

Canonical curves of genus eight

By Manabu IDE*) and Shigeru MUKAI***)

(Communicated by Shigefumi MORI, M. J. A., March 12, 2003)

Abstract: A non-tetragonal curve of genus 8 is a complete intersection of divisors in either $\mathbf{P}^2 \times \mathbf{P}^2$, a 6-dimensional weighted Grassmannian or the 8-dimensional Grassmannian.

Key words: Canonical curve; gonality; Grassmann variety.

Let $C = C_{14} \subset \mathbf{P}^7$ be a canonical curve of genus 8 over an algebraically closed field k . If C has no g_7^2 , then $C_{14} \subset \mathbf{P}^7$ is a transversal linear section $[G(2, 6) \subset \mathbf{P}^{14}] \cap H_1 \cap \dots \cap H_7$ of the 8-dimensional Grassmannian ([M2]). This is the case $\langle 8 \rangle$ of the Flowchart below. In this article we study the case where C has a g_7^2 . The system of defining equations of C_{14} is easily found from the following: ([M1] Prop. 5)

Theorem. (i) Assume that C has no g_4^1 . If $\alpha^2 \cong K_C$, then C is the complete intersection of the 6-dimensional weighted Grassmannian $w\text{-}G(2, 5) \subset \mathbf{P}(1^3 : 2^6 : 3)$ with a subspace $\mathbf{P}(11122)$, where $w = (1, 1, 1, 3, 3)/2$ (Case $\langle 7 \rangle$). Otherwise C is the complete intersection of three divisors of bidegree $(1, 1)$, $(1, 2)$ and $(2, 1)$ in $\mathbf{P}^2 \times \mathbf{P}^2$ (Case $\langle 6 \rangle$).

(ii) Assume that C has a g_4^1 but no g_6^2 . Then C is the complete intersection of four divisors of bidegree $(1, 1)$, $(1, 1)$, $(0, 2)$ and $(1, 2)$ in $\mathbf{P}^1 \times \mathbf{P}^4$ (Case $\langle 5 \rangle$).

Here a g_d^r is a line bundle of degree d and $h^0 \geq r + 1$.

Corollary. C is a complete intersection of divisors in a variety X which is either a non-singular toric variety or a weighted Grassmannian:

Case	$\langle 1 \rangle$	$\langle 2 \rangle$	$\langle 3 \rangle$	$\langle 4 \rangle$	
X	\mathbf{F}_9	$\mathbf{P}^1 \times \mathbf{P}^1, \mathbf{F}_2$	W_7'	$Bl_p \mathbf{P}^3$	
Cliff C	0	1			2

$\langle 5 \rangle$	$\langle 6 \rangle$	$\langle 7 \rangle$	$\langle 8 \rangle$
$\mathbf{P}^1 \times \mathbf{P}^4$	$\mathbf{P}^2 \times \mathbf{P}^2$	$w\text{-}G(2, 5)$	$G(2, 6)$
3			

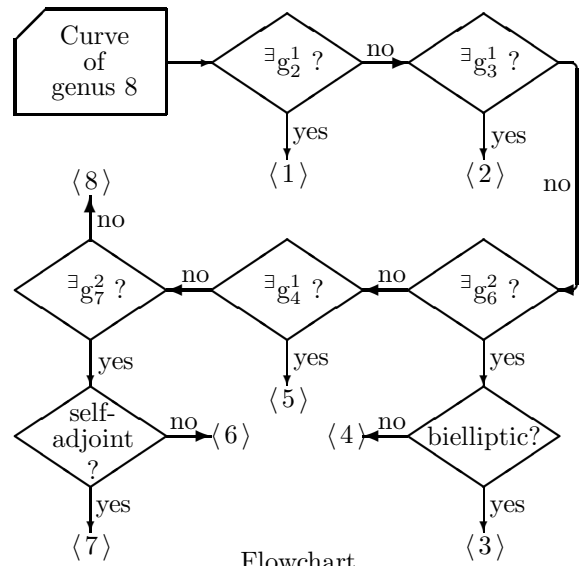
2000 Mathematics Subject Classification. Primary 14H45.

*) Graduate School of Mathematics, Nagoya University, Furo-cho, Chikusa-ku, Nagoya, Aichi 464-8602.

**) Research Institute for Mathematical Sciences, Kyoto University, Kita Shirakawa-Oiwake-cho, Sakyo-ku, Kyoto 606-8502.

Here W_7' is the \mathbf{P}^1 -bundle $\mathbf{P}(\mathcal{O}_S \oplus \mathcal{O}_S(-K))$ over S_7 , the blow-up of \mathbf{P}^2 at two points. The bottom row indicates the Clifford index of C .

