

## Determining the Levels of Some Special Complexity Classes of Sets in the Kleene Arithmetical Hierarchy

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**Abstract.** We shall determine their levels of some special classes of sets of strings such as  $\{X \subseteq \Sigma^* : P[X] \neq NP[X]\}$  in the Kleene arithmetical hierarchy on subclasses of  $\mathcal{P}(\Sigma^*)$ . We shall show that such several classes are proper  $\Pi_2^0$ , that is, they are  $\Pi_2^0$  but not  $\Sigma_2^0$ .

### Introduction.

We consider classification of some special classes of sets of strings such as  $\{X \subseteq \Sigma^* : P[X] \neq NP[X]\}$ . That is, we determine their levels in the Kleene arithmetical hierarchy on subclasses of  $\mathcal{P}(\Sigma^*)$ . At first glance, this class is  $\Sigma_3^0$ , but by using an  $NP[X]$ -complete set, it is seen that this class is  $\Pi_2^0$ . For the notions and notations used above, see the following sections.

The classes we shall treat with are the following, where  $X$  ranges over subsets of  $\Sigma^*$ :

- $E_0 = \{X : P[X] \neq NP[X]\}$ ,
- $E_1 = \{X : \text{co}NP[X] \neq NP[X]\}$ ,
- $E_2 = \{X : \text{DEXT}[X] \neq \text{NEXT}[X]\}$ ,
- $E_3 = \{X : \text{co}NEXT[X] \neq \text{NEXT}[X]\}$ ,
- $E_4 = \{X : P[X] \neq \text{PH}[X]\}$ ,
- $E_5 = \{X : NP[X] \neq \text{PH}[X]\}$ ,
- $E_6 = \{X : NP[X] \neq \text{PSPACE}[X]\}$ ,
- $E_7 = \{X : NP[X] \neq \text{EXPTIME}[X]\}$ ,
- $E_8 = \{X : \text{PH}[X] \neq \text{PSPACE}[X]\}$ , and
- $E_9 = \{X : \text{PSPACE}[X] \neq \text{EXPTIME}[X]\}$ .

Their inclusion relation is as follows:  $E_1 \subset E_0$  ([BGS 75]), here  $\subset$  means the proper inclusion.  $E_3 \subset E_2$  (it can be shown that there exists a recursive oracle  $A$  such that  $\text{DEXT}[A] \neq \text{NEXT}[A] = \text{co}NEXT[A]$ ). And  $E_2 \subset E_0$  ([BWM 82]). Since  $NP[X] \subseteq \text{PH}[X] \subseteq \text{PSPACE}[X] \subseteq \text{EXPTIME}[X]$ , we have  $E_5, E_8 \subseteq E_6 \subseteq E_7$ , and  $E_9 \subseteq E_7$ .

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Since  $P[X] = NP[X]$  (resp.  $coNP[X] = NP[X]$ ) implies  $P[X] = PH[X]$  (resp.  $NP[X] = PH[X]$ ), we have  $E_0 = E_4$  and  $E_1 = E_5$ . Clearly,  $E_5 \neq E_7$ . Also,  $E_6 \neq E_0$  ([BDG 90; p. 156]). All  $E_i$ 's are not empty. For example, for  $E_9 \neq \phi$ , see e.g., [Orp 83], though Orponen gives a stronger result. As seen below, they are all co-meager. Further, it is well-known that the complement  $\neg E_0$  is not empty ([BGS 75]), and also  $\neg E_7$  is not empty ([De 76], [He 84]). Therefore, all  $\neg E_i$ 's are not empty. These facts are needed below in this paper.

$$\begin{aligned} \text{SUMMARY:} \quad & E_1 \cup E_8 \subseteq E_6 \subseteq E_7 = E_1 \cup E_8 \cup E_9, \\ & E_1 = E_5, \quad E_6 \subset E_0 = E_4, \\ & E_3 \subset E_2 \subset E_0. \end{aligned}$$

The aim of this paper is to show that all classes  $E_i$ 's are  $\Pi_2^0$  but not  $\Sigma_2^0$ , in fact not even  $F_\sigma$ .

