

## **$L^p$ Estimates for Some Schrödinger Type Operators and a Calderón-Zygmund Operator of Schrödinger Type**

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**Abstract.** We consider the Schrödinger and Schrödinger type operators  $H_1 = -\Delta + V$  and  $H_2 = (-\Delta)^2 + V^2$  with non-negative potentials  $V$  on  $\mathbf{R}^n$ . We assume that the potential  $V$  belongs to the reverse Hölder class which includes non-negative polynomials. We establish estimates of the fundamental solution for  $H_2$  and show some  $L^p$  estimates for Schrödinger type operators. Moreover, we show that the operator  $\nabla^4 H_2^{-1}$  is a Calderón-Zygmund operator.

### **1. Introduction and Theorems**

Let  $V(x)$  be a non-negative potential and consider the Schrödinger and Schrödinger type operators  $H_1 = -\Delta + V$  and  $H_2 = (-\Delta)^2 + V^2$  on  $\mathbf{R}^n$ . When  $V$  is a non-negative polynomial, Zhong ([Zh]) proved the estimates of the fundamental solution for  $H_1$  and  $H_2$  and showed some estimates for  $H_1$  and  $H_2$ . He showed the  $L^p$  boundedness of the operators  $V^{2-j/2} \nabla^j H_2^{-1}$ , where  $j = 0, 1, 2, 3, 4$ , and  $V^k H_1^{-k}$ ,  $V^{k-1/2} \nabla H_1^{-k}$ , where  $k \in \mathbf{N}$ . He also proved that the operators  $\nabla^2 H_1^{-1}$  and  $\nabla^4 H_2^{-1}$  are Calderón-Zygmund operators.

For the potential  $V$  which belongs to the reverse Hölder class, which includes non-negative polynomials, Shen ([Sh1]) generalized Zhong's results on  $H_1$ . Actually, he established estimates of the fundamental solution for  $H_1$  and showed the  $L^p$  estimates of the operators  $V H_1^{-1}$ ,  $V^{1/2} \nabla H_1^{-1}$ ,  $\nabla^2 H_1^{-1}$ , etc. On the operator  $H_1$  these Shen's results were generalized to other directions. See [KS1], [Su]. Moreover, in [KS2] the authors studied the magnetic Schrödinger operator with potentials  $V$  which belong to a certain reverse Hölder class and showed some estimates. In particular they showed that the operator  $\nabla^2 H_1^{-1}$  is a Calderón-Zygmund operator.

In this paper we study  $H_2$  with reverse Hölder class potentials. We establish estimates of the fundamental solution for  $H_2$  and show the  $L^p$  boundedness of the operators

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$V^{2-j/2}\nabla^j H_2^{-1}$ , where  $j = 0, 1, 2, 3, 4$ . Moreover, we show that the operator  $\nabla^4 H_2^{-1}$  is a Calderón-Zygmund operator.

To be precise, we recall the definitions of the reverse Hölder class (e.g. [Sh1]). Throughout this paper we denote by  $B_r(x)$  the ball centered at  $x$  with radius  $r$ , and the letter  $C$  stands for a constant not necessarily the same at each occurrence.

DEFINITION 1 (Reverse Hölder class). Let  $V \geq 0$ .

(1) For  $1 < p < \infty$  we say  $V \in (RH)_p$ , if  $V \in L_{loc}^p(\mathbf{R}^n)$  and there exists a constant  $C$  such that

$$\left( \frac{1}{|B_r(x)|} \int_{B_r(x)} V(y)^p dy \right)^{1/p} \leq \frac{C}{|B_r(x)|} \int_{B_r(x)} V(y) dy \quad (1)$$

holds for every  $x \in \mathbf{R}^n$  and  $0 < r < \infty$ .

(2) We say  $V \in (RH)_\infty$ , if  $V \in L_{loc}^\infty(\mathbf{R}^n)$  and there exists a constant  $C$  such that

$$\|V\|_{L^\infty(B_r(x))} \leq \frac{C}{|B_r(x)|} \int_{B_r(x)} V(y) dy \quad (2)$$

holds for every  $x \in \mathbf{R}^n$  and  $0 < r < \infty$ .

REMARK 1. If  $P(x)$  is a polynomial and  $\alpha > 0$ , then  $V(x) = |P(x)|^\alpha$  belongs to  $(RH)_\infty$  ([Fe]). For  $1 < p < \infty$ , it is easy to see  $(RH)_\infty \subset (RH)_p$ .

In [Sh1], Shen defined the auxiliary function  $m(x, V)$  and established the estimates of the fundamental solution of  $H_1$ . For the operator  $H_2$ , we show the estimates of the fundamental solution with Shen's auxiliary function  $m(x, V)$ . We recall the definition of the function  $m(x, V)$ .

DEFINITION 2 ([Sh1, Definition 1.3]). Let  $V \in (RH)_{n/2}$  and  $V \not\equiv 0$ . Then it is well-known that there exists  $\varepsilon > 0$  such that  $V \in (RH)_{n/2+\varepsilon}$  ([Ge]). Then the function  $m(x, V)$  is well-defined by

$$\frac{1}{m(x, V)} = \sup \left\{ r > 0 : \frac{r^2}{|B_r(x)|} \int_{B_r(x)} V(y) dy \leq 1 \right\}$$

and satisfies  $0 < m(x, V) < \infty$  for every  $x \in \mathbf{R}^n$ .

REMARK 2. If  $V \in (RH)_\infty$  then there exists a constant  $C$  such that  $V(x) \leq Cm(x, V)^2$  ([Sh1, Remark 2.9]). We also remark that, if  $V \in (RH)_p$ ,  $p \geq n/2$ , then there exists a constant  $C$  such that

$$\left( \frac{1}{|B_r(x)|} \int_{B_r(x)} V(y)^p dy \right)^{1/p} \leq Cm(x, V)^2$$

(cf. [Sh1, Lemma 1.8] and [KS1, Lemma 2.2(a)]).

Now we state our theorems. In this paper we study  $H_1$  and  $H_2$  only for  $n \geq 3$  and  $n \geq 5$  respectively. We denote by  $\Gamma_{H_j}(x, y)$  the fundamental solution for  $H_j$ ,  $j = 1, 2$ . The operator  $H_j^{-1}$  is the integral operator with  $\Gamma_{H_j}(x, y)$  as its kernel.

**THEOREM 1.** (1) *Let  $j = 0, 1, 2, 3$ . Suppose  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $V(x) \leq Cm(x, V)^2$ . Then there exist constants  $C_j$  such that*

$$\|V^{2-j/2}\nabla^j H_2^{-1} f\|_{L^p(\mathbf{R}^n)} \leq C_j \|f\|_{L^p(\mathbf{R}^n)}, \tag{3}$$

where  $1 < p \leq \infty$  and  $\nabla^j = \nabla_x^j = \partial^{|\alpha|}/\partial x_1^{\alpha_1} \partial x_2^{\alpha_2} \cdots \partial x_n^{\alpha_n}$ ,  $j = |\alpha| = \alpha_1 + \alpha_2 + \cdots + \alpha_n$ .

(2) *Suppose  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $V(x) \leq Cm(x, V)^2$ . Then there exists a constant  $C'$  such that*

$$\|\nabla^4 H_2^{-1} f\|_{L^p(\mathbf{R}^n)} \leq C' \|f\|_{L^p(\mathbf{R}^n)}, \tag{4}$$

where  $1 < p < \infty$ .

For the operator  $\nabla^4 H^{-1}$ , we prove that the operator  $\nabla^4 H^{-1}$  is a Calderón-Zygmund operator under a little stronger assumption (see Theorem 4).

