $K_2$  are ambient isotopic in  $S^3$ .

Let  $K$  be a knot in  $S^3$ , and  $V$  a solid torus with a preferred framing such that  $K \subset \text{int} V$ . The *wrapping number* of  $K$  in  $V$ , denoted by  $w_V(K)$ , is the minimal geometric intersection number of  $K$  and a meridian disk of  $V$ . Suppose  $\partial V$  is oriented. Let  $f^{(n)} : S^3 \rightarrow S^3$  be a map, which may be discontinuous on  $T$ , such that  $f^{(n)}|_{S^3 - V}$  is the identity, and  $f^{(n)}|_V$  is an orientation preserving self-homeomorphism of  $V$  satisfying  $f_*^{(n)}(m) = m$  and  $f_*^{(n)}(l) = l + nm$ , where  $f_*^{(n)} : H_1(\partial V) \rightarrow H_1(\partial V)$  is an isomorphism induced by  $f^{(n)}$ ,  $m$  and  $l$  are homology classes of a meridian and a preferred longitude of  $\partial V$  with the intersection number  $m \cdot l = 1$  respectively.  $f^{(n)}$  is not homeomorphic on  $S^3$ , but it gives the orientation preserving self-homeomorphisms of  $S^3 - V$  and  $V$ . Note that for a given knot  $K$ , a solid torus  $V$ , an orientation of  $\partial V$  and an integer  $n$  determine the unique knot type  $f^{(n)}(K)$ . We call  $f^{(n)}$  an *n-twist* along  $V$ .

Suppose  $K$  is fixed by an orientation preserving homeomorphism  $\varphi$  of  $S^3$  of order  $n > 1$ . When  $\varphi$  is a rotation about an unknotted circle disjoint from  $K$ , we say  $K$  has a *semifree period*. When  $\varphi$  has no fixed point set, we say  $K$  has a *free period*.

Let  $V_1$  and  $V_2$  be arbitrary solid tori containing  $K$  with mutually disjoint boundaries, and  $f_i^{(n)}$  an *n-twist* along  $V_i$ . Since  $f_2^{(n^2)}$  gives a homeomorphism either on

$V_1$  or on  $S^3 - V_1$ ,  $V'_1 = f_2^{(n_2)}(V_1)$  is a solid torus bounded by  $f_2^{(n_2)}(T_1)$ . We do not distinguish notationally between  $f_1^{(n_1)}$  and  $n_1$ -twist along  $V'_1$ . Similarly  $f_2^{(n_2)}$  also denotes the  $n_2$ -twist along  $f_1^{(n_1)}(V_2)$ . So we have  $f_1^{(n_1)} \circ f_2^{(n_2)} = f_2^{(n_2)} \circ f_1^{(n_1)}$  up to isotopies on  $S^3$ .

When  $w_V(K) \leq 1$ , knot type  $K$  is invariant under any twisting along  $V$ . So in this paper, we consider a solid torus  $V$ , to carry out a twisting of  $K$ , is always knotted and satisfies  $w_V(K) \geq 2$ . Note that  $\partial V$  is essential in the exterior of  $K$ .

The following theorem was shown by M. Kouno, K. Motegi and T. Shibuya.

**THEOREM 1 ([5]).** *Let  $K$  be a knot in  $S^3$ ,  $V$  a knotted solid torus containing  $K$  with  $w_V(K) \geq 2$ , and  $f^{(n)}$  an  $n$ -twist along  $V$ . For any non-zero integer  $n$ ,  $f^{(n)}(K) \not\cong K$ .*

They showed the following theorem in their succeeding paper.

**THEOREM 2 ([6]).** *Let  $K$  be a knot in  $S^3$ ,  $V_i$  a knotted solid torus containing  $K$ , and  $f_i^{(n)}$  an  $n$ -twist along  $V_i$  ( $i=1, 2$ ). Suppose that  $V_1 \subset \text{int}V_2$ ,  $w_{V_2}(\text{core}V_1) \geq 2$  where  $\text{core}V_1$  denotes a core of  $V_1$ , and  $w_{V_1}(K) \geq 2$ . Then  $f_1^{(m)}(K) \not\cong f_2^{(n)}(K)$  for any pair  $(m, n) \neq (0, 0)$ .*

In Theorem 2, either  $m=0$  or  $n=0$  implies Theorem 1.

Throughout this paper,  $\text{int}X$  and  $N(X, Y)$  denotes the *interior* of  $X$  and the *neighbourhood* of  $X$  in  $Y$  respectively.

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## §2. Main results.