### §1. Preliminaries.

We use standard notations for structural theory of complexity and recursion theory (see, e.g., [BDG 88], [BDG 90], and [Ro 67]). Let  $\Sigma = \{0, 1\}$  be the alphabet, and  $\Sigma^*$  the set of all finite strings over  $\Sigma$  with empty string  $\lambda$ . The elements of  $\Sigma^*$  can be enumerated as follows:

$$\lambda, 0, 1, 00, 01, 10, 11, 000, 001, \dots$$

We denote the  $(n+1)$ st string in the enumeration by  $z_n$ . For  $X \subseteq \Sigma^*$ , sometimes  $X$  is identified with the characteristic function  $X(n) = 1$  if  $z_n \in X$ , and  $= 0$  otherwise.  $w, x, y$ , and  $z$  denote strings. Let  $N$  be the set of all natural numbers.  $i, j, k, m$ , and  $n$  denote members of  $N$ . Let  $\mathcal{P}(\Sigma^*)$  be the class of all subsets of  $\Sigma^*$ .  $X$  and  $Y$  denote members of  $\mathcal{P}(\Sigma^*)$ , and with some exceptions we call *classes* subsets of  $\mathcal{P}(\Sigma^*)$ . As usual, we regard it as the Cantor space. That is, let  $w$  be the string  $w(0)w(1)\cdots w(n-1)$ , where each  $w(i)$  is 0 or 1. Then, the basic open sets are  $\{U_w : w \in \Sigma^*\}$ , where  $U_w = \{X : X(i) = w(i) \text{ for } i=0, 1, \dots, n-1\}$ .

Let  $E$  be a class, that is  $E \subseteq \mathcal{P}(\Sigma^*)$ .  $E$  is  $\Pi_2^0$  if it can be expressed in the form

$$X \in E \Leftrightarrow \forall y \exists z R(X, y, z),$$

where  $R(X, y, z)$  is a recursive relation ([Ro 67; §15], though Rogers uses the notation  $\Pi_2^{(s)}$  instead of  $\Pi_2^0$ ). Similarly for  $\Pi_k^0$  ( $k > 0$ ). And  $E$  is  $\Sigma_2^0$  when it is of the dual form:

$$X \in E \Leftrightarrow \exists y \forall z R(X, y, z).$$

Similarly for  $\Sigma_k^0$  ( $k > 0$ ).  $E$  is  $F_\sigma$  if it is a countable union of closed sets, and  $E$  is  $G_\delta$  if its complement  $\neg E$  ( $= (\Sigma^*) - E$ ) is  $F_\sigma$ . Here we temporarily use the word 'sets' for subsets of  $\mathcal{P}(\Sigma^*)$  according to the traditional usage. Clearly, each  $\Sigma_2^0$  set is  $F_\sigma$  and each  $\Pi_2^0$

set is  $G_\delta$ , but not vice versa.

$E$  is dense if it intersects every basic open set.  $E$  is nowhere dense if every basic open set contains a basic open set which is disjoint with  $E$ .  $E$  is meager if it is a countable union of nowhere dense sets.  $E$  is co-meager if  $\neg E$  is meager. By the Baire Category Theorem, in  $\mathcal{P}(\Sigma^*)$  every co-meager set is not meager.

The special complexity classes such as  $P[X]$ ,  $NP[X]$ , etc. occurred in the definitions of our  $E_i$ 's will be explained in §3. For further information about these classes, see, e.g., the textbooks: [BDG 88] and [BDG 90].

Prior to our results, similar results (but different from ours) appeared in [Ha 77] and [Gr 80]. For example, Grant showed that  $\{i \in N : \phi_i \text{ is total and } P[\phi_i] \neq NP[\phi_i]\}$  is  $\Pi_2^0$ -complete, where  $\{\phi_i : i \in N\}$  is a standard enumeration of the partial recursive functions, and  $\Pi_2^0$  is one of the second levels in the Kleene arithmetical hierarchy on subsets of  $N$  (see [Ro 67; §14]; though Rogers uses  $\Pi_2$  instead of  $\Pi_2^0$ ).

## §2. The main theorem.

Let  $C[\sim]$  be a class of oracle-dependent sets.  $C[\sim]$  is *recursively presentable* if there is an enumeration of oracle Turing machines  $\{M_0^\sim, M_1^\sim, \dots, M_k^\sim, \dots\}$  such that for every oracle  $X$

$$(1) \quad C[X] = \{L(M_k^X) : k \in N\},$$

where  $L(M)$  denotes the set of all strings accepted by the machine  $M$ , and (2) the relation " $M_k^X$  accepts  $y$ " is recursive with respect to  $k$ ,  $y$ , and oracle  $X$ . (We call (2) the *recursive condition* for the enumeration  $\{M_k^\sim : k \in N\}$ .)

