

## The Gauss-Bonnet and Chern-Lashof Theorems in a Simply Connected Symmetric Space of Compact Type

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**Abstract.** In this paper, we prove the theorems of the Gauss-Bonnet and Chern-Lashof types for low dimensional compact submanifolds in a simply connected symmetric space of compact type. In particular, in the case where the ambient space is a sphere, we need not to give the restriction for the dimension of the submanifold. Those proofs are performed by applying the Morse theory to squared distance functions.

### 1. Introduction

For an  $n$ -dimensional compact immersed submanifold  $M$  in the  $m$ -dimensional Euclidean space  $\mathbf{R}^m$  ( $m > n$ ), it is well-known that the following Gauss-Bonnet and Chern-Lashof theorems hold:

$$(1.1) \quad \frac{(-1)^n}{\text{Vol}(S^{m-1}(1))} \int_{\xi \in U^\perp M} \det A_\xi \omega_{U^\perp M} = \chi(M),$$

$$(1.2) \quad \frac{1}{\text{Vol}(S^{m-1}(1))} \int_{\xi \in U^\perp M} |\det A_\xi| \omega_{U^\perp M} \geq \sum_{k=0}^n b_k(M, \mathbf{F})$$

(see [1], [2], [3]), where  $\text{Vol}(S^{m-1}(1))$  is the volume of the  $(m-1)$ -dimensional unit sphere,  $A$  is the shape tensor of  $M$ ,  $\omega_{U^\perp M}$  is the standard volume element on the unit normal bundle  $U^\perp M$  of  $M$ ,  $\chi(M)$  is the Euler characteristic of  $M$  and  $b_k(M, \mathbf{F})$  is the  $k$ -th Betti number of  $M$  with respect to an arbitrary coefficient field  $\mathbf{F}$ . These relations are proved by applying the Morse theory to height functions  $h_v$  ( $v \in \mathbf{R}^m$ ). The topology of a submanifold in a general complete and simply connected Riemannian manifold should be determined by both the extrinsic curvature  $A$  of the submanifold and the curvature  $R$  of the ambient space. So we [5] proposed the following problem:

PROBLEM. Find functions  $F_{A,R}^i$  ( $i = 1, 2$ ) on  $U^\perp M$  determined by both  $A$  and  $R$  such that

$$\int_{\xi \in U^\perp M} F_{A,R}^1(\xi) \omega_{U^\perp M} = \chi(M)$$

and

$$\int_{\xi \in U^\perp M} F_{A,R}^2(\xi) \omega_{U^\perp M} \geq \sum_{k=0}^n b_k(M, \mathbf{F})$$

hold for each  $n$ -dimensional compact immersed submanifold  $M$  in an arbitrary complete and simply connected Riemannian manifold  $N$ .

It is conjectured that the functions  $F_{A,R}^i$  ( $i = 1, 2$ ) are rather complex. Hence we will obtain the equality and the inequality for practical use in some special cases. By applying the Morse theory to squared distance functions, we [5] proved the theorems of Gauss-Bonnet and Chern-Lashof types for a compact immersed submanifold in a simply connected symmetric space of non-positive curvature. As conjectured, the functions corresponding to  $F_{A,R}^i$  ( $i = 1, 2$ ) were rather complex. In this paper, we prove the theorems of such types for a low dimensional compact immersed submanifold  $M$  in a simply connected symmetric space  $N = G/K$  of compact type. We prepare to state those theorems. Define the functions  $\mathfrak{K}_1$  and  $\mathfrak{K}_2$  on  $U^\perp M$  as follows:

$$(1.3) \quad \mathfrak{K}_1(\xi) := \frac{1}{\text{Vol}(N)} \int_0^{r_\xi} \det \left( \text{pr}_T \circ \frac{\sqrt{R_\xi}}{\tan(s\sqrt{R_\xi})} - A_\xi \right) \det \frac{\sin(s\sqrt{R_\xi})}{\sqrt{R_\xi}} ds,$$

$$(1.4) \quad \mathfrak{K}_2(\xi) := \frac{1}{\text{Vol}(N)} \int_0^{r_\xi} \left| \det \left( \text{pr}_T \circ \frac{\sqrt{R_\xi}}{\tan(s\sqrt{R_\xi})} - A_\xi \right) \right| \det \frac{\sin(s\sqrt{R_\xi})}{\sqrt{R_\xi}} ds$$

( $\xi \in U^\perp M$ ), where  $A$  is the shape tensor of  $M$ ,  $\sqrt{R_\xi}$  is the positive operator with  $(\sqrt{R_\xi})^2 = R(\cdot, \xi)\xi$  ( $R$  : the curvature tensor of  $N$ ),  $r_\xi$  is the first conjugate radius of direction  $\xi$ ,  $\text{Vol}(N)$  is the volume of  $N$  and  $\text{pr}_T$  is the orthogonal projection of  $TN|_M$  onto  $TM$ .

REMARK 1.1. (i) In case of  $N = S^m(c)$  (the  $m$ -dimensional sphere of constant curvature  $c$ ), we have

$$(1.5) \quad \mathfrak{K}_1(\xi) := \frac{1}{\text{Vol}(S^m(1))} \int_0^\pi \det(\sqrt{c} \cot s \cdot \text{id} - A_\xi) \sin^{m-1} s ds,$$

$$(1.6) \quad \mathfrak{K}_2(\xi) := \frac{1}{\text{Vol}(S^m(1))} \int_0^\pi |\det(\sqrt{c} \cot s \cdot \text{id} - A_\xi)| \sin^{m-1} s ds,$$

where  $\text{id}$  is the identity transformation of  $TM$ . Substituting  $c = 0$  into (1.5) (resp. (1.6)) formally and using  $\int_0^\pi \sin^{m-1} s ds = \frac{\text{Vol}(S^m(1))}{\text{Vol}(S^{m-1}(1))}$ , we have  $\mathfrak{K}_1(\xi) = \frac{(-1)^n}{\text{Vol}(S^{m-1}(1))} \det A_\xi$  (resp.  $\mathfrak{K}_2(\xi) = \frac{1}{\text{Vol}(S^{m-1}(1))} |\det A_\xi|$ ).

(ii) The first conjugate radius  $r_\xi$  is explicitly described as  $r_\xi = \frac{\pi}{|\alpha_0(g_*^{-1}\xi)|}$  (see Lemma 2.1), where  $g$  is a representative element of the base point of  $\xi$  and  $\alpha_0$  is the highest root in the positive root system with respect to a maximal abelian subspace (equipped with some lexicographical ordering) containing  $g_*^{-1}\xi$ .

We prove the following theorems of Gauss-Bonnet and Chern-Lashof types for compact submanifolds in a simply connected symmetric space of compact type.