Let  $K$  be a non-trivial knot in  $S^3$ . Consider the torus decomposition of  $E = S^3 - \text{int}N(K, S^3)$  (see [3] and [4]). By a finite set  $\mathcal{T}' = \{T'_1, \dots, T'_k\}$  of mutually disjoint, non-parallel, essential tori imbedded in  $E$ , we can decompose  $E$  uniquely into the pieces each of which is Seifert fibered or admits a complete hyperbolic structure of finite volume in its interior (see [10]). Moreover each Seifert piece is one of a torus knot space, a cable space and a composing space (see [3]).

Let  $V'_i$  be the solid torus bounded by  $T'_i$ . Define a subset  $\mathcal{T}$  of  $\mathcal{T}'$  by  $\mathcal{T} = \{T'_i \in \mathcal{T}' ; w_{V'_i}(K) \geq 2\}$ . Let  $\mathcal{T} = \{T_1, \dots, T_l\}$  and  $V_i$  the solid torus bounded by  $T_i$ . Suppose  $T_i$  has an orientation decided by that of  $S^3$  and a normal of  $T_i$  oriented to the exterior of  $V_i$ . Note that if there is an orientation preserving homeomorphism of  $S^3$  carrying  $T_i$  to  $T_j$ , it induces an orientation preserving homeomorphism from  $V_i$  to  $V_j$ .

We consider the composition of the twisting along  $V_1, \dots, V_l$ , and show the following theorem.

**THEOREM 3.** *If  $\sum_{i=1}^l n_i \neq 0$ , then  $f_1^{(n_1)} \circ \dots \circ f_l^{(n_l)}(K) \not\cong K$ .*

Here  $f_1^{(n_1)} \circ \dots \circ f_l^{(n_l)} : S^3 \rightarrow S^3$  may be discontinuous on  $T_1, \dots, T_l$ , but it induces an orientation preserving homeomorphism on the interior of each decomposing piece.

The following corollary of Theorem 3 answers all the case of knotted solid tori to carry out the twisting of  $K$ .

**COROLLARY 4.** *Let  $V'_i$  ( $1 \leq i \leq k$ ) be knotted solid tori containing  $K$ , and  $g_i^{(n)}$  an  $n$ -twist along  $V'_i$ . Suppose  $\partial V'_i$  are mutually disjoint and  $w_{V'_i}(K) \geq 2$ . If  $\sum_{i=1}^k n_i \neq 0$ , then  $g_1^{(n_1)} \circ \dots \circ g_k^{(n_k)}(K) \not\cong K$ .*

When  $k=1$ , Corollary 4 is the same as Theorem 1.

Next, we consider two knotted solid tori  $V'_1$  and  $V'_2$  with mutually disjoint boundaries, containing a knot  $K$  in their interiors. By [8, Satz 1], we may assume one of the following occurs:

- (1)  $V'_1 \subset V'_2$  or  $V'_2 \subset V'_1$ .
- (2)  $V'_1 \cup V'_2 = S^3$ .
- (3) There exists a solid torus  $W \subset \text{int} V'_1 \cap \text{int} V'_2$  such that  $w_{V'_1}(\text{core} W) = w_{V'_2}(\text{core} W) = 1$ .

Theorem 2 corresponds to the case (1), and the following corollary of Theorem 3 corresponds to the case (2).

**COROLLARY 5.** *Let  $V'_i$  ( $i=1, 2$ ) be in the case (2), and  $g_i^{(n)}$  an  $n$ -twist along  $V'_i$ . If  $n_1 + n_2 \neq 0$ , then  $g_1^{(n_1)} \circ g_2^{(n_2)}(K) \not\cong K$ .*

In a special case when all the solid tori to twist are in an inclusion relation, we get the following theorem, which is a generalization of Theorem 1 and 2.

**THEOREM 6.** *Let  $K$  be a knot in  $S^3$ ,  $V'_i$  ( $1 \leq i \leq k$ ) knotted solid tori such that:*

- (1)  $K \subset \text{int} V'_1$  and  $w_{V'_1}(K) \geq 2$ .
- (2)  $V'_i \subset \text{int} V'_{i+1}$  and  $w_{V'_{i+1}}(\text{core} V'_i) \geq 2$  for  $1 \leq i \leq k-1$ .

*Let  $g_i^{(n)}$  be an  $n$ -twist along  $V'_i$ . Then  $g_1^{(n_1)} \circ \dots \circ g_k^{(n_k)}(K) \not\cong K$  for any  $(n_1, \dots, n_k) \neq (0, \dots, 0)$ .*

Theorem 3 requires the condition  $\sum_{i=1}^l n_i \neq 0$ . The next problem is what kind of knot admits both  $(n_1, \dots, n_l) \neq (0, \dots, 0)$ ,  $\sum_{i=1}^l n_i = 0$  and  $f_1^{(n_1)} \circ \dots \circ f_l^{(n_l)}(K) \cong K$ . We give a partial answer of this problem in the following theorem.

