

83. α -additive Functions and Uniform Distribution modulo One

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1. Throughout this note, we write $e(x) = e^{2\pi i x}$ for real x and denote by N_0 the set of all nonnegative integers. Let α be an irrational number and $[a_0; a_1, \dots, a_k, \dots]$ be the continued fraction expansion of α . The sequence $\{q_k\}$ of denominators of convergents for α satisfies

$$q_0 = 1, q_1 = a_1 \quad \text{and} \quad q_{k+2} = a_{k+2}q_{k+1} + q_k \quad \text{for all } k \in N_0.$$

Every nonnegative integer can be written in the form

$$n = \sum_{k=0}^{\infty} \varepsilon_k(n) q_k,$$

where

$$\varepsilon_0(n) \in \{0, 1, \dots, a_1 - 1\},$$

$$\varepsilon_k(n) \in \{0, 1, \dots, a_{k+1}\},$$

and for $k \geq 1$ $\varepsilon_{k-1}(n) = 0$ whenever $\varepsilon_k(n) = a_{k+1}$. This representation is unique.

Definition. A function (or a sequence) $f: N_0 \rightarrow \mathbf{R}$ is said to be α -additive if $f(0) = 0$ and

$$f(n) = \sum_{k=0}^{\infty} f(\varepsilon_k(n) q_k).$$

J. Coquet [1] showed that the α -additive sequence

$$\{\sigma_x(n)\} = \left\{ x \sum_{k=0}^{\infty} \varepsilon_k(n) \right\}$$

is uniformly distributed modulo one (abbreviated: u.d. mod 1) if and only if x is irrational. In this note, we prove the following theorem which gives a generalization of this result of J. Coquet's.

Theorem. Let $\phi: N_0 \rightarrow \mathbf{R}$ be a function with $\phi(0) = 0$. We set

$$f(n) = \sum_{k=0}^{\infty} \phi(\varepsilon_k(n)).$$

If $\phi(1)$ is irrational and the sequence $\{\phi(n)\}_{n \in N_0}$ is u.d. mod 1, then the sequence $\{f(n)\}_{n \in N_0}$ is u.d. mod 1.

Immediate consequences of this theorem will be the following:

Corollary 1. Let $\{a_k\}$ be an unbounded sequence, and $\phi(n)$ and $f(n)$ be the functions given in the theorem. If $\{\phi(n)\}$ is u.d. mod 1, then $\{f(n)\}$ is u.d. mod 1.

Corollary 2. Let $\{a_k\}$ be a bounded sequence, and $\phi(n)$ and $f(n)$ be as in the theorem. If $\phi(1)$ is irrational, then $\{f(n)\}$ is u.d. mod 1.

Corollary 3. Let $\{a_k\}$ be bounded and assume that $a_k \geq 3$ for infinitely many k . Let $\phi(n)$ and $f(n)$ be as in the theorem. If $\phi(1)$ is rational and $\phi(2)$ is irrational, then $\{f(n) + x\sigma_x(n)\}$ is u.d. mod 1 for any real x .

2. We set

$$\mu_k = \frac{1}{q_k} \sum_{n < q_k} e(f(n)).$$

To prove our theorem, we need the following lemma due to J. Coquet [1].

Lemma. *Let $f(n)$ be an α -additive function. Then*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n < N} e(f(n)) = 0$$

if and only if $\lim_{k \rightarrow \infty} |\mu_k| = 0$.

Proof of Theorem. Now, $f(n)$ being assumed to be α -additive, we have

$$\begin{aligned} \mu_{k+1} q_{k+1} &= \sum_{b < q_k} \sum_{a < a_{k+1}} e(f(aq_k + b)) + \sum_{b < q_k} e(f(a_{k+1}q_k + b)) \\ &= \mu_k q_k \sum_{a < a_{k+1}} e(\phi(a)) + \mu_{k-1} q_{k-1} e(\phi(a_{k+1})) \end{aligned}$$

for every integer $k \geq 1$, and

$$\begin{aligned} \mu_{k+2} q_{k+2} &= \mu_{k+1} q_{k+1} \sum_{b < a_{k+2}} e(\phi(b)) + \mu_k q_k e(\phi(a_{k+2})) \\ &= \mu_k q_k \left(\sum_{b < a_{k+2}} e(\phi(b)) \right) \left(\sum_{a < a_{k+1}} e(\phi(a)) + e(\phi(a_{k+2})) \right) \\ &\quad + \mu_{k-1} q_{k-1} e(\phi(a_{k+1})) \sum_{b < a_{k+2}} e(\phi(b)) \end{aligned}$$

for every integer $k \geq 2$.

If we put

$$M_k = \max \{ |\mu_k|, |\mu_{k-1}| \} \quad \text{for } k = 1, 2, \dots,$$

then

$$(1) \quad |\mu_{k+1}| < M_k \left(\left| \sum_{a < a_{k+1}} e(\phi(a)) \right| \frac{q_k}{q_{k+1}} + \frac{q_{k-1}}{q_{k+1}} \right) = M_k A_k, \quad \text{say,}$$

$$\begin{aligned} (2) \quad |\mu_{k+2}| &< M_k \left(\left(\left| \sum_{b < a_{k+2}} e(\phi(b)) \right| \left| \sum_{a < a_{k+1}} e(\phi(a)) \right| + 1 \right) \frac{q_k}{q_{k+2}} \right. \\ &\quad \left. + \left| \sum_{b < a_{k+2}} e(\phi(b)) \right| \frac{q_{k-1}}{q_{k+2}} \right) = M_k B_k, \quad \text{say.} \end{aligned}$$

It follows from (1) and (2) that

$$(3) \quad M_{k+2} \leq M_k \max \{ A