

# Kirchhoff elastic rods in higher-dimensional space forms

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**Abstract:** In this paper, we give examples of Kirchhoff rod centerlines fully immersed in higher-dimensional space forms. More precisely, we prove that any helix in a space form is a Kirchhoff rod centerline. These examples imply the difference of the geometric properties between Kirchhoff rod centerlines and elasticae.

**Key words:** Elastica; Kirchhoff elastic rod; helix; variational problem.

**1. Introduction.** The *elastica* and the *Kirchhoff elastic rod* (or simply *Kirchhoff rod*) are both classical mathematical models of thin elastic rods. The elastica is probably the simplest model, and is characterized as a critical curve of the energy of bending only. On the other hand, the Kirchhoff rod is some more complicated model, and is characterized as a critical framed curve of the energy with the effects of both bending and twisting. The curve obtained by eliminating the frame of a Kirchhoff rod is called a *Kirchhoff rod centerline*. Then a Kirchhoff rod centerline is a generalization of an elastica.

These curves were originally considered in the two or three-dimensional Euclidean space, but these notions (or their generalizations) are naturally extended to those in general Riemannian manifolds (see, e.g., [1,2,8,9,12–14,16,17,20]).

In this paper, we consider Kirchhoff rod centerlines in simply-connected  $n$ -dimensional space forms  $\mathcal{M} = \mathbf{R}^n, S^n, H^n$ ,  $n \geq 2$ . It is known that when  $n = 2, 3$ , all Kirchhoff rod centerlines in  $\mathcal{M}$  are explicitly expressed in terms of Jacobi sn function and the elliptic integrals ([11], see also [6,10,15,18,19,21,22]). However, in the case where  $n \geq 4$ , examples of Kirchhoff rod centerlines fully immersed in  $\mathcal{M}$  are not known. The purpose of this paper is to give examples of Kirchhoff rod centerlines fully immersed in  $\mathcal{M} = \mathbf{R}^n, S^n, H^n$ , where  $n \geq 4$ . We obtain the following main theorem.

**Theorem 1.1** (Theorem 4.1). *Let  $\gamma$  be any helix in  $\mathcal{M} = \mathbf{R}^n, S^n, H^n$ , where  $n \geq 2$ . Then  $\gamma$  is a Kirchhoff rod centerline.*

Here, a helix is defined to be a curve all of whose Frenet curvatures are constant. (For details about the definition of a helix, see Section 3.) Since there exists a helix in  $\mathcal{M}$  which does not lie in any  $(n - 1)$ -dimensional totally geodesic submanifold of  $\mathcal{M}$ , we have the following

**Corollary 1.2** (Corollary 4.2). *There exists a Kirchhoff rod centerline in  $\mathcal{M} = \mathbf{R}^n, S^n, H^n$ ,  $n \geq 2$  which does not lie in any  $(n - 1)$ -dimensional totally geodesic submanifold of  $\mathcal{M}$ .*

On the other hand, as for elasticae, the following result is known ([16], see also [5]).

**Proposition 1.3** (Langer-Singer [16]). *Let  $\gamma$  be an elastica in  $\mathcal{M} = \mathbf{R}^n, S^n, H^n$ , where  $n \geq 4$ . Then  $\gamma$  lies in a three-dimensional totally geodesic submanifold of  $\mathcal{M}$ .*

Corollary 1.2 and Proposition 1.3 show the difference of the geometric properties between elasticae and Kirchhoff rod centerlines in space forms.

**2. Elasticae and Kirchhoff rod centerlines.** In this section, we define an elastica and a Kirchhoff rod centerline.

Let  $\mathcal{M}$  be  $\mathbf{R}^n, S^n, H^n$  of constant sectional curvature  $G$ . We denote by  $\langle *, * \rangle$  the Riemannian metric of  $\mathcal{M}$ , and by  $|*|$  the norm. Unless otherwise specified, all curves, vector fields etc. are assumed to be of class  $C^\infty$ .