**THEOREM 7.** *Suppose  $(n_1, \dots, n_l) \neq (0, \dots, 0)$  and  $\sum_{i=1}^l n_i = 0$ . If there is an orientation preserving periodic homeomorphism  $\varphi : S^3 \rightarrow S^3$  carrying  $f_1^{(n_1)} \circ \dots \circ f_l^{(n_l)}(K)$  to  $K$ , then for some  $(n'_1, \dots, n'_l) \neq (0, \dots, 0)$ ,  $f_1^{(n'_1)} \circ \dots \circ f_l^{(n'_l)}(K)$  is a periodic knot.*

Here a periodic knot means a knot with a free or semifree period.

### §3. Twisting of $K$ .

Let  $m_i$  and  $l_i$  be the homology classes of a meridian and a preferred longitude of

$T_i$  with  $m_i \cdot l_i = 1$  respectively.

First we give a lemma for the decomposing piece  $M_i$  attaching  $T_i$  in  $V_i$ . In the statement and the proof of the following lemma, double signs are in the same order.

LEMMA 8. *Let  $F_i: M_i \rightarrow M_i$  be an orientation preserving homeomorphism carrying  $T_i$  to  $T_i$ ,  $F_{i*}: H_1(T_i) \rightarrow H_1(T_i)$  an isomorphism induced by  $F_i$ . If  $M_i$  is not a composing space and  $F_{i*}(m_i) = \pm m_i$ , then  $F_{i*}(l_i) = \pm l_i$ .*

PROOF. We have  $F_{i*}(l_i) = \pm(l_i + am_i)$  for some  $a$ . Since  $\partial M_i$  contains  $T_i$  and a torus separating  $T_i$  and  $K$ ,  $M_i$  is either a hyperbolic piece or a cable space.

Assume  $M_i$  is a hyperbolic piece. By Mostow's rigidity theorem ([10, 5.7.4]),  $\text{Isom}(\text{int}M_i) \cong \text{Out}(\pi_1(\text{int}M_i))$  is a finite group. So  $F_i|_{\text{int}M_i}$  is homotopic to a unique isometry  $G_i$  of  $M_i$  and  $G_i^N$  is the identity for some integer  $N > 0$ . Then  $F_i^N$  is homotopic to the identity. So we get  $F_{i*}^N(l_i) = (\pm 1)^N(l_i + Nam_i) = l_i$ . Hence we get  $a = 0$  and  $F_{i*}(l_i) = \pm l_i$ .

Assume  $M_i$  is a cable space. Since the Seifert fibering of  $M_i$  is unique [2, Lemma VI.17],  $F_i$  is isotopic to a fiber preserving homeomorphism. Then we have  $F_{i*}(pm_i + ql_i) = \pm(pm_i + ql_i)$ , where  $pm_i + ql_i \in H_1(T_i)$  is a class of a regular fiber on  $T_i$ . Here  $p$  and  $q$  are coprime non-zero integers such that  $q \neq 1$ . So we get  $\pm(pm_i + q(l_i + am_i)) = \pm(pm_i + ql_i)$ . Hence we get  $a = 0$  and  $F_{i*}(l_i) = \pm l_i$ .  $\square$

LEMMA 9. *Let  $M_i$  be a composing space,  $T_j \subset \partial M_i$  a torus separating  $T_i$  and  $K$ ,  $T$  an essential torus in  $\text{int}M_i$ ,  $V$  a solid torus bounded by  $T$ , and  $f^{(n)}$  an  $n$ -twist along  $V$ . Then we have  $f_i^{(n)}(K) \cong f_j^{(n)}(K) \cong f^{(n)}(K)$ .*

PROOF. By [1, Proposition 13],  $\text{core}V_j$ , which is a companion of  $K$ , is a composite knot. Since  $w_{V_j}(\text{core}V_j) = 1$ ,  $f_i^{(n)}$  twists  $V_j$   $n$  times to the meridional direction and  $f_i^{(n)}(\text{core}V_j)$  is ambient isotopic to  $\text{core}V_j$  in  $V_i$ . So we get  $f_i^{(n)}(K) \cong f_j^{(n)}(K)$ . Other cases are similar.  $\square$

By Lemma 9,  $f_i^{(n)}$ ,  $f_j^{(n)}$  and  $f^{(n)}$  are mutually replaceable.