This is applied to the K3-extension problem in [I].



Flowchart

1. Cases of small Clifford index. The cases $\langle 1 \rangle, \dots, \langle 4 \rangle$ are easy.

Case $\langle 1 \rangle$. C is a double covering $y^2 = f_{18}(x_0, x_1)$ of \mathbf{P}^1 in the weighted projective space $\mathbf{P}(1 : 1 : 9)$, whose minimal resolution \mathbf{F}_9 is the toric variety X .

Case $\langle 2 \rangle$. X , a 2-dimensional rational scroll of degree 6, is the quadric hull of $C_{14} \subset \mathbf{P}^7$ ([ACGH] III §3).

Case $\langle 3 \rangle$. $C_{14} \subset \mathbf{P}^7$ is contained in the cone over an elliptic curve $E_7 \subset \mathbf{P}^6$ of degree 7. E_7 is a hyperplane section of a smooth del Pezzo surface $S_7 \subset \mathbf{P}^7$ of degree 7. Let B be the branch locus of the double covering $C \rightarrow E_7$. Then there exists a member $D \in |-2K_S|$ with $D \cap C = B$. In the

\mathbf{P}^1 -bundle W'_7 , C is the intersection of the double covering of S with branch D and the inverse image of E_7 .

Case $\langle 4 \rangle$. Let α be a non-bielliptic g_6^2 , and β its Serre adjoint $K_C\alpha^{-1}$, which is a g_8^3 by Riemann-Roch. Then both α and β are base point free. $\Phi_{|\alpha|}$ is a birational morphism onto a plane sextic C_6 with two nodes, one of which may be infinitely near. Let $\pi : S \xrightarrow{\pi_2} S' \xrightarrow{\pi_1} \mathbf{P}^2$ be the composite of the blowing-ups at these nodes, h the pull-back of a line, e_1 and e_2 the total transform of the exceptional divisors. Since $-K_S \sim 3h - e_1 - e_2$ and $C \sim 6h - 2e_1 - 2e_2$, we have $\alpha = h|_C$, $\beta = (2h - e_1 - e_2)|_C$ and $\beta\alpha^{-1} = (h - e_1 - e_2)|_C$.

The morphism $\Phi_{|\beta|}$ is birational onto a space curve $C_8 \subset \mathbf{P}^3$ of degree 8. $\Phi_{|\beta|}$ extends to the morphism $f = \Phi_{|2h - e_1 - e_2|} : S \rightarrow \mathbf{P}^3$ onto a quadric surface Q . f contracts the strict transform $L \in |h - e_1 - e_2|$ of the line passing through the two nodes of C_6 to a nonsingular point p of Q . Since $L.C = 2$, p is a double point of C_8 . C_8 is a complete intersection of Q and a quartic surface since $C + 2L \sim 4(2h - e_1 - e_2)$. C itself is the complete intersection of two divisors in the blow-up X of \mathbf{P}^3 at p . One is the strict transform $\simeq S$ of Q and the other belongs to $|-K|$.

Case $\langle 5 \rangle$. Let α be a g_4^1 and β its Serre adjoint. Then $|\alpha|$ is base point free since C is not trigonal. β is a g_{10}^4 by Riemann-Roch and very ample by assumption since C has no g_6^2 or g_8^3 .

Lemma 1.1. *The multiplication map*

$$\mu : H^0(\alpha) \otimes H^0(\beta) \longrightarrow H^0(K_C) \text{ is surjective.}$$

Proof. By the base point free pencil trick ([ACGH]), the kernel of μ is $H^0(\alpha^{-1}\beta)$. If μ is not surjective, then $\alpha^{-1}\beta$ is a g_6^2 . This is a contradiction. \square

There is a commutative diagram of embeddings

$$\begin{array}{ccc} \mathbf{P}^1 \times \mathbf{P}^4 & \xrightarrow{\text{Segre}} & \mathbf{P}^9 = \mathbf{P}^*(H^0(\alpha) \otimes H^0(\beta)) \\ \uparrow \Phi_{|\alpha|} \times \Phi_{|\beta|} & & \uparrow \mu^* \\ C & \xrightarrow{\text{canonical}} & \mathbf{P}^7 = \mathbf{P}^*H^0(\omega_C), \end{array}$$

where μ^* is the linear embedding associated with the surjection μ . By the lemma, the number of linearly independent $(1, 1)$ -forms vanishing on C is equal to 2. Therefore, C is contained in the intersection Y of two divisors of bidegree $(1, 1)$ in $X = \mathbf{P}^1 \times \mathbf{P}^4$. Since every divisor of bidegree $(1, 1)$ containing C is smooth, Y is smooth of dimension 3. Moreover

$\text{Pic } Y \cong \mathbf{Z}^2$ by Lefschetz theorem. By easy dimension count, there exists a divisor of bidegree $(1, 2)$ and $(0, 2)$ on Y which contain C . Since the degree of the complete intersection $Y \cap (1, 2) \cap (0, 1)$ is

$$(a + b)^2 \cdot (a + 2b) \cdot (2b) \cdot (a + b) = 14ab^3 = 14 = \text{deg } C,$$

it coincides with C , where $a = pr_1^*\mathcal{O}_{\mathbf{P}^1}(1)$ and $b = pr_2^*\mathcal{O}_{\mathbf{P}^4}(1)$.