To prove Theorem 1 estimates of the fundamental solution are needed. The following Theorems 2 and 3 generalize the results in [Zh, Theorem 5.1 and Proposition 5.7] to the operator  $H_2$  with potentials  $V$  which belong to the reverse Hölder class.

**THEOREM 2.** *Suppose  $V \in (RH)_{n/2}$ . Then for any positive integer  $N$  there exists a constant  $C_N$  such that*

$$(0 \leq) \Gamma_{H_2}(x, y) \leq \frac{C_N}{\{1 + m(x, V)|x - y|\}^N} \cdot \frac{1}{|x - y|^{n-4}}. \tag{5}$$

**THEOREM 3.** *Let  $j = 1, 2, 3$ . Suppose  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $V(x) \leq Cm(x, V)^2$ . Then for any positive integer  $N$  there exists a constant  $C_N$  such that*

$$|\nabla_x^j \Gamma_{H_2}(x, y)| \leq \frac{C_N}{\{1 + m(x, V)|x - y|\}^N} \cdot \frac{1}{|x - y|^{n-4+j}}. \tag{6}$$

**REMARK 3.** Estimate (6) can be proved under the assumption  $V \in (RH)_{2n/(4-j)}$ ,  $j = 1, 2, 3$  (see Theorem 6). When we assume  $V \in (RH)_q$  for some  $q \geq n/2$  and use Theorem 6 (also Theorem 2) and the same method as in [Sh1, Theorem 4.13] (also [Sh1, Theorem 3.1]), we can prove the operators  $V^{2-j/2}\nabla^j H_2^{-1}$ ,  $j = 0, 1, 2, 3$ , are bounded on  $L^p(\mathbf{R}^n)$ ,  $1 \leq p \leq q$ . We note that, if we take the limit  $q \rightarrow +\infty$ , then the class  $(RH)_q$  becomes  $(RH)_\infty$  and  $V \in (RH)_\infty$  implies “ $V \in (RH)_{n/2}$  and  $V(x) \leq Cm(x, V)^2$ ”.

**REMARK 4.** For  $\Gamma_{H_1}(x, y)$ , some exponential decay estimates are known ([Ku], [Sh3]). For  $\Gamma_{H_2}(x, y)$ , we only prove polynomial decay estimates, since it suffices to show them to obtain our  $L^p$  estimates.

We prove Theorems 2 and 3 in Sections 3 and 4 respectively. In Section 2, we show an estimate for  $H_1$  (Corollary 1) needed to prove Theorem 2. In Section 5, we prove Theorem 1 by using Theorems 2 and 3.

We now recall the definition of the Calderón-Zygmund operator. Let  $\mathcal{D}'$  denote the space of distributions dual to  $C_0^\infty(\mathbf{R}^n)$ . An operator  $T$  taking  $C_0^\infty(\mathbf{R}^n)$  into  $\mathcal{D}'$  is called a Calderón-Zygmund operator if

- (i)  $T$  extends to a bounded linear operator on  $L^2(\mathbf{R}^n)$ ,
- (ii) there exists a kernel  $K$  such that for every  $f \in C_0^\infty(\mathbf{R}^n)$ ,

$$Tf(x) = \int_{\mathbf{R}^n} K(x, y)f(y)dy \quad \text{a.e. on } \{\text{supp } f\}^c,$$

- (iii) there exist positive constants  $\delta$  and  $C$  such that for all distinct  $x, y \in \mathbf{R}^n$  and all  $z$  such that  $|x - z| < |x - y|/2$ ,

$$|K(x, y)| \leq \frac{C}{|x - y|^n}, \quad (7)$$

$$|K(x, y) - K(z, y)| \leq \frac{C|x - z|^\delta}{|x - y|^{n+\delta}}, \quad (8)$$

$$|K(y, x) - K(y, z)| \leq \frac{C|x - z|^\delta}{|x - y|^{n+\delta}}. \quad (9)$$

See e.g. [Ch, page 12].

**THEOREM 4.** *Suppose  $V \in C^5(\mathbf{R}^n)$ . Assume also that  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that*

$$|\nabla^j V(x)| \leq Cm(x, V)^{2+j}, \quad j = 1, 2, 3, 4, 5. \quad (10)$$

*Then  $\nabla^4 H_2^{-1}$  is a Calderón-Zygmund operator.*

Once we obtain Theorem 4, we can obtain the result that the operator  $\nabla^4 H^{-1}$  is of weak-type (1,1) under the same assumption as in Theorem 4.

**REMARK 5.** It is known that  $|\nabla V(x)| \leq Cm(x, V)^3$  implies  $V(x) \leq Cm(x, V)^2$  ([Sh2, Remark 1.8]). We note that the condition (10) holds if  $V$  is a non-negative polynomial and there exist potentials  $V$  which satisfy our assumptions but are not non-negative polynomials (see [KS2, Remark 5]). We also note that, in [KS2, Theorem 2], the authors showed that  $\nabla^2 H_1^{-1}$  is a Calderón-Zygmund operator under the assumption  $V \in (RH)_{n/2}$  and  $|\nabla^j V(x)| \leq Cm(x, V)^{2+j}$ ,  $j = 1, 2, 3$ .

We note that the estimates (8) and (9) are implied by a condition

$$|\nabla K(x, y)| \leq \frac{C}{|x - y|^{n+1}}$$

([Ch, page 12]). Hence, to prove Theorem 3, it suffices to show that the estimates

$$|\nabla^4 \Gamma_{H_2}(x, y)| \leq \frac{C}{|x - y|^n}, \quad |\nabla^5 \Gamma_{H_2}(x, y)| \leq \frac{C}{|x - y|^{n+1}}$$

hold. In fact, stronger and higher order derivative estimates hold as the following theorem states.

**THEOREM 5.** *Let  $j$  be a positive integer and  $j \geq 4$ . Suppose  $V \in C^j(\mathbf{R}^n)$ . Assume also that  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $|\nabla^i V(x)| \leq Cm(x, V)^{2+i}$ ,  $i = 1, 2, 3, \dots, j$ . Then for any positive integer  $N$  there exists a constant  $C_N$  such that*

$$|\nabla_x^j \Gamma_{H_2}(x, y)| \leq \frac{C_N}{\{1 + m(x, V)|x - y|\}^N} \cdot \frac{1}{|x - y|^{n-4+j}}. \tag{11}$$

We prove Theorem 5 in Section 6. Section 7, which is an appendix, is devoted to  $L^p$  boundedness of the operator  $V^{2k}H_2^{-k}$ ,  $k \in \mathbf{N}$ .

**2. An estimate for  $H_1$**

In this section we show an estimate for the operator  $H_1$  (Lemma 2). Before we state it, we recall the estimates related to the function  $m(x, V)$  sometimes needed later.

**LEMMA 1** ([Sh1, Lemma 1.4 (b), (c)]). *Suppose  $V \in (RH)_{n/2}$ . Then there exist constants  $C_1, C_2$ , and  $k_0$  such that*

$$m(y, V) \leq C_1\{1 + |x - y|m(x, V)\}^{k_0}m(x, V), \tag{12}$$

$$m(y, V) \geq \frac{C_2m(x, V)}{\{1 + |x - y|m(x, V)\}^{k_0/(k_0+1)}}. \tag{13}$$

**LEMMA 2** (cf. [Sh1, Theorem 4.13]). *Suppose  $V \in (RH)_{q_0}$  for some  $n/2 \leq q_0 < n$ . Then for  $1 \leq p \leq p_0$  there exists a constant  $C$  such that*

$$\|m(\cdot, V)\nabla H_1^{-1}f\|_{L^p(\mathbf{R}^n)} \leq C\|f\|_{L^p(\mathbf{R}^n)}, \tag{14}$$

where  $1/p_0 = 1/q_0 - 1/n$ .