PROOF OF THEOREM 3. When  $f_1^{(n_1)} \circ \cdots \circ f_l^{(n_l)}(K) \cong K$  for some  $(n_1, \cdots, n_l)$ , there is an orientation preserving homeomorphism  $\varphi: S^3 \rightarrow S^3$  carrying  $f_1^{(n_1)} \circ \cdots \circ f_l^{(n_l)}(K)$  to  $K$ . Each torus  $\varphi \circ f_1^{(n_1)} \circ \cdots \circ f_l^{(n_l)}(T_i)$  is essential in  $E$  and the bounded solid torus  $V_i$  satisfies  $w_{V_i}(K) \geq 2$ . Modify  $\varphi$  so as to carry  $\bigcup_{i=1}^l f_1^{(n_1)} \circ \cdots \circ f_l^{(n_l)}(T_i)$  to  $\bigcup \mathcal{T}$ .

Assume  $M_i$  with  $n_i \neq 0$  is a composing space for some  $i$ . Let  $T_j \subset \partial M_i$  be a torus separating  $T_i$  and  $K$ . By the condition of the torus decomposition of  $E$ ,  $M_j$  is not a composing space. Change  $f_i^{(n_i)}$  for  $f_j^{(n_i)}$  by Lemma 9. Then we can assume  $M_i$  with  $n_i \neq 0$  is not a composing space for any  $i$ .

For convenience, we write  $\varphi_\mu = \varphi \circ f_1^{(n_1)} \circ \cdots \circ f_l^{(n_l)}$  where  $\mu = (n_1, \cdots, n_l)$ . Then  $\varphi_\mu: S^3 \rightarrow S^3$ , which may be discontinuous, fixes  $\bigcup \mathcal{T}$  and induces an orientation preserving homeomorphism on the interior of each decomposing piece. Then  $\varphi_\mu$  induces a permutation on a finite set  $\mathcal{T}$ . Since the degree of the permutation is finite,

there is an integer  $N > 0$  such that  $\varphi_\mu^N(T) = T$  for any  $T \in \mathcal{T}$ . Let  $T \in \mathcal{T}$ , and  $N_T > 0$  a minimal integer such that  $\varphi_\mu^{N_T}(T) = T$ . We call the set  $\{T, \varphi_\mu(T), \dots, \varphi_\mu^{N_T-1}(T)\} \subset \mathcal{T}$  the orbit of  $T$  under  $\varphi_\mu$ . Next, we show the following lemma.

LEMMA 10. Suppose  $f_1^{(n_1)} \circ \dots \circ f_l^{(n_l)}(K) \cong K$  and  $\varphi_\mu$  is as above, and any  $M_i$  with  $n_i \neq 0$  is not a composing space. Let  $\mathcal{T}_i = \{T_{p(i,1)}, T_{p(i,2)}, \dots, T_{p(i,N_i)}\}$  ( $1 \leq i \leq r$ ) be the orbits under  $\varphi_\mu$ , which are mutually disjoint, and satisfy  $\bigcup_{i=1}^r \mathcal{T}_i = \mathcal{T}$ ,  $\varphi_\mu(T_{p(i,j)}) = T_{p(i,j+1)}$  for  $1 \leq j \leq N_i - 1$  and  $\varphi_\mu(T_{p(i,N_i)}) = T_{p(i,1)}$ . Then we have  $v_i = \sum_{j=1}^{N_i} n_{p(i,j)} = 0$  for any  $i$ .

Since  $\sum_{i=1}^l n_i = \sum_{i=1}^r v_i$ , Lemma 10 completes the proof of Theorem 3.

PROOF. Let  $N = \text{LCM}\{N_1, \dots, N_r\}$  and  $v'_i = v_i N / N_i$ . We calculate  $\varphi_\mu^N$  in the following. First we calculate it in the case when  $r=1$  and  $N=N_1=l$ . Since  $f_{p(1,j)}^{(n)} \circ \varphi_\mu = \varphi_\mu \circ f_{p(1,j-1)}^{(n)}$  for  $2 \leq j \leq N_1$  and  $f_{p(1,1)}^{(n)} \circ \varphi_\mu = \varphi_\mu \circ f_{p(1,l)}^{(n)}$ , we have

$$\begin{aligned} \varphi_\mu^l &= \varphi \circ f_{p(1,1)}^{(n_{p(1,1)})} \circ f_{p(1,2)}^{(n_{p(1,2)})} \circ \dots \circ f_{p(1,l)}^{(n_{p(1,l)})} \circ \varphi_\mu^{l-1} \\ &= \varphi \circ \varphi_\mu \circ f_{p(1,1)}^{(n_{p(1,1)})} \circ f_{p(1,1)}^{(n_{p(1,2)})} \circ \dots \circ f_{p(1,l-1)}^{(n_{p(1,l)})} \circ \varphi_\mu^{l-2} \\ &= \varphi^2 \circ f_{p(1,1)}^{(n_{p(1,1)} + n_{p(1,2)})} \circ f_{p(1,2)}^{(n_{p(1,2)} + n_{p(1,3)})} \circ \dots \circ f_{p(1,l)}^{(n_{p(1,l)} + n_{p(1,1)})} \circ \varphi_\mu^{l-2} \\ &= \varphi^3 \circ f_{p(1,1)}^{(n_{p(1,1)} + n_{p(1,2)} + n_{p(1,3)})} \circ f_{p(1,2)}^{(n_{p(1,2)} + n_{p(1,3)} + n_{p(1,4)})} \circ \dots \circ f_{p(1,l)}^{(n_{p(1,l)} + n_{p(1,1)} + n_{p(1,2)})} \circ \varphi_\mu^{l-3} \\ &= \varphi^l \circ f_{p(1,1)}^{(v_1)} \circ f_{p(1,2)}^{(v_1)} \circ \dots \circ f_{p(1,l)}^{(v_1)}. \end{aligned}$$