2. Linear net of degree 7. Assume that C has a g_7^2 α but no g_4^1 . Let $\overline{C} \subset \mathbf{P}^2$ be the image of the morphism $\Phi_{|\alpha|}$. Then \overline{C} is of degree 7 and has no triple points. By the genus formula, \overline{C} has 7 double points, some of which may be infinitely near. Therefore, there is a composition π of seven one-point-blowing-ups

$$S := S_{(7)} \longrightarrow S_{(6)} \longrightarrow \cdots \longrightarrow S_{(1)} \longrightarrow S_{(0)} = \mathbf{P}^2$$

such that $\Phi_{|\alpha|} : C \rightarrow \mathbf{P}^2$ lifts to $C \rightarrow S$. Let $E_i \subset S$, $1 \leq i \leq 7$, be the total transform of the exceptional divisor of the blow-up $S_{(i)} \rightarrow S_{(i-1)}$ and h the pull back of a line. Then $C \subset S$ belongs to the linear system $|7h - 2\sum_{i=1}^7 E_i|$. Since the canonical class K_S of S is $-3h + \sum_{i=1}^7 E_i$,

$$H^i \left(\mathcal{O}_S \left((n-7)h + \sum_{i=1}^7 E_i \right) \right)$$

is the dual of $H^{2-i}(\mathcal{O}_S((4-n)h))$. Hence we have

Lemma 2.1. *The restriction map*

$$\begin{aligned} H^0 \left(S, \mathcal{O}_S \left(nh - \sum_{i=1}^7 E_i \right) \right) \\ \longrightarrow H^0 \left(C, \mathcal{O}_C \left(nh - \sum_{i=1}^7 E_i \right) \right) \end{aligned}$$

is surjective for every n . Moreover, it is an isomorphism for $n \leq 6$.

By the adjunction formula

$$K_C = (K_S + C)|_C = h|_C + \left(3h - \sum_{i=1}^7 E_i \right)|_C,$$

the Serre adjoint $\beta = K_C\alpha^{-1}$ is isomorphic to $\mathcal{O}_C(3h - \sum_{i=1}^7 E_i)$. By Lemma 2.1, α is self adjoint, i.e., $\alpha \cong \beta$, if and only if $|2h - \sum_{i=1}^7 E_i| \neq \emptyset$. We discuss the case $\alpha \cong \beta$ in the next section, and now assume that $\alpha \not\cong \beta$.

Proposition 2.2. *The multiplication map $H^0(\alpha) \otimes H^0(\beta) \longrightarrow H^0(\alpha\beta) = H^0(K_C)$ is surjective.*

Proof. Assume the contrary. Then there are two independent $(1, 1)$ -forms on $\mathbf{P}^2 \times \mathbf{P}^2$ vanishing

on C . Let P be the pencil generated by them, and $X = X_P$ its base locus. If P contains a form of rank 1, then the image of $\Phi_{|\alpha|}$ is a line, which is a contradiction. Therefore P contains no $(1, 1)$ -forms of rank 1.

If P is regular, then X_P is irreducible (Proposition 4.1). Let $\pi : X_P \rightarrow \mathbf{P}^2$ be the first projection. Then there is an effective divisor E such that $K_X = \pi^*K_{\mathbf{P}^2} + E$. On one hand, since $K_X = \mathcal{O}_X(-1, -1)$ and $\pi^*K_{\mathbf{P}^2} = \mathcal{O}_X(-3, 0)$, we have $E.C = \deg \mathcal{O}_C(2, -1) = 7$. On the other hand, since I_P is of colength 3 (Proposition 4.2), we have a composition series $I_P = I_3 \subset I_2 \subset I_1 \subset \mathcal{O}_{\mathbf{P}^2}$ of ideal sheaves and a composition

$$\psi : X_3 \xrightarrow{\psi_3} X_2 \xrightarrow{\psi_2} X_1 \xrightarrow{\psi_1} \mathbf{P}^2$$

