

The Connectivities of Leaf Graphs of Sets of Points in the Plane

Atsushi KANEKO and Kiyoshi YOSHIMOTO

Kogakuin University and Nihon University

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Abstract. Let U be a finite set of points in general position in the plane. We consider the following graph \mathcal{G} determined by U . A vertex of \mathcal{G} is a spanning tree of U whose edges are straight line segments and do not cross. Two such trees \mathbf{t} and \mathbf{t}' are adjacent if for some vertex $u \in U$, $\mathbf{t} - u$ is connected and coincides with $\mathbf{t}' - u$. We show that \mathcal{G} is 2-connected, which is the best possible result.

1. Introduction.

Let G be a connected graph and \mathcal{V}_G the set of all the spanning trees of G . We define an adjacency relation on \mathcal{V}_G so that two spanning trees \mathbf{t}_1 and $\mathbf{t}_2 \in \mathcal{V}_G$ are adjacent if and only if there exist edges $e_i \in E(\mathbf{t}_i)$ such that

$$\mathbf{t}_1 - e_1 = \mathbf{t}_2 - e_2. \quad (1)$$

The graph thus obtained is called a *tree graph*. The lower bound of the connectivities of a tree graph was shown by Liu.

THEOREM 1 (Liu [8]). *The tree graph of a connected graph $G = (V, E)$ is $2(|E| - |V| + 1)$ -connected.*

We can consider two subgraphs of a tree graph as follows. If an edge is incident to endvertices in a spanning tree \mathbf{t} , then we call it an *outer edge*. An edge is not outer is called *inner*. In the equation (1), the edge e_1 is an outer edge in \mathbf{t}_1 if and only if e_2 is also outer in \mathbf{t}_2 . A *leaf graph* is defined on \mathcal{V}_G as follows; \mathbf{t}_1 and $\mathbf{t}_2 \in \mathcal{V}_G$ are said to be adjacent if there exist outer edges $e_i \in E(\mathbf{t}_i)$ which satisfy the equation (1). The authors showed the following theorem.

THEOREM 2 (Kaneko and Yoshimoto [7]). *Let G be a 2-connected graph of minimum degree δ . Then the leaf graph of G is $(2\delta - 2)$ -connected.*

We can define adjacency relation of a leaf graph as follows; \mathbf{t}_1 and \mathbf{t}_2 are adjacent if there exists a vertex $u \in V(G)$ such that $\mathbf{t}_1 - u$ is connected and coincides with $\mathbf{t}_2 - u$. On the other hand, a *trunk graph* is defined on the set \mathcal{V}_G^* of all the spanning trees except stars as follows; \mathbf{t}_1 and $\mathbf{t}_2 \in \mathcal{V}_G^*$ are said to be adjacent if there exist inner edges $e_i \in E(\mathbf{t}_i)$ which satisfy the

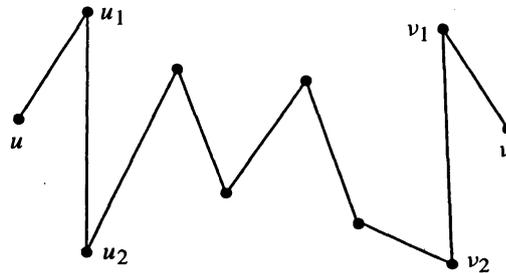


FIGURE 1.

equation (1). Yoshimoto [9] showed that if G is a 2-connected graph with at least five vertices and if G is k -edge connected, then the trunk graph of G is $(k - 1)$ -connected.

In this paper, we consider a geometric version of a leaf graph. Let U be a set of n points in the plane which is in general position, i.e., no three points in U are collinear. A graph on U whose edges are straight line segments joining two vertices in U and do not cross is called a *non-crossing graph* on U . Let \mathcal{V}_U be the set of all the non-crossing spanning trees on U . Ikebe et al. [6] showed that any rooted tree with n vertices can be embedded as a non-crossing spanning tree on a given set U , the root being mapped to an arbitrary specified point of U .