Similarly, in the general case, we have

$$\varphi_\mu^N = \varphi^N \circ \{f_{p(1,1)}^{(v'_1)} \circ \dots \circ f_{p(1,N_1)}^{(v'_1)}\} \circ \dots \circ \{f_{p(r,1)}^{(v'_r)} \circ \dots \circ f_{p(r,N_r)}^{(v'_r)}\}.$$

The pieces  $M_{p(i,j)}$  ( $1 \leq j \leq N_i$ ) are mutually homeomorphic. So we have  $v'_i = v_i = 0$  if  $M_{p(i,1)}$  is a composing space.

Assume that  $M_{p(i,1)}$  is not a composing space. We have  $\varphi_\mu^N(T_{p(i,1)}) = T_{p(i,1)}$  and  $\varphi_\mu^N$  induces an orientation preserving self-homeomorphism of  $M_{p(i,1)}$ . Note that when  $V_{p(i,1)} \subset \text{int} V_j$  for some  $j$ ,  $V_{p(i,1)}$  can be automatically twisted by  $f_j^{(n_j)}$ . So  $\varphi_\mu^N$  induces an isomorphism of  $H_1(T_{p(i,1)})$  carrying  $m_{p(i,1)}$  to  $\pm m_{p(i,1)}$  and  $l_{p(i,1)}$  to  $\pm(l_{p(i,1)} + (v'_i + \alpha_i)m_{p(i,1)})$ . Here  $\alpha_i$  depends on the twisting along the solid tori which contain  $V_{p(i,1)}$ . Applying Lemma 8 to  $\varphi_\mu^N|_{M_{p(i,1)}}$ , we get  $v'_i + \alpha_i = 0$ . If either there is no solid torus containing  $V_{p(i,1)}$  or any of the twisting along the solid tori containing  $V_{p(i,1)}$  is 0-twist, then we have  $\alpha_i = 0$ . So we get  $\alpha_i = v'_i = 0$  in order of the inclusion relation of the solid tori. Then we get  $v_i = 0$  for any  $i$ . This completes the proof of Lemma 10 and Theorem 3.  $\square$

PROOF OF COROLLARY 4. Let  $T_j$ ,  $V_j$  and  $f_j^{(n)}$  ( $1 \leq j \leq l$ ) be as above. Note that  $\partial V'_i$  is an essential torus in  $E$ , and each  $T_j$  represents an isotopy class of essential tori in  $E$ . If the class of  $\partial V'_i$  is represented by some  $T_j$ , change  $g_i^{(n_i)}$  for  $f_j^{(n_j)}$ . Otherwise, it is represented by an essential torus in a composing space (see [1]). Then by Lemma 9,

we can change  $g_i^{(n_i)}$  for some  $f_j^{(n_j)}$ . Hence the result holds by Theorem 3. □

**PROOF OF THEOREM 6.** As in the proof of Corollary 4, change  $V'_i$  for some  $V_{r_i}$  and  $g_i^{(n_i)}$  for  $f_{r_i}^{(n_i)}$ . By Lemma 9, we can assume that  $T_{r_i}$  does not bound a composing space for  $1 \leq i \leq k$ . New solid tori  $V_{r_i}$  ( $1 \leq i \leq k$ ) also satisfy (1) and (2). Since  $T_{r_i}$  ( $1 \leq i \leq k$ ) are ordered by the inclusion relation of  $V_{r_i}$ , their orbits are mutually different. So  $f_{r_1}^{(n_1)} \circ \dots \circ f_{r_k}^{(n_k)}(K) \cong K$  implies  $n_i = 0$  for  $1 \leq i \leq k$  by Lemma 10. This completes the proof. □

Theorem 6 gives a proof of Theorem 2 which is different from that in [6].

**§4. Relations to the periodicity.**

In this section, we observe the case when  $(n_1, \dots, n_r) \neq (0, \dots, 0)$ ,  $\sum_{i=1}^r n_i = 0$  and  $f_1^{(n_1)} \circ \dots \circ f_r^{(n_r)}(K) \cong K$ .