This is the relativized version of recursive presentability in [Sch 82].

An oracle-dependent set  $H(X)$  is  $C[X]$ -complete with respect to  $p$ - $m$ -reduction [resp. linear reduction] if  $H(X) \in C[X]$  and for each  $L \in C[X]$  there is a function  $f : \Sigma^* \rightarrow \Sigma^*$  (independent of  $X$ ) computable in polynomial time [resp. in linear time] of the length of the input such that for every  $y$

$$y \in L \Leftrightarrow f(y) \in H(X).$$

Since  $H(X)$  is in  $C[X]$ , the relation " $y \in H(X)$ " is recursive with respect to  $y$  and  $X$ . For  $C[X]$ , let  $\text{co}C[X] = \{L : \neg L \in C[X]\}$ , where  $\neg L = \Sigma^* - L$ .  $C[X]$  is *polynomially closed* [resp. *linearly closed*] if  $f^{-1}(L) \in C[X]$  for every  $L \in C[X]$  and for every  $f$  computable in polynomial time [resp. in linear time].

Let  $X \cong Y$  mean that the symmetric difference  $X \Delta Y$  is finite.  $E$  is *closed under finite variation* if  $X \in E \Leftrightarrow Y \in E$  whenever  $X \cong Y$ . Then, clearly we have

LEMMA 2.1. *If  $E$  is closed under finite variation, then so is  $\neg E$ . And further, if  $E$  is not empty, then it is dense.*  $\square$

THEOREM 1. *Let  $B[\sim]$  and  $C[\sim]$  be recursively presentable classes, and let*

$E = \{X : B[X] \neq C[X]\}$ . Suppose that the following conditions are satisfied:

- (a) (a1)  $B[X] \subseteq C[X]$  for all  $X$ , or (a2)  $B[X] = \text{co}C[X]$  for all  $X$ ,
- (b) there exists a  $C[X]$ -complete set  $H(X)$  with respect to either (b1)  $p$ - $m$ -reduction or (b2) linear reduction,
- (c) (c1)  $B[X]$  is polynomially closed, or (c2) it is linearly closed,
- (d)  $E$  is neither meager nor the whole space  $\mathcal{P}(\Sigma^*)$ , and finally
- (e)  $E$  is closed under finite variation.

Then,  $E$  is proper  $\Pi_2^0$ ; in fact, it is not  $F_\sigma$ . Here we combine (b1) with (c1), and (b2) with (c2).

LEMMA 2.2. Let  $E$  be  $F_\sigma$  and assume that it is not meager. Then,  $E$  intersects every dense  $D$ :  $E \cap D \neq \emptyset$ .

PROOF. Since  $E$  is  $F_\sigma$ , it can be expressed as follows:

$$E = \bigcup_{k=0}^{\infty} A_k,$$

where each  $A_k$  is closed. Since  $E$  is not meager, there is a  $k$  such that  $A_k$  is not nowhere dense. So, the closure of  $A_k$  ( $= A_k$  itself) contains a basic open set. Hence, the  $A_k$  intersects every dense set, a fortiori so does  $E$ .  $\square$

PROOF OF THEOREM 1. We consider the case (a1), (b1), and (c1). Then we have

$$(3) \quad X \notin E \Leftrightarrow H(X) \in B[X].$$

For, suppose  $H(X) \in B[X]$ , and let  $L \in C[X]$  be arbitrary. Then, there is a polynomial time computable function  $f$  such that for any  $y$

$$y \in L \Leftrightarrow f(y) \in H(X).$$

Since  $B[X]$  is polynomially closed, we have  $L \in B[X]$ . So,  $C[X] \subseteq B[X]$ , and hence  $B[X] = C[X]$ . Therefore,  $X \notin E$ . The forward direction of (3) is clear. Now, by (3), we have

$$X \in E \Leftrightarrow \neg \exists k \forall y [y \in H(X) \leftrightarrow M_k^X \text{ accepts } y],$$

where  $M_k^X$ 's are the oracle Turing machines associated with  $B[\sim]$  in the definition of its recursive presentability. This shows  $E$  is  $\Pi_2^0$ . Similarly, if (a2) holds instead of (a1), then again we have (3), since  $C[X] \subseteq \text{co}C[X]$  implies  $\text{co}C[X] = C[X]$ . Hence,  $E$  is  $\Pi_2^0$  also.