of three one-point-blow-ups. X_3 is smooth and its canonical class is $\psi^*K_{\mathbf{P}^2} + E_1 + E_2 + E_3$, where E_i is the total transform of the exceptional divisors of ψ_i . By the universal property of the blow-up ([H] II 7.14), there is a natural birational morphism $\phi : X_3 \rightarrow X_P = Bl_{I_P}\mathbf{P}^2$. Since X_P is a complete linear section of $\mathbf{P}^2 \times \mathbf{P}^2$, it has at worst rational double points as its singularities. Thus ϕ is a crepant resolution, and we have $E_1 + E_2 + E_3 = \phi^*E$. Therefore, for some i , we have $E_i.C \geq 3$, and $\psi^*\mathcal{O}_{\mathbf{P}^2}(1) - E_i$ restricts to a g_d^1 with $d \leq 4$ on C . This is a contradiction.

If P is singular, then X_P is either

$$\text{I) } \Delta \cup (\mathbf{P}^1 \times \mathbf{P}^1), \quad \text{or} \quad \text{II) } \mathbf{F}_{3,2} \cup (p \times \mathbf{P}^2)$$

by the table in §4. In the former case C is contained in either the diagonal Δ or $\mathbf{P}^1 \times \mathbf{P}^1$. This means that α is isomorphic to β or that the image of $\Phi_{|\alpha|}$ is a line. In the latter case we have either that the image of $\Phi_{|\beta|}$ is a conic or that the image of $\Phi_{|\alpha|}$ is a point. Thus we have a contradiction. \square

Now, we consider the multiplication map

$$\begin{aligned} H^0(S, h) \otimes H^0\left(S, 3h - \sum_{i=1}^7 E_i\right) \\ \longrightarrow H^0\left(S, 4h - \sum_{i=1}^7 E_i\right). \end{aligned}$$

This is not injective since $h^0(3h - \sum_{i=1}^7 E_i) = h^0(\beta) = 3$ and $h^0(S, 4h - \sum_{i=1}^7 E_i) = h^0(K_C) = 8$ by Lemma 2.1. Similarly the dimension of the kernel of

$$\begin{aligned} H^0(S, 2h) \otimes H^0\left(S, 3h - \sum_{i=1}^7 E_i\right) \\ \longrightarrow H^0\left(S, 5h - \sum_{i=1}^7 E_i\right) \end{aligned}$$

is at least $6 \times 3 - h^0(\alpha K_C) = 4$. Hence the image of the rational map

$$(\Phi_{|h|}, \Phi_{|3h - \sum E_i|}) : S \dashrightarrow \mathbf{P}^2 \times \mathbf{P}^2$$

is contained in a divisor W of bidegree $(1, 1)$ and W' of bidegree $(2, 1)$ such that $\dim W \cap W' = 2$. The pull-back of the divisor class of bidegree $(1, 2)$ to S is $h + 2(3h - \sum_{i=1}^7 E_i) = 7h - 2\sum_{i=1}^7 E_i$ and linearly equivalent to C .

We now look at the 15 quadrics which vanish on the canonical model $C_{14} \subset \mathbf{P}^7$ of C . First, C_{14} is contained in a hyperplane section of the Segre variety

$$[W \subset \mathbf{P}^7] = [\mathbf{P}^2 \times \mathbf{P}^2 \subset \mathbf{P}^8] \cap H,$$

and there are 9 quadrics vanishing on W . Next, there are 3 quadrics which cut out $W \cap W'$ from W . Finally, since the pull-back of $\mathcal{O}_{\mathbf{P}^7}(2)$ to S is $\mathcal{O}_S(2(4h - \sum_{i=1}^7 E_i)) = \mathcal{O}_S(C + h)$, there are 3 more independent quadrics vanishing on C . Thus we have found $9 + 3 + 3 = 15$ independent quadrics vanishing on C . By Noether's theorem, they form a basis of $H^0(\mathbf{P}^7, \mathcal{I}_C(2))$, and by the Enriques-Petri theorem ([GH], Chap. 4), they define the canonical model $C_{14} \subset \mathbf{P}^7$ scheme-theoretically. Thus C is the complete intersection of divisors $(1, 2)$ and $(2, 1)$ in W (Case (6) of Theorem).