**REMARK 11.** We construct a new knot  $K'$  from a periodic knot  $K$  in the following. Theorem 3 without the condition  $\sum_{i=1}^r n_i \neq 0$  does not hold for  $K'$ .

Let  $K$  be a periodic knot in  $S^3$  with the period  $N \geq 2$ ,  $\varphi : S^3 \rightarrow S^3$  an orientation preserving homeomorphism with the period  $N$  which fixes  $K$ . Suppose  $\mathcal{T} = \{T_1, \dots, T_r\}$  is as in §3, and  $\varphi$  fixes  $\bigcup \mathcal{T}$ . As in Lemma 10, assume the orbits under  $\varphi$  are  $\{T_{p(i,1)}, \dots, T_{p(i,N_i)}\}$  where  $1 \leq i \leq r$ . We have  $N = LCM\{N_1, \dots, N_r\}$ . Suppose  $\sum_{j=1}^{N_i} n_{p(i,j)} = 0$  for  $1 \leq i \leq r$ . Note that this implies  $\sum_{i=1}^r n_i = 0$ . Define a knot  $K'$  by

$$K' = \{f_{p(1,2)}^{(\theta(1,1))} \circ f_{p(1,3)}^{(\theta(1,2))} \circ \dots \circ f_{p(1,N_1)}^{(\theta(1,N_1-1))} \} \\ \circ \dots \circ \{f_{p(r,2)}^{(\theta(r,1))} \circ f_{p(r,3)}^{(\theta(r,2))} \circ \dots \circ f_{p(r,N_r)}^{(\theta(r,N_r-1))} \}(K),$$

where  $\theta(i, j) = \sum_{k=1}^j n_{p(i,k)}$ . Denote  $\varphi \circ f_1^{(n_1)} \circ \dots \circ f_r^{(n_r)}$  by  $\varphi_\mu$  where  $\mu = (n_1, \dots, n_r)$ . Note that  $\theta(i, 1) = n_{p(i,1)}$ ,  $\theta(i, N_i) = 0$ , and  $f_{p(i,j+1)}^{(\theta(i,j+1))} \circ f_{p(i,j+1)}^{(-n_{p(i,j+1)})} = f_{p(i,j+1)}^{(\theta(i,j))}$  for any  $1 \leq i \leq r$  and  $1 \leq j \leq N_i - 1$ . Then we have

$$\begin{aligned} \varphi_\mu(K') &= \varphi_\mu \circ \{f_{p(1,2)}^{(\theta(1,1))} \circ \dots \circ f_{p(1,N_1)}^{(\theta(1,N_1-1))} \} \circ \dots \circ \{f_{p(r,2)}^{(\theta(r,1))} \circ \dots \circ f_{p(r,N_r)}^{(\theta(r,N_r-1))} \}(K) \\ &= \varphi_\mu \circ \{ (f_{p(1,1)}^{(\theta(1,1))} \circ f_{p(1,1)}^{(-n_{p(1,1)})}) \circ (f_{p(1,2)}^{(\theta(1,2))} \circ f_{p(1,2)}^{(-n_{p(1,2)})}) \circ \dots \circ (f_{p(1,N_1)}^{(\theta(1,N_1))} \circ f_{p(1,N_1)}^{(-n_{p(1,N_1)})}) \} \\ &\quad \circ \dots \circ \{ (f_{p(r,1)}^{(\theta(r,1))} \circ f_{p(r,1)}^{(-n_{p(r,1)})}) \circ \dots \circ (f_{p(r,N_r)}^{(\theta(r,N_r))} \circ f_{p(r,N_r)}^{(-n_{p(r,N_r)})}) \}(K) \\ &= \varphi_\mu \circ \{f_{p(1,1)}^{(\theta(1,1))} \circ \dots \circ f_{p(1,N_1-1)}^{(\theta(1,N_1-1))} \} \circ \dots \circ \{f_{p(r,1)}^{(\theta(r,1))} \circ \dots \circ f_{p(r,N_r-1)}^{(\theta(r,N_r-1))} \} \\ &\quad \circ \{f_{p(1,1)}^{(-n_{p(1,1)})} \circ \dots \circ f_{p(1,N_1)}^{(-n_{p(1,N_1)})} \} \circ \dots \circ \{f_{p(r,1)}^{(-n_{p(r,1)})} \circ \dots \circ f_{p(r,N_r)}^{(-n_{p(r,N_r)})} \}(K) \\ &= \varphi_\mu \circ \{f_{p(1,1)}^{(\theta(1,1))} \circ \dots \circ f_{p(1,N_1-1)}^{(\theta(1,N_1-1))} \} \circ \dots \circ \{f_{p(r,1)}^{(\theta(r,1))} \circ \dots \circ f_{p(r,N_r-1)}^{(\theta(r,N_r-1))} \} \\ &\quad \circ f_1^{(-n_1)} \circ f_2^{(-n_2)} \circ \dots \circ f_r^{(-n_r)}(K). \end{aligned}$$