Now, suppose that  $E$  is  $F_\sigma$ . Since  $\neg E$  is nonempty and closed under finite variation, it is dense, by Lemma 2.1. Since  $E$  is not meager, by Lemma 2.2, we have  $E \cap \neg E \neq \emptyset$ . This is a contradiction. Consequently,  $E$  can not be  $F_\sigma$ . Similarly for the case that (b2) and (c2) hold.  $\square$

§3. Determining the levels of  $E_i$ 's.

Now, using Theorem 1, we shall show that all  $E_i$ 's are proper  $\Pi_2^0$  classes.

Let  $P_k^\sim$  [resp.  $NP_k^\sim$ ] be the  $k$ -th deterministic [resp. nondeterministic] polynomial time bounded oracle Turing machine such that the enumeration  $\{P_k^\sim : k \in N\}$  [resp.  $\{NP_k^\sim : k \in N\}$ ] satisfies the recursive condition. Let  $E_k^\sim$  [resp.  $NE_k^\sim$ ] be the  $k$ -th deterministic [resp. nondeterministic]  $2^{\text{lin}}$  time bounded oracle Turing machine such that the enumeration satisfies the recursive condition, where  $2^{\text{lin}}$  means  $2^{cn}$  for some constant numbers  $c$ . Let  $EP_k^\sim$  be the  $k$ -th deterministic  $2^{\text{poly}}$  time bounded oracle Turing machine such that the enumeration satisfies the recursive condition, where  $2^{\text{poly}}$  means  $2^{p(n)}$  for some polynomials  $p(n)$ . Let  $PS_k^\sim$  be the  $k$ -th polynomial space bounded oracle Turing machine such that the enumeration satisfies the recursive condition. We borrow  $H_n^\sim$  from Schöning's paper [Sch 82; p. 99] in the relativized form. This enumeration also satisfies the recursive condition. Then we have

$$\begin{aligned} \mathbf{P}[X] &= \{L(P_k^X) : k \in N\}, \\ \mathbf{NP}[X] &= \{L(NP_k^X) : k \in N\}, \\ \mathbf{DEXT}[X] &= \{L(E_k^X) : k \in N\}, \\ \mathbf{NEXT}[X] &= \{L(NE_k^X) : k \in N\}, \\ \mathbf{PH}[X] &= \{L(H_k^X) : k \in N\}, \\ \mathbf{PSPACE}[X] &= \{L(PS_k^X) : k \in N\}, \text{ and} \\ \mathbf{EXPTIME}[X] &= \{L(EP_k^X) : k \in N\}. \end{aligned}$$

The classes  $\mathbf{P}[X]$ ,  $\mathbf{NP}[X]$ , etc. (including  $\mathbf{coNP}[X]$  and  $\mathbf{coNEXT}[X]$ ) occurred in the definitions of  $E_i$ 's are all recursively presentable ([Sch 82] for non-relativized forms).

Let  $K(X)$ ,  $KE(X)$ ,  $KS(X)$ , and  $JE(X)$  be as follows:

$$\begin{aligned} K(X) &= \{0^k 1 x 10^n : \text{Some computation of } NP_k^X \text{ accepts } x \text{ in } \leq n \text{ steps}\}, \\ KE(X) &= \{0^k 1 x 10^n : \text{Some computation of } NE_k^X \text{ accepts } x \text{ in } \leq 2^n \text{ steps}\}, \\ KS(X) &= \{0^k 1 x 10^n : PS_k^X \text{ accepts } x \text{ in } \leq n \text{ spaces}\}, \text{ and} \\ JE(X) &= \{0^k 1 x 10^n : EP_k^X \text{ accepts } x \text{ in } \leq 2^n \text{ steps}\}. \end{aligned}$$

Then,  $K(X)$ ,  $KS(X)$ , and  $JE(X)$  are  $\mathbf{NP}[X]$ -complete,  $\mathbf{PSPACE}[X]$ -complete, and  $\mathbf{EXPTIME}[X]$ -complete with respect to  $p$ - $m$ -reduction, respectively.  $KE(X)$  is  $\mathbf{NEXT}[X]$ -complete with respect to linear reduction.