**REMARK 6.** Using the same way as in the proof of [Sh1, Corollary 2.8], we can obtain  $L^p$  boundedness of the operator  $m(\cdot, V)\nabla H_1^{-1}$  with potentials  $V$  which belong to  $(RH)_{q_0}$  for some  $q_0 \geq n$ .

The following Corollary 1 is needed to prove Theorem 2.

**COROLLARY 1.** *Suppose  $V \in (RH)_{n/2}$ . Then there exists a constant  $C$  such that*

$$\|m(\cdot, V)\nabla H_1^{-1}f\|_{L^2(\mathbf{R}^n)} \leq C\|f\|_{L^2(\mathbf{R}^n)}. \tag{15}$$

PROOF OF LEMMA 2. We show Lemma 2 by a method similar to the one used in the proof of [Sh1, Theorem 4.13]. Suppose  $V \in (RH)_{q_0}$  for some  $q_0 \geq n/2$ . Then  $V \in (RH)_{q_1}$  for some  $q_1$ , satisfying  $n > q_1 > q_0$ . We denote by  $\Gamma_{H_1}(x, y)$  the fundamental solution and let

$$Tf(x) = m(x, V) \int_{\mathbf{R}^n} \nabla_x \Gamma_{H_1}(x, y) f(y) dy.$$

The adjoint of  $T$  is given by

$$T^* f(x) = \int_{\mathbf{R}^n} \nabla_y \Gamma_{H_1}(y, x) m(y, V) f(y) dy.$$

By duality, it suffices to show that

$$\|T^* f\|_{L^p(\mathbf{R}^n)} \leq C \|f\|_{L^p(\mathbf{R}^n)} \quad \text{for } p'_0 \leq p \leq \infty, \quad (16)$$

where  $1/p_0 + 1/p'_0 = 1$ . Let  $r = 1/m(x, V)$ . We choose  $t$  and  $p_1$  such that  $1/t = 1/q_1 - 1/n$ ,  $1/p_1 = 1 - 1/q_1 + 1/n$ . Thus  $1/t + 1/p_1 = 1$ . Hence, by Hölder's inequality,

$$\begin{aligned} |T^* f(x)| &\leq \sum_{j=-\infty}^{+\infty} \int_{2^{j-1}r < |y-x| \leq 2^j r} |\nabla_y \Gamma_{H_1}(y, x) m(y, V)| f(y) dy \\ &\leq \sum_{j=-\infty}^{+\infty} \left( \int_{2^{j-1}r < |y-x| \leq 2^j r} \{|\nabla_y \Gamma_{H_1}(y, x) m(y, V)|\}^t dy \right)^{1/t} \\ &\quad \cdot \left( \int_{|y-x| \leq 2^j r} |f(y)|^{p_1} dy \right)^{1/p_1}. \end{aligned}$$

It follows from (12) and [Sh1, Lemma 4.6 and Theorem 2.7] that

$$\begin{aligned} &\left( \int_{2^{j-1}r < |y-x| \leq 2^j r} \{|\nabla_y \Gamma_{H_1}(y, x) m(y, V)|\}^t dy \right)^{1/t} \\ &\leq \sum_{k=1}^K \left( \int_{\substack{|y_k-x|=3 \cdot 2^{j-2}r \\ |z-y_k| \leq 2^{j-1}r}} \{|\nabla_z \Gamma_{H_1}(z, x) m(z, V)|\}^t dz \right)^{1/t} \\ &\leq C(2^{j-1}r)^{n/q_1-2} \{1 + 2^j r m(x, V)\}^{2k_0} m(x, V) \sup_{z \in B_{5 \cdot 2^{j-3}r}(y_k)} |\Gamma_{H_1}(z, x)| \\ &\leq C(2^{j-1}r)^{n/q_1-2} (1 + 2^j)^{2k_0} \frac{1}{r} \cdot \frac{C_N}{\{1 + m(x, V)|z-x|\}^N} \cdot \frac{1}{|z-x|^{n-2}} \\ &\leq C_N (2^{j-1}r)^{n/q_1-2} (1 + 2^j)^{2k_0} \frac{1}{r} \cdot \frac{1}{(1 + 2^{j-3})^N} \cdot \frac{1}{(2^{j-3}r)^{n-2}} \\ &\leq C_N \frac{(2^j r)^{n/q_1-n}}{(1 + 2^{j-3})^N r} (1 + 2^j)^{2k_0}, \end{aligned}$$

where  $K$  is a finite integer not depending on  $j$  and  $r$ . Thus

$$\begin{aligned} |T^* f(x)| &\leq C_N \sum_{j=-\infty}^{+\infty} \frac{2^{(1+2k_0)j}}{(1+2^{j-3})^N} \left\{ \frac{1}{(2^j r)^n} \int_{B_{2^j r}(x)} |f(y)|^{p_1} dy \right\}^{1/p_1} \\ &\leq C \{M(|f|^{p_1})(x)\}^{1/p_1}, \end{aligned}$$

where we choose  $N \geq 2 + 2k_0$  and  $M$  is the Hardy-Littlewood maximal operator. It follows that

$$\|T^* f\|_{L^p(\mathbf{R}^n)} \leq C \|f\|_{L^p(\mathbf{R}^n)} \quad \text{for } p_1 < p \leq \infty. \tag{17}$$

Then (16) follows since  $p'_0 > p_1$ . □

### 3. Proof of Theorem 2

In this section we prove Theorem 2. It follows easily from the following Lemma 3.

LEMMA 3. *Suppose  $V \in (RH)_{n/2}$  and  $(-\Delta)^2 u + V^2 u = 0$  in  $B_R(x_0)$  for some  $x_0 \in \mathbf{R}^n$ . Then for any positive integer  $N$  there exists a constant  $C_N$  such that*

$$\sup_{y \in B_{R/2}(x_0)} |u(y)| \leq \frac{C_N}{\{1 + Rm(x_0, V)\}^N} \sup_{y \in B_R(x_0)} |u(y)|. \tag{18}$$

Assuming Lemma 3 for the moment, we give

PROOF OF THEOREM 2. Fix  $x_0, y_0 \in \mathbf{R}^n$  and put  $R = |x_0 - y_0|$ . Then  $u(x) = \Gamma_{H_2}(x, y_0)$  is a solution of  $(-\Delta)^2 u + V^2 u = 0$  on  $B_{R/4}(x_0)$ . Using the estimate  $0 \leq \Gamma_{H_2}(x, y) \leq C/|x - y|^{n-4}$  and (18), we arrive at the desired estimate. □

To prove Lemma 3 we need some lemmas.

LEMMA 4. *Let  $V \in (RH)_{n/2}$ . Then there exists a constant  $C$  such that*

$$\begin{aligned} &\int_{\mathbf{R}^n} m(x, V)^4 |u(x)|^2 dx + \int_{\mathbf{R}^n} m(x, V)^2 |\nabla u(x)|^2 dx \\ &\leq C \int_{\mathbf{R}^n} |\Delta u(x)|^2 dx + C \int_{\mathbf{R}^n} V(x)^2 |u(x)|^2 dx, \end{aligned}$$

where  $u \in C_0^\infty(\mathbf{R}^n)$ .

