

## 47. The Residual Spectrum of $Sp(2)$

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**Introduction.** Let  $G$  be the rank two symplectic group  $Sp(2)$  defined over a number field  $k$  :

$$G = \left\{ g \in GL(4) \mid g \left( \begin{array}{c|c} 0 & \mathbf{1}_2 \\ \hline -\mathbf{1}_2 & 0 \end{array} \right) {}^t g = \left( \begin{array}{c|c} 0 & \mathbf{1}_2 \\ \hline -\mathbf{1}_2 & 0 \end{array} \right) \right\}.$$

We write  $\mathbf{A}$  for the ring of adèles of  $k$ . We have the topological group  $G(\mathbf{A})$ , in which  $G(k)$  is contained as a discrete subgroup with finite covolume. The Hilbert space  $L^2(G(k) \backslash G(\mathbf{A}))$  of square integrable functions on the quotient space  $G(k) \backslash G(\mathbf{A})$  is called the space of  $L^2$ -automorphic forms. We are interested in the right regular representation  $R$  of  $G(\mathbf{A})$  on  $L^2(G(k) \backslash G(\mathbf{A}))$  :

$$[R(g)\phi](x) := \phi(xg), \quad (g \in G(\mathbf{A}), \phi \in L^2(G(k) \backslash G(\mathbf{A}))).$$

This representation decomposes into a direct sum of two  $G(\mathbf{A})$ -invariant closed subspaces  $L^2_{disc}(G(k) \backslash G(\mathbf{A}))$  and  $L^2_{cont}(G(k) \backslash G(\mathbf{A}))$ .  $L^2_{disc}(G(k) \backslash G(\mathbf{A}))$  is a direct sum of irreducible representations of  $G(\mathbf{A})$ , and  $L^2_{cont}(G(k) \backslash G(\mathbf{A}))$  is a direct integral of irreducible  $G(\mathbf{A})$ -modules.

Take a  $k$ -rational parabolic subgroup  $P = MU$  of  $G$ . Here  $M$  is a Levi factor of  $P$  and  $U$  is its unipotent radical. We define the constant term of  $\phi \in L^2(G(k) \backslash G(\mathbf{A}))$  along  $P$  by

$$\phi^{(P)}(g) := \int_{U(k) \backslash U(\mathbf{A})} \phi(ug) \, du.$$

The closed subspace  $L^2_{cusp}(G(k) \backslash G(\mathbf{A}))$  of  $L^2$ -cusp forms is spanned by those  $\phi \in L^2(G(k) \backslash G(\mathbf{A}))$  such that  $\phi^{(P)}$  vanishes almost everywhere and for all proper  $k$ -parabolic subgroup  $P$  of  $G$ . Then it is known that  $L^2_{cusp}(G(k) \backslash G(\mathbf{A}))$  is contained in  $L^2_{disc}(G(k) \backslash G(\mathbf{A}))$ . The residual spectrum of  $G(\mathbf{A})$  is the orthogonal complement of  $L^2_{cusp}(G(k) \backslash G(\mathbf{A}))$  in  $L^2_{disc}(G(k) \backslash G(\mathbf{A}))$ . In this note we report on the irreducible decomposition of this residual spectrum in the case of totally real  $k$ .

**§1. Preliminaries. 1.1. Notations and conventions.** Let  $k$  be a totally real number field. We write  $\mathbf{A}_\infty$  and  $\mathbf{A}_f$  for the infinite and finite component of  $\mathbf{A}$  respectively.  $|\cdot|_{\mathbf{A}}$  denotes the idele norm of  $\mathbf{A}^\times$ . For each place  $v$  of  $k$ , we write  $k_v$  for the completion of  $k$  at  $v$ . If  $v$  is finite,  $\mathcal{O}_v$  denotes the maximal compact subring of  $k_v$ .