All the complexity classes occurred in the definitions of  $E_i$ 's are either polynomially closed or linearly closed, and they all are closed under finite variation.

Now, we use Poizat's result [Po 86]. So, we state an outline of parts of his paper with some slight modification.

We consider arithmetical predicates (i.e.,  $\Sigma_k^0$  or  $\Pi_k^0$  predicates for some  $k$ ) of the form  $\phi(X)(u)$ , where  $X$  ranges over  $\mathcal{P}(\Sigma^*)$  and  $u$  over  $\Sigma^*$ , as before.  $\phi(X)(u)$  is *finitely testable* if there exists a number-theoretic function  $\alpha : N \rightarrow N$  such that for any string  $u$  and any set  $X$

$$\forall n \geq \alpha(|u|) [\phi(X)(u) \leftrightarrow \phi(X \upharpoonright n)(u)],$$

where  $X|n$  is the initial  $n$ -segment of  $X$ .

Let  $C(X)$  be a set of arithmetical predicates of the form  $\phi(X)(u)$ . For  $\phi(X)(u)$ , let

$$\phi[X] = \{u \in \Sigma^* : \phi(X)(u) \text{ holds}\},$$

and let

$$C[X] = \{\phi[X] : \phi(X)(u) \in C(X)\}.$$

Poizat considers the following 4 hypotheses:

Hypothesis 1. Each predicate in  $C(X)$  is finitely testable.

Hypothesis 2. If  $X \equiv Y$ , then  $C[X] = C[Y]$ .

Hypothesis 3. For any  $A \in C[X]$ , if  $B \equiv A$  then  $B \in C[X]$ .

Hypothesis 4. There is a mapping  $\#: \mathcal{P}(\Sigma^*) \rightarrow \mathcal{P}(\Sigma^*)$  such that (a)  $C[X] = C[\#X]$ , and (b) for any  $A \in C[X]$  there exists a predicate  $\psi$  in  $C(X)$  such that  $A = \psi[\#X]$  and it has the following property: if  $Y \equiv \#Z$ , then  $\psi[Y] \equiv \psi[\#Z]$ . (In [Po 86], Poizat imposes a stronger condition: if  $Y \equiv Z$  then  $\psi[Y] \equiv \psi[Z]$ . However, it may be hard to show that any given concrete class satisfies this condition. This modification does not affect the following Theorem.)

Then

**POIZAT'S THEOREM.** *Let  $C(X)$  and  $D(X)$  be two sets of arithmetical predicates of the form  $\phi(X)(u)$  which satisfy the Hypotheses 1~4 with the same mapping  $\#: X \mapsto \#X$ . Let  $C[X]$  and  $D[X]$  be the corresponding classes of sets, as before. Suppose that there exists an oracle  $A$  such that  $C[A] \neq D[A]$ . Then, the set  $\{X : C[X] \neq D[X]\}$  is co-meager.*

In order to apply our Theorem 1 we must show that all  $E_i$ 's are not meager. For this purpose it suffices to show that all  $E_i$ 's are co-meager. Bennett-Gill [BG 81] noted that  $E_0$  and  $E_1$  are co-meager, and Babai [Ba 87] noted that  $E_8$  is co-meager by applying the Poizat theorem. However, since the Hypothesis 4 needs a slight correction, here we show, as an example, that  $E_9$  is co-meager. As stated before, the class  $E_9$  is not empty, that is, there is an oracle  $A$  such that  $\text{PSPACE}[A] \neq \text{EXPTIME}[A]$ . So, for our purpose it suffices to show that both  $\text{PSPACE}(X)$  and  $\text{EXPTIME}(X)$  satisfy the Hypotheses 1~4 with the same mapping  $\#$ .

We do this for  $\text{EXPTIME}(X)$  only. Proofs for other sets are similar.

Let  $\phi_i(X)(u) \Leftrightarrow EP_i^X$  accepts  $u$ . Then

$\text{EXPTIME}(X) = \{\phi_i(X)(u) : i \in N\}$ , and

$\text{EXPTIME}[X] = \{\phi_i[X] : i \in N\} = \{L(EP_i^X) : i \in N\}$ .

