

32. On Eisenstein Series of Degree Two

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(Communicated by Shokichi IYANAGA, M. J. A., April 13, 1987)

Our motive is to see that the conditional theorem E in Introduction of [5] holds unconditionally for cusp forms. To do it, we study real analytic Eisenstein series with level. q denotes a fixed natural number ≥ 3 and we put $\Gamma_n = Sp_n(\mathbf{Z})$, $\Gamma_n(q) = \{M \in \Gamma_n \mid M \equiv 1_{2n} \pmod{q}\}$, $\Gamma_n(\infty) = \left\{ \begin{pmatrix} * & * \\ 0^{(n)} & * \end{pmatrix} \in \Gamma_n \right\}$, and $H = \{Z \in M_2(\mathbf{C}) \mid Z = {}^t Z, \text{Im } Z > 0\}$. If $C, D \in M_2(\mathbf{Z})$ satisfy $C^t D = D^t C$ and (C, D) is primitive, then we write $(C, D) = 1$.

§ 1. Definition of Eisenstein series and their relations. For $Z \in H$ and $s \in \mathbf{C}$ we put

$$E(Z, s) = \sum_M |Y(M\langle Z \rangle)|^s,$$

$$E'(Z, s) = |Y|^s \sum_{C, D} (abs | CZ + D |)^{-2s}$$

where M runs over $(\Gamma_2(q) \cap \Gamma_2(\infty)) \setminus \Gamma_2(q)$, $Y(*)$ denotes the imaginary part of $*$, and (C, D) runs over $\Gamma_1(q) \setminus \{(C, D) \in M_{2,4}(\mathbf{Z}) \mid C^t D = D^t C, (C, D) \equiv (0, 1_2) \pmod{q}\}$. For $V = \begin{pmatrix} V_1 & V_2 \\ V_3 & V_4 \end{pmatrix}$, $V_i \in M_2(\mathbf{Q})$ such that qV , $qVI^t V$ ($I = \begin{pmatrix} 0 & 1_2 \\ -1_2 & 0 \end{pmatrix}$) are integral, we put

$$\tilde{E}'(Z, s; V) = |Y|^s \sum_{(C, D)} \exp(\pi i \text{tr}((V_4, -V_3)^t(2C - V_1, 2D - V_2))) \cdot (abs | CZ + D |)^{-2s},$$

where (C, D) runs over $\Gamma_1(q) \setminus \{(C, D) \in M_{2,4}(\mathbf{Q}) \mid C^t D = D^t C, (C, D) \equiv (V_1, V_2) \pmod{1}, rk(C, D) = 2\}$.

Proposition 1. (i) $E'(Z, s) = (2 \# SL_2(\mathbf{Z}/q))^{-1} \sum_a \{ \sum_U (abs | U |)^{-2s} \} \times E(u(a)Z, s)$ where a, U run over $(\mathbf{Z}/q\mathbf{Z})^\times / \{\pm 1\}$, $\Gamma_1(q) \setminus \{U \in M_2(\mathbf{Z}) \mid |a| | U | \equiv \pm 1 \pmod{q}\}$ respectively and $u(a)$ is an element of Γ_2 such that $u(a) \equiv \text{diag}(1, a^{-1}, 1, a) \pmod{q}$.

(ii) $E(Z, s) = 2\varphi(q)^{-1} \sum_a (\sum_\chi \chi(a) L(2s, \chi)^{-1} L(2s - 1, \chi)^{-1}) E'(u(a)Z, s)$, where a runs over the same set as above and χ runs over even Dirichlet characters modulo q .

(iii) For $V = q^{-1} \begin{pmatrix} 0 & a1_2 \\ 0 & cJ \end{pmatrix} \in M_4(\mathbf{Q})$ where a, c are relatively prime integers and $J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \in \Gamma_1$, $\tilde{E}'(Z, s; V)$ is a linear combination of $E(\sigma Z, s)$ ($\sigma \in \Gamma_2$). If $a = 1, c = 0$, then $\tilde{E}'(Z, s; V) = q^{4s} E'(Z, s)$.