Since  $\varphi_\mu \circ f_{p(i,j)}^{(n)} = f_{p(i,j+1)}^{(n)} \circ \varphi_\mu$  for  $1 \leq i \leq r$  and  $1 \leq j \leq N_i - 1$ , we get

$$\begin{aligned} \varphi_\mu(K') &= \{f_{p(1,2)}^{(\theta(1,1))} \circ \dots \circ f_{p(1,N_1)}^{(\theta(1,N_1-1))} \} \circ \dots \circ \{f_{p(r,2)}^{(\theta(r,1))} \circ \dots \circ f_{p(r,N_r)}^{(\theta(r,N_r-1))} \} \\ &\quad \circ \varphi_\mu \circ f_1^{(-n_1)} \circ f_2^{(-n_2)} \circ \dots \circ f_r^{(-n_r)}(K) \end{aligned}$$

$$\begin{aligned}
 &= \{f_{p(1,2)}^{(\theta(1,1))} \circ \dots \circ f_{p(1,N_1)}^{(\theta(1,N_1-1))}\} \circ \dots \circ \{f_{p(r,2)}^{(\theta(r,1))} \circ \dots \circ f_{p(r,N_r)}^{(\theta(r,N_r-1))}\} \circ \varphi(K) \\
 &= K'.
 \end{aligned}$$

So we have  $f_1^{(n_1)} \circ \dots \circ f_i^{(n_i)}(K') \cong K'$ .

When  $r=1$  and  $N_1=2$ , we show an example in Figure 1. The knot  $K'$  is constructed from a periodic knot  $K=f_2^{(-1)}(K')$ , and  $\varphi$  has a period 2.  $T_1$  and  $T_2$  are essential tori in the same orbit under  $\varphi_{(1,-1)}$ . In the sense of Remark 11, a knot type related to the periodicity is invariant under twisting.

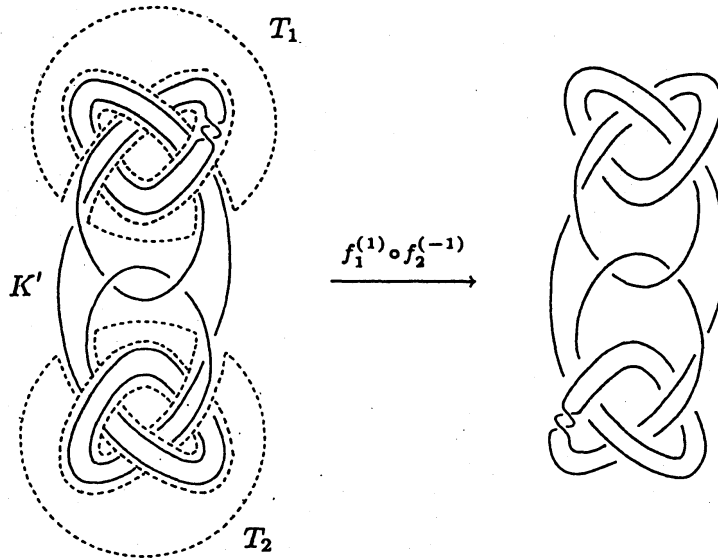


FIGURE 1

Assume the case  $(n_1, \dots, n_r) \neq (0, \dots, 0)$ ,  $\sum_{i=1}^r n_i = 0$  and  $f_1^{(n_1)} \circ \dots \circ f_r^{(n_r)}(K) \cong K$ . If the equivalence of these knots is given by an orientation preserving periodic homeomorphism  $\varphi : S^3 \rightarrow S^3$ , the above observation is formulated as Theorem 7.

**PROOF OF THEOREM 7.** Let  $\mathcal{T} = \{T_1, \dots, T_r\}$  and  $M_i$  be as in §3. We denote  $\varphi \circ f_1^{(n_1)} \circ \dots \circ f_r^{(n_r)}$  by  $\varphi_\mu$  where  $\mu = (n_1, \dots, n_r)$ . Modify  $\varphi$  so as to satisfy  $\varphi_\mu(\bigcup \mathcal{T}) = \bigcup \mathcal{T}$ . Let the orbits under  $\varphi_\mu$  be  $\{T_{p(i,1)}, T_{p(i,2)}, \dots, T_{p(i,N_i)}\}$  where  $1 \leq i \leq r$ . By Lemma 9, we can assume  $M_i$  with  $n_i \neq 0$  is not a composing space for any  $i$ . Then we have  $\sum_{j=1}^{N_i} n_{p(i,j)} = 0$  for  $1 \leq i \leq r$  by Lemma 10. Define the knot  $K'$  by

