

## The Rankin's $L$ -function and Heegner Points for General Discriminants

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In this paper we show some results on the relation between the first derivatives of the Rankin's  $L$ -series of certain modular forms at  $s = 1$  and the heights for certain divisors on the Jacobian of the modular curve  $X_0(N)$ . These divisors consist of Heegner points whose orders have conductor  $f$ . The proof of our main result consists of a long complicated "analytic" computation (see [5]). This generalizes the "analytic" part of the influential work of Gross and Zagier [4], which has established a relation between the first derivatives of the Rankin's  $L$ -series of certain modular forms at  $s = 1$  and the height pairings for squarefree discriminants prime to  $N$ . Their results can be applied to give the proof of a special case of the Birch-Swinnerton-Dyer conjecture, and are needed to complete Goldfeld's solution of Gauss conjecture for the class number of imaginary quadratic fields. Kolyvagin [6] has used the result of [4] in his proof of the finiteness of the Tate-Shafarevich groups of certain modular elliptic curves over  $\mathbf{Q}$ . J. van der Lingen [7] has calculated "algebraically" the local Néron-Tate height pairings "at non-archimedean places" for certain divisor on  $X_0(N)$  consisting of Heegner points whose orders have general discriminants prime to  $N$ . He has found explicit formulas for these local height pairings at non-archimedean places. But it is difficult to compare his formulas and ours.

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**§1.** Let us begin with recalling some definitions. Let  $K$  be an imaginary quadratic field with the fundamental discriminant  $D_0$ , and  $\mathcal{O}$  an order with the discriminant  $D = D_0 f^2$  of the conductor  $f$  in  $K$ . Let  $h_f = \# \text{Pic}(\mathcal{O})$  and  $u = \# (\mathcal{O}^\times / \{\pm 1\})$ . We have  $u = 1$  unless  $D = -3, -4$ , in which cases  $u = 3, 2$ , respectively.

We say  $x = (E \rightarrow E')$  is a "Heegner point" of discriminant  $D$  on  $X_0(N)$  if both of the elliptic curves  $E$  and  $E'$  have complex multiplication by  $\mathcal{O}$ . Such a point exists if and only if  $D$  is congruent to a square modulo  $4N$ ; equivalently every prime divisor of  $N$  splits or is ramified in  $K$ . If one Heegner point exists on  $X_0(N)$ , then there are  $2^s \cdot h_f$  Heegner points with  $s = \# \{p \mid N\}$  which are all rational over the "ring class field"  $K_f = K(j(E))$  of  $K$ . Those Heegner points are attached to a fixed integral ideal  $\mathfrak{n}(N(n) = N)$  of  $\mathcal{O}$  with  $\mathcal{O}/\mathfrak{n} \simeq \mathbf{Z}/N\mathbf{Z}$ . They are permuted simply-transitively by the abelian group  $W \times \text{Gal}(K_f/K)$  and those actions on Heegner points can be described explicitly, where  $W \cong (\mathbf{Z}/2\mathbf{Z})^s$  is the group of Atkin-Lehner involutions and  $\text{Gal}(K_f/K)$  the Galois group of  $K_f/K$ , which is canonically isomorphic to the class group  $\text{Pic}(\mathcal{O})$  of  $\mathcal{O}$  via the Artin reciprocity map (see [1]).

In this paper,  $D$  is not assumed to be square free nor relatively prime to  $N$  on  $X_0(N)$ , but assume throughout that the conductor  $f$  is relatively prime to  $N$ . Fix a Heegner point  $x$  of discriminant  $D$ ; then the class of the divisor  $c = (x) - (\infty)$  defines an element in  $J(K_f)$ , where  $(\infty)$  denotes the sum of cusps at infinity on  $X_0(N)$ , which is defined over  $\mathbf{Q}$ , where  $J$  is the Jacobian of  $X_0(N)$ .