A *geometric tree graph* on U is defined on the set \mathcal{V}_U as follows; t_1 and $t_2 \in \mathcal{V}_U$ are said to be adjacent if there exist edges $e_i \in E(t_i)$ which satisfy the equation (1). Avis and Fukuda [1] showed that the geometric tree graph on U is connected. In [4], Hernando et al. showed hamiltonicity and connectivity of a geometric tree graph on U whose points are in convex position. A *geometric leaf graph* on U is defined by \mathcal{V}_U as follows; t_1 and $t_2 \in \mathcal{V}_U$ are said to be adjacent if there exists $u \in U$ such that $t_1 - u$ is connected and coincides with $t_2 - u$. We shall prove the following theorem in this paper.

THEOREM 3. *Let U be the set of points in the plane in general position. Then the geometric leaf graph on U is 2-connected.*

Let t be the non-crossing spanning tree in Figure 1. Let $t' = (t - uu_1) \cup uu_2$ and $t'' = (t - vv_1) \cup vv_2$. Then since $t' - u = t - u$ and this graph is connected, the non-crossing spanning tree t' is adjacent to t in the geometric leaf graph on U . Similarly, t'' is adjacent to t . Because any other non-crossing spanning tree on U is not adjacent to t , the degree of t in the geometric leaf graph is two. Thus the lower bound of the theorem is the best possible.

Finally, we introduce concepts and notations used in the subsequent arguments. Let G be a non-crossing graph on U and $u \in U$. Let \tilde{G} be a maximal non-crossing graph (i.e. any edge except edges in $E(\tilde{G})$ intersects this graph) on $U \setminus u$ which includes $G - u$ as a subgraph. The vertex u is included in some triangulate region or the infinite region of \tilde{G} . In either case, u can be adjacent to at least two vertices in \tilde{G} . Since \tilde{G} includes $G - u$, it holds for $G - u$. We denote by $S_G(u)$ the set of all the vertices which can be adjacent to u in $G - u$. It is a plain fact that if $S_G(u)$ includes only two vertices, then these are adjacent in G . We call the edge the *shield* of the vertex u . Since a non-crossing spanning tree t does not include a cycle, there

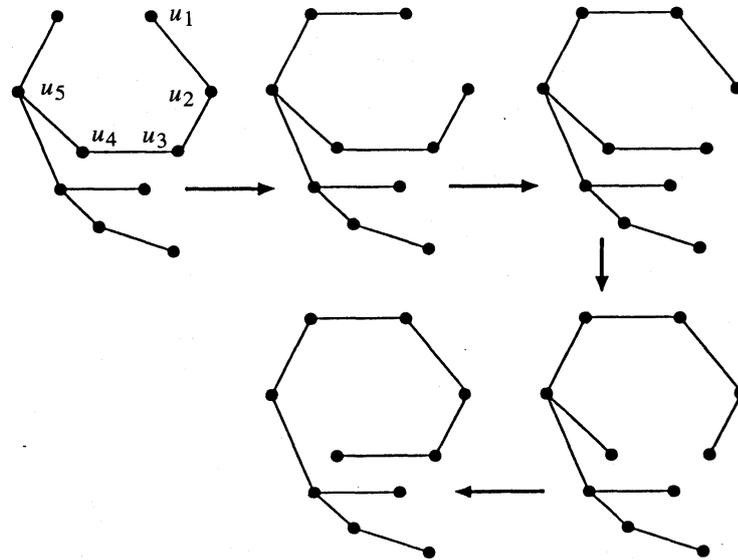


FIGURE 2.

exists exactly one path between any vertices u and $v \in U$, denoted by $P_t(u, v)$. A *simple path* $P = (u_1, u_2, \dots, u_l)$ is a path in a non-crossing spanning tree t such that u_1 is an endpoint of t and the degree of any vertex u_i is two in t for $2 \leq i < l$. Let $x \in S_t(u_1) \setminus \{u_2, u_3, \dots, u_{l-1}\}$. Then there exists a natural path from t to $t' = (t - u_{l-1}u_l) \cup u_1x$. See Figure 2.