Let  $G = Sp(2)$  be as in the introduction. We fix a minimal  $k$ -parabolic subgroup  $P_0$  of  $G$  and its Levi factor  $M_0$ . The  $k$ -split component  $A_0$  of the center of  $M_0$  equals  $M_0$ . Let  $\Delta(P_0, A_0) = \{\alpha_1, \alpha_2\}$  is the set of simple roots of  $A_0$  in  $P_0$ , where  $\alpha_1$  and  $\alpha_2$  denote the short and the long root respectively. Also we fix a good maximal compact subgroup  $K = \prod_v K_v$  of  $G(\mathbf{A})$  so that

we have an Iwasawa decomposition  $G(\mathbf{A}) = P_0(\mathbf{A})K$ . We write  $K_\infty$  for  $\prod_{v|\infty} K_v \subset G(\mathbf{A}_\infty)$ .

We call those  $k$ -parabolic subgroups of  $G$  which contain the minimal parabolic subgroup  $P_0$  *standard parabolic subgroups*. Each standard parabolic subgroup  $P$  has a unique Levi component  $M$  which contains  $M_0$ . The set of simple roots  $\Delta(P_0 \cap M, A_0)$  of  $A_0$  in  $M \cap P_0$  is a subset of  $\Delta(P_0, A_0)$ . This gives a bijection between the standard parabolic subgroups of  $G$  and the subsets of  $\Delta(P_0, A_0)$ . We have two proper standard parabolic subgroups  $P_i = M_i U_i$  ( $1 \leq i \leq 2$ ) of  $G$  other than  $P_0 = M_0 U_0$ . Each  $P_i$  is attached to  $\Delta(P_0 \cap M_i, A_0) = \{\alpha_i\}$  under the above bijection.

For each standard parabolic subgroup  $P = MU$ , we write  $A_M$  for the  $k$ -split component of the center of  $M$  and  $A_M(\mathbf{R})_+$  for the identity component of  $A_M(\mathbf{R})$  in the topology of real Lie groups.  $A_M(\mathbf{R})_+$  is diagonally embedded in  $M(\mathbf{A}_\infty)$  and is considered as a subgroup of  $M(\mathbf{A})$ . The real Lie algebra of  $A_M$  is denoted by  $\mathfrak{a}_M$  and  $\mathfrak{a}_{M,\mathbf{C}}^*$  denotes its complexified dual space. We have the usual Harish-Chandra map  $H_M : M(\mathbf{A}) \rightarrow \mathfrak{a}_M$ . It is extended to a map from  $G(\mathbf{A})$  by the Iwasawa decomposition fixed above:

$$H_M(g = umk) := H_M(m), \quad (u \in U(\mathbf{A}), m \in M(\mathbf{A}), k \in K).$$

Then each  $\lambda \in \mathfrak{a}_{M,\mathbf{C}}^*$  is identified with the map  $\lambda : G(\mathbf{A}) \ni g \rightarrow \exp\langle H_M(g), \lambda \rangle \in \mathbf{C}^\times$ , which is restricted to a principal quasi-character of  $M(\mathbf{A})$ . Let  $M(\mathbf{A})^1$  be the kernel of  $H_M$  in  $M(\mathbf{A})$ . The Weyl group of  $A_M$  in  $G$  is denoted by  $\Omega(A_M)$ .  $\omega_i \in \Omega(A_0)$  denotes the simple reflection attached to  $\alpha_i$  ( $i = 1, 2$ ). We normalize various measures as in [1].

**1.2. Pseudo-Eisenstein series.** We write  $\mathfrak{Z}(G(\mathbf{A}_\infty))$  for the center of the universal enveloping algebra of the complexified Lie algebra for the Lie group  $G(\mathbf{A}_\infty)$ . Let  $P = MU$  be a standard parabolic subgroup of  $G$ . A function  $\phi : U(\mathbf{A})M(k) \backslash G(\mathbf{A}) \rightarrow \mathbf{C}$  is said to be a *cuspidal form* on  $U(\mathbf{A})M(k) \backslash G(\mathbf{A})$  if

- (1)  $\phi$  is of moderate growth,
- (2)  $\phi$  is smooth and  $K$ -finite on the right,
- (3)  $\phi$  is  $\mathfrak{Z}(G(\mathbf{A}_\infty))$ -finite,
- (4)  $\phi^{(P')}(g) := \int_{U'(k) \backslash U'(\mathbf{A})} \phi(ug) du = 0$  for any  $g \in G(\mathbf{A})$  and any

proper  $k$ -parabolic subgroup  $P' = M'U'$  of  $P$ .