Let  $f(z) = \sum_{n \geq 1} a(n) e^{2\pi i n z}$  be an element in the vector space of newforms of weight 2 on  $\Gamma_0(N)$ ,  $\varepsilon(\cdot) = \left(\frac{D}{\cdot}\right)$  the Kronecker Symbol and  $r_{\mathcal{A}}(n)$  the number of integral invertible ideals of  $\mathcal{O}$  of norm  $n$  in the class  $\mathcal{A}$ . We define the Rankin's  $L$ -function associated to the newform  $f(z)$  and the ideal class  $\mathcal{A}$  by

$$L_{\mathcal{A}}(f, s) = L^{(N)}(2s - 2k + 1, \varepsilon) \cdot \sum_{n \geq 1} a(n) r_{\mathcal{A}}(n) n^{-s},$$

where

$$L^{(N)}(2s - 2k + 1, \varepsilon) = \sum_{\substack{n \geq 1 \\ (n, DN) = 1}} \varepsilon(n) n^{-2s+2k-1}.$$

The series  $L^{(N)}$  is the Dirichlet  $L$ -function of  $\varepsilon$  at

the argument  $2s - 2k + 1$  without the Euler factor at all primes dividing  $N$ .

For an eigenform  $f(z)$  of the action of the Hecke algebra  $\mathbf{T}$  normalized by the condition that  $a_1 = 1$ , and a complex character  $\chi$  of the ideal classgroup of  $\mathcal{O}$ , we define the  $L$ -function by

$$L(f, \chi, s) = \sum_{\mathcal{A}} \chi(\mathcal{A}) L_{\mathcal{A}}(f, s).$$

**§2.** Choose a Heegner point  $x$  of discriminant  $D = D_0 f^2$  on  $X_0(N)$  ( $D_0$ ; fundamental discriminant). We assume that the conductor  $f$  is prime to  $N$ , squarefree, odd,  $(D_0, f) = 1$  and  $\text{lcd}(D, N) = N' |D|$  with  $N' = \prod_{\substack{p^t | N \\ p \nmid D}} p^t$ .

Let  $c$  be the class of the divisor  $(x) - (\infty)$  in  $J(K_f)$ . The element  $\sigma$  in the Galois group of  $K_f/K$  corresponds to the ideal class  $\mathcal{A}$  of  $\text{Pic}(\mathcal{O})$  under the Artin isomorphism. Let  $\langle, \rangle_{\infty}$  denote the local height pairing at infinity on  $J(K_f) \otimes \mathbf{Q}$ ,  $\langle, \rangle_p$  at the prime  $p$  and  $(, )$  the Petersson inner product on cusp forms of weight 2 for  $\Gamma_0(N)$ . Finally, we let  $f(z)$  be a new form of weight 2 on  $F_0(N)$  and  $T_m$  the  $m$ -th Hecke correspondence. Then we have:

**Theorem 1.** 1) The function  $L_{\mathcal{A}}(f, s)$  and  $L(f, \chi, s)$  have analytic continuations to the entire  $s$ -plane, satisfy for  $L_{\mathcal{A}}^*(f, s) := (2\pi)^{-2s} N'^s |D|^s \Gamma(s)^2 L_{\mathcal{A}}(f, s)$  functional equation

$$L_{\mathcal{A}}^*(f, s) = -\varepsilon(N') L_{\mathcal{A}}^*(f, 2-s)$$

and vanish at the point  $s = 1$ .

2) The series  $g_{\mathcal{A}}(z) = \sum_{m \geq 1} \langle c, T_m c^{\sigma} \rangle e^{2\pi i m z}$  is a cusp form of weight 2 on  $\Gamma_0(N)$ , whose Fourier coefficients are given by

$$\langle c, T_m c^{\sigma} \rangle = \langle c, T_m c^{\sigma} \rangle_{\infty} + \sum_p \langle c, T_m c^{\sigma} \rangle_p$$

with

$$\langle c, T_m c^{\sigma} \rangle_{\infty} \in \mathbf{R}, \langle c, T_m c^{\sigma} \rangle_p \in \mathbf{Z} \log p, \\ \langle c, T_m c^{\sigma} \rangle_p = 0 \text{ for almost all } p.$$