First we define an elastica. Let  $\gamma = \gamma(t) : [t_1, t_2] \rightarrow \mathcal{M}$  be a unit-speed curve in  $\mathcal{M}$ . We denote by  $T(t) = \gamma'(t)$  the tangent vector to  $\gamma$  and by  $\nabla_T = \nabla_{\partial/\partial t}$  the covariant derivative along  $\gamma$ . The bending energy of  $\gamma$  is defined to be the total squared curvature of  $\gamma$ , that is,

$$\mathfrak{F}(\gamma) = \int_{t_1}^{t_2} |\nabla_T T|^2 dt.$$

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We call  $\gamma$  an elastica if  $\gamma$  is a critical point of the bending energy  $\mathfrak{F}$  with respect to the variations of unit-speed curves which preserve the end points  $\gamma(t_1), \gamma(t_2)$  and the tangent vectors  $T(t_1), T(t_2)$  at the end points. More precisely, an elastica is defined to be a solution of the associated Euler-Lagrange equation:

$$(1) \quad \nabla_T[2(\nabla_T)^2T + (3|\nabla_T T|^2 - \mu + 2G)T] = 0,$$

where  $\mu$  is a real constant. For the derivation of the Euler-Lagrange equation in a general Riemannian manifold, see Section 1 of [16].

**Definition 2.1.** A unit-speed curve  $\gamma$  in  $\mathcal{M}$  is called an *elastica* if there exists  $\mu \in \mathbf{R}$  such that (1) holds.

Next we define a Kirchhoff rod, which is a mathematical model of an elastic rod with the effects of both bending and twisting. The twisting of an elastic rod cannot be represented by a curve  $\gamma$  only. (Note that the torsion  $\tau$  or the higher order Frenet curvatures of  $\gamma$  are not directly related to the twisting of the elastic rod.) To describe how the elastic rod is twisted, we utilize an orthonormal frame field  $M = (M_1, M_2, \dots, M_{n-1})$  in the normal bundle  $T^\perp \mathcal{M}$  along  $\gamma$ . We call such a pair  $\{\gamma, M\}$  a *unit-speed curve with adapted orthonormal frame*, and  $\gamma$  the *centerline* of  $\{\gamma, M\}$ .

Let  $\nu$  be a fixed positive constant, which is determined by the material of the elastic rod. We define the energy  $\mathfrak{T}$ , which includes the effects of both bending and twisting, as follows:

$$\mathfrak{T}(\{\gamma, M\}) = \mathfrak{F}(\gamma) + \nu \sum_{i=1}^{n-1} \int_{t_1}^{t_2} |\nabla_T^\perp M_i|^2 dt,$$

where  $\nabla^\perp$  denotes the normal connection in  $T^\perp \mathcal{M}$ , so that,  $\nabla_T^\perp M_i = \nabla_T M_i - \langle \nabla_T M_i, T \rangle T$ . Here, the first term of  $\mathfrak{T}(\{\gamma, M\})$  expresses the energy of bending, and the second term that of twisting. We call  $\{\gamma, M\}$  a Kirchhoff rod if  $\{\gamma, M\}$  is a critical point of  $\mathfrak{T}$  with respect to the variations of unit-speed curves with adapted orthonormal frames which preserve the end points  $\gamma(t_1), \gamma(t_2)$  and the orthonormal frames  $(T(t_1), M(t_1)), (T(t_2), M(t_2))$  at the end points. More precisely, a Kirchhoff rod is defined to be a solution of the associated Euler-Lagrange equations:

$$(2) \quad \nabla_T \left[ 2(\nabla_T)^2 T + \left( 3|\nabla_T T|^2 - \mu + 2G + \nu \sum_{i=1}^{n-1} |\nabla_T^\perp M_i|^2 \right) T \right] = 0,$$

$$- 4\nu \sum_{i=1}^{n-1} \langle \nabla_T T, M_i \rangle \nabla_T^\perp M_i \Big] = 0,$$

$$(3) \quad (\nabla_T^\perp M_1, \dots, \nabla_T^\perp M_{n-1}) = (M_1, \dots, M_{n-1})a,$$

where  $\mu \in \mathbf{R}$  and  $a \in \mathfrak{o}(n-1)$ . Here,  $\mathfrak{o}(n-1)$  stands for the Lie algebra of all skew-symmetric matrices of size  $n-1$ . For the derivation of the Euler-Lagrange equation in a general Riemannian manifold, see Section 2 of [10].

**Definition 2.2.** Let  $\{\gamma, M\}$  be a unit-speed curve with adapted orthonormal frame in  $\mathcal{M}$ . We call  $\{\gamma, M\}$  a *Kirchhoff rod* if there exist  $\mu \in \mathbf{R}$  and  $a \in \mathfrak{o}(n-1)$  such that (2) and (3) hold. The matrix  $a$  is uniquely determined, and is called the *twist matrix* of  $\{\gamma, M\}$ .