$$\begin{aligned}
 K' = & \{f_{p(1,2)}^{(-\theta(1,1))} \circ f_{p(1,3)}^{(-\theta(1,2))} \circ \dots \circ f_{p(1,N_1)}^{(-\theta(1,N_1-1))}\} \\
 & \circ \dots \circ \{f_{p(r,2)}^{(-\theta(r,1))} \circ f_{p(r,3)}^{(-\theta(r,2))} \circ \dots \circ f_{p(r,N_r)}^{(-\theta(r,N_r-1))}\}(K),
 \end{aligned}$$

where  $\theta(i, j) = \sum_{k=1}^j n_{p(i,k)}$ . Then we get

$$\varphi(K') = \varphi \circ \{f_{p(1,2)}^{(-\theta(1,1))} \circ \dots \circ f_{p(1,N_1)}^{(-\theta(1,N_1-1))}\} \circ \dots \circ \{f_{p(r,2)}^{(-\theta(r,1))} \circ \dots \circ f_{p(r,N_r)}^{(-\theta(r,N_r-1))}\}(K)$$

$$\begin{aligned}
&= \varphi_\mu \circ f_1^{(-n_1)} \circ f_2^{(-n_2)} \circ \dots \circ f_l^{(-n_l)} \circ \{f_{p(1,2)}^{(-\theta(1,1))} \circ \dots \circ f_{p(1,N_1)}^{(-\theta(1,N_1-1))}\} \\
&\quad \circ \dots \circ \{f_{p(r,2)}^{(-\theta(r,1))} \circ \dots \circ f_{p(r,N_r)}^{(-\theta(r,N_r-1))}\} (K) \\
&= \varphi_\mu \circ \{f_{p(1,1)}^{(-n_{p(1,1)})} \circ \dots \circ f_{p(1,N_1)}^{(-n_{p(1,N_1)})}\} \circ \dots \circ \{f_{p(r,1)}^{(-n_{p(r,1)})} \circ \dots \circ f_{p(r,N_r)}^{(-n_{p(r,N_r)})}\} \\
&\quad \circ \{f_{p(1,2)}^{(-\theta(1,1))} \circ \dots \circ f_{p(1,N_1)}^{(-\theta(1,N_1-1))}\} \circ \dots \circ \{f_{p(r,2)}^{(-\theta(r,1))} \circ \dots \circ f_{p(r,N_r)}^{(-\theta(r,N_r-1))}\} (K).
\end{aligned}$$

Since we have

$$\begin{aligned}
&\{f_{p(i,1)}^{(-n_{p(i,1)})} \circ \dots \circ f_{p(i,N_i)}^{(-n_{p(i,N_i)})}\} \circ \{f_{p(i,2)}^{(-\theta(i,1))} \circ \dots \circ f_{p(i,N_i)}^{(-\theta(i,N_i-1))}\} \\
&= f_{p(i,1)}^{(-n_{p(i,1)})} \circ (f_{p(i,2)}^{(-\theta(i,1))} \circ f_{p(i,2)}^{(-n_{p(i,2)})}) \circ \dots \circ (f_{p(i,N_i)}^{(-\theta(i,N_i-1))} \circ f_{p(i,N_i)}^{(-n_{p(i,N_i)})}) \\
&= f_{p(i,1)}^{(-\theta(i,1))} \circ \dots \circ f_{p(i,N_i-1)}^{(-\theta(i,N_i-1))},
\end{aligned}$$

then

$$\begin{aligned}
\varphi(K') &= \varphi_\mu \circ \{f_{p(1,1)}^{(-\theta(1,1))} \circ \dots \circ f_{p(1,N_1-1)}^{(-\theta(1,N_1-1))}\} \circ \dots \circ \{f_{p(r,1)}^{(-\theta(r,1))} \circ \dots \circ f_{p(r,N_r-1)}^{(-\theta(r,N_r-1))}\} (K) \\
&= \{f_{p(1,2)}^{(-\theta(1,1))} \circ \dots \circ f_{p(1,N_1)}^{(-\theta(1,N_1-1))}\} \circ \dots \circ \{f_{p(r,2)}^{(-\theta(r,1))} \circ \dots \circ f_{p(r,N_r)}^{(-\theta(r,N_r-1))}\} \circ \varphi_\mu(K) \\
&= K'.
\end{aligned}$$

By [7] and [9], the fixed point set of an orientation preserving periodic homeomorphism on  $S^3$  is either empty or a trivial knot. So according as the fixed point set of  $\varphi$  is empty or a trivial knot,  $K'$  has a free or semifree period. Therefore it has the required property.  $\square$

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