**THEOREM A.** *Let  $M$  be an  $n$ -dimensional compact immersed submanifold in a simply connected symmetric space  $N$  of compact type. If  $C_M := \bigcup_{x \in M} C_x$  ( $C_x$  : the cut locus of  $x$  in  $N$ ) is of measure zero, then we have*

$$(1.7) \quad \int_{\xi \in U^\perp M} \mathfrak{K}_1(\xi) \omega_{U^\perp M} = \chi(M),$$

$$(1.8) \quad \int_{\xi \in U^\perp M} \mathfrak{K}_2(\xi) \omega_{U^\perp M} \geq \sum_{k=0}^n b_k(M, \mathbf{F}).$$

*In particular, if  $M$  is taut in the sense of this paper (see §3), then the equality sign holds in the inequality (1.8).*

**REMARK 1.2.** Let  $m_N$  be the maximal dimension of the cut locus  $C_x$  in  $N$ . If  $\dim M \leq \dim N - m_N - 1$ , then  $C_M$  is of measure zero. See Table 1 about  $m_N$  and  $\dim N - m_N - 1$  for irreducible simply connected symmetric space  $N$ 's of compact type, where we note that  $\dim N - m_N - 1$  is equal to the multiplicity of the highest root in the root system associated with  $N$  (see Lemma 2.1). For the product  $N := N_1 \times \cdots \times N_l$  of

TABLE 1.

$N$	$m_N$	$\dim N - m_N - 1$
$S^m(c)$	0	$m - 1$
$Sp(m)/Sp(l) \times Sp(m-l)$ ( $1 \leq l \leq [\frac{m}{2}]$ )	$4l(m-l) - 4$	3
$\mathbf{O}P^2$	8	7
$SU(2m)/Sp(m)$	$2m^2 - m - 6$	4
$E_6/F_4$	17	8
G	—	2
other	—	1

(G: an irreducible simply connected compact Lie group)

irreducible simply connected symmetric space  $N_i$ 's ( $i = 1, \dots, l$ ) of compact type, we have  $\dim N - m_N - 1 = \min_{1 \leq i \leq l} (\dim N_i - m_{N_i} - 1)$ .

In the case where the ambient space is the  $m$ -dimensional sphere  $S^m(c)$  of constant curvature  $c$ , we obtain the following result.

**THEOREM B.** (i) *Let  $M$  be a  $2n$ -dimensional compact immersed submanifold in  $S^m(c)$ , where  $1 \leq n \leq m - 1$ . Then we have*

$$(1.9) \quad \frac{1}{v_m} \sum_{i=0}^n \left( \sum_{k=0}^{n-i} (-1)^k \binom{2n}{2i} \binom{n-i}{k} \frac{v_{m-2n+2i+2k}}{v_{m-2n+2i+2k-1}} \right) \times c^{n-i} \int_{\xi \in U^\perp M} H_{2i}(\xi) \omega_{U^\perp M} = \chi(M),$$

where  $H_{2i}(\xi)$  is the  $2i$ -th mean curvature of direction  $\xi$  of  $M$  and  $v_i := \text{Vol}(S^i(1))$  ( $i \geq 1$ ) and  $v_0 = 2$ .

(ii) *Let  $M$  be an  $n$ -dimensional compact immersed submanifold in  $S^m(c)$ . Then we have*

$$(1.10) \quad \frac{1}{v_m} \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} a_i c^i \int_{\xi \in U^\perp M} |H_{n-2i}(\xi)| \omega_{U^\perp M} + \frac{2}{v_m} \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} b_i \sqrt{c}^{2i+1} \int_{\xi \in U^\perp M} |H_{n-2i-1}(\xi)| \omega_{U^\perp M} \geq \sum_{k=0}^n b_k(M, \mathbf{F}),$$

where  $H_{n-2i}(\xi)$  and  $H_{n-2i-1}(\xi)$  are as in (i),  $a_i = \sum_{k=0}^i (-1)^k \binom{n}{2i} \binom{i}{k} \times \frac{v_{m-2i+2k}}{v_{m-2i+2k-1}}$  and

$$b_i = \sum_{k=0}^i (-1)^k \binom{n}{2i+1} \binom{i}{k} \frac{1}{v_{m-2i+2k-1}}.$$

**REMARK 1.3.** The relation (1.9) for  $c = 1$  coincides with the relation (1.7) of [4] obtained by T. Ishihara because  $v_i = \frac{(i+1)\pi^{\frac{i+1}{2}}}{\Gamma(\frac{i+3}{2})}$  ( $i \geq 1$ ), where  $\Gamma$  is the Gamma function. The proof of T. Ishihara is entirely different from the proof in this paper.

In particular, when  $\dim M = 2$ , we obtain the following relations.

**COROLLARY C.** *Let  $M$  be a 2-dimensional compact immersed submanifold in  $S^m(c)$ . Then we have*

$$(1.11) \quad \frac{1}{v_{m-1}} \int_{\xi \in U^\perp M} K(\xi) \omega_{U^\perp M} + \left( \frac{v_{m-2}}{v_m} - \frac{v_{m-3}}{v_{m-1}} \right) c \text{Vol}(M) = 2 - 2g,$$

$$(1.12) \quad \frac{1}{v_{m-1}} \int_{\xi \in U^\perp M} |K(\xi)| \omega_{U^\perp M} + \frac{4\sqrt{c}}{(m-1)v_m} \int_{\xi \in U^\perp M} |H(\xi)| \omega_{U^\perp M} + \left( \frac{v_{m-2}}{v_m} - \frac{v_{m-3}}{v_{m-1}} \right) c \text{Vol}(M) \geq 2 + 2g,$$

where  $K(\xi)$  (resp.  $H(\xi)$ ) is the Gaussian curvature (resp. the mean curvature) of direction  $\xi$  of  $M$  and  $g$  is the genus of  $M$ .

Also, we have the following inequality for closed curves in  $S^m(c)$ .

**COROLLARY D.** *Let  $\gamma : [0, l] \rightarrow S^m(c)$  be a closed curve in  $S^m(c)$  ( $m \geq 2$ ) parametrized by the arclength  $s$  and  $\kappa : [0, l] \rightarrow \mathbf{R}_+ \cup \{0\}$  be the curvature of  $\gamma$ . Then we have*

$$\frac{v_{m-3}}{(m-2)v_{m-1}} \int_0^l \kappa ds + \frac{\sqrt{c}v_{m-2}l}{(m-1)v_m} \geq 1 \quad (m \geq 3)$$

and

$$\frac{1}{v_{m-1}} \int_0^l \kappa ds + \frac{\sqrt{c}v_{m-2}l}{(m-1)v_m} \geq 1 \quad (m = 2).$$

**REMARK 1.4.** These inequalities are different from the inequality of Proposition 1 in [6] proved by E. Teufel.

Also, we have the following inequality for closed curves in a simply connected rank one symmetric space  $\mathbf{F}P^m(c)$  of compact type, where  $\mathbf{F}$  implies the complex number field  $\mathbf{C}$ , the quaternion algebra  $\mathbf{Q}$  or the Cayley algebra  $\mathbf{O}$ ,  $m = 2$  when  $\mathbf{F} = \mathbf{O}$  and  $c$  is the maximal sectional curvature of the space.