We note that the matrix  $a$  in (3) is independent of  $t$ . Therefore, we see that if  $\{\gamma, M\}$  is a Kirchhoff rod, then the integrand of the second term of  $\mathfrak{T}(\{\gamma, M\})$  is independent of  $t$ . Physically, this means that the twist of an elastic rod in equilibrium is uniformly distributed along the centerline.

**Remark 2.3.** Let  $\varphi \in O(n-1)$ , where  $O(n-1)$  stands for the Lie group of all orthogonal matrices of size  $n-1$ . A straightforward calculation using (2) and (3) yields that if  $\{\gamma, M\}$  is a Kirchhoff rod, then  $\{\gamma, M\varphi\}$  is also a Kirchhoff rod. Note that if the twist matrix of  $\{\gamma, M\}$  is  $a$ , then that of  $\{\gamma, M\varphi\}$  is  $\varphi^{-1}a\varphi$ .

We define a Kirchhoff rod centerline as follows:

**Definition 2.4.** A unit-speed curve  $\gamma$  in  $\mathcal{M}$  is called a *Kirchhoff rod centerline* if there exists an orthonormal frame field  $M = (M_1, M_2, \dots, M_{n-1})$  in the normal bundle along  $\gamma$  such that  $\{\gamma, M\}$  is a Kirchhoff rod.

By (1), (2) and (3), we see the following

**Proposition 2.5.** Let  $\gamma$  be an elastica in  $\mathcal{M}$ . We take an orthonormal frame  $M^0 = (M_1^0, \dots, M_{n-1}^0)$  of the normal vector space at a point  $\gamma(t_0)$  on the curve  $\gamma$ . Let  $M = (M_1, \dots, M_{n-1})$  be the parallel translation of  $M^0$  with respect to the normal connection along  $\gamma$ . Then  $\{\gamma, M\}$  is a Kirchhoff rod with twist matrix 0. Therefore,  $\gamma$  is a Kirchhoff rod centerline. Conversely, if  $\{\gamma, M\}$  is a Kirchhoff rod with twist matrix 0, then  $\gamma$  is an elastica and  $M$  is parallel with respect to the normal connection.

The above proposition implies that a Kirchhoff rod centerline is a generalization of an elastica.

**3. Helices.** In this section, we recapitulate the Frenet frame and Frenet curvatures of a curve in a space form and define a helix.

Let  $\mathcal{M} = \mathbf{R}^n$ ,  $S^n$ ,  $H^n$ , and let  $d$  be an integer satisfying  $2 \leq d \leq n$ . A unit-speed curve  $\gamma$  in  $\mathcal{M}$  is called a *Frenet curve of osculating rank  $d$*  if  $T, \nabla_T T, \dots, (\nabla_T)^{d-1} T$  are linearly independent for each  $t$ , and  $T, \nabla_T T, \dots, (\nabla_T)^{d-1} T, (\nabla_T)^d T$  are linearly dependent for each  $t$ . For a Frenet curve  $\gamma$  of osculating rank  $d$ , let  $(N_0, N_1, \dots, N_{d-1})$  be the orthonormal  $d$ -frame along  $\gamma$  obtained by applying the Gram-Schmidt orthogonalization to  $(T, \nabla_T T, (\nabla_T)^2 T, \dots, (\nabla_T)^{d-1} T)$ , and let  $\kappa_1(t), \dots, \kappa_{d-1}(t)$  be the functions defined by  $\kappa_i = \langle \nabla_T N_{i-1}, N_i \rangle$ ,  $i = 1, \dots, d-1$ . Then  $\kappa_1, \dots, \kappa_{d-1}$  are positive functions and the following Frenet formula holds:

$$(4) \quad (\nabla_T N_0, \dots, \nabla_T N_{d-1}) = (N_0, \dots, N_{d-1})f,$$

where

$$f = \begin{pmatrix} 0 & -\kappa_1 & & & & & & \mathbf{0} \\ \kappa_1 & 0 & -\kappa_2 & & & & & \\ & \kappa_2 & 0 & \ddots & & & & \\ & & \ddots & \ddots & \ddots & & & \\ \mathbf{0} & & & & \ddots & 0 & -\kappa_{d-1} & \\ & & & & & \kappa_{d-1} & 0 & \end{pmatrix}.$$

The orthonormal  $d$ -frame  $(N_0, \dots, N_{d-1})$  is called the *Frenet  $d$ -frame along  $\gamma$* , and the function  $\kappa_i$  is called the  *$i$ -th Frenet curvature* of  $\gamma$ .