We write the space of cuspidal forms on  $U(\mathbf{A})M(k) \backslash G(\mathbf{A})$  as  $A_0(U(\mathbf{A})M(k) \backslash G(\mathbf{A}))$ . The space  $A_0(M(k) \backslash M(\mathbf{A}))$  of cuspidal forms on  $M(k) \backslash M(\mathbf{A})$  is defined by replacing  $G$  with  $M$  in the above definition. These are considered as trivial bundles over  $\mathfrak{a}_{M,\mathbf{C}}^*$  equipped with the  $G(\mathbf{A}_f) \times (\text{Lie}G(\mathbf{A}_\infty) \otimes_{\mathbf{R}} \mathbf{C}, K_\infty)$ -module structure ( $(M(\mathbf{A}_f) \times (\text{Lie}M(\mathbf{A}_\infty) \otimes_{\mathbf{R}} \mathbf{C}, K_\infty \cap M(\mathbf{A}_\infty))$ -module structure resp.) under the right translation action. Their fibers over  $\lambda \in \mathfrak{a}_{M,\mathbf{C}}^*$  are the spaces of cuspidal forms  $\phi$  such that  $\phi(ag) = a^{\lambda + \rho_P} \phi(g)$  ( $\phi(am) = a^\lambda \phi(m)$  resp.) for  $a \in A_M(\mathbf{R})_+$ . Here  $\rho_P$  denotes the half sum of the positive roots of  $A_M$  in  $P$  identified with an element of  $\mathfrak{a}_M^*$ .

It is known that every irreducible  $M(\mathbf{A}_f) \times (\text{Lie}M(\mathbf{A}_\infty) \otimes_{\mathbf{R}} \mathbf{C}, K_\infty \cap M(\mathbf{A}_\infty))$ -subquotient  $\pi$  of  $A_0(M(k) \backslash M(\mathbf{A}))$  is a direct summand. Such  $\pi$  is

called a *cuspidal automorphic representation* of  $M(\mathbf{A})$ . For a cuspidal automorphic representation  $\pi$ , we have a subbundle  $\mathfrak{P} := \pi \otimes \mathfrak{a}_{M,C}^*$  of  $A_0(M(k) \backslash M(\mathbf{A}))$ . We write  $A_0(U(\mathbf{A})M(k) \backslash G(\mathbf{A}))_{\mathfrak{P}}$  for the subbundle of  $A_0(U(\mathbf{A})M(k) \backslash G(\mathbf{A}))$  which consists of  $\phi$  such that

$$\phi_k(m) := e^{\langle -\rho_P, H_M(m) \rangle} \phi(mk) \quad (m \in M(\mathbf{A}))$$

belongs to  $\mathfrak{P}$  for any  $k \in K$ . We call a pair  $(M, \mathfrak{P})$  of above type a *cuspidal datum* and write  $P_{(M, \mathfrak{P})}$  for the space of  $K$ -finite Paley-Wiener sections of this bundle (see [3] II.1.2).