**COROLLARY E.** *Let  $\gamma : [0, l] \rightarrow \mathbf{F}P^m(c)$  be a closed curve in  $\mathbf{F}P^m(c)$  parametrized by the arclength  $s$  and  $\kappa : [0, l] \rightarrow \mathbf{R}_+ \cup \{0\}$  be the curvature of  $\gamma$ . Then we have*

$$\frac{4\alpha_{qm-1,q-1}v_{qm-1}v_{qm-3}}{v_{qm-2}} \int_0^l \kappa ds + \sqrt{c}v_{q-2}v_{qm-q-1}(a\beta_{m,q} + b\alpha_{qm-2,q})l \geq \frac{1}{2^{qm-2}} \text{Vol}(\mathbf{F}P^m(c))\sqrt{c}^{qm+1},$$

where  $q = 2$  (when  $\mathbf{F} = \mathbf{C}$ ),  $4$  (when  $\mathbf{F} = \mathbf{Q}$ ) or  $8$  (when  $\mathbf{F} = \mathbf{O}$ ),  $\alpha_{i,j} = \int_0^{\frac{\pi}{2}} t \sin^i t \cos^j t dt$ ,

$$\beta_{m,q} = \int_0^{\frac{\pi}{2}} t |\cos 2t| \sin^{qm-2} t \cos^{q-2} t dt, \quad a = \sum_{k=0}^{\frac{q}{2}} (-1)^k \binom{\frac{q}{2}}{k} \frac{v_{qm-q+2k}}{v_{qm-q+2k-1}}, \quad b =$$

$$\sum_{k=0}^{q-2} (-1)^k \binom{\frac{q-2}{2}}{k} \frac{v_{qm-q+2k+2}}{v_{qm-q+2k+1}} \text{ and } v_i \text{ is as in Theorem B.}$$

**2. Basic notions and facts**

In this section, we recall the basic notions and facts. Let  $N = G/K$  be a simply connected symmetric space of compact type. Let  $w \in U_p N$ , where  $U_p N$  is the unit tangent sphere of  $N$  at  $p$ . Denote by  $\gamma_w$  the (non-extendable) geodesic in  $N$  with  $\dot{\gamma}_w(0) = w$  and denote by  $\exp$  the exponential map of  $N$ . If there exists a non-zero Jacobi field  $J$  along  $\gamma_w$  with  $J(0) = 0$  and  $J(s_0) = 0$  ( $s_0 > 0$ ), then we call  $s_0$  a *conjugate radius of direction  $w$*  and call  $\exp(s_0 w)$  ( $= \gamma_w(s_0)$ ) a *conjugate point of direction  $w$* . Also, we call the minimum of conjugate radii of direction  $w$  the *first conjugate radius of direction  $w$*  and denote it by  $r_w$ . We call  $\exp(r_w w)$  the *first conjugate point of direction  $w$* . Set  $\tilde{C}_p := \{r_w w \mid w \in U_p N\}$  and  $C_p := \exp(\tilde{C}_p)$ . This set  $C_p$  is called the *first conjugate locus of  $p$* , which coincides with the cut locus of  $p$  because  $N$  is a simply connected symmetric space of compact type. For  $w \in TN$  with  $\|w\| < r_w$ , we set

$$D_w^{co} := \cos \sqrt{R_w}, \quad D_w^{si} := \frac{\sin \sqrt{R_w}}{\sqrt{R_w}}, \quad D_w^{ct} := \frac{\sqrt{R_w}}{\tan \sqrt{R_w}}$$

for simplicity, where  $\sqrt{R_w}$  is the positive operator with  $\sqrt{R_w}^2 = R(\cdot, w)w$  ( $R$  : the curvature tensor of  $N$ ). Note that  $D_0^{si} = D_0^{ct} = \text{id}$ . A Jacobi field  $J$  along a geodesic  $\gamma$  in  $N$  is described as

$$(2.1) \quad J(s) = P_{\gamma|_{[0,s]}}(D_{s\dot{\gamma}(0)}^{co} J(0) + s D_{s\dot{\gamma}(0)}^{si} J'(0)),$$

where  $P_{\gamma|_{[0,s]}}$  is the parallel translation along  $\gamma|_{[0,s]}$  with respect to the Levi-Civita connection  $\tilde{\nabla}$  of  $N$ ,  $\dot{\gamma}(0)$  is the velocity vector of  $\gamma$  at 0 and  $J'(0) = \tilde{\nabla}_{\dot{\gamma}(0)} J$ . Let  $\mathfrak{g}$  (resp.  $\mathfrak{k}$ ) be the Lie algebra of  $G$  (resp.  $K$ ) and  $\mathfrak{g} = \mathfrak{f} + \mathfrak{p}$  be the Cartan decomposition. The subspace  $\mathfrak{p}$  is identified with the tangent space  $T_{eK} N$  of  $N$  at  $eK$ , where  $e$  is the identity element of  $G$ . Denote by  $\text{ad}$  the adjoint representation of  $\mathfrak{g}$ . Take a maximal abelian subspace  $\mathfrak{h}$  of  $\mathfrak{p}$ . For each  $\alpha \in \mathfrak{h}^*$  (the dual space of  $\mathfrak{h}$ ), we set  $\mathfrak{p}_\alpha := \{X \in \mathfrak{p} \mid \text{ad}(a)^2(X) = -\alpha(a)^2 X \text{ for all } a \in \mathfrak{h}\}$ . If  $\mathfrak{p}_\alpha \neq \{0\}$ , then the linear function  $\alpha$  is called a *root for  $\mathfrak{h}$* . Let  $\Delta_+$  be the positive root system with respect to some lexicographical ordering of  $\mathfrak{h}$ . Then we have  $\mathfrak{p} = \mathfrak{h} + \sum_{\alpha \in \Delta_+} \mathfrak{p}_\alpha$ . Note that  $D_w^{co} = g_* \circ \cosh(\text{ad}(g_*^{-1}w)) \circ g_*^{-1}$ ,  $D_w^{si} = g_* \circ \frac{\sinh(\text{ad}(g_*^{-1}w))}{\text{ad}(g_*^{-1}w)} \circ g_*^{-1}$  and  $D_w^{ct} = g_* \circ \frac{\text{ad}(g_*^{-1}w)}{\tanh(\text{ad}(g_*^{-1}w))} \circ g_*^{-1}$  ( $w \in T_{gK} N$ ) because of  $\sqrt{R_w}^2 = -g_* \circ \text{ad}(g_*^{-1}w)^2 \circ g_*^{-1}$ . From (2.1), we can show the following fact for the first conjugate radius.