Now,

Hypothesis 1. For  $\phi_i(X)(u)$ , we can take  $\alpha(n) = 2^{\beta(n)+1} - 1$ , as the  $\alpha$  in the definition of finite testability, where  $\beta(n) = 2^{p_i(n)}$  is the time bound function for the machine  $EP_i^X$ . Because the maximal number of strings of length  $n$  in the enumeration of the members of  $\Sigma^*$  is  $2^{n+1} - 2$ .

Hypothesis 2. Suppose  $X \equiv Y$ , and let  $A \in \text{EXPTIME}[X]$ . So, for some  $i$ ,  $u \in A$

iff  $\phi_i(X)(u)$ . Since  $X \equiv Y$ , there exists a *linear* time bounded oracle Turing machine  $M^{\sim}$  such that  $X = L(M^{\sim})$ . Then we can readily find an index  $k$  such that  $EP_i^{M^{\sim}} = EP_k^Y$ . Here this equality means that two machines accept the same language. So,  $A \in \text{EXPTIME}[Y]$ , and hence  $\text{EXPTIME}[X] \subseteq \text{EXPTIME}[Y]$ . Similarly for the reverse inclusion.

Hypothesis 3. Let  $A \in \text{EXPTIME}[X]$ , and suppose  $B \equiv A$ . So, there is an index  $i$  and a natural number  $m$  such that

$$u \in A \text{ iff } \phi_i(X)(u) \text{ iff } EP_i^X \text{ accepts } u, \text{ and } \forall n \geq m [B(n) = A(n)].$$

Then we shall find a  $2^{\text{poly}}$  time bounded oracle Turing machine  $T^{\sim}$  such that

$$(4) \quad u \in B \Leftrightarrow T^X \text{ accepts } u.$$

Here we use the notation ‘ ’ defined by ‘ $z_n$ ’ =  $n$ . First of all, for inputs  $u$  such that ‘ $u$ ’  $< m$ , we define a segment of the machine  $T^{\sim}$  by a finite table so that for every input  $u$  with ‘ $u$ ’  $< m$  the segment satisfies the condition (4). On any input  $u$  with ‘ $u$ ’  $\geq m$ ,  $T^X$  simulates  $EP_i^X$  so that  $T^X(u) = EP_i^X(u)$  holds. Then, (4) holds for each of these  $u$ . Thus we have  $B \in \text{EXPTIME}[X]$ .

Hypothesis 4. Let  $\#X = \pi(\Sigma^*, X)$ . Here  $\pi$  is a pairing function:  $\Sigma^* \times \Sigma^* \rightarrow \Sigma^*$  which is one-to-one onto and polynomially computable. Further, for given  $y$  we can compute the unique  $u$  and  $x$  in time  $O(|y|)$  that  $y = \pi(u, x)$ .

(a)  $\text{EXPTIME}[X] = \text{EXPTIME}[\#X]$ . Proof. Let  $X$  be given, and suppose  $A \in \text{EXPTIME}[X]$ . So, there is an index  $i$  such that  $u \in A \Leftrightarrow EP_i^X$  accepts  $u$ . Then, we must find a  $2^{\text{poly}}$  time bounded oracle Turing machine  $T^{\sim}$  such that

$$(5) \quad u \in A \Leftrightarrow T^{\#X} \text{ accepts } u.$$

For any set  $Y$ , let  $\rho(Y) = \{x \in \Sigma^* : \exists u, y \in \Sigma^* [y \in Y \wedge y = \pi(u, x)]\}$ . Then  $\rho(Y) = X$  if  $Y = \#X$ . Now, given input  $u$ ,  $T^Y$  begins to simulate the computation of  $EP_i^{\sim}$  on  $u$ . Suppose that  $EP_i^{\sim}$  enters the query state. Let  $w$  be the queried string. Then  $T^Y$  writes  $\pi(u, w)$  on its oracle tape (this can be done in time  $O(2^{p(|u|)})$ ), and queries whether  $\pi(u, w) \in Y$ . If the answer is yes, then  $w \in \rho(Y)$  and so  $T^Y$  simulates the yes-branch of the computation of  $EP_i^{\sim}$ . Otherwise, it simulates the no-branch. After the whole simulation ends,  $T^Y$  gives the same output (= an accepting or rejecting state) as this simulation for  $EP_i^{\sim}$ . This is a *quasi-simulation* for  $EP_i^{\rho(Y)}$  on  $u$  (it may not be an exact one, for there can be a case that  $\pi(u, w) \notin Y$  but for other  $v$   $\pi(v, w) \in Y$  and  $w \in \rho(Y)$ ). If  $Y$  is of the form  $\#Z$ , then certainly the output of  $T^Y$  is the same as that of  $EP_i^Z$ , since  $\pi(u, w) \in Y$  iff  $w \in Z$ . So we have (5). The  $T^{\sim}$  is a  $2^{\text{poly}}$  time bounded oracle Turing machine. Hence we have  $A \in \text{EXPTIME}[\#X]$ . Conversely, let  $A \in \text{EXPTIME}[\#X]$ . Then for some  $k$ ,  $u \in A$  iff  $\phi_k(\#X)(u)$ . We define a  $2^{\text{poly}}$  time bounded oracle Turing machine  $M^{\sim}$  as follows: Given input  $u$   $M^{\sim}$  simulates the computation of  $EP_k^{\sim}$  on  $u$ . Suppose  $EP_k^{\sim}$  enters the query state. Let  $y$  be the queried string.  $M^{\sim}$  calculates  $w$  such that  $\pi(u, w) = y$ . Recall that  $w$  is uniquely determined and can be computed in linear time of  $|y| + |u|$ . And it