By a similar argument to that in the case of  $\mathbf{R}^3$  [4], we can check the following holds. Given arbitrary  $d-1$  positive functions  $\kappa_1, \dots, \kappa_{d-1}$ , there exists a curve  $\gamma$  of osculating rank  $d$  whose  $i$ -th Frenet curvature coincides with  $\kappa_i$  for  $i = 1, \dots, d-1$ . Such  $\gamma$  is uniquely determined up to isometries of  $\mathcal{M}$ . We can also check that a Frenet curve of osculating rank  $d$  lies in a  $d$ -dimensional totally geodesic submanifold of  $\mathcal{M}$ , and does not lie in any  $(d-1)$ -dimensional totally geodesic submanifold of  $\mathcal{M}$ .

A Frenet curve  $\gamma$  of osculating rank  $d$  is called a *helix of order  $d$*  if all the Frenet curvatures  $\kappa_1, \dots, \kappa_{d-1}$  are constant functions. Also, a unit-speed curve  $\gamma$  is called a *helix* if  $\gamma$  is a helix of order  $d$  for some  $d$ .

**4. Main theorem.** In this section, we express the Euler-Lagrange equation (2) in terms of the Frenet frame, and state the main theorem.

Let  $\mathcal{M} = \mathbf{R}^n$ ,  $S^n$ ,  $H^n$ , where  $n \geq 4$ . Let  $\{\gamma, M\}$  be a unit-speed curve with adapted orthonormal frame in  $\mathcal{M}$  defined on  $I = [t_1, t_2]$ . Suppose that  $\gamma$  is a Frenet curve of osculating rank  $n$ , and let  $(N_0, \dots, N_{n-1})$  denote the Frenet  $n$ -frame along  $\gamma$ ,

and  $\kappa_1, \dots, \kappa_{n-1}$  the Frenet curvatures of  $\gamma$ . We fix  $t_0 \in I$ . By Remark 2.3, we may assume, without loss of generality, that  $M(t_0) = (N_1(t_0), \dots, N_{n-1}(t_0))$ .

Suppose that (3) holds for some  $a \in \mathfrak{o}(n-1)$ . It follows from (4) that

$$\begin{aligned} & \nabla_T \left[ 2(\nabla_T)^2 T + \left( 3|\nabla_T T|^2 - \mu + 2G \right. \right. \\ & \quad \left. \left. + \nu \sum_{i=1}^{n-1} |\nabla_T^\perp M_i|^2 \right) T \right] \\ &= \left[ 2\kappa_1'' + (\kappa_1)^3 + \left( -\mu + 2G + \nu \sum_{i,j=1}^{n-1} (a^{j,i})^2 \right) \kappa_1 \right. \\ & \quad \left. - 2\kappa_1(\kappa_2)^2 \right] N_1 + (4\kappa_1' \kappa_2 + 2\kappa_1 \kappa_2') N_2 \\ & \quad + 2\kappa_1 \kappa_2 \kappa_3 N_3, \end{aligned}$$

where  $'$  denotes the differentiation with respect to  $t$ , and  $a^{j,i}$  the  $(j, i)$ -component of  $a$ .

To calculate  $\nabla_T[\sum_{i=1}^{n-1} \langle \nabla_T T, M_i \rangle \nabla_T^\perp M_i]$ , we also use a  $\nabla^\perp$ -parallel frame along  $\gamma$ . Let  $P = (P_1, P_2, \dots, P_{n-1})$  denote the parallel translation of  $(N_1(t_0), \dots, N_{n-1}(t_0))$  with respect to the normal connection  $\nabla^\perp$  along  $\gamma$ . Let  $w_i = \langle \nabla_T T, M_i \rangle$  and  $k_i = \langle \nabla_T T, P_i \rangle$ ,  $i = 1, 2, \dots, n-1$ . Then

$$\begin{aligned} & (\nabla_T T, \nabla_T M_1, \dots, \nabla_T M_{n-1}) \\ &= (T, M_1, \dots, M_{n-1}) \begin{pmatrix} 0 & -{}^t \mathbf{w} \\ \mathbf{w} & a \end{pmatrix}, \\ & (\nabla_T T, \nabla_T P_1, \dots, \nabla_T P_{n-1}) \\ &= (T, P_1, \dots, P_{n-1}) \begin{pmatrix} 0 & -{}^t \mathbf{k} \\ \mathbf{k} & 0 \end{pmatrix}, \end{aligned}$$