3. Curves with a self adjoint net. We assume that $\alpha^2 \simeq K_C$. Let $\Delta \subset S = S_{(7)}$ be the unique member of $|2h - \sum_{i=1}^7 E_i|$ and $\bar{\Delta} \subset \mathbf{P}^2$ its image. Then $\bar{\Delta}$ is an irreducible conic. We choose homogeneous coordinates of $\Delta \cong \bar{\Delta} \cong \mathbf{P}^1$ and \mathbf{P}^2 such that the morphism $\Delta \rightarrow \mathbf{P}^2$ is given by

$$(s : t) \mapsto (x_0 : x_1 : x_2) = (s^2 : st : t^2).$$

The surface S is the blow-up at seven points on $\bar{\Delta}$. Let $f(s, t) = 0$ be the equation of degree 7 over $\bar{\Delta}$ whose solutions are the seven points. We shall construct a polynomial $F(x) \in H^0(S, \mathcal{O}_S(7h - 2\sum_{i=1}^7 E_i))$ which is determinantal in a certain sense. This will imply that the system of equations of $C \subset \mathbf{P}(11122)$ is 5×5 Pfaffian.

We start with a pair of ternary quartic polynomials $A(x)$ and $B(x)$ such that $A(s^2, st, t^2) = sf(s, t)$ and $B(s^2, st, t^2) = tf(s, t)$. Such polynomials exist

by the exact sequence

$$(1) \quad H^0(\mathcal{O}_{\mathbf{P}}(2)) \rightarrow H^0(\mathcal{O}_{\mathbf{P}}(4)) \rightarrow H^0(\bar{\Delta}, \mathcal{O}_{\bar{\Delta}}(4)) \rightarrow 0$$

$$\quad \quad \quad \parallel$$

$$\quad \quad \quad H^0(\mathbf{P}^1, \mathcal{O}_{\mathbf{P}^1}(8)).$$

Since $tA(s^2, st, t^2) - sB(s^2, st, t^2)$ is zero, the quintic polynomials $x_1A(x) - x_0B(x)$ and $x_2A(x) - x_1B(x)$ are divisible by $\delta(x)$, the equation of $\bar{\Delta} \subset \mathbf{P}^2$. We put

$$(2) \quad \begin{cases} -x_0B(x) + x_1A(x) & = \delta(x)D(x) \\ -x_1B(x) + x_2A(x) & = \delta(x)E(x), \end{cases}$$

where $D(x)$ and $E(x)$ are cubic forms. Put

$$\begin{cases} D(x) = q_0(x)x_0 + q_1(x)x_1 + q_2(x)x_2 \\ E(x) = r_0(x)x_0 + r_1(x)x_1 + r_2(x)x_2 \end{cases}$$

for quadratic forms $q_i(x)$'s and $r_i(x)$'s. Then by Cramer's rule we have

$$(3) \quad \frac{\begin{vmatrix} -A + q_1\delta & q_2\delta \\ B + r_1\delta & -A + r_2\delta \end{vmatrix}}{x_0} = \frac{\begin{vmatrix} q_2\delta & B + q_0\delta \\ -A + r_2\delta & r_0\delta \end{vmatrix}}{x_1}$$

$$= \frac{\begin{vmatrix} B + q_0\delta & -A + q_1\delta \\ r_0\delta & B + r_1\delta \end{vmatrix}}{x_2} := F(x).$$

Here $F(x)$ is a form of degree 7 since $x_iF(x)$ is a form of degree 8 for $i = 0, 1, 2$. Let y_0, y_1 and z be new indeterminates which are algebraically independent over the field $k(x_0, x_1, x_2)$. We consider the ring homomorphism

$$\varphi_S : k[x_0, x_1, x_2, y_0, y_1, z] \longrightarrow k\left[x_0, x_1, x_2, \frac{1}{\delta(x)}\right],$$

$$y_0 \mapsto \frac{A(x)}{\delta(x)}, \quad y_1 \mapsto \frac{B(x)}{\delta(x)}, \quad z \mapsto \frac{F(x)}{\delta(x)^2}$$

and its kernel I_S . Then I_S is a (quasi-)homogeneous ideal under the grading $\deg x_i = 1$, $\deg y_j = 2$ and $\deg z = 3$. By the equation (2), two cubic forms

$$(4) \quad \begin{aligned} & a_0(x, y)x_0 + a_1(x, y)x_1 + a_2(x, y)x_2, \text{ and} \\ & b_0(x, y)x_0 + b_1(x, y)x_1 + b_2(x, y)x_2 \end{aligned}$$

belong to I_S , where we put

$$\begin{aligned} a_0(x, y) &= y_1 + q_0(x), \quad a_1(x, y) = -y_0 + q_1(x), \cdots \\ \cdots, \quad b_1(x, y) &= y_1 + r_1(x), \quad b_2(x, y) = -y_0 + r_2(x). \end{aligned}$$