queries whether  $w \in X$ . After it enters yes- or no-state, it resumes simulating. Finally, it outputs the same value as  $EP_k^{\sim}$ . This  $M^{\sim}$  is a  $2^{\text{poly}}$  time bounded oracle Turing machine and for any  $u \in A$  iff  $M^X$  accepts  $u$ . Hence  $A \in \text{EXPTIME}[X]$ .

(b) For each  $A \in \text{EXPTIME}[X]$ , there is a predicate  $\psi(X)(u)$  in  $\text{EXPTIME}[X]$  such that (b1)  $A = \psi[\#X]$  and (b2) if  $Y \equiv \#Z$  then  $\psi[Y] \equiv \psi[\#Z]$ . Proof. (b1) Let  $A \in \text{EXPTIME}[X]$ . Then, there is an index  $i$  such that  $u \in A$  iff  $\phi_i^X(u)$ . We take the machine  $T^{\sim}$  obtained in the proof of (a). As was shown above,  $u \in A$  iff  $T^{*X}$  accepts  $u$ . Let  $\psi(X)(u)$  be the predicate " $T^X$  accepts  $u$ ". Then  $\psi(X)(u)$  is in  $\text{EXPTIME}(X)$ , and we have  $A = \psi[\#X]$ . (b2) Suppose  $Y \equiv \#Z$ . Then, there is a number  $m$  (depending on  $Y$  and  $Z$ ) such that

$$\forall u, w (|u| \geq m \text{ or } |w| \geq m) \Rightarrow [\pi(u, w) \in Y \text{ iff } \pi(u, w) \in \#Z \text{ iff } w \in Z].$$

So, both computations of  $T^Y$  and  $T^{*Z}$  on  $u$  are identical with that of  $EP_i^Z$  on  $u$  for any  $u$  with  $|u| \geq m$ . Hence,  $\psi[Y] \equiv \psi[\#Z]$ .

Thus, we have shown that  $\text{EXPTIME}(X)$  satisfies the four Hypotheses.

Consequently, it is seen that all  $E_i$ 's are co-meager and hence they are not meager. Hence, all the  $E_i$ 's satisfy the conditions (a) ~ (e) for  $E$  in Theorem 1. Therefore we have

**THEOREM 2.** *All the classes  $E_i$ 's are  $\Pi_2^0$  but not  $\Sigma_2^0$ , in fact not even  $F_\sigma$ .*

#### §4. Conclusion.

We have determined the levels of the classes  $E_i$ 's in the Kleene Arithmetical Hierarchy on subclasses of  $\mathcal{P}(\Sigma^*)$ . That is, they are proper  $\Pi_2^0$  classes. However, there are other similar classes whose exact levels we do not know. For example, we want to know the exact level of the class  $\text{SEP} = \{X : \text{P}[X] \neq \text{BPP}[X]\}$ . (For the definition of  $\text{BPP}[X]$ , see [BDG 88] and [BDG 90].) By directly evaluating  $\text{SEP}$  based on the definition of  $\text{BPP}[X]$ , we can see that  $\text{SEP}$  is a  $\Sigma_3^0$  class. However we do not know whether it is  $\Pi_2^0$ , not even whether it is  $\Pi_3^0$ .

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