LEMMA 2.1. *Take  $w \in U_{gK} N$ ,  $\Delta_+$  be the positive root system with respect to a maximal abelian subspace  $\mathfrak{h}$  (equipped with some lexicographical ordering) containing  $g_*^{-1}w$  and  $\alpha_0$  be the highest root in  $\Delta_+$ . Then we have  $r_w = \frac{\pi}{|\alpha_0(g_*^{-1}w)|}$  and  $\text{rank}(\exp|_{T_{gK} N})_{*r_w w} = \dim N - \sum_{\alpha \in \Delta_w} \text{dimp}_\alpha$ , where  $\Delta_w := \{\alpha \in \Delta_+ \mid |\alpha(g_*^{-1}w)| = \frac{\pi}{r_w}\}$ . In particular, if  $w$  is a point of a Weyl chamber, then we have  $\text{rank}(\exp|_{T_{gK} N})_{*r_w w} = \dim N - \text{dimp}_{\alpha_0}$ .*

PROOF. Let  $s_0$  be a conjugate radius of direction  $w$ . Then there exists a non-trivial Jacobi field  $J$  along  $\gamma_w$  with  $J(0) = 0$  and  $J(s_0) = 0$ . From (2.1), we have  $s_0 D_{s_0 w}^{si} J'(0) = 0$ . On the other hand, we have  $s_0 D_{s_0 w}^{si} J'(0) = s_0 J'(0)_\mathfrak{h} + \sum_{\alpha \in \Delta_+} \frac{\sin(s_0 \alpha(g_*^{-1} w))}{\alpha(g_*^{-1} w)} J'(0)_\alpha = 0$ , where  $J'(0)_\mathfrak{h}$  (resp.  $J'(0)_\alpha$ ) is the  $g_* \mathfrak{h}$ -component (resp.  $g_* \mathfrak{p}_\alpha$ -component of  $J'(0)$ ). Hence we see that  $s_0 \alpha_0(g_*^{-1} w) \equiv 0 \pmod{\pi}$  and  $J'(0)_{\alpha_0} \neq 0$  for some  $\alpha_0 \in \Delta_+$  because  $J'(0)_\alpha$  vanishes for each  $\alpha \in \Delta_+$  with  $s_0 \alpha(g_*^{-1} w) \not\equiv 0 \pmod{\pi}$  and  $J'(0)_\mathfrak{h} = 0$ . It follows from this fact that  $r_w = \frac{\pi}{\max_{\alpha \in \Delta_+} |\alpha(g_*^{-1} w)|} = \frac{\pi}{|\alpha_0(g_*^{-1} w)|}$  and that  $\text{rank}(\exp|_{T_{gKN} * r_w w} = \dim N - \sum_{\alpha \in \Delta_w} \dim \mathfrak{p}_\alpha$ . In particular, if  $w$  is a point of a Weyl chamber, then we have  $\Delta_w = \{\alpha_0\}$ . Hence the last part of the statement follows. q.e.d.

From this lemma, the fact of Table 1 is deduced.

### 3. Squared distance functions

In this section, we prepare some lemmas for squared distance functions. Let  $M$  be an  $n$ -dimensional compact immersed submanifold in an  $m$ -dimensional symmetric space  $N = G/K$  of compact type. We omit the notation of the immersion. For two points  $p$  and  $q$  of  $N$  with  $q \notin C_p$ , we denote the shortest geodesic from  $p$  to  $q$  by  $\gamma_{pq}$  (i.e.,  $\gamma_{pq}(0) = p$ ,  $\gamma_{pq}(1) = q$ ,  $\|\dot{\gamma}_{pq}\| = d(p, q)$ ). Also, we denote  $\dot{\gamma}_{pq}(0)$  by  $\vec{pq}$ . For the squared distance function  $d_p^2(x \in M \rightarrow d(p, x)^2)$  ( $p \in N$ ), we have the following fact.

LEMMA 3.1. *Let  $x$  be a critical point of  $d_p^2$  with  $x \notin C_p$ . Then the following statements (i) and (ii) hold:*

- (i)  $\vec{x}\vec{p}$  is normal to  $M$ ,
- (ii) The Hessian  $(\text{Hess } d_p^2)_x$  of  $d_p^2$  at  $x$  is given by

$$(3.1) \quad (\text{Hess } d_p^2)_x(X, Y) = 2\langle X, (\text{pr}_T \circ D_{\vec{x}\vec{p}}^{ct} - A_{\vec{x}\vec{p}})Y \rangle,$$

where  $X, Y \in T_x M$ .

PROOF. The statement (i) is trivial. We shall show the statement (ii). Take tangent vectors  $X$  and  $Y$  to  $M$  at  $x$ . Take a two-parameter map  $\bar{\delta}$  to  $M$  with  $\bar{\delta}_*(\frac{\partial}{\partial u}|_{u=t=0}) = X$  and  $\bar{\delta}_*(\frac{\partial}{\partial t}|_{u=t=0}) = Y$ , where  $u$  (resp.  $t$ ) is the first (resp. the second) parameter of  $\bar{\delta}$ . We may assume that  $\text{Im } \bar{\delta} \cap C_p = \emptyset$  by restricting the domain of  $\bar{\delta}$  to a neighborhood of  $(0, 0)$  if necessary. Define a three-parameter map  $\delta$  into  $N$  by  $\delta(u, t, s) = \gamma_{\bar{\delta}(u,t)}^{\rightarrow}(s)$ . For simplicity, we denote  $\delta_*(\frac{\partial}{\partial u})$ ,  $\delta_*(\frac{\partial}{\partial t})$  and  $\delta_*(\frac{\partial}{\partial s})$  by  $\frac{\partial}{\partial u}$ ,  $\frac{\partial}{\partial t}$  and  $\frac{\partial}{\partial s}$ , respectively. Set  $J_t(s) := \frac{\partial}{\partial u}|_{u=0}$ , which is a Jacobi field along  $\gamma_{\bar{\delta}(0,t)}^{\rightarrow}$ . From (2.1), we have  $J_t(s) = P_{\gamma_{\bar{\delta}(0,t)}^{\rightarrow}|_{[0,s]}}(D_{s-\bar{\delta}(0,t,0)}^{co} \rightarrow J_t(0) + s D_{s-\bar{\delta}(0,t,0)}^{si} \rightarrow J_t'(0))$ . This together with  $J_t(1) = 0$  deduces  $D_{\bar{\delta}(0,t,0)}^{co} \rightarrow J_t(0) + D_{\bar{\delta}(0,t,0)}^{si} \rightarrow J_t'(0) = 0$ . Since  $\bar{\delta}(0, t, 0) \notin C_p$  (i.e.,  $\|\overrightarrow{\bar{\delta}(0, t, 0)}\| <$