By (3), three quartic forms

$$(5) \quad \begin{aligned} & x_0z - \begin{vmatrix} a_1(x, y) & a_2(x, y) \\ b_1(x, y) & b_2(x, y) \end{vmatrix}, \quad \begin{vmatrix} a_2(x, y) & a_0(x, y) \\ b_2(x, y) & b_0(x, y) \end{vmatrix} - x_1z, \\ & x_2z - \begin{vmatrix} a_0(x, y) & a_1(x, y) \\ b_0(x, y) & b_1(x, y) \end{vmatrix} \end{aligned}$$

also belong to I_S . These five relations (4) and (5) are the five 4×4 Pfaffians of the skew-symmetric matrix

$$\begin{pmatrix} 0 & z & a_0(x, y) & a_1(x, y) & a_2(x, y) \\ & 0 & b_0(x, y) & b_1(x, y) & b_2(x, y) \\ & & 0 & x_2 & -x_1 \\ \ominus & & & 0 & x_0 \\ & & & & 0 \end{pmatrix}.$$

Now we relate the ideal I_S with the anti-canonical ring of a weak log del Pezzo surface. Let

$$R := \bigoplus_{n \geq 0} H^0\left(S, \left[n\left(h + \frac{2}{3}\Delta\right)\right]\right)$$

be the homogeneous coordinate ring of the \mathbf{Q} -divisor $h + (2/3)\Delta$, which is linearly equivalent to $-K_S - (1/3)\Delta$. For a global section $s \in H^0(S, n(h + (2/3)n)) = H^0(S, nh + a\Delta) = H^0((n + 2a)h - a \sum_{i=1}^7 E_i)$, $a = \lfloor (2/3)n \rfloor$, its push-forward $\pi_*s \in H^0(\mathbf{P}^2, \mathcal{O}_{\mathbf{P}^2}(n + 2a))$ is a homogeneous polynomial of degree $n + 2a$. We identify R with the image of the injective ring homomorphism $\psi : R \rightarrow k[x_0, x_1, x_2, 1/\delta(x)]$ defined by

$$H^0(S, nh + a\Delta) \ni s \mapsto \frac{\pi_*s}{\delta(x)^a} \in k\left[x_0, x_1, x_2, \frac{1}{\delta(x)}\right].$$

The degree 1 part $H^0(S, h)$ is spanned by the homogeneous coordinates x_0, x_1, x_2 . The degree 2 part $H^0(S, 2h + \Delta)$ contains $S^2\langle x_0, x_1, x_2 \rangle$ as a subspace. The pull-back of the quartic forms $A(x)$ and $B(x)$ to S belong to $H^0(S, 4h - \sum_{i=1}^7 E_i)$ and $\{A(x)/\delta(x), B(x)/\delta(x)\}$ is a complementary basis of $S^2\langle x_0, x_1, x_2 \rangle \subset H^0(S, \mathcal{O}_S(2h + \Delta))$ by the exact sequence (1).

Consider the multiplication map

$$(6) \quad H^0(S, h) \otimes H^0(S, 2h + \Delta) \longrightarrow H^0(S, 3h + \Delta)$$

from degree 1 and 2 to degree 3. Since the restriction maps $H^0(S, h) \rightarrow H^0(\mathcal{O}_{\Delta}(h))$ and $H^0(S, 2h + \Delta) \rightarrow H^0(\mathcal{O}_{\Delta}(2h + \Delta))$ are surjective, so is this multiplication map. By the exact sequence

$$0 \rightarrow \mathcal{O}_S\left(5h - \sum_{i=1}^7 E_i\right) \rightarrow \mathcal{O}_S(3h + 2\Delta) \rightarrow \mathcal{O}_{\Delta} \rightarrow 0$$

and Lemma 2.1, the degree 3 part $H^0(S, 3h + 2\Delta)$ is generated by the image of (6) and $F(x)/\delta(x)^2$.

Now we relate the ideal I_S with $C \in |7h - 2\sum_{i=1}^7 E_i|$. Since C is disjoint from Δ and since $\mathcal{O}_C(h) \cong \alpha$, we have the restriction maps

$$(7) \quad H^0\left(S, \left[n\left(h + \frac{2}{3}\Delta\right)\right]\right) \longrightarrow H^0(C, \alpha^n)$$

and $R \longrightarrow R(C, \alpha) := \bigoplus_{n \geq 0} H^0(C, \alpha^n)$. Since (7) is an isomorphism for $n = 1$ and 2 , the ring homomorphisms φ_S and ψ induce that

$$\varphi_C : k[x_0, x_1, x_2, y_0, y_1] \longrightarrow R(C, \alpha)$$

to the semi-canonical ring.