For each  $\phi \in P_{(M, \mathfrak{P})}$ , its Fourier transform

$$F(\phi)(g) := \left( \frac{1}{2\pi\sqrt{-1}} \right)^{\dim \mathfrak{a}_M} \int_{\lambda \in \sqrt{-1}\mathfrak{a}_M^*} \phi(\lambda \otimes \pi)(g) d\lambda$$

is independent of  $\pi \in \mathfrak{P}$ , and is smooth and compactly supported on  $M(\mathbf{A})^1 \backslash M(\mathbf{A})$ . Thus the sum

$$\theta_\phi(g) := \sum_{\gamma \in P(k) \backslash G(k)} F(\phi)(\gamma g), \quad (g \in G(\mathbf{A}))$$

converges absolutely and uniformly on any compact subsets of  $G(\mathbf{A})$  and defines a rapidly decreasing function on  $G(k) \backslash G(\mathbf{A})$ . The maps  $P_{(M, \mathfrak{P})} \ni \phi \rightarrow \theta_\phi \in L^2(G(k) \backslash G(\mathbf{A}))$  are  $G(\mathbf{A}_f) \times (\text{Lie}G(\mathbf{A}_\infty) \otimes_{\mathbf{R}} \mathbf{C}, K_\infty)$ -equivariant, and the union of their images, where  $(M, \mathfrak{P})$  runs over all cuspidal data, spans a dense subspace of  $L^2(G(k) \backslash G(\mathbf{A}))$ . More precisely, the closed span of these images, where  $(M, \mathfrak{P})$  runs over all cuspidal data such that  $M = G$ , is  $L^2_{\text{cusp}}(G(k) \backslash G(\mathbf{A}))$ . Also the images  $\theta_\phi$ 's where  $(M, \mathfrak{P})$  runs over cuspidal data with  $M = M_i$  for  $i = 0, 1, 2$  span a dense subspace of the orthogonal complement of  $L^2_{\text{cusp}}(G(k) \backslash G(\mathbf{A}))$ .

**§.2 The result.** From above, it is enough to determine the image of maps  $P_{(M_i, \mathfrak{P})} \ni \phi \rightarrow \theta_\phi \in L^2(G(k) \backslash G(\mathbf{A}))$  ( $i = 0, 1, 2$ ) for the study of residual spectrum. For this purpose, we analyze the  $L^2$ -scalar product of two such images  $\theta_\phi$  and  $\theta_{\phi'}$ . Here we assume  $\phi \in P_{(M_i, \mathfrak{P})}$ ,  $\phi' \in P_{(M_j, \mathfrak{P}'})$ . The scalar product equals zero unless  $i = j$  and  $\mathfrak{P}$  and  $\mathfrak{P}'$  are conjugate to each other under  $\Omega(A_{M_i})$ . In that case it is given by

$$\langle \theta_\phi, \theta_{\phi'} \rangle_{L^2(G(k) \backslash G(\mathbf{A}))} = \left( \frac{1}{2\pi\sqrt{-1}} \right)^{\dim \mathfrak{a}_M} \int_{\pi \in \mathfrak{P}, \text{Re}\pi = \lambda_0} \sum_{\omega \in \Omega(\mathfrak{P}, \mathfrak{P}')} \langle M(\omega, \pi)\phi(\pi), \phi'(-\omega\bar{\pi}) \rangle d\pi$$

(see Théorème II.2.1. in [3]). Here  $M(\omega, \pi)$  is the usual intertwining operator and  $\Omega(\mathfrak{P}, \mathfrak{P}') := \{\omega \in \Omega(A_{M_i}) : \omega\mathfrak{P} = \mathfrak{P}'\}$ . Also  $\lambda_0 \in \mathfrak{a}_M^*$  is such that  $\langle \lambda_0 - \rho_P, \alpha^\vee \rangle > 0$  for all  $\alpha \in \Delta(P_i, A_{M_i})$ . As for other notations, see Chapter II of [3].

It is known that the integrand in the above formula is a meromorphic function on  $\mathfrak{P}$ . Thus, by applying the residue theorem, the above formula equals the sum of the integral with the same integrand but over  $\{\pi \in \mathfrak{P} ; \text{Re}\pi = 0\}$  and contributions of the residues of the integrand. Some contributions of the residues are still integrals and represents the scalar product of  $L^2_{\text{cont}}(G(k) \backslash G(\mathbf{A}))$ -components of  $\theta_\phi$  and  $\theta_{\phi'}$  together with the first integral. But the other contributions are discrete and these give the scalar product formula for the residual spectrum. Now to describe our results we recall cer-

tain automorphic representations of  $G(\mathbf{A})$  attached to quadratic forms from [2].