where  $\mathbf{w} = {}^t(w_1, \dots, w_{n-1})$  and  $\mathbf{k} = {}^t(k_1, \dots, k_{n-1})$ . The orthonormal frames  $(T, M_1, \dots, M_{n-1})$  and  $(T, N_1, \dots, N_{n-1})$  are expressed as

$$\begin{aligned} & (T, M_1, \dots, M_{n-1}) \\ &= (T, P_1, \dots, P_{n-1}) \begin{pmatrix} 1 & 0 \\ 0 & \xi \end{pmatrix}, \\ & (T, N_1, \dots, N_{n-1}) \\ &= (T, P_1, \dots, P_{n-1}) \begin{pmatrix} 1 & 0 \\ 0 & \psi \end{pmatrix}, \end{aligned}$$

for some  $\xi, \psi: I \rightarrow O(n-1)$ . A straightforward calculation yields

$$(5) \quad \begin{aligned} & \mathbf{w} = {}^t \xi \mathbf{k} = \xi^{-1} \mathbf{k}, \quad a = \xi^{-1} \xi', \\ & {}^t(\kappa_1, 0, \dots, 0) = {}^t \psi \mathbf{k} = \psi^{-1} \mathbf{k}, \quad b = \psi^{-1} \psi', \end{aligned}$$

where  $b: I \rightarrow \mathfrak{o}(n-1)$  is defined by



Then we see that  $[a, b] = 0$ , and (11), (12) and (13) hold. Thus  $\{\gamma, M\}$  is a Kirchhoff rod, and so  $\gamma$  is a Kirchhoff rod centerline.

Next let  $n = 3$ . In this case, it immediately follows that there exists  $\mu \in \mathbf{R}$  satisfying (9). Thus  $\{\gamma, M\}$  is a Kirchhoff rod, and hence  $\gamma$  is a Kirchhoff rod centerline. In the case of  $n = 2$ , by setting  $\mu = \kappa_1^2 + 2G$ , the equation (10) is satisfied, and hence  $\gamma$  is a Kirchhoff rod centerline.

We consider the case where  $\gamma$  is a helix of order  $2 \leq d \leq n - 1$ . Then  $\gamma$  lies in a  $d$ -dimensional totally geodesic submanifold  $\mathcal{N}$  of  $\mathcal{M}$ . By an argument similar to the above, we see that there exists an orthonormal  $(d - 1)$ -frame field  $(M_1, \dots, M_{d-1})$  along  $\gamma$  such that  $\{\gamma, (M_1, \dots, M_{d-1})\}$  is a Kirchhoff rod in  $\mathcal{N}$ . Since  $\mathcal{N}$  is a totally geodesic submanifold, we can take an orthonormal  $(n - d)$ -frame field  $(M_d, \dots, M_{n-1})$  along  $\gamma$  such that  $\nabla_T M_d = \dots = \nabla_T M_{n-1} = 0$  and  $(M_d(t), \dots, M_{n-1}(t))$  is an orthonormal basis of the normal vector space  $T_{\gamma(t)}^\perp \mathcal{N}$  for each  $t$ . Then we can check that  $\{\gamma, (M_1, \dots, M_{d-1}, M_d, \dots, M_{n-1})\}$  is a Kirchhoff rod in  $\mathcal{M}$ . Hence  $\gamma$  is a Kirchhoff rod centerline in  $\mathcal{M}$ .  $\square$

For arbitrary positive numbers  $\kappa_1, \dots, \kappa_{n-1}$ , there exists a helix  $\gamma$  of order  $n$  whose  $i$ -th Frenet curvature coincides with  $\kappa_i$  for  $i = 1, \dots, n - 1$ . Since  $\gamma$  does not lie in any  $(n - 1)$ -dimensional totally geodesic submanifold of  $\mathcal{M}$ , we obtain

**Corollary 4.2.** *There exists a Kirchhoff rod centerline in  $\mathcal{M} = \mathbf{R}^n, S^n, H^n$ ,  $n \geq 2$  which does not lie in any  $(n - 1)$ -dimensional totally geodesic submanifold of  $\mathcal{M}$ .*

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