In fact, let $r_1 = (t - u_1u_2) \cup u_1x$ and

$$r_i = (r_{i-1} - u_iu_{i+1}) \cup u_iu_{i-1}$$

for any $i \leq l$. Then r_i is a non-crossing spanning tree and r_i is adjacent to r_{i-1} in the geometric leaf graph for any $i \leq l$. If $i \neq j$, then $r_i \neq r_j$. Thus

$$(t, r_1, r_2, \dots, r_{l-1} = t')$$

is a path between t and t' in the leaf graph. We call the path a *short-cut passage* determined by the edge u_1x and the simple path P .

2. The proof of Theorem 3.

In the following, we call a geometric leaf graph simply a leaf graph. At first, we shall show that the leaf graph \mathcal{G} of U is connected. Let t_1 and t_n be any non-crossing spanning trees on U . We find out a path between the graphs by an induction on the number of vertices in U .

Suppose that there exists $u \in U$ such that u is an endpoint of t_1 and t_n . Then there is a path

$$(t_1 - u = s_1, s_2, \dots, s_n = t_n - u)$$

in the leaf graph of $U \setminus u$ by the hypothesis. Let us assume that $s_{i+1} = (s_i - v_1 v'_i) \cup v_i v''_i$ for any i .

Since the interior of the edge $v_i v''_i$ does not intersect s_i , we have that $s_i \cup v_i v''_i$ is non-crossing. Thus there exists a vertex $u_i \in S_{s_i \cup v_i v''_i}(u)$ which is not v_i . Then the graph $s_i \cup v_i v''_i \cup uu_i$ is non-crossing. Let $r_i = s_i \cup uu_i$ and $t_{i+1} = s_{i+1} \cup uu_i$. These are non-crossing because r_i and t_{i+1} are subgraphs of $s_i \cup v_i v''_i \cup uu_i$. Furthermore r_i is adjacent to t_i and t_{i+1} since $t_i - u = s_i = r_i - u$ and $r_i - v_i = t_{i+1} - v_i$. Especially we denote the non-crossing spanning tree $s_n \cup uu_{n-1}$ by t'_n . Then we have found out the path

$$(t_1, r_1, t_2, r_2, \dots, t_{n-1}, r_{n-1}, t'_n, t_n).$$

Assume that t_1 and t_n does not have a common endpoint. Let u and v be endpoints of t_1 and t_n respectively. Let s be a non-crossing spanning tree on $U \setminus \{u, v\}$. Let $u' \in S_s(u)$ and $s' = s \cup uu'$. Since s' is non-crossing, there exists a vertex $v' \in S_{s'}(v)$ which is not u . The non-crossing spanning tree $s'' = s' \cup vv'$ on U has u and v as endpoints. Since t_1 and s'' include the common endpoint u , there exists a path between the non-crossing spanning trees by the previous argument. Similarly there is a path from s'' to t_n , showing the connectivity of the leaf graph \mathcal{G} .

Next, we shall show the 2-connectivity of the leaf graph by a contradiction. Suppose that t is a cut vertex of \mathcal{G} , with C_1 and C_2 the connected components of $\mathcal{G} - t$. Let $t_i \in C_i$ be adjacent to t in such a way that $t_1 = (t - uu_1) \cup uu_2$ and $t_2 = (t - vv_1) \cup vv_2$. If $u = v$, then t_1 is adjacent to t_2 . Therefore we have $u \neq v$. Let us find out a path joining t_1 and t_2 which is internally disjoint from $\mathcal{P} = (t_1, t, t_2)$. (i.e., does not pass through t .) Notice that the interiors of the edges uu_i and vv_i do not intersect $t - \{u, v\}$. Furthermore the interior of the edge uu_1 does not intersect vv_1 and vv_2 and the interior of the edge uu_2 does not intersect vv_1 .