$r \frac{\overrightarrow{\delta(0,t,0)p}}{\|\overrightarrow{\delta(0,t,0)p}\|}$ ), we have  $\max_{\alpha \in \Delta_+} |\alpha(g_*^{-1} \overrightarrow{\delta(0,t,0)p})| < \pi$  in terms of Lemma 2.1, where  $\delta(0,t,0) = gK$ . This implies that  $D_{\overrightarrow{\delta(0,t,0)p}}^{si}$  is non-singular. Hence we obtain  $J_t'(0) = -D_{\overrightarrow{\delta(0,t,0)p}}^{ct} J_t(0)$ . Also, since  $x$  is a critical point of  $d_p^2$ ,  $\frac{\partial}{\partial s}|_{u=t=s=0}$  is normal to  $M$ . These facts deduce

$$(\text{Hess } d_p^2)_x(X, Y) = -2 \left\langle X, \nabla_Y \left( \frac{\partial}{\partial s} |_{u=s=0} \right)_T \right\rangle,$$

where  $\nabla$  is the Levi-Civita connection of  $M$  and  $(\cdot)_T$  is the tangent component of  $\cdot$  (see the proof of Lemma 3.1 in [5]). On the other hand, we can show  $\nabla_Y (\frac{\partial}{\partial s}|_{u=s=0})_T = -(\text{pr}_T \circ D_{\overrightarrow{x\bar{p}}}^{ct} - A_{\overrightarrow{x\bar{p}}})Y$  (see the proof of Lemma 3.1 in [5]). Therefore, we obtain the relation (3.1). q.e.d.

Let  $B := \bigcup_{\xi \in U^\perp M} \{s\xi \mid s \in [0, r_\xi]\}$ , which is an open portion of  $T^\perp M$ . Denote by  $\exp_B^\perp$  the restriction of the normal exponential map  $\exp^\perp$  of  $M$  to  $B$ . Also, denote by  $\tilde{\omega}$  (resp.  $\omega_B$ ) the volume element of  $N$  (resp.  $B$  induced from the volume element of  $T^\perp M$ ). Then we have the following relation.

LEMMA 3.2. *For each  $\xi \in B$ , the following relation holds:*

$$((\exp_B^\perp)^* \tilde{\omega})_\xi = \det(\text{pr}_T \circ D_{\xi}^{ct}|_{T_{\pi(\xi)}M} - A_\xi) \det D_\xi^{si}(\omega_B)_\xi,$$

where  $\pi$  is the bundle projection of  $B$ .

PROOF. From  $\xi \in B$  (i.e.,  $\|\xi\| < r_\xi/\|\xi\|$ ), we see that  $D_\xi^{si}$  is non-singular. By noticing this fact and imitating the proof of Lemma 3.2 in [5], we obtain the desired relation. q.e.d.

Denote by  $\beta(\phi)$  the number of non-degenerate critical points of a function  $\phi$  and by  $\beta_{\text{even}}(\phi)$  (resp.  $\beta_{\text{odd}}(\phi)$ ) the number of non-degenerate critical points of even (resp. odd) index of a function  $\phi$ . Denote by  $F$  the focal set of  $M$ . For  $p \in N \setminus F$ , we set

$$(\exp_B^\perp)^{-1}(p)_+ := \{\xi \in (\exp_B^\perp)^{-1}(p) \mid (\exp_B^\perp)_{*\xi} \text{ preserves the orientation}\},$$

$$(\exp_B^\perp)^{-1}(p)_- := \{\xi \in (\exp_B^\perp)^{-1}(p) \mid (\exp_B^\perp)_{*\xi} \text{ reverses the orientation}\}.$$

Further we prepare the following lemma.

LEMMA 3.3. *Let  $p \in N \setminus (F \cup C_M)$ . Then we have the following relations:*

$$(3.2) \quad \beta(d_p^2) = \sharp(\exp_B^\perp)^{-1}(p),$$

$$(3.3) \quad \beta_{\text{even}}(d_p^2) = \sharp(\exp_B^\perp)^{-1}(p)_+,$$

$$(3.4) \quad \beta_{\text{odd}}(d_p^2) = \sharp(\exp_B^\perp)^{-1}(p)_-,$$

where  $\sharp(*)$  is the number of elements of a set  $*$ .

PROOF. The relation (3.2) is directly deduced from (i) of Lemma 3.1. The relations (3.3) and (3.4) are directly deduced from (3.1) and Lemma 3.2, where we use  $\det D_{s\xi}^{s_i} > 0$  ( $\xi \in B$ ). q.e.d.

At the end of this section, we define the tautness of a compact submanifold  $M$  with  $N \setminus (F \cup C_M) \neq \emptyset$  in a complete Riemannian manifold  $N$ , where  $F$  is the focal set of  $M$  and  $C_M := \bigcup_{x \in M} C_x$  ( $C_x$  : the cut locus of  $x$ ). If  $d_p^2$  is a perfect Morse function for each  $p \in N \setminus (F \cup C_M)$ , then we say that  $M$  is *taut*.

#### 4. Proofs of Theorems A, B and Corollaries

In this section, we first prove Theorem A in terms of Lemmas 3.2 and 3.3.

PROOF OF THEOREM A. First we prove the relation (1.7) in Theorem A. According to Lemma 3.2, we have

$$\begin{aligned}
 \int_{\xi \in B} ((\exp_B^\perp)^* \tilde{\omega})_\xi &= \int_{\xi \in B} \det(\text{pr}_T \circ D_{s\xi}^{ct} |_{T_{\pi(\xi)}M} - A_\xi) \det D_{s\xi}^{s_i} \omega_B \\
 (4.1) \quad &= \int_{\xi \in U^\perp M} \left( \int_0^{r_\xi} \det \left( \text{pr}_T \circ \frac{1}{s} D_{s\xi}^{ct} |_{T_{\pi(\xi)}M} - A_\xi \right) \det D_{s\xi}^{s_i} \cdot s^{m-1} ds \right) \omega_{U^\perp M} \\
 &= \frac{\text{Vol}(N)}{\text{Vol}(S^{m-1}(1))} \int_{\xi \in U^\perp M} \mathfrak{R}_1(\xi) \omega_{U^\perp M}.
 \end{aligned}$$