PROOF. By Corollary 1 and [Sh1, Corollary 2.8] we have

$$\begin{aligned} &\int_{\mathbf{R}^n} m(x, V)^4 |u(x)|^2 dx + \int_{\mathbf{R}^n} m(x, V)^2 |\nabla u(x)|^2 dx \\ &\leq C \int_{\mathbf{R}^n} |(-\Delta + V)u(x)|^2 dx \end{aligned}$$

$$\leq C \int_{\mathbf{R}^n} |\Delta u(x)|^2 dx + C \int_{\mathbf{R}^n} V(x)^2 |u(x)|^2 dx. \quad \square$$

LEMMA 5 ([Zh, Lemma 5.5])(Caccioppoli type inequality). *Assume  $(-\Delta)^2 u + V^2 u = 0$  in  $B_R(x_0)$ . Then there exists a constant  $C$  such that*

$$\begin{aligned} & \int_{B_{R/2}(x_0)} |\Delta u(x)|^2 dx + \int_{B_{R/2}(x_0)} V(x)^2 |u(x)|^2 dx \\ & \leq \frac{C}{R^4} \int_{B_R(x_0)} |u(x)|^2 dx + \frac{C}{R^2} \int_{B_R(x_0)} |\nabla u(x)|^2 dx. \end{aligned} \quad (19)$$

LEMMA 6 ([Zh, Corollary 5.6]). *Assume  $(-\Delta)^2 u + V^2 u = 0$ ,  $u \geq 0$ , in  $B_R(x_0)$ . Then*

$$\begin{aligned} |u(x_0)| & \leq C \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |u(x)|^2 dx \right)^{1/2} \\ & \quad + CR \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla u(x)|^2 dx \right)^{1/2}. \end{aligned} \quad (20)$$

REMARK 7. From (20) we have for all  $y \in B_{R/2}(x_0)$ ,

$$\begin{aligned} |u(y)| & \leq C \left( \frac{1}{|B_{R/4}(y)|} \int_{B_{R/4}(y)} |u(x)|^2 dx \right)^{1/2} \\ & \quad + CR \left( \frac{1}{|B_{R/4}(y)|} \int_{B_{R/4}(y)} |\nabla u(x)|^2 dx \right)^{1/2}. \end{aligned} \quad (21)$$

Then we have

$$\begin{aligned} \sup_{y \in B_{R/2}(x_0)} |u(y)| & \leq C \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |u(x)|^2 dx \right)^{1/2} \\ & \quad + CR \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla u(x)|^2 dx \right)^{1/2}. \end{aligned} \quad (22)$$

LEMMA 7. *Let  $j = 1, 2, 3$ . Suppose  $V \in (RH)_{q_0}$  for some  $n/2 \leq q_0 < 2n/(4-j)$ . Assume also that  $(-\Delta)^2 u + V^2 u = 0$  in  $B_R(x_0)$  for some  $x_0 \in \mathbf{R}^n$ . Then there exists a constant  $C$  such that*

$$\left( \int_{B_{R/2}(x_0)} |\nabla^j u(x)|^t dx \right)^{1/t} \leq CR^{(2n/q_0)-4} \{1 + Rm(x_0, V)\}^4 \sup_{y \in B_R(x_0)} |u(y)|, \quad (23)$$

where  $1/t = 2/q_0 - (4-j)/n$ .



PROOF. We show Lemma 7 by a method similar to the one used in the proof of [Sh1, Lemma 4.6]. Let  $\eta \in C_0^\infty(B_R(x_0))$  such that  $\eta \equiv 1$  on  $B_{3R/4}(x_0)$  and  $|\nabla\eta| \leq C/R$ ,  $|\nabla^2\eta| \leq C/R^2$ ,  $|\nabla(\Delta\eta)| \leq C/R^3$ , and  $|\Delta^2\eta| \leq C/R^4$ . We denote by  $\Gamma_{H_2,0}(x, y)$  the fundamental solution for  $(-\Delta)^2$ . Note that

$$\begin{aligned} u(x)\eta(x) &= \int_{\mathbf{R}^n} \Gamma_{H_2,0}(x, y)(-\Delta)^2(u\eta)(y)dy \\ &= \int_{\mathbf{R}^n} \Gamma_{H_2,0}(x, y)\{-V(y)^2u(y)\eta(y) + 4\Delta(\nabla u(y) \cdot \nabla\eta(y)) \\ &\quad + 2\Delta(u(y)\Delta\eta(y)) - 4\nabla^2u(y) \cdot \nabla^2\eta(y) - 4\nabla u(y) \cdot \nabla(\Delta\eta(y)) \\ &\quad - u(y)(\Delta^2\eta(y))\}dy, \end{aligned} \tag{24}$$

where  $\nabla^2u(y) \cdot \nabla^2\eta(y) = \sum_{j,k=1}^n \partial^2u(y)/\partial y_j\partial y_k \cdot \partial^2\eta(y)/\partial y_j\partial y_k$ . Then by integration by parts, for  $x \in B_{R/2}(x_0)$  we have

$$\begin{aligned} |\nabla^j u(x)| &\leq C \int_{B_R(x_0)} \frac{V(y)^2|u(y)||\eta(y)|}{|x-y|^{n-4+j}}dy + \frac{C}{R^{n+j}} \int_{B_R(x_0)} |u(y)|dy \\ &\leq C \sup_{y \in B_R(x_0)} |u(y)| \cdot \int_{B_R(x_0)} \frac{V(y)^2|\eta(y)|}{|x-y|^{n-4+j}}dy + \frac{C}{R^{n+j}} \int_{B_R(x_0)} |u(y)|dy. \end{aligned}$$

It then follows from the well known theorem on fractional integrals that

$$\begin{aligned} &\left( \int_{B_{R/2}(x_0)} |\nabla^j u(x)|^t dx \right)^{1/t} \\ &\leq C \sup_{y \in B_R(x_0)} |u(y)| \left( \int_{B_R(x_0)} V(x)^{q_0} dx \right)^{2/q_0} + CR^{(2n/q_0)-4} \sup_{y \in B_R(x_0)} |u(y)| \\ &\leq CR^{(2n/q_0)-4} \{1 + Rm(x_0, V)\}^4 \sup_{y \in B_R(x_0)} |u(y)|, \end{aligned}$$

where  $1/t = 2/q_0 - (4-j)/n$  and we have used Remark 2. □

Since  $n \geq 5$ , we have

COROLLARY 2. Let  $j = 1, 2$ . Suppose  $V \in (RH)_{n/2}$  and  $(-\Delta)^2u + V^2u = 0$  in  $B_R(x_0)$  for some  $x_0 \in \mathbf{R}^n$ . Then there exists a constant  $C$  such that

$$\left( \frac{1}{|B_{R/2}(x_0)|} \int_{B_{R/2}(x_0)} |\nabla^j u(x)|^2 dx \right)^{1/2} \leq \frac{C\{1 + Rm(x_0, V)\}^4}{R^j} \sup_{y \in B_R(x_0)} |u(y)|. \tag{25}$$

Now we are ready to give

PROOF OF LEMMA 3. Let  $\eta \in C_0^\infty(B_{R/2}(x_0))$  such that  $\eta \equiv 1$  on  $B_{R/4}(x_0)$ ,  $|\nabla\eta| \leq C/R$ , and  $|\nabla^2\eta| \leq C/R^2$ . Applying Lemma 4 to  $u\eta$  and using Lemma 5 we have

$$\begin{aligned} & \int_{B_{R/4}(x_0)} m(x, V)^4 |u(x)|^2 dx + \int_{B_{R/4}(x_0)} m(x, V)^2 |\nabla u(x)|^2 dx \\ & \leq \frac{C}{R^4} \int_{B_R(x_0)} |u(x)|^2 dx + \frac{C}{R^2} \int_{B_R(x_0)} |\nabla u(x)|^2 dx. \end{aligned}$$

