

# 1. Complete Kähler Manifolds with Zero Ricci Curvature and Kobayashi-Ochiai's Characterization of Complex Projective Spaces

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In [3] (cf. [9]), Bando and the author proved that there exists a complete Ricci-flat Kähler metric on the complement of a smooth hypersurface  $D$  of a Fano manifold  $X$  if  $(X, D)$  satisfies the conditions: (i)  $c_1(X) = \alpha[D]$  with  $\alpha > 1$  and (ii)  $D$  admits a Kähler-Einstein metric. This result and its proof find some applications in [2], [5], [6] and [11] to problems differential geometry. But we find this existence theorem quite restrictive if we try to apply it to problems in complex algebraic geometry. In this note we announce a general existence theorem for complete Ricci-flat Kähler metrics on certain class of affine algebraic manifolds, which generalizes the results in [3] and [9] by removing the Kähler-Einstein condition at infinity. Details and an application will appear elsewhere ([7]).

**Theorem 1.** *Let  $X$  be a Fano manifold, i.e.,  $X$  has ample anticanonical bundle. Let  $D$  be a smooth connected hypersurface in  $X$  such that  $c_1(X) = \alpha[D]$  with  $\alpha > 1$ . Then there exists a complete Ricci-flat Kähler metric on  $X - D$ .*

The asymptotic behavior of the resulting Ricci-flat Kähler metric may be described as follows. As  $c_1(X) > 0$  and  $c_1(X) = \alpha[D]$ , there exists a Hermitian metric  $\|\cdot\|$  on the line bundle  $O_X(D)$  such that

$$\theta = \sqrt{-1} \partial \bar{\partial} t \left( t = \log \frac{1}{\|\sigma\|^2} \right)$$

defines a Kähler metric on  $X$ , where  $\sigma$  is a holomorphic section of  $O_X(D)$  vanishing along  $D$ . Then

$$\begin{aligned} \omega &= \sqrt{-1} \partial \bar{\partial} \frac{n}{\alpha - 1} \left( \frac{1}{\|\sigma\|^2} \right)^{\frac{\alpha-1}{n}} \\ &= \left( \frac{1}{\|\sigma\|^2} \right)^{\frac{\alpha-1}{n}} \left( \theta + \frac{\alpha-1}{n} \sqrt{-1} \partial t \wedge \bar{\partial} t \right) \end{aligned}$$

turns out to be a complete Kähler metric on  $X - D$ . The resulting Ricci-flat Kähler metric on  $X - D$  has a Kähler potential  $\bar{u}$  of the form

$$\bar{u} = \frac{n}{\alpha - 1} \left( \frac{1}{\|\sigma\|^2} \right)^{\frac{\alpha-1}{n}} + u$$

where  $u$  satisfies the *a priori* growth (decay, if  $k \geq 3$ ) estimates:

$$\|\nabla_{\omega}^k u\| \leq C_k \left\{ \left( \frac{1}{\|\sigma\|^2} \right)^{\frac{\alpha-1}{2n}} \right\}^{2-k}$$

for  $0 \leq \forall k \in \mathbb{Z}$ , where  $\nabla_{\omega}$  denotes the Levi-Civita connection of  $\omega$ . In particular,  $u$  is at most of quadratic growth relative to the distance function

of  $\omega$  from a fixed point in  $X - D$  and the complete Ricci-flat Kähler metric  $\tilde{\omega} = \sqrt{-1} \partial \bar{\partial} \tilde{u}$  is equivalent to  $\omega$ :

$$C\omega < \tilde{\omega} < C^{-1}\omega$$

holds with some *a priori* constant  $C > 0$ . We expect that Theorem 1 will be useful in complex algebraic geometry. In fact, as we explain below, we are able to understand Kobayashi-Ochiai's characterization [8] of complex projective spaces from the view point of complete Ricci-flat Kähler metrics. Kobayashi-Ochiai's theorem is essentially as follows:

**Theorem 2** ([8, p.32]). *Let  $X$  be an  $n$ -dimensional compact complex manifold with an ample line bundle  $L$ . Suppose*

$$c_1(X) \geq g c_1(L)$$

*with  $Z \ni g \geq n + 1$ . Then  $(X, L)$  is biholomorphic to the hyperplane section  $(P_n(C), O(1))$ .*

Using the Hirzebruch-Riemann-Roch theorem and the Kodaira vanishing theorem, Kobayashi and Ochiai first showed

**Lemma 1** ([8, p.36]). *Let  $(X, L)$  be as in Theorem 2. Then the following two properties hold:*

- (1)  $c_1(L)^n [X] = 1$ ,
- (2)  $\dim H^0(X, L) = n + 1$ .

Then they showed (by elementary induction) that the above properties imply the following Lemma 2.