Let  $(V, \langle, \rangle)$  be a two dimensional quadratic space defined over  $k$ . We write  $O(V)$  for the orthogonal group of  $(V, \langle, \rangle)$ . We fix a non-trivial character  $\phi = \otimes_v \phi_v$  of  $\mathbf{A}/k$ . At each place  $v$  of  $k$ , we have a dual reductive pair  $(Sp(2, k_v), O(V, k_v))$  and its oscillator representation  $\omega_{\phi_v}$  on  $\mathcal{S}(V_v^2)$ . Here  $V_v := V \otimes_k k_v$  and  $\mathcal{S}(V_v^2)$  is the Schwartz space on  $V_v^{\oplus 2}$ . Then the  $\theta$ -lift  $R(V_v)$  of the trivial representation of  $O(V, k_v)$  is the representation of  $Sp(2, k_v)$  on the  $O(V, k_v)$ -coinvariant space of  $\mathcal{S}(V_v^2)$ . This is known to be irreducible. Moreover if  $v$  is finite,  $\phi_v$  is of order 0 and if  $\Delta(V_v) := -\det(V_v, \langle, \rangle) \in \mathcal{O}_v$ , then  $R(V_v)$  is spherical. Thus we have an irreducible smooth representation of  $Sp(2, \mathbf{A})$  on the restricted tensor product space  $\otimes_v R(V_v)$ . We write  $R(V)$  for this representation. Now we have the following results.

**Theorem.** *The residual spectrum of the rank two symplectic group  $G = Sp(2)$  over a totally real number field is a direct sum of the following representations. Each occurs with multiplicity one.*

- (1) *The trivial representation  $\mathbf{1}_{G(\mathbf{A})}$ .*
- (2) *The  $\theta$ -lifts  $R(V)$  of trivial representations of the orthogonal groups  $O(V, \mathbf{A})$  for two dimensional non-hyperbolic quadratic spaces  $V$  over  $k$ .*
- (3) *The unique irreducible quotients of global parabolically induced representations  $\text{Ind}_{P_1(\mathbf{A})}^{G(\mathbf{A})}[\mathfrak{S}(P_1) \otimes \mathbf{1}_{U_1(\mathbf{A})}]$  where  $\mathfrak{S}(P_1)$  runs over cuspidal automorphic representations of  $M_1(\mathbf{A}) \simeq GL(2, \mathbf{A})$  whose central characters equal  $\|\cdot\|_{\mathbf{A}}$  and their standard  $L$ -functions  $L(s, \mathfrak{S}(P_1))$  do not vanish at  $s = 0$ .*
- (4) *The unique irreducible quotients of  $\text{Ind}_{P_2(\mathbf{A})}^{G(\mathbf{A})}[\mathfrak{S}(P_2) \otimes \mathbf{1}_{U_2(\mathbf{A})}]$  where  $\mathfrak{S}(P_2) = \chi \otimes \sigma$  runs over cuspidal automorphic representations of  $M_2(\mathbf{A})$  such that*

$$\chi = \|\cdot\|_{\mathbf{A}} \eta_{\mathbf{F}/k}, \quad \sigma \hookrightarrow \pi(\Omega) |_{SL(2, \mathbf{A})}$$

*for some quadratic extension  $\mathbf{F}/k$  and a character  $\Omega$  of  $\mathbf{A}_{\mathbf{F}}^{\times}/\mathbf{F}^{\times}$ .*

*Here in (4),  $\pi(\Omega)$  is the automorphic representation of  $GL(2, \mathbf{A})$  attached to  $\Omega$  by the Weil lifting and  $\eta_{\mathbf{F}/k}$  is the quadratic character of  $\mathbf{A}_{\mathbf{F}}^{\times}/\mathbf{F}^{\times}$  which corresponds to  $\mathbf{F}/k$  by the classfield theory.*

### References

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