By (13) it follows that

$$\begin{aligned} & \int_{B_{R/4}(x_0)} |u(x)|^2 dx \\ & \leq \frac{C\{1 + Rm(x_0, V)\}^{4k_0/(k_0+1)}}{R^4 m(x_0, V)^4} \left( \int_{B_R(x_0)} |u(x)|^2 dx + R^2 \int_{B_R(x_0)} |\nabla u(x)|^2 dx \right) \\ & \leq \frac{C}{\{1 + Rm(x_0, V)\}^{4/(k_0+1)}} \left( \int_{B_R(x_0)} |u(x)|^2 dx + R^2 \int_{B_R(x_0)} |\nabla u(x)|^2 dx \right). \end{aligned}$$

Then we have

$$\begin{aligned} & \left( \frac{1}{|B_{R/4}(x_0)|} \int_{B_{R/4}(x_0)} |u(x)|^2 dx \right)^{1/2} \leq \frac{C}{\{1 + Rm(x_0, V)\}^{2/(k_0+1)}} \\ & \cdot \left\{ \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |u(x)|^2 dx \right)^{1/2} + R \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla u(x)|^2 dx \right)^{1/2} \right\}. \end{aligned}$$

Similarly

$$\begin{aligned} & R \left( \frac{1}{|B_{R/4}(x_0)|} \int_{B_{R/4}(x_0)} |\nabla u(x)|^2 dx \right)^{1/2} \leq \frac{C}{\{1 + Rm(x_0, V)\}^{1/(k_0+1)}} \\ & \cdot \left\{ \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |u(x)|^2 dx \right)^{1/2} + R \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla u(x)|^2 dx \right)^{1/2} \right\}. \end{aligned}$$

By repeating above argument, for any  $N > 0$  we have

$$\begin{aligned} & \left( \frac{1}{|B_{R/4^N}(x_0)|} \int_{B_{R/4^N}(x_0)} |u(x)|^2 dx \right)^{1/2} + R \left( \frac{1}{|B_{R/4^N}(x_0)|} \int_{B_{R/4^N}(x_0)} |\nabla u(x)|^2 dx \right)^{1/2} \\ & \leq \frac{C_N}{\{1 + Rm(x_0, V)\}^{N/(k_0+1)}} \left\{ \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |u(x)|^2 dx \right)^{1/2} \right. \\ & \quad \left. + R \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla u(x)|^2 dx \right)^{1/2} \right\}. \end{aligned} \tag{26}$$

Then using Estimates (22), (25), and (26) we arrive at the desired estimate.  $\square$

**4. Proof of Theorem 3**

In this section we prove Theorem 3 which states the first, second, and third order derivative estimates of the fundamental solution for  $H_2$ . We arrive at Theorem 3 combining the following Lemma 8 with Lemma 3.

LEMMA 8. *Let  $j = 1, 2, 3$ . Suppose  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $V(x) \leq Cm(x, V)^2$ . Assume also that  $(-\Delta)^2 u + V^2 u = 0$  in  $B_R(x_0)$  for some  $x_0 \in \mathbf{R}^n$ . Then there exist constants  $C_j$  and  $C'_j$  such that*

$$\sup_{y \in B_{R/2}(x_0)} |\nabla^j u(y)| \leq \frac{C_j \{1 + Rm(x_0, V)\}^{C'_j}}{R^j} \sup_{y \in B_R(x_0)} |u(y)|. \tag{27}$$

PROOF. Let  $\eta \in C_0^\infty(B_{R/2}(x_0))$  such that  $\eta \equiv 1$  on  $B_{R/4}(x_0)$  and  $|\nabla \eta| \leq C/R$ ,  $|\nabla^2 \eta| \leq C/R^2$ ,  $|\nabla(\Delta \eta)| \leq C/R^3$ , and  $|\Delta^2 \eta| \leq C/R^4$ . From (24) and (12) we have

$$\begin{aligned} |\nabla^j u(x_0)| &\leq C \int_{B_R(x_0)} \frac{V(y)^2 |u(y)|}{|x_0 - y|^{n-4+j}} dy + \frac{C}{R^{n+j}} \int_{B_R(x_0)} |u(y)| dy \\ &\leq C \{1 + Rm(x_0, V)\}^{4k_0} m(x_0, V)^4 R^{4-j} \sup_{y \in B_R(x_0)} |u(y)| \\ &\quad + \frac{C}{R^j} \left( \frac{1}{R^n} \int_{B_R(x_0)} |u(x)|^2 dx \right)^{1/2} \\ &\leq \frac{C \{1 + Rm(x_0, V)\}^{4(k_0+1)}}{R^j} \sup_{y \in B_R(x_0)} |u(y)|, \end{aligned} \tag{28}$$

From (28) we have for all  $y \in B_{R/2}(x_0)$ ,

$$|\nabla^j u(y)| \leq \frac{C \{1 + Rm(y, V)\}^{4(k_0+1)}}{R^j} \sup_{x \in B_{R/4}(y)} |u(x)|.$$

Using (12) we have

$$\sup_{y \in B_{R/2}(x_0)} |\nabla^j u(y)| \leq \frac{C \{1 + Rm(x_0, V)\}^{4(k_0+1)^2}}{R^j} \sup_{y \in B_R(x_0)} |u(y)|.$$

Then the proof is complete. □

As we mentioned in Section 1, we can prove derivative estimates of the fundamental solution under another assumption as the following theorem states.

THEOREM 6. *Let  $j = 1, 2, 3$ , and suppose  $V \in (RH)_{2n/(4-j)}$ . Then for any positive integer  $N$  there exists a constant  $C_N$  such that*

$$|\nabla_x^j \Gamma_{H_2}(x, y)| \leq \frac{C_N}{\{1 + m(x, V)|x - y|\}^N} \cdot \frac{1}{|x - y|^{n-4+j}}. \tag{29}$$

We arrive at Theorem 6 combining the following Lemma 9 with Lemma 3.

LEMMA 9. *Let  $j = 1, 2, 3$ , and suppose  $V \in (RH)_{2n/(4-j)}$ . Assume also that  $(-\Delta)^2 u + V^2 u = 0$  in  $B_R(x_0)$  for some  $x_0 \in \mathbf{R}^n$ . Then there exist constants  $C_j$  and  $C'_j$  such that*

$$\sup_{y \in B_{R/2}(x_0)} |\nabla^j u(y)| \leq \frac{C_j \{1 + Rm(x_0, V)\}^{C'_j}}{R^j} \sup_{y \in B_R(x_0)} |u(y)|. \quad (30)$$

PROOF. As in the proof of Lemma 7, we have

$$|\nabla^j u(x_0)| \leq C \int_{B_R(x_0)} \frac{V(y)^2 |u(y)|}{|x_0 - y|^{n-4+j}} dy + \frac{C}{R^{n+j}} \int_{B_R(x_0)} |u(y)| dy.$$

Since  $V \in (RH)_{2n/(4-j)}$ , it follows that  $V \in (RH)_q$  for some  $q > 2n/(4-j)$ . We choose  $r$  such that  $2/q + 1/r = 1$  and  $r > 1$ . By Hölder's inequality, it follows that

$$\begin{aligned} |\nabla^j u(x_0)| &\leq C R^n \left( \frac{1}{R^n} \int_{B_R(x_0)} V(y)^q dy \right)^{2/q} \left( \frac{1}{R^n} \int_{B_R(x_0)} \frac{dy}{|x_0 - y|^{(n-4+j)r}} \right)^{1/r} \\ &\quad \cdot \sup_{y \in B_R(x_0)} |u(y)| + \frac{C}{R^{n+j}} \int_{B_R(x_0)} |u(y)| dy \\ &\leq \frac{C \{1 + Rm(x_0, V)\}^4}{R^j} \sup_{y \in B_R(x_0)} |u(y)|, \end{aligned} \quad (31)$$

where we have used Remark 2. Then as in the proof of Lemma 8, we arrive at the desired estimate.  $\square$

## 5. Proof of Theorem 1

Theorem 1(1) immediately follows from the following Lemma 10.