**Lemma 2** ([8, Lemma 2(I) and Lemma 3]). *Let  $X$  and  $L$  be as in Theorem 2 and let  $\sigma_0, \dots, \sigma_n$  be linearly independent elements of  $H^0(X, L)$  with  $D_0, \dots, D_n$  their zero divisors. Then  $V_{n-k-1} = D_0 \cap D_1 \cap \dots \cap D_k$  ( $k = 0, 1, \dots, n$ ) is irreducible of dimension  $n - k - 1$  whose Poincaré dual is  $(c_1(L))^{k+1}$ . In particular  $H^0(X, F)$  has no base points.*

Bertini's theorem then implies

**Lemma 3.** *The generic element of the linear system  $|L|$  is a smooth irreducible hypersurface in  $X$ .*

Now we arrive at the following situation:  $X$  is a Fano manifold, there exists a smooth connected hypersurface  $D$  such that  $c_1(X) = \alpha [D]$  with  $\alpha \geq n + 1$ . From Theorem 1, we have

**Lemma 4.** *There exists a complete Ricci-flat Kähler metric  $\tilde{\omega}$  on  $X - D$  with asymptotic properties described above.*

Let  $D$  be defined by  $\sigma_0 = 0$  and set  $z_i = \frac{\sigma_i}{\sigma_0}$  for  $1 \leq i \leq n$ . Then  $\{z_i\}_{i=1}^n$  are nonconstant holomorphic functions on  $X - D$  with at most linear growth with respect to the distance function of the metric  $\tilde{\omega}$ . Lemma 2 implies that  $\eta = dz_1 \wedge \dots \wedge dz_n$  is a nonvanishing holomorphic  $n$ -form on  $X - D$  with poles of order  $n + 1$  along  $D$ . Therefore the function

$$f = \log \frac{\eta \wedge \bar{\eta}}{\tilde{\omega}^n}$$

is a bounded pluriharmonic function on  $X - D$  which extends smoothly on  $X$ . Thus  $f$  turns out to be a constant function. Hence each  $z_i$  is just of linear growth and  $\alpha = n + 1$ . Now we consider the finite holomorphic map

$$z = (z_1, \dots, z_n) : X - D \rightarrow C^n.$$

As  $z$  is of maximal rank everywhere and  $C^n$  is simply connected, the map  $z$  must be an isomorphism. Moreover the Ricci-flat Kähler potential  $\tilde{u}$  on  $X - D$  is equivalent to  $\|z\|^2 = |z_1|^2 + \cdots + |z_n|^2$ . Hence we have a Kähler potential  $\tilde{u}$  on  $C^n$  which is equivalent to the squared distance function from the origin of the standard flat metric and satisfies the complex Monge-Ampère equation

$$\det \left( \frac{\partial^2 \tilde{u}}{\partial z_i \partial \bar{z}_j} \right) = 1.$$

Write  $\tilde{u} = \|z\|^2 + u$  and  $\omega = \sqrt{-1} \partial \bar{\partial} \|z\|^2$  and think of  $\tilde{\omega}$  as a deformation of  $\omega$ . Then Theorem 1 implies that  $\tilde{\omega}$  is equivalent to  $\omega$ . Set

$$\|\phi\|^2 = \tilde{g}^{\alpha\beta} \tilde{g}^{\lambda\bar{\mu}} \tilde{g}^{\nu\bar{\gamma}} \nabla_\alpha \nabla_{\bar{\mu}} u \nabla_{\bar{\beta}} \nabla_{\lambda\bar{\gamma}} u,$$

where  $\nabla$  denotes the Levi-Civita connection of the flat metric  $\omega$ . Then Calabi-Aubin-Yau's identity ([4], [10] and [1, Lemma, p.153]) implies that  $\|\phi\|^2$  is a nonnegative subharmonic function:

$$\Delta_\omega \|\phi\|^2 \geq 0.$$

But it follows from Theorem 1 that the third derivatives of  $\tilde{u}$  are of order  $\|z\|^{2-3}$ . Hence the maximum principle implies that  $\|\phi\|$  vanishes identically, i.e., the third derivatives of mixed type all vanish. As every function in  $\text{Ker}(\partial \bar{\partial})$  can be written as  $f + \bar{g}$  with holomorphic functions  $f$  and  $g$ , we infer that  $\tilde{u}$  is of the form

$$\tilde{u} = \sum_{\alpha, \beta=1}^n \tilde{g}_{\alpha\bar{\beta}} z_\alpha \bar{z}_\beta + f + \bar{f}$$

where the coefficients  $\tilde{g}_{\alpha\bar{\beta}}$  are constant and  $f$  is holomorphic. Therefore  $\tilde{\omega}$  is a complete flat metric. So  $D$  has a tubular neighborhood in  $X$  diffeomorphic to  $S^{2n-1}$  and the  $J$ -rotation of the gradient vector field of the distance function (relative to  $\tilde{\omega}$ ) is isotopic to the vertical vector field of the Hopf fibration. Thus  $X$  turns out to be diffeomorphic to  $P_n(C)$ . This implies that the holomorphic map  $z: X - D \rightarrow C^n$  extends to a holomorphic map

$$[\sigma_0: \sigma_1: \cdots: \sigma_n]: X \rightarrow P_n(C)$$

which is a diffeomorphism. Thus  $(X, L)$  is biholomorphic to the hyperplane section  $(P_n(C), O(1))$ .

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