§ 2. Analytic continuation of Eisenstein series. For $Z = {}^t Z \in M_n(\mathbf{C})$ with a positive definite imaginary part, put

$$\sum_h (abs | Z + S |)^{-2s} = \sum_h \exp(2\pi i \text{tr}(h \text{Re } Z)) \xi_n(\text{Im } Z, h; s, s)$$

where S (resp. h) runs over integral (resp. half-integral) symmetric matrices of degree n . The function ξ_n is investigated in [11]. We need a sharper estimate than (4.13.K) in it:

$$|\omega_n(g, h; \alpha, \beta)| < A e^{t\alpha} (1 + \mu(h, g)^{-1})^{bt} e^{-\tau(h, \sigma)}$$

if $|\alpha|, |\beta| < t$, where A, a, b are positive constants independent of g, h, α, β , and μ, τ are those in [11].

For $\sigma = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \Gamma_2$, $E(\sigma Z, s) = |Y|^s \sum (abs |CZ + D|)^{-2s}$, where (C, D) runs over $\Gamma_1(q) \setminus \{(C, D) | (C, D) = 1, (C, D) \equiv (\gamma, \delta) \pmod q\}$. We denote by $E^{(i)}(\sigma Z, s)$ a partial sum with $rk C = i$.

Lemma 1. Put $S = \{(C, D) | (C, D) = 1, (C, D) \equiv (\gamma, \delta) \pmod q, rk C = 1\}$. S is not empty if and only if there is a $v \in \Gamma_1$ such that

$$\gamma^t v^{-1} \equiv \begin{pmatrix} a & 0 \\ b & 0 \end{pmatrix} \pmod q$$

for some $a, b \in \mathbf{Z}$, and then put $n = af - be$, where

$$\delta v = \begin{pmatrix} * & e \\ * & f \end{pmatrix}, \quad q_1 = (n, q) \quad \text{and} \quad q_2 = q/q_1.$$

For $w = (w_{ij}) \in \{w \in \Gamma_1 | w_{21} \equiv 0 \pmod{q_2}\} / \Gamma_1(\infty)$, $c, d \in \mathbf{Z}$ such that $(c, d) = 1$, $c \equiv nw_{2,2}^2 \pmod q$, $d \equiv |\delta| \pmod q$, there is the only one $u \in \Gamma_1 / \Gamma_1(q)$ such that

$$C := u \begin{pmatrix} c & 0 \\ 0 & 0 \end{pmatrix}^t (vw) \equiv \gamma \pmod q, \quad D := u \begin{pmatrix} d & 0 \\ 0 & 1 \end{pmatrix} (vw)^{-1} \equiv \delta \pmod q,$$

and these C, D parametrized by w, c, d are complete representatives of $\Gamma_1(q) \setminus S$.

The Fourier expansion of $E^{(2)}(\sigma Z, s)$ is

$$q^{-4s} |\text{Im } Z|^s \sum_n \exp(2\pi i \text{tr}(h \text{Re } Z)/q) \xi_2(q^{-1} \text{Im } Z, h; s, s) \zeta(2s, h; \sigma),$$

where h runs over half-integral matrices of degree 2 and

$$\zeta(s, h; \sigma) = \sum_C (abs |C|)^{-s} \left\{ \sum_D \exp(2\pi i \text{tr}(hC^{-1}D)/q) \right\},$$

where C runs over $\Gamma_1(q) \setminus \{C \in M_2(\mathbf{Z}) | C \equiv \gamma \pmod q, |C| \neq 0\}$, D runs over

$$\{D \in M_2(\mathbf{Z}) \pmod{qCA} | (C, D) = 1, D \equiv \delta \pmod q\} (A = \{S = {}^t S \in M_2(\mathbf{Z})\}).$$