On the other hand, since  $C_M$  is of measure zero, we have

$$\begin{aligned}
 \int_{\xi \in B} ((\exp_B^\perp)^* \tilde{\omega})_\xi &= \int_{\xi \in B \setminus \exp_B^{\perp-1}(F)} ((\exp_B^\perp)^* \tilde{\omega})_\xi \\
 &= \int_{p \in N \setminus F} (\sharp(\exp_B^\perp)^{-1}(p)_+ - \sharp(\exp_B^\perp)^{-1}(p)_-) \tilde{\omega}_p \\
 (4.2) \quad &= \int_{p \in N \setminus (F \cup C_M)} (\sharp(\exp_B^\perp)^{-1}(p)_+ - \sharp(\exp_B^\perp)^{-1}(p)_-) \tilde{\omega}_p \\
 &= \int_{p \in N \setminus (F \cup C_M)} (\beta_{\text{even}}(d_p^2) - \beta_{\text{odd}}(d_p^2)) \tilde{\omega}_p \\
 &= \chi(M) \text{Vol}(N).
 \end{aligned}$$

Therefore, we obtain the equality (1.7). In similar to (4.1) and (4.2), we can show

$$\begin{aligned}
 &\int_{\xi \in B} |((\exp_B^\perp)^* \tilde{\omega})_\xi| \\
 &= \int_{\xi \in U^\perp M} \left( \int_0^{r_\xi} \left| \det \left( \text{pr}_T \circ \frac{1}{s} D_{s\xi}^{ct} |_{T_{\pi(\xi)}M} - A_\xi \right) \right| \det D_{s\xi}^{s_i} \cdot s^{m-1} ds \right) \omega_{U^\perp M}
 \end{aligned}$$

$$= \frac{\text{Vol}(N)}{\text{Vol}(S^{m-1}(1))} \int_{\xi \in U^\perp M} \mathfrak{K}_2(\xi) \omega_{U^\perp M}$$

and

$$\int_{\xi \in B} |((\exp_B^{\frac{1}{c}})^* \tilde{\omega})_\xi| = \int_{p \in N \setminus (F \cup C_M)} \beta(d_p^2) \tilde{\omega}_p \geq \sum_{k=0}^n b_k(M, \mathbf{F}) \text{Vol}(N).$$

Therefore, we obtain the inequality (1.8). In particular, if  $M$  is taut, then we have  $\int_{p \in N \setminus (F \cup C_M)} \beta(d_p^2) \tilde{\omega}_p = \sum_{k=0}^n b_k(M, \mathbf{F}) \text{Vol}(N)$ . Hence the equality sign holds in (1.8). q.e.d.

Next we prove Theorem B.

**PROOF OF THEOREM B.** Since the ambient space is  $S^m(c)$ , we have  $r_\xi = \frac{\pi}{\sqrt{c}}$ ,  $D_{s\xi}^{ct} = \sqrt{cs} \cot(\sqrt{cs}) \text{id}$  and  $D_{s\xi}^{si} = \frac{\sin(\sqrt{cs})}{\sqrt{cs}} \text{id}$ . Also, since the cut locus  $C_x$  consists of one point for each  $x \in M$  and  $\dim M \leq m - 1$ , the set  $C_M$  is of measure zero. Hence the relations (1.7) and (1.8) in Theorem A hold. The left-hand side of the relation (1.7) in Theorem 1 is written as

$$\frac{1}{\text{Vol}(S^m(c))} \int_{\xi \in U^\perp M} \left( \int_0^{\frac{\pi}{\sqrt{c}}} \det(\sqrt{c} \cot(\sqrt{cs}) \text{id} - A_\xi) \left( \frac{\sin(\sqrt{cs})}{\sqrt{c}} \right)^{m-1} ds \right) \omega_{U^\perp M},$$

which is further written as

$$\frac{1}{\text{Vol}(S^m(1))} \int_{\xi \in U^\perp M} \left( \int_0^\pi \det(\sqrt{c} \cot s \cdot \text{id} - A_\xi) \sin^{m-1} s ds \right) \omega_{U^\perp M}.$$

Hence we have

$$(4.3) \quad \frac{1}{\text{Vol}(S^m(1))} \int_{\xi \in U^\perp M} \left( \int_0^\pi \det(\sqrt{c} \cot s \cdot \text{id} - A_\xi) \sin^{m-1} s ds \right) \omega_{U^\perp M} = \chi(M).$$

Similarly we have

$$(4.4) \quad \begin{aligned} & \frac{1}{\text{Vol}(S^m(1))} \int_{\xi \in U^\perp M} \left( \int_0^\pi |\det(\sqrt{c} \cot s \cdot \text{id} - A_\xi)| \sin^{m-1} s ds \right) \omega_{U^\perp M} \\ & \geq \sum_{k=0}^n b_k(M, \mathbf{F}). \end{aligned}$$

Let  $\xi$  be the fixed unit normal vector field of  $M$ . First we show the statement (i). From the definitions of the  $i$ -th mean curvature  $H_i(\xi)$  of direction  $\xi$  ( $i = 0, \dots, 2n$ ), we have

$$\det(\sqrt{c} \cot s \cdot \text{id} - A_\xi) = \sum_{i=0}^{2n} (-1)^i (\sqrt{c} \cot s)^{2n-i} \binom{2n}{i} H_i(\xi).$$

Hence we have

$$\begin{aligned}
 & \int_0^\pi (\det(\sqrt{c} \cot s \cdot \text{id} - A_\xi) + \det(\sqrt{c} \cot s \cdot \text{id} - A_{(-\xi)})) \sin^{m-1} s ds \\
 (4.5) \quad &= 2 \sum_{i=0}^n \binom{2n}{2i} H_{2i}(\xi) c^{n-i} \int_0^\pi \sin^{m-n+2i-1} s \cos^{2n-2i} s ds \\
 &= 2 \sum_{i=0}^n \binom{2n}{2i} H_{2i}(\xi) c^{n-i} \left( \sum_{k=0}^{n-i} (-1)^k \binom{n-i}{k} \frac{v_{m-n+2i+2k}}{v_{m-n+2i+2k-1}} \right),
 \end{aligned}$$

where we also use  $\int_0^\pi \sin^j s ds = \frac{v_{j+1}}{v_j}$ . From this relation and (4.3), we obtain (1.9). Next we show the statement (ii). In similar way to get (4.5), we have