LEMMA 10. (1) *Suppose  $V \in (RH)_{n/2}$ . Then there exists a constant  $C$  such that*

$$|m(x, V)^4 H_2^{-1} f(x)| \leq CM(|f|)(x) \quad \text{for } f \in C_0^\infty(\mathbf{R}^n), \quad (32)$$

where  $M$  is the Hardy-Littlewood maximal operator.

(2) *Let  $j = 1, 2, 3$ . Suppose  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $V(x) \leq Cm(x, V)^2$ . Then there exists a constant  $C'$  such that*

$$|m(x, V)^{4-j} \nabla^j H_2^{-1} f(x)| \leq C' M(|f|)(x) \quad \text{for } f \in C_0^\infty(\mathbf{R}^n), \quad (33)$$

where  $M$  is the Hardy-Littlewood maximal operator.

PROOF OF LEMMA 10. Estimate (32) can be proved as follows. Let  $r = 1/m(x, V)$ . Then it follows from Theorem 2 that

$$\begin{aligned} |m(x, V)^4 H_2^{-1} f(x)| &\leq C_N \int_{\mathbf{R}^n} \frac{m(x, V)^4 |f(y)|}{\{1 + m(x, V)|x - y|\}^N |x - y|^{n-4}} dy \\ &\leq C_N \sum_{j=-\infty}^{+\infty} \int_{2^{j-1}r < |x-y| \leq 2^j r} \frac{|f(y)|}{r^4 (1 + r^{-1}|x - y|)^N |x - y|^{n-4}} dy \\ &\leq C_N \sum_{j=-\infty}^{+\infty} \frac{2^{4(j-1)+n}}{(1 + 2^{j-1})^N} \cdot \frac{1}{(2^j r)^n} \int_{|x-y| \leq 2^j r} |f(y)| dy \\ &\leq C C_N \sum_{j=-\infty}^{+\infty} \frac{2^{4j}}{(1 + 2^j)^N} M(|f|)(x). \end{aligned}$$

Therefore we obtain the desired estimate, if we take  $N = 5$  for example.

The proof of (33) can be done in the same way as above by using Theorem 3. □

PROOF OF THEOREM 1(1). Since  $V(x) \leq Cm(x, V)^2$ , Estimate (3) immediately follows from (32), (33), and the fact that the Hardy-Littlewood maximal operator is bounded on  $L^p(\mathbf{R}^n)$ ,  $1 < p \leq \infty$ . □

PROOF OF THEOREM 1(2). Since  $\nabla^4(\Delta^2)^{-1}$  is bounded on  $L^p$ ,  $1 < p < \infty$ , we obtain

$$\begin{aligned} \|\nabla^4 H_2^{-1} f\|_{L^p(\mathbf{R}^n)} &\leq C \|(\Delta^2 - V^2 + V^2) H_2^{-1} f\|_{L^p(\mathbf{R}^n)} \\ &\leq C \|f\|_{L^p(\mathbf{R}^n)}. \end{aligned} \quad \square$$

**6. Proof of Theorem 5**

In this section we prove Theorem 5. First we show some lemmas needed to prove it.

LEMMA 11 (Caccioppoli type inequality). Assume  $(-\Delta)^2 u + V^2 u = f$  in  $B_R(x_0)$ . Then there exists a constant  $C$  such that

$$\begin{aligned} &\int_{B_{R/2}(x_0)} |\nabla(\Delta u(x))|^2 dx + \int_{B_{R/2}(x_0)} V(x)^2 |u(x)| |\Delta u(x)| dx \\ &\leq \int_{B_R(x_0)} |f(x)| |\Delta u(x)| dx + \frac{C}{R^2} \int_{B_R(x_0)} |\Delta u(x)|^2 dx. \end{aligned}$$

LEMMA 12 (cf. [Sh2, Lemma 1.3]). Assume  $(-\Delta)^2 u + V^2 u = f$  in  $B_R(x_0)$ . Then there exists a constant  $C$  such that

$$\left( \frac{1}{|B_{R/16}(x_0)|} \int_{B_{R/16}(x_0)} |u(x)|^q dx \right)^{1/q}$$

$$\begin{aligned}
&\leq C \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |u(x)|^2 dx \right)^{1/2} + CR \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla u(x)|^2 dx \right)^{1/2} \\
&\quad + CR^2 \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla^2 u(x)|^2 dx \right)^{1/2} + CR^4 \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |f(x)|^p dx \right)^{1/p}, \tag{34}
\end{aligned}$$

where  $2 \leq p \leq q \leq \infty$  and  $1/q > 1/p - 4/n$ .

PROOF. Let  $\eta \in C_0^\infty(B_{R/8}(x_0))$  such that  $\eta \equiv 1$  on  $B_{R/12}(x_0)$  and  $|\nabla \eta| \leq C/R$ ,  $|\nabla^2 \eta| \leq C/R^2$ ,  $|\nabla(\Delta \eta)| \leq C/R^3$ , and  $|\Delta^2 \eta| \leq C/R^4$ . Note that

$$\begin{aligned}
\{(-\Delta)^2 + V^2\}(u\eta) &= \eta\{(-\Delta)^2 + V^2\}u + 4\nabla(\Delta u) \cdot \nabla \eta + 2(\Delta u)(\Delta \eta) \\
&\quad + 4\nabla^2 u \cdot \nabla^2 \eta + 4\nabla u \cdot \nabla(\Delta \eta) + u(\Delta^2 \eta).
\end{aligned}$$

It follows that

$$\begin{aligned}
&|u(x)\eta(x)| \\
&\leq C \int_{\mathbf{R}^n} \frac{1}{|x-y|^{n-4}} \{ |f(y)\eta(y)| + |\nabla(\Delta u(y))||\nabla \eta(y)| + |\Delta u(y)||\Delta \eta(y)| \\
&\quad + |\nabla^2 u(y)||\nabla^2 \eta(y)| + |\nabla u(y)||\nabla(\Delta \eta(y))| + |u(y)||\Delta^2 \eta(y)| \} dy.
\end{aligned}$$