Lemma 2. Let $q = \prod_i p_i^{a_i}$ be a decomposition to primes and for a character χ modulo q , χ_i denotes a character modulo $p_i^{a_i}$ such that $\chi = \prod \chi_i$. Then we have

$$\zeta(s, h; \sigma) = 2\varphi(q)^{-1} \sum_x \prod_{p|q} b_p(\chi(p)p^{-s}, h) \prod_i b_{p_i}(\prod_{j \neq i} \chi_j(p_i)p_i^{-s}, h, \chi_i, \sigma),$$

where χ runs over even characters modulo q and

$$b_p(x, h) = \sum_C x^{\text{ord}|C|} \sum_D \exp(2\pi i \text{tr}(hC^{-1}D)),$$

where C runs over $\Gamma_1 \setminus \{C \in M_2(\mathbf{Z}) | 0 < |C| \leq p^\infty\}$, D runs over $\{D \in M_2(\mathbf{Z}) \pmod{CA} | (C, D) = 1\}$,

$$b_{p_i}(x, h, \chi_i, \sigma) = \sum_C x^{\text{ord}|C|} \sum_D \exp(2\pi i \text{tr}(hC^{-1}D)p_i^{-a_i}) \sum_R \overline{\chi_i(\overline{R})},$$

where C, D, R run over $\Gamma_1 \setminus \{C \in M_2(\mathbf{Z}) | 0 < |C| \leq p_i^\infty\}$, $\{D \in M_2(\mathbf{Z}) \pmod{p_i^{a_i}CA} | (C, D) = 1\}$ and $\{R \in GL_2(\mathbf{Z}/p_i^{a_i}\mathbf{Z}) | R(C, qp_i^{-a_i}D) \equiv (\gamma, \delta) \pmod{p_i^{a_i}}\}$ respectively. $b_{p_i}(x, h, \chi_i, \sigma)$ is a rational function in x and the denominator is $(1 - p_i^2 x^2)(1 - p_i^2 x)$ if $\chi_i = 1, h = 0$; $(1 - p_i^2 x^2)$ if either $\chi_i \neq 1, \chi_i^2 = 1, h = 0$ or $\chi_i^2 = 1, rk h = 1$; 1 other-

wise, and the degree and coefficients of the numerator is $O(p_i^{A_H})$ where A is a positive constant independent of h and H is a sum of p_i -order of non-zero elementary divisors of h .

Using these lemmas, we can express $E(\sigma z, s)$ by L -functions, Γ -functions and $\xi_i(*, h; *, *)$ ($h \neq 0$) and we have

Theorem. *Let $\sigma \in \Gamma_2$ and $Z \in H$ be in the Siegel's fundamental domain. Then $(s-1/2)(s-3/4)(s-1)^2(s-3/2) \prod_x L(2s, \chi) \cdot \prod_x L(4s-2, \chi') E(\sigma Z, s)$ is an entire function, and if $|s| < t$, then it is $O(e^{t\alpha} |\text{Im } Z|^{t\alpha})$ for some $\alpha > 0$ independent of t . Here χ, χ' run over even characters modulo q , squares of even characters modulo q respectively.*

§ 3. Functional equations. Put $H^* = \{W \in M_2(\mathbf{R}) \mid W = T + S, T = {}^tT > 0, S = -{}^tS\}$,

$$\Delta^*(q) = \{M \in SL_4(\mathbf{Z}) \mid M \equiv 1_4 \pmod q, {}^tMI^*M = I^*\} \left(I^* = \begin{pmatrix} 0 & 1_2 \\ 1_2 & 0 \end{pmatrix} \right).$$

For $Z \in H, W \in H^*, V = \begin{pmatrix} V_1 & V_2 \\ V_3 & V_4 \end{pmatrix} (V_i \in M_2(\mathbf{R}))$, we put

$$\theta(Z, W; V) = \sum_{C, D \in M_2(\mathbf{Z})} \exp(-\pi \text{tr}((\text{Im } Z)^{-1} \{Z^t(C + V_1) + {}^t(D + V_2)\}W) + \pi i \text{tr}((V_4, -V_3)^t(2C + V_1, 2D + V_2))).$$