$$\begin{aligned}
 & \int_0^\pi (|\det(\sqrt{c} \cot s \cdot \text{id} - A_\xi)| + |\det(\sqrt{c} \cot s \cdot \text{id} - A_{(-\xi)})|) \sin^{m-1} s ds \\
 & \leq 4 \sum_{i=0}^n \binom{n}{i} |H_i(\xi)| \sqrt{c}^{n-i} \int_0^{\frac{\pi}{2}} \sin^{m-n+i-1} s \cos^{n-i} s ds \\
 & = 4 \sum_{i=0}^n \binom{n}{i} |H_{n-i}(\xi)| \sqrt{c}^i \int_0^{\frac{\pi}{2}} \sin^{m-i-1} s \cos^i s ds \\
 & = 2 \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} a_i c^i |H_{n-2i}(\xi)| + 4 \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} b_i \sqrt{c}^{2i+1} |H_{n-2i-1}(\xi)|,
 \end{aligned}$$

where we use  $\int_0^{\frac{\pi}{2}} \sin^i s \cos^{2j} s ds = \frac{1}{2} \sum_{k=0}^j (-1)^k \binom{j}{k} \frac{v_{i+2k+1}}{v_{i+2k}}$  and  $\int_0^{\frac{\pi}{2}} \sin^i s \cos^{2j+1} s ds = \sum_{k=0}^j (-1)^k \binom{j}{k} \frac{1}{i+2k+1}$ . From this relation and (4.4), we obtain (1.10). q.e.d.

Next we prove Corollary C in terms of Theorem B.

PROOF OF COROLLARY C. From  $\dim M = 2$ , the relations (1.9) (resp. (1.10)) of Theorem B is as follows:

$$\begin{aligned}
 & \frac{1}{v_{m-1}} \int_{\xi \in U^\perp M} K(\xi) \omega_{U^\perp M} + \frac{c}{v_m} \left( \frac{v_{m-2}}{v_{m-3}} - \frac{v_m}{v_{m-1}} \right) \text{Vol}(U^\perp M) = \chi(M) \\
 & \left( \text{resp. } \frac{1}{v_{m-1}} \int_{\xi \in U^\perp M} |K(\xi)| \omega_{U^\perp M} + \frac{4\sqrt{c}}{(m-1)v_m} \int_{\xi \in U^\perp M} |H_1(\xi)| \omega_{U^\perp M} \right. \\
 & \quad \left. + \frac{c}{v_m} \left( \frac{v_{m-2}}{v_{m-3}} - \frac{v_m}{v_{m-1}} \right) \text{Vol}(U^\perp M) \geq \sum_{k=0}^2 b_k(M, \mathbf{F}) \right).
 \end{aligned}$$

Hence we obtain the relations (1.11) and (1.12) in terms of  $\text{Vol}(U^\perp M) = v_{m-3} \text{Vol}(M)$ ,  $\chi(M) = 2 - 2g$  and  $\sum_{k=0}^2 b_k(M, \mathbf{F}) = 2 + 2g$ . q.e.d.

Next we prove Corollary D in terms of Theorem B.

**PROOF OF COROLLARY D.** We show the statement in the case where  $\kappa$  has no zero point. Let  $v$  be the unit principal normal vector of  $\gamma$ . Clearly we have  $A_\xi \dot{\gamma} = \kappa(\pi(\xi))\langle v, \xi \rangle \dot{\gamma}$  for  $\xi \in U^\perp \gamma$ , where  $\dot{\gamma} = \frac{d\gamma}{ds}$  and  $\pi$  is the projection of the unit normal bundle  $U^\perp \gamma$  of  $\gamma$ . So we have  $|H_1(\xi)| = \kappa(\pi(\xi))|\langle v, \xi \rangle|$ . Hence, from (1.10) of Theorem B, we have

$$(4.6) \quad \frac{1}{2v_{m-1}} \int_{\xi \in U^\perp \gamma} \kappa(\pi(\xi))|\langle v, \xi \rangle| \omega_{U^\perp \gamma} + \frac{\sqrt{c}v_{m-2}l}{(m-1)v_m} \geq 1,$$

where we also use  $\text{Vol}(U^\perp \gamma) = v_{m-2}l$ . The first term of the left-hand side of (4.6) is rewritten as

$$\begin{aligned} \frac{1}{2v_{m-1}} \int_{\xi \in U^\perp \gamma} \kappa(\pi(\xi))|\langle v, \xi \rangle| \omega_{U^\perp \gamma} &= \frac{1}{2v_{m-1}} \int_0^l \left( \kappa(s) \int_{\xi \in U_s^\perp \gamma} |\langle v, \xi \rangle| \omega_{U_s^\perp \gamma} \right) ds \\ &= \begin{cases} \frac{v_{m-3}}{(m-2)v_{m-1}} \int_0^l \kappa(s) ds & (m \geq 3) \\ \frac{1}{v_{m-1}} \int_0^l \kappa(s) ds & (m = 2). \end{cases} \end{aligned}$$

Hence we obtain the desired relations. Similarly we can show the statement in the case where  $\kappa$  has zero points. q.e.d.

Next we prove Corollary E.

**PROOF OF COROLLARY E.** We show the statement in the case where  $\kappa$  has no zero point. Let  $v$  be the unit principal normal vector of  $\gamma$ . Clearly we have

$$(4.7) \quad A_\xi \dot{\gamma} = \kappa(\pi(\xi))\langle v, \xi \rangle \dot{\gamma} \quad (\xi \in U^\perp \gamma),$$

where  $\dot{\gamma} = \frac{d\gamma}{ds}$  and  $\pi$  is the projection of the unit normal bundle  $U^\perp \gamma$  of  $\gamma$ . Fix  $\xi \in U_{s_0}^\perp \gamma$ . Let  $W_\xi := \text{Span}\{J_1\xi, \dots, J_{q-1}\xi\}$  and  $W'_\xi := \text{Span}\{\xi, J_1\xi, \dots, J_{q-1}\xi\}^\perp$ , where  $\{J_1, \dots, J_{q-1}\}$  is the complex structure of  $\mathbf{C}P^m(c)$ , the quaternionic structure of  $\mathbf{Q}P^m(c)$  or the Cayley structure of  $\mathbf{O}P^2(c)$ . Denote by  $\text{pr}_\xi$ ,  $\text{pr}_{W_\xi}$  and  $\text{pr}_{W'_\xi}$  the orthogonal projection of  $T_{\gamma(s_0)}\mathbf{F}P^m(c)$  onto  $\text{Span}\{\xi\}$ ,  $W_\xi$  and  $W'_\xi$ , respectively. Since  $\frac{\sqrt{R_\xi}}{\tan(s\sqrt{R_\xi})} = \frac{1}{s}\text{pr}_\xi + \frac{\sqrt{c}}{\tan(s\sqrt{c})}\text{pr}_{W_\xi} + \frac{\sqrt{c}}{2 \tan \frac{s\sqrt{c}}{2}}\text{pr}_{W'_\xi}$  and  $\dot{\gamma}(s_0) \in W_\xi \oplus W'_\xi$ , we have

$$\left( \text{pr}_T \circ \frac{\sqrt{R_\xi}}{\tan(s\sqrt{R_\xi})} \right) (\dot{\gamma}(s_0)) = \frac{\sqrt{c}}{\tan(s\sqrt{c})} (\text{pr}_T \circ \text{pr}_{W_\xi}) (\dot{\gamma}(s_0))$$

$$+ \frac{\sqrt{c}}{2 \tan \frac{s\sqrt{c}}{2}} (\text{pr}_T \circ \text{pr}_{W'_\xi})(\dot{\gamma}(s_0)).$$