Thus, for  $x \in B_{R/16}(x_0)$ ,

$$\begin{aligned}
|u(x)| &\leq C \int_{B_{R/4}(x_0)} \frac{|f(y)|}{|x-y|^{n-4}} dy + \frac{C}{R^{n-3}} \int_{B_{R/4}(x_0)} |\nabla(\Delta u(y))| dy \\
&\quad + \frac{C}{R^{n-2}} \int_{B_{R/4}(x_0)} |\Delta u(y)| dy + \frac{C}{R^{n-2}} \int_{B_{R/4}(x_0)} |\nabla^2 u(y)| dy \\
&\quad + \frac{C}{R^{n-1}} \int_{B_{R/4}(x_0)} |\nabla u(y)| dy + \frac{C}{R^n} \int_{B_{R/4}(x_0)} |u(y)| dy \\
&\leq C \int_{B_{R/4}(x_0)} \frac{|f(y)|}{|x-y|^{n-4}} dy + R^4 \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |f(y)|^2 dy \right)^{1/2} \\
&\quad + C \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |u(y)|^2 dy \right)^{1/2} + CR \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla u(y)|^2 dy \right)^{1/2} \\
&\quad + CR^2 \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla^2 u(y)|^2 dy \right)^{1/2},
\end{aligned}$$

where we have used Lemmas 5 and 11 in the second inequality. Now by Young's inequality, if  $2 \leq p \leq q \leq \infty$ ,  $1/q = 1/r + 1/p - 1$ , and  $(n-4)r < n$ ,

$$\begin{aligned}
&\|u\|_{L^q(B_{R/16}(x_0))} \\
&\leq CR^{-n(1-(1/r))+4} \|f\|_{L^p(B_R(x_0))} + CR^{n/q+4} \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |f(y)|^2 dy \right)^{1/2}
\end{aligned}$$

$$\begin{aligned}
 &+ CR^{n/q} \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |u(y)|^2 dy \right)^{1/2} \\
 &+ CR^{n/q+1} \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla u(y)|^2 dy \right)^{1/2} \\
 &+ CR^{n/q+2} \left( \frac{1}{|B_R(x_0)|} \int_{B_R(x_0)} |\nabla^2 u(y)|^2 dy \right)^{1/2}.
 \end{aligned}$$

The lemma then follows since  $p \geq 2$ . □

LEMMA 13. *Let  $j$  be a positive integer and  $j \geq 2$ . Suppose  $V \in C^{j-2}(\mathbf{R}^n)$  and  $(-\Delta)^2 u + V^2 u = 0$  in  $B_R(x_0)$  for some  $x_0 \in \mathbf{R}^n$ . Assume also that  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $|\nabla^i V(x)| \leq Cm(x, V)^{2+i}$ , where  $i = 1, 2, 3, \dots, j - 2$ . Then there exist constants  $C_j$  and  $C'_j$  such that*

$$\left( \frac{1}{|B_{R/2^j}(x_0)|} \int_{B_{R/2^j}(x_0)} |\nabla^j u(x)|^2 dx \right)^{1/2} \leq \frac{C_j \{1 + Rm(x_0, V)\}^{C'_j}}{R^j} \sup_{y \in B_R(x_0)} |u(y)|. \quad (35)$$

PROOF. We prove (35) by induction on  $j$ . If  $j = 2, 3$ , Estimate (35) holds under weaker assumption than that of Lemma 13 (see Corollary 2 and Lemma 8). For  $j \geq 4$  we assume it is true for  $2, 3, 4, \dots, j - 1$ , and show the case  $j$ . Note that

$$\begin{aligned}
 \{(-\Delta)^2 + V^2\} \nabla^{j-2} u &= \nabla^{j-2} \{(-\Delta)^2 u\} + V^2 \nabla^{j-2} u \\
 &= \nabla^{j-2} (-V^2 u) + V^2 \nabla^{j-2} u = \sum_{k=1}^{j-2} \sum_{l=0}^k c(l, k) (\nabla^l V) (\nabla^{k-l} V) \nabla^{j-2-k} u, \quad (36)
 \end{aligned}$$

where  $c(l, k)$  is a constant depending on  $l$  and  $k$ . Let  $\eta \in C_0^\infty(B_{R/2^{j-1}}(x_0))$  such that  $\eta \equiv 1$  on  $B_{R/2^j}(x_0)$  and  $|\nabla \eta| \leq C/R$ ,  $|\nabla^2 \eta| \leq C/R^2$ . Multiplying the equation (36) by  $\eta^4 \nabla^{j-2} u$  and integrating over  $\mathbf{R}^n$  by integration by parts, we have

$$\begin{aligned}
 &\int_{\mathbf{R}^n} \sum_{s,t=1}^n (\partial_t \partial_s^2 \nabla^{j-2} u(x)) \partial_t (\eta^4 \nabla^{j-2} u(x)) dx \\
 &\leq C \int_{\mathbf{R}^n} \sum_{k=1}^{j-2} m(x, V)^{4+k} \nabla^{j-2-k} u(x) \eta(x)^4 \nabla^{j-2} u(x) dx, \quad (37)
 \end{aligned}$$

where  $\partial_t = \partial/\partial x_t$ ,  $\partial_s^2 = \partial^2/\partial x_s^2$ ,  $1 \leq t \leq n$ ,  $1 \leq s \leq n$ . The left hand side of (37) is equal to

$$\begin{aligned}
 &\int_{\mathbf{R}^n} \sum_{s,t=1}^n (\partial_t \partial_s \nabla^{j-2} u(x))^2 \eta(x)^4 + (\partial_t \partial_s \nabla^{j-2} u(x)) \{4\eta(x)^3 \partial_t \eta(x) (\partial_s \nabla^{j-2} u(x)) \\
 &+ 4\eta(x)^3 (\partial_s \eta(x)) (\partial_t \nabla^{j-2} u(x)) + 12\eta(x)^2 (\partial_s \eta(x)) (\partial_t \eta(x)) \nabla^{j-2} u(x)
 \end{aligned}$$

$$+4\eta(x)^3(\partial_s\partial_t\eta(x))(\nabla^{j-2}u(x))dx.$$

Then we have

$$\begin{aligned} & \int_{\mathbf{R}^n} |\nabla^j u(x)|^2 \eta(x)^4 dx \\ & \leq C \int_{\mathbf{R}^n} \{|\nabla\eta(x)|^2 |\nabla^{j-1}u(x)|^2 + \eta(x)^2 (|\nabla\eta(x)|^2 + |\nabla^2\eta(x)|^2) |\nabla^{j-2}u(x)|^2 \\ & \quad + \sum_{k=1}^{j-2} m(x, V)^{4+k} |\nabla^{j-2-k}u(x)| \eta(x)^4 |\nabla^{j-2}u(x)|\} dx. \end{aligned}$$

By (12) we obtain

$$\begin{aligned} & \int_{B_{R/2^j}(x_0)} |\nabla^j u(x)|^2 dx \\ & \leq \frac{C}{R^2} \int_{B_{R/2^{j-1}}(x_0)} |\nabla^{j-1}u(x)|^2 dx + \frac{C}{R^4} \int_{B_{R/2^{j-1}}(x_0)} |\nabla^{j-2}u(x)|^2 dx \\ & \quad + C \sum_{k=1}^{j-2} \{1 + Rm(x_0, V)\}^{(4+k)k_0} m(x_0, V)^{4+k} \int_{B_{R/2^{j-1}}(x_0)} |\nabla^{j-2-k}u(x)| |\nabla^{j-2}u(x)| dx \\ & \leq \frac{C}{R^2} \cdot \frac{C_{j-1} \{1 + Rm(x_0, V)\}^{C'_{j-1}}}{R^{2(j-1)}} \cdot R^n \left( \sup_{y \in B_R(x_0)} |u(y)| \right)^2 \\ & \quad + \frac{C}{R^4} \cdot \frac{C_{j-2} \{1 + Rm(x_0, V)\}^{C'_{j-2}}}{R^{2(j-2)}} \cdot R^n \left( \sup_{y \in B_R(x_0)} |u(y)| \right)^2 \\ & \quad + C \sum_{k=1}^{j-2} \{1 + Rm(x_0, V)\}^{(4+k)k_0} m(x_0, V)^{4+k} R^k \\ & \quad \cdot \left( \int_{B_{R/2^{j-1}}(x_0)} |\nabla^{j-2}u(x)| \cdot \frac{1}{R^k} |\nabla^{j-2-k}u(x)| dx \right) \\ & \leq \frac{C \{1 + Rm(x_0, V)\}^{C'_{j-1}}}{R^{2j}} \cdot R^n \left( \sup_{y \in B_R(x_0)} |u(y)| \right)^2 + C \sum_{k=1}^{j-2} \{1 + Rm(x_0, V)\}^{(4+k)k_0} \\ & \quad \cdot \{Rm(x_0, V)\}^{4+k} \frac{1}{R^4} \left( \int_{B_{R/2^{j-1}}(x_0)} |\nabla^{j-2}u(x)|^2 dx \right. \\ & \quad \left. + \frac{1}{R^{2k}} \int_{B_{R/2^{j-1}}(x_0)} |\nabla^{j-2-k}u(x)|^2 dx \right) \\ & \leq \frac{C_j \{1 + Rm(x_0, V)\}^{C'_j}}{R^{2j}} \cdot R^n \left( \sup_{y \in B_R(x_0)} |u(y)| \right)^2, \end{aligned}$$



where  $C'_j = C'_{j-2} + (j + 2)(k_0 + 1)$ . □

Theorem 5 immediately follows from the following Lemma 14 and Lemma 3.