We divide the arguments into three cases.

Case 1. $u_2 \neq v$ and $v_2 \neq u$

Suppose that the interior of the edge uu_2 does not intersect vv_2 . Then, since the interior of uu_2 does not intersect uu_1 , $t_1 \cup uu_1 \cup vv_2$ is non-crossing. Thus $s = (t_1 - vv_1) \cup vv_2 \subset t_1 \cup uu_1 \cup vv_2$ is non-crossing and is adjacent to t_1 . Since v_2 is not u , the vertex u is an endpoint in s . Therefore s is adjacent to t_2 in the leaf graph. Because $s \neq t$, the path $\mathcal{Q} = (t_1, s, t_2)$ is internally disjoint from \mathcal{P} .

Assume that uu_2 intersects vv_2 . Let $r = (t_1 - uu_2) \cup vv_2$. If there exists a vertex $x \in S_r(u)$ which is not v and u_1 , then there is a path

$$\mathcal{Q} = (t_1, s, s', t_2),$$

where $s = (t_1 - uu_2) \cup ux$ and $s' = (s - vv_1) \cup vv_2$. Because s and s' include the edge ux , the path does not pass through t . If $S_r(u) = \{v, u_1\}$, then vv_1 is a shield of u in r . Because v is adjacent to exactly two vertices in r , the vertex u_1 is v_1 or v_2 . If $u_1 = v_1$, then the interior of the shield $vv_1 = vu_1$ intersect uu_2 . A contradiction. Thus the vertex u_1 is v_2 .

Let $r' = t_1 \cup uu_1$. If $S_{r'}(v) \setminus \{u, v_1\} \neq \emptyset$, then there exists a path between t_1 and t_2 which is internally disjoint from \mathcal{P} as before. If such a vertex does not exist, then uv_1 is a shield of

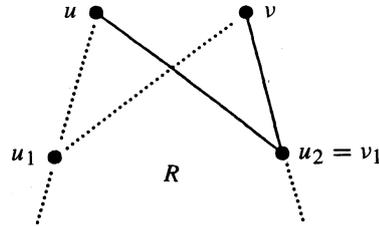


FIGURE 3.

v in r' . Then vertex u is adjacent to exactly u_1 and u_2 in r' . If $v_1 = u_1$, then the interior of the shield $uv_1 = uu_1$ intersects vv_2 . Thus we have $v_1 = u_2$. Because the edge vv_2 is a shield of u in r and the edge uu_2 is a shield of v in r' , any points in $U \setminus \{u, v, u_1 = v_2, u_2 = v_1\}$ are contained in the region R in Figure 3.

If there is not an endpoint except u and v and $u_1 = v_2$ in t_1 , then $s_1 = (t_1 - uu_2) \cup uv$ is a Hamiltonian path. Therefore there exists a short-cut passage determined by uu_1 and $P_{s_1}(v, v_2 = u_1)$, denoted by (s_1, s_2, \dots, s_l) . The non-crossing spanning tree s_l is $(s_1 - vv_1) \cup uu_1 = (t_2 - vv_2) \cup vu$. Because v is an endpoint of s_l , the non-crossing spanning tree is adjacent to t_2 . Thus we obtained a path

$$Q = (t_1, s_1, s_2, \dots, s_l, t_2)$$

which does not pass through t .