The equation of $\bar{C} \subset \mathbf{P}^2$, or $C \subset S$, is of the form $F(x) + \delta(x)G(x)$ for a quintic form $G(x) \in H^0(S, 5h - \sum_{i=1}^7 E_i)$. There exist a cubic form $c(x, y_0, y_1)$ such that $c(x, A(x)/\delta(x), B(x)/\delta(x)) = G(x)/\delta(x)^2$ and a commutative diagram

$$\begin{array}{ccc} k[x_0, x_1, x_2, y_0, y_1, z] & \xrightarrow{\varphi_S} & R \\ \downarrow & & \downarrow \\ k[x_0, x_1, x_2, y_0, y_1] & \xrightarrow{\varphi_C} & R(C, \alpha), \end{array}$$

where the left vertical map is the specialization of z to the degree 3 element $c(x, y)$. Hence the five 4×4 Pfaffians of

$$(8) \quad \begin{pmatrix} 0 & c(x, y) & a_0(x, y) & a_1(x, y) & a_2(x, y) \\ & 0 & b_0(x, y) & b_1(x, y) & b_2(x, y) \\ & & 0 & x_2 & -x_1 \\ \ominus & & & 0 & x_0 \\ & & & & 0 \end{pmatrix}$$

belongs to the kernel of φ_C .

Now we prove Theorem in Case $\langle 7 \rangle$. Let $C \subset \mathbf{P}^7 = \mathbf{P}^*H^0(K_C)$ be the canonical model of C . Since $\text{Sym}^2 H^0(\alpha) \subset H^0(K_C)$, C is contained in the join of the Veronese surface and a line. This join is nothing but the weighted projective space $\mathbf{P}(11122)$ whose coordinates are x_0, x_1, x_2, y_0, y_1 . Two polynomials (4) vanish on C . Multiplying these by x_0, x_1 and x_2 , we obtain 6 relations of degree 4, which are linearly independent. Together with 3 relations (5) of degree 4, the five Pfaffians of (8) generate 9 quartic forms on $\mathbf{P}(11122)$ vanishing on C . On the other hand there are 6 quadratic forms vanishing on $\mathbf{P}(11122) \subset \mathbf{P}^7$. Hence we have 15 quadratic forms vanishing on $C \subset \mathbf{P}^7$. These are all quadratic forms vanishing on C . Hence the five Pfaffians cut out C

scheme-theoretically from $\mathbf{P}(11122)$ by the Enriques-Petri theorem. Case $\langle 7 \rangle$ of Theorem follows since $w\text{-}G(2.5)$ is 5×5 Pfaffian in $\mathbf{P}(1^3 : 2^6 : 3)$.

4. Pencil of matrices. For a 3×3 matrix $A = (a_{ij})_{0 \leq i, j \leq 2}$, we denote the divisor $f_A(x, y) := \sum_{0 \leq i, j \leq 2} a_{ij} x_i y_j = 0$ in the Segre variety $\mathbf{P}^2 \times \mathbf{P}^2 \subset \mathbf{P}^8$ by X_A , where $(x_0 : x_1 : x_2)$ and $(y_0 : y_1 : y_2)$ are the homogeneous coordinates. Then X_A is reducible, singular at one point and smooth according as A is of rank 1, 2 and 3.

Let P be a 2-dimensional space of 3×3 matrices and $\{A, B\}$ be its basis. We classify $X_P := X_A \cap X_B$. We call P *regular* if it contains an invertible matrix and *singular* otherwise. Let

$$\begin{aligned} f_A(x, y) &= a_0(x)y_0 + a_1(x)y_1 + a_2(x)y_2, \\ f_B(x, y) &= b_0(x)y_0 + b_1(x)y_1 + b_2(x)y_2, \end{aligned}$$

be the (1, 1)-forms corresponding to A and B and I_P the ideal sheaf of $\mathcal{O}_{\mathbf{P}^2}$ generated by the minors

$$D_0 = \begin{vmatrix} a_1 & a_2 \\ b_1 & b_2 \end{vmatrix}, \quad D_1 = \begin{vmatrix} a_2 & a_0 \\ b_2 & b_0 \end{vmatrix}, \quad D_2 = \begin{vmatrix} a_0 & a_1 \\ b_0 & b_1 \end{vmatrix},$$

of the coefficient matrix. Then the zero locus $V(I_P) \subset \mathbf{P}^2$ is the locus where the first projection $\pi : X_P \longrightarrow \mathbf{P}^2$ is not isomorphic.

If P is regular, then the divisor Y corresponding to an invertible matrix in P is nonsingular and the projections $Y \longrightarrow \mathbf{P}^2$ are \mathbf{P}^1 -bundles. By the Lefschetz Theorem, the Picard number of Y is equal to 2 and the Picard group is generated by $\mathcal{O}_Y(1, 0)$ and $\mathcal{O}_Y(0, 1)$. Thus if X_P is reducible, it must be a sum of divisors of bidegree (1, 0) and (0, 1) on Y , i.e., a section of Y by (1, 1)-forms of rank 1. X_P is the union of two cubic scrolls $\mathbf{F}_{2,1} \cup \mathbf{F}_{1,2}$. So we have

Proposition 4.1. *If P is regular and contains no member of rank 1, then X_P is irreducible.*

It is well known that π is the blow-up at three points if X_P is smooth.