Suppose that qV, qVI^tV are integral; then

$$\begin{aligned} \xi(Z, s; V) &:= \int_{\Delta_{\infty}^*(q) \backslash H^*} |T|^{s+1/2} R^* \theta(Z, W; V) dW \\ &= q\pi^{1/2-2s} (s-3/2)(s-1)\Gamma(s+1)\Gamma(s+1/2) \tilde{E}'(Z, s; V) \\ &= \int_{\Delta^*(q) \backslash H^*} G^*(W, s-1/2) |T| R^* \theta(Z, W; V) dW, \end{aligned}$$

where $\Delta_{\infty}^*(q) = \left\{ \begin{pmatrix} * & * \\ 0^{(2)} & * \end{pmatrix} \in \Delta^*(q) \right\}$, $T = 2^{-1}(W + {}^tW)$, and R^*, dW are a differential operator and an invariant volume element given in [8] respectively.

For $N = \begin{pmatrix} * & * \\ \gamma & \delta \end{pmatrix} \in \Delta^*(1)$, there are $U \in GL_2(\mathbf{Z}), a, b \in \mathbf{Z}$ such that

$$(\gamma, \delta) \equiv \left(aU \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, bU \right) \pmod q, (a, b) = 1,$$

and then

$$G^*(N \langle W \rangle, s) = \sum_k E_1(w, 2s; (ka, kb))$$

where k runs over $\{k \pmod q \mid k^2 \equiv \pm 1 \pmod q\} / \{\pm 1\}$ and $w = u + iv, (2^{-1}(W - {}^tW) = \begin{pmatrix} 0 & u \\ -u & 0 \end{pmatrix}, v = \sqrt{|2^{-1}(W + {}^tW)|})$, $E_1(w, s; (a, b)) = v^s \sum |cw + d|^{-2s}$ where c, d run over $\{(c, d) \mid (c, d) = 1, c \equiv a \pmod q, d \equiv b \pmod q\}$. Functional equations for E_1 ([6]) imply ones for G^* and then for $E(Z, s)$.

Theorem. $E(Z, s) = \sum_{\sigma} \varphi(s, \sigma) E(\sigma Z, 3/2 - s)$, where σ runs over left cosets $\langle \Gamma_2(q), \Gamma_2(\infty) \rangle \backslash \Gamma_2$ of Γ_2 by a subgroup generated by $\Gamma_2(q)$ and $\Gamma_2(\infty)$. Here $\varphi(s, \sigma) = 2^{5-4s} q^{-3\pi^{5/2}} \Gamma(s)^{-2} \Gamma(s-1/2)^{-2} \Gamma(2s-3/2) \Gamma(2s-2) \zeta(2s, 0; \sigma^{-1})$.

Remark. $\zeta(2s, 0; \sigma) = \prod_x L(2s-2, \tilde{\chi}) L(4s-3, \tilde{\chi}^2) L(2s, \chi)^{-1} L(4s-2, \chi^2)^{-1} \times F(\chi, \sigma)$, where χ runs over even characters modulo q and $\tilde{*}$ is a primitive

character induced by $*$ and $F(\chi, \sigma)$ is a polynomial in $p^{-s}(p|q)$ dependent on χ and σ .

Corollary. *Let $f(Z) = \sum a(N) \exp(2\pi i \operatorname{tr}(NZ)/q)$ be a cusp form of level q , weight k of degree 2. Then we have $a(N) = O(|N|^{k/2 - 1.5/(a+5b+10)})$ where a, b are the number of even characters modulo q , of squares of even characters modulo q respectively.*

Remark 1. The estimate in Corollary will be improved, transforming $f(Z)$ to Γ_0 -type cusp forms.

Remark 2. Using [10], we can get functional equations for more general Eisenstein series of degree 2.

Remark 3. An analytic continuation for $E(-Z^{-1}, s)$ is easier and the method here works for Eisenstein series of higher degree, using [4] instead of [3].

Details will appear elsewhere.

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