Suppose that there is an endpoint w other than u and v and $u_1 = v_2$ in t_1 . Since $u_2 = v_1$ is not an endpoint in t_1 , U includes at least five points. Two different vertices do not have a common shield if the number of vertices in a graph is greater than four. Thus $uu_2 = uv_1$ is not a shield of w . Furthermore because $w \in R$, $S_{t_1}(w)$ contains at least two vertices which are not u and v . Assume that $ww_1 \in E(t_1)$ and let $w_2 \in S_{t_1}(w) \setminus \{u, v, w_1\}$. Then the interior of the edge $ww_2 \subset R$ does not intersect uu_i and vv_i . Therefore, after transferring the edge ww_1 to ww_2 , we move the edges uu_2 and vv_1 to the desired place. It is clear that the transformations induces a path from t_1 to t_2 which does not pass through t .

Case 2. $u_2 = v$ and $v_2 \neq u$

The interior of the edge uu_2 does not intersect vv_2 in the present case. Thus $r = t_1 \cup uu_1 \cup vv_2$ is non-crossing. If $S_r(u) \setminus \{v = u_2, u_1\} \neq \emptyset$, then there exists a path from t_1 to t_2 which does not pass through t as before. If such a vertex does not exist, then the edge vu_1 is a shield of u in r . Thus we have that the vertex u_1 is v_1 or v_2 .

If there is not an endpoint in r , then t_1 is a Hamiltonian path. See Figure 4. Thus it is easy to find out a path between t_1 and t_2 which is internally disjoint from \mathcal{P} .

Therefore we suppose that there exists an endpoint w in r . If $S_r(w) \setminus \{v, v_i \neq u_1\} \neq \emptyset$, then we can find out a path between t_1 and t_2 as follows. Assume that $ww_1 \in E(t_1)$ and let $w_2 \in S_r(w)$ be neither v nor w_1 and let $s = (t_1 - ww_1) \cup ww_2$. Since $w \notin S_r(u)$, the vertex w_2 is not u . Therefore u is also an endpoint of s . Thus the non-crossing spanning tree $s' = (s - uu_2) \cup uu_1$ is adjacent to s . Furthermore since u_1 is not $v = u_2$, the non-crossing

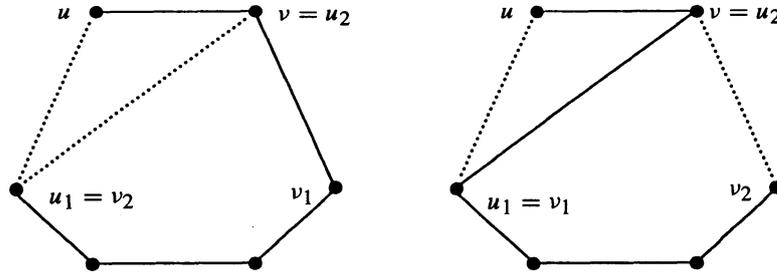


FIGURE 4.

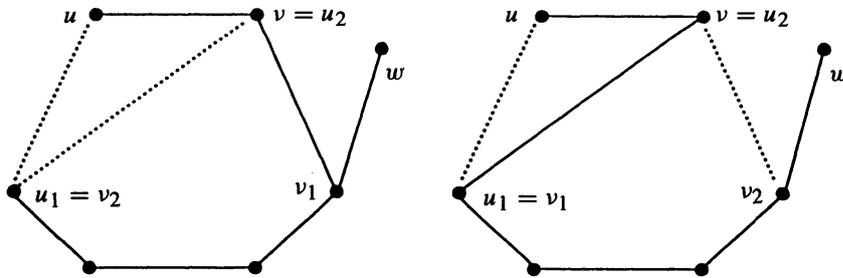


FIGURE 5.

spanning tree s' is adjacent to $s'' = (s' - vv_1) \cup vv_2$. Then $s'' = (t_2 - ww_1) \cup ww_2$. Thus there is a path

$$\mathcal{Q} = (t_1, s, s', s'', t_2)$$

which does not pass through t .