Proposition 4.2. *Let P and X_P be as in the above proposition. Then $V(I_P)$ is of dimension 0, and $I_P \subset \mathcal{O}_{\mathbf{P}^2}$ is of colength 3. Moreover $\pi : X_P \longrightarrow \mathbf{P}^2$ is the blowing-up with center I_P .*

Proof. If $\dim V(I_P) > 0$, then the inverse image $\pi^{-1}V(I_P)$ is a surface. This is impossible since X_P is irreducible of dimension 2. The colength of I_P is equal to 3 since it is so if X_P is smooth.

The blow-up $Bl_{I_P} \mathbf{P}^2$ with center $V(I_P)$ has a natural embedding φ into $\mathbf{P}^2 \times \mathbf{P}^2$. φ is an isomorphism onto X_P since $a_0 D_0 + a_1 D_1 + a_2 D_2 = b_0 D_0 +$

$b_1D_1 + b_2D_2 = 0$ and since X_P is irreducible and reduced. \square

If P is singular, then by Kronecker's classification ([Ga] Chap. XII), P is either

type I $\left\langle \left(\begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix} \right) \right\rangle,$

type II $\left\langle \left(\begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & -1 \\ 0 & 0 & 0 \end{pmatrix} \right) \right\rangle,$ or

type III $\left\langle \left(\begin{pmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 0 \end{pmatrix} \right) \right\rangle$

modulo suitable linear transformations and modulo transpose.

If P is of type I, then the defining equation of X_P is

$$x_0y_1 - x_1y_0 = x_0y_2 - x_2y_0 = 0,$$

and X_P is the union of the diagonal Δ and $\mathbf{P}^1 \times \mathbf{P}^1$. If P is of type II, then the equation is

$$x_0y_0 - x_1y_1 = x_0y_0 - x_1y_2 = 0,$$

and X_P is the union of

$$\overline{\{(1 : \lambda : \mu) \times (\lambda^2 : \lambda : 1) \mid \lambda, \mu \in k\}},$$

a quintic scroll $\mathbf{F}_{3,2}$, and a plane $p \times \mathbf{P}^2$. All non-zero members are of rank 2 in these cases.

If P is of type III, then by the Jordan normal form of 2×2 -matrices, the defining equation of X_P is either

$$x_0y_0 + x_1y_1 = x_iy_j = 0 \quad \text{for some } 0 \leq i \leq j \leq 1, \text{ or}$$

$$x_0y_0 = x_0y_1 = 0.$$

So we have the following table:

P	rank 1	X_P	degree
reg.	$\not\exists$	$Bl_{I_P} \mathbf{P}^2$	6
	\exists	$\mathbf{F}_{2,1} \cup \mathbf{F}_{1,2}$	3+3
sing.	$\not\exists$	$\Delta \cup (\mathbf{P}^1 \times \mathbf{P}^1)$	4+2
		$\mathbf{F}_{3,2} \cup (p \times \mathbf{P}^2)$	5+1
	\exists	$2\mathbf{P}^2 \cup 2(\mathbf{P}^1 \times \mathbf{P}^1)$	1+1+2+2
		$(\mathbf{P}^1 \times \mathbf{P}^2) \cup (\mathbf{P}^2 \times p)$	(3)+1

References

- [ACGH] Arbarello, E., Cornalba, M., Griffiths, P., and Harris, J.: Geometry of Algebraic Curves Vol. I. Springer-Verlag, New York (1985).
- [Ga] Gantmacher, F. A.: The Theory of Matrices Vol. 2. Chelsea, New York (1959).
- [GH] Griffiths, P., and Harris, J.: Principles of Algebraic Geometry. John Wiley & Sons, Inc., New York (1978).
- [H] Hartshorne, R.: Algebraic Geometry. Springer-Verlag, New York (1977).
- [I] Ide, M.: Every curves of genus not greater than eight lies on a K3 surface. (2002). (Preprint).
- [M1] Mukai, S.: Curves and symmetric spaces. Proc. Japan Acad., **68A**, 7–10 (1992).
- [M2] Mukai, S.: Curves and Grassmannians. Algebraic Geometry and Related Topics, Inchoen, Korea, 1992 (eds. Yang, J.-H. *et al.*). International Press, Boston, pp. 19–40 (1993).