LEMMA 14. *Let  $j$  be a positive integer. Suppose  $V \in C^j(\mathbf{R}^n)$  and  $(-\Delta)^2 u + V^2 u = 0$  in  $B_R(x_0)$  for some  $x_0 \in \mathbf{R}^n$ . Assume also that  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $|\nabla^i V(x)| \leq Cm(x, V)^{2+i}$ , where  $i = 1, 2, 3, \dots, j$ . Then there exist constants  $C_j$  and  $C''_j$  such that*

$$\sup_{y \in B_{R/2}(x_0)} |\nabla^j u(y)| \leq \frac{C_j \{1 + Rm(x_0, V)\}^{C''_j}}{R^j} \sup_{y \in B_R(x_0)} |u(y)|. \tag{38}$$

PROOF. We prove (38) by induction on  $j$ . If  $j = 1, 2, 3$ , Estimate (38) holds under weaker assumption than that of Lemma 14 (see Lemma 8). For  $j \geq 4$ , we assume it is true for  $1, 2, 3, \dots, j - 1$ , and show the case  $j$ . Note that

$$\{(-\Delta)^2 + V^2\} \nabla^j u = \sum_{k=1}^j \sum_{l=0}^k c(l, k) (\nabla^l V) (\nabla^{k-l} V) \nabla^{j-k} u. \tag{39}$$

Let  $p \geq 2$  and  $p > n/4$ . Then it follows from (39) and Lemma 12 that

$$\begin{aligned} & |\nabla^j u(x_0)| \\ & \leq C \left( \frac{1}{|B_{R/2^j}(x_0)|} \int_{B_{R/2^j}(x_0)} |\nabla^j u(x)|^2 dx \right)^{1/2} \\ & \quad + CR \left( \frac{1}{|B_{R/2^j}(x_0)|} \int_{B_{R/2^j}(x_0)} |\nabla^{j+1} u(x)|^2 dx \right)^{1/2} \\ & \quad + CR^2 \left( \frac{1}{|B_{R/2^j}(x_0)|} \int_{B_{R/2^j}(x_0)} |\nabla^{j+2} u(x)|^2 dx \right)^{1/2} \\ & \quad + CR^4 \left\{ \frac{1}{|B_{R/2^j}(x_0)|} \int_{B_{R/2^j}(x_0)} \left( \sum_{k=1}^j \sum_{l=1}^k |\nabla^l V(x)| |\nabla^{k-l} V(x)| |\nabla^{j-k} u(x)| \right)^p dx \right\}^{1/p} \\ & \leq \frac{CC_{j+2} \{1 + Rm(x_0, V)\}^{C'_{j+2}}}{R^j} \sup_{y \in B_R(x_0)} |u(y)| + CR^4 \sum_{k=1}^j \{1 + Rm(x_0, V)\}^{(4+k)k_0} \\ & \quad \cdot m(x_0, V)^{4+k} \left( \frac{1}{|B_{R/2^j}(x_0)|} \int_{B_{R/2^j}(x_0)} |\nabla^{j-k} u(x)|^p dx \right)^{1/p} \\ & \leq \frac{C \{1 + Rm(x_0, V)\}^{C'_{j+2}}}{R^j} \sup_{y \in B_R(x_0)} |u(y)| + C \sum_{k=1}^j \{1 + Rm(x_0, V)\}^{(4+k)k_0} \end{aligned}$$

$$\begin{aligned} & \cdot R^{4+k} m(x_0, V)^{4+k} \cdot \frac{1}{R^k} \cdot \frac{C_{j-k} \{1 + Rm(x_0, V)\}^{C'_{j-k}}}{R^{j-k}} \sup_{y \in B_R(x_0)} |u(y)| \\ & \leq \frac{C_j \{1 + Rm(x_0, V)\}^{C''_j}}{R^j} \sup_{y \in B_R(x_0)} |u(y)|, \end{aligned}$$

where we have used (12) and Lemma 13 in the second inequality and the assumption of induction in the third.  $\square$

## 7. Appendix

In this section we show the  $L^p$  boundedness of the operator  $V^{2k} H_2^{-k}$ ,  $k \in \mathbf{N}$ . Let  $f \in C_0^\infty(\mathbf{R}^n)$  and assume that  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $V(x) \leq Cm(x, V)^2$ . Then for any integer  $k \geq 2$ , we define  $H_2^{-k}$  as follows.

$$H_2^{-k} f(x) = \int_{\mathbf{R}^n} \Gamma_{H_2}(x, y) H_2^{-(k-1)} f(y) dy.$$

**THEOREM 7.** *Suppose  $V \in (RH)_{n/2}$  and there exists a constant  $C$  such that  $V(x) \leq Cm(x, V)^2$ . Then there exists a constant  $C'$  such that*

$$\|V^{2k} H_2^{-k} f\|_{L^p(\mathbf{R}^n)} \leq C' \|f\|_{L^p(\mathbf{R}^n)}, \quad (40)$$

where  $1 < p \leq \infty$  and  $k \in \mathbf{N}$ .

Theorem 7 is easily proved by the following pointwise estimate.

**LEMMA 15.** *Let  $k$  be a positive integer. The operator  $M^k$  stands for the  $k$  times composition of the Hardy-Littlewood maximal operator  $M$ . Suppose  $V \in (RH)_{n/2}$ . Then there exists a constant  $C$  such that*

$$|m(x, V)^{4k} H_2^{-k} f(x)| \leq CM^k(|f|)(x) \quad \text{for } f \in C_0^\infty(\mathbf{R}^n). \quad (41)$$

**PROOF OF LEMMA 15.** Let  $f \in C_0^\infty(\mathbf{R}^n)$ . We prove Estimate (41) by induction on  $k$ . For  $k \geq 2$ , we assume it is true for  $k - 1$  and show the case  $k$ . It follows from Theorem 2 and (13) that

$$\begin{aligned} & |m(x, V)^{4k} H_2^{-k} f(x)| \\ & \leq \left| Cm(x, V)^4 \int_{\mathbf{R}^n} \Gamma_{H_2}(x, y) m(x, V)^{4(k-1)} H_2^{-(k-1)} f(y) dy \right| \\ & \leq CC_N m(x, V)^4 \int_{\mathbf{R}^n} \frac{\{1 + m(x, V)|x - y|\}^{4(k-1)k_0/(k_0+1)} |m(y, V)^{4(k-1)} H_2^{-(k-1)} f(y)|}{\{1 + m(x, V)|x - y|\}^N |x - y|^{n-4}} dy. \end{aligned}$$

Therefore we obtain the desired estimate in the same way as the case  $k = 1$ . □

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