Let $v_i \in \{v_1, v_2\}$ be not u_1 . If $S_r(w) = \{v, v_i\}$, then vv_i is a shield of w . It is clear that r contains at least five vertices. Thus the only endpoint in r is w because no two vertices admit a common shield. Since v is not adjacent to w , we have $ww_i \in E(t_1)$. Let $s = (t_1 - ww_i) \cup ww$. Then $\mathcal{P}_s(v, v_2)$ is a simple path. See Figure 5. Thus there exists a short-cut passage determined by the edge vv_2 and the simple path. The short-cut passage is a path from s to $s' = (s - vv_1) \cup vv_2$. Since u is also an endpoint of s' , it is adjacent to t_2 . Now we get a path between t_1 and t_2 which does not pass through t .

Case 3. $u_2 = v$ and $v_2 = u$

If there is not an endpoint in $r = t_1 \cup uu_1$, then the non-crossing spanning tree t_1 is a Hamiltonian path. Therefore there exists a short-cut passage determined by the edge uu_1 and the path $P_{t_1}(v, u_1)$. The short-cut passage is a path from t_1 to $t_2 = (t_1 - vv_1) \cup uu_1$ which is internally disjoint from \mathcal{P} .

Suppose that there exists an endpoint w in r such that $S_r(w)$ contains at least three vertices. Assume that $ww_1 \in E(r)$ and let $w_2 \in S_r(w)$ be neither w_1 nor u . Then the non-crossing spanning tree $s_1 = (t_1 - ww_1) \cup ww_2$ is adjacent to t_1 . Since u is also an endpoint, we transfer the edge uu_2 to uu_1 to obtain $s_2 = (s_1 - uu_2) \cup uu_1$. Let $w_3 \in S_r(w)$ be neither

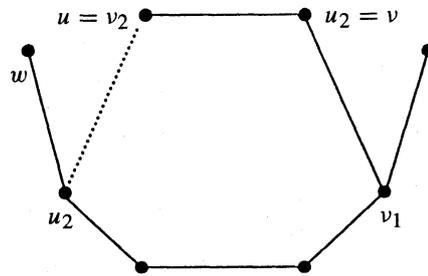


FIGURE 6.

w_1 nor v and let $s_3 = (s_2 - ww_2) \cup ww_3$. Because it is adjacent to $s_4 = (s_3 - vv_1) \cup vv_2 = (t_2 - ww_1) \cup ww_3$, we have found out a path

$$\mathcal{Q} = (t_1, s_1, s_2, s_3, s_4, t_2)$$

which does not pass through t .

Assume that any endpoint of r can be adjacent to exactly two vertices. If there exists an endpoint in r whose shield is not incident to u and v , then a desired path between t_1 and t_2 is easy to find out.

Thus we suppose that such an endpoint does not exist in r . Notice that there is not an endpoint with shield uv because u and v are not adjacent to an endpoint in r . Therefore the endpoints in r whose shield is incident to u or v are at most two. See Figure 6. We transfer the edge $ww_1 \in E(t_1)$ to wu or wv for any endpoint w in r . Then the path between u_1 and v in the non-crossing spanning tree is simple. Thus there exists a short-cut passage determined by the edge uu_1 and this simple path. At the endpoint of the short-cut passage, we transfer the edge wu or wv back to the original place. Then we get the non-crossing spanning tree t_2 . Therefore we have found out the desired path.

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Present Addresses:

ATSUSHI KANEKO
DEPARTMENT OF COMPUTER SCIENCE AND COMMUNICATION ENGINEERING,
KOGAKUIN UNIVERSITY,
NISHI-SHINJUKU, SHINJUKU-KU, TOKYO, 163-8677 JAPAN.
e-mail: kaneko@ee.kogakuin.ac.jp

KIYOSHI YOSHIMOTO
DEPARTMENT OF MATHEMATICS, COLLEGE OF SCIENCE AND TECHNOLOGY,
NIHON UNIVERSITY,
KANDA-SURUGADAI, CHIYODA-KU, TOKYO, 101-8308 JAPAN.
e-mail: yoshimoto@math.cst.nihon-u.ac.jp