Denote by  $\theta_\xi$  the angle between  $\dot{\gamma}(s_0)$  and  $\text{pr}_{W'_\xi}(\dot{\gamma}(s_0))$ . Then we have

$$(4.8) \quad \left( \text{pr}_T \circ \frac{\sqrt{R_\xi}}{\tan(s\sqrt{R_\xi})} \right) (\dot{\gamma}(s_0)) = \left( \frac{\sqrt{c}}{\tan(s\sqrt{c})} \cos^2 \theta_\xi + \frac{\sqrt{c}}{2 \tan \frac{s\sqrt{c}}{2}} \sin^2 \theta_\xi \right) \dot{\gamma}(s_0).$$

From (4.7) and (4.8), we have

$$\begin{aligned} & \left| \det \left( \text{pr}_T \circ \frac{\sqrt{R_\xi}}{\tan(s\sqrt{R_\xi})} |_{T_{s_0}\gamma} - A_\xi \right) \right| \\ & \leq \left| \frac{\sqrt{c}}{\tan(s\sqrt{c})} \right| \cos^2 \theta_\xi + \left| \frac{\sqrt{c}}{2 \tan \frac{s\sqrt{c}}{2}} \right| \sin^2 \theta_\xi + \kappa |\langle v, \xi \rangle|. \end{aligned}$$

On the other hand, we have

$$\frac{\sin(s\sqrt{R_\xi})}{\sqrt{R_\xi}} = s \text{pr}_\xi + \frac{\sin(s\sqrt{c})}{\sqrt{c}} \text{pr}_{W_\xi} + \frac{2 \sin \frac{s\sqrt{c}}{2}}{\sqrt{c}} \text{pr}_{W'_\xi}$$

and hence

$$\begin{aligned} \det \frac{\sin(s\sqrt{R_\xi})}{\sqrt{R_\xi}} &= s \left( \frac{\sin(s\sqrt{c})}{\sqrt{c}} \right)^{q-1} \left( \frac{2 \sin \frac{s\sqrt{c}}{2}}{\sqrt{c}} \right)^{qm-q} \\ &= \left( \frac{2}{\sqrt{c}} \right)^{qm-1} s \sin^{qm-1} \frac{s\sqrt{c}}{2} \cos^{q-1} \frac{s\sqrt{c}}{2}. \end{aligned}$$

Also, the first conjugate radius  $r_\xi$  of direction  $\xi$  is equal to  $\frac{\pi}{\sqrt{c}}$ . Hence we have

$$\begin{aligned} \mathfrak{R}_2(\xi) &\leq \frac{v_{qm-1}}{\text{Vol}(\mathbf{F}P^m(c))} \left( \frac{2}{\sqrt{c}} \right)^{qm-1} \left\{ \frac{2\beta_{m,q}}{\sqrt{c}} \cos^2 \theta_\xi + \frac{2\alpha_{qm-2,q}}{\sqrt{c}} \sin^2 \theta_\xi \right. \\ &\quad \left. + \kappa |\langle v, \xi \rangle| \frac{4\alpha_{qm-1,q-1}}{c} \right\}. \end{aligned}$$

Therefore, from (1.8) of Theorem A, we obtain

$$(4.9) \quad \begin{aligned} & \frac{1}{\text{Vol}(\mathbf{F}P^m(c))} \left( \frac{2}{\sqrt{c}} \right)^{qm-1} \left\{ \frac{2\beta_{m,q}}{\sqrt{c}} \int_{\xi \in U^\perp_\gamma} \cos^2 \theta_\xi \omega_{U^\perp_\gamma} \right. \\ & \quad \left. + \frac{2\alpha_{qm-2,q}}{\sqrt{c}} \int_{\xi \in U^\perp_\gamma} \sin^2 \theta_\xi \omega_{U^\perp_\gamma} + \frac{4\alpha_{qm-1,q-1}}{c} \int_{\xi \in U^\perp_\gamma} \kappa |\langle v, \xi \rangle| \omega_{U^\perp_\gamma} \right\} \\ & \geq b_0(S^1, \mathbf{F}) + b_1(S^1, \mathbf{F}) = 2. \end{aligned}$$

On the other hand, we have

$$\begin{aligned}
 \int_{\xi \in U^\perp \gamma} \cos^2 \theta_\xi \omega_{U^\perp \gamma} &= \int_0^l \left( \int_{\xi \in U_s^\perp \gamma} \cos^2 \theta_\xi \omega_{U_s^\perp \gamma} \right) ds \\
 &= l \int_{[0, \frac{\pi}{2}] \times S^{qm-q-1}(1) \times S^{q-2}(1)} \sin^{qm-q-1} \theta \cos^q \theta \, d\theta \\
 &\quad \wedge \omega_{S^{qm-q-1}(1)} \wedge \omega_{S^{q-2}(1)} \\
 &= lv_{qm-q-1} v_{q-2} \int_0^{\frac{\pi}{2}} \sin^{qm-q-1} \theta \cos^q \theta \, d\theta \\
 &= \frac{lv_{qm-q-1} v_{q-2}}{2} \sum_{k=0}^{\frac{q}{2}} (-1)^k \binom{\frac{q}{2}}{k} \frac{v_{qm-q+2k}}{v_{qm-q+2k-1}}, \\
 \int_{\xi \in U^\perp \gamma} \sin^2 \theta_\xi \omega_{U^\perp \gamma} &= lv_{qm-q-1} v_{q-2} \int_0^{\frac{\pi}{2}} \sin^{qm-q+1} \theta \cos^{q-2} \theta \, d\theta \\
 &= \frac{lv_{qm-q-1} v_{q-2}}{2} \sum_{k=0}^{\frac{q-2}{2}} (-1)^k \binom{\frac{q-2}{2}}{k} \frac{v_{qm-q+2k+2}}{v_{qm-q+2k+1}}
 \end{aligned}$$

and

$$\int_{\xi \in U^\perp \gamma} \kappa \langle v, \xi \rangle \omega_{U^\perp \gamma} = \frac{v_{qm-1} v_{qm-3}}{v_{qm-2}} \int_0^l \kappa(s) ds.$$

By substituting these relations into (4.9), we obtain the desired relation. Similarly we can show the statement in the case where  $\kappa$  has zero points. q.e.d.

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