3) There is a cuspform  $\Phi_{\mathcal{A}}(z) = \sum_{m=1}^{\infty} a_m e^{2\pi i m z}$  such that

$$\text{i) } (f, \Phi_{\mathcal{A}}) = \frac{u^2 \sqrt{|D|}}{8\pi^2} L_{\mathcal{A}}(f, 1) \text{ for all}$$

newforms  $f(z)$  of weight 2 on  $\Gamma_0(N)$ ,

$$\text{ii) } a_m = a_{m,\infty} + \sum_p a_{m,p} \text{ with } a_{m,\infty} \in \mathbf{R},$$

$a_{m,p} \in \mathbf{Z} \log p$  (for all  $p$ ) and  $a_{m,p} = 0$  for almost all  $p$ ,

$$\text{iii) } a_{m,\infty} = \langle c, T_m c^{\sigma} \rangle_{\infty}.$$

**Remark.** It follows from parts 2) and 3) of the theorem, that  $\langle c, T_m c^{\sigma} \rangle$  and  $a_m$  differ by the logarithm of a rational number for every  $m$ , or equivalently that the cusp forms  $\Phi_{\mathcal{A}}$  and  $g_{\mathcal{A}}$  dif-

fer by a cusp form (of weight 2 and level  $N$ ) all of whose Fourier coefficients are logarithms of natural numbers. In view of the finite-dimensionality of the space of cusp forms of fixed weight and level and the linear independence over  $\mathbf{Q}$  of the logarithms of prime numbers, this shows that there are only finitely many primes  $p$  for which the equality  $a_{m,p} = \langle c, T_m c^{\sigma} \rangle_p$  fails for any  $m$ . In fact, of course, we conjecture that there are no such primes, i.e.:

**Conjecture 1.** We have  $a_{m,p} = \langle c, T_m c^{\sigma} \rangle_p$  for every  $p$ .

**Remark.** In view of the results in 2) and 3), this conjecture is equivalent to  $a_m = \langle c, T_m c^{\sigma} \rangle$  or to  $\Phi_{\mathcal{A}} = g_{\mathcal{A}}$ . It is simply the analogue of the Gross-Zagier result under our weaker assumptions, and would (or will) be a consequence of Theorem 1 as soon as the local height calculations at finite primes are carried out in this generality.

We can also consider a corresponding result for the first derivatives  $L'(f, \chi, s)$  as Gross-Zagier, where  $f$  is a normalized eigenform and  $\chi$  is a complex character of the class group  $\text{Pic}(\mathcal{O})$ . We identify  $\chi$  with a character of  $\text{Gal}(K_f/K)$ , and define  $c_{\chi} = \sum_{\sigma} \chi^{-1}(\sigma) c^{\sigma}$  in the  $\chi$ -eigenspace of  $J(K_f) \otimes \mathbf{C}$  (This is  $h_f$  times the standard eigenspace). So by using the bilinearity of the global height pairing, we can derive from Theorem 1 and conjecture 1 through a purely formal calculation

**Theorem 2.** Let  $c_{\chi,f}$  be the projection of  $c_{\chi}$  to the  $f$ -isotypical component of  $J(K_f) \otimes \mathbf{C}$  under the action of  $\mathbf{T}$ . Assume that Conjecture 1 hold. Then we have

$$L'(f, \chi, 1) = \frac{8\pi^2(f, f)}{h_f u^2 |D|^{1/2}} \hat{h}(c_{\chi,f}),$$

i.e.

$$L'(f, \chi, 1) = \frac{\|\omega_f\|^2}{u^2 |D|^{1/2}} \hat{h}(c_{\chi,f}),$$

where  $\omega_f = 2\pi i f(z) dz$  is the eigendifferential associated to  $f(z)$ ,  $\|\omega_f\| = \int \int_{X_0(N)(\mathbf{C})} \omega_f \wedge i\bar{\omega}_f = 8\pi^2(f, f)$  and the quadratic form  $\hat{h}$  is the canonical Néron-Tate height associated to the class of the divisor  $2(\Theta)$  with symmetric theta-divisor  $\Theta$  in  $J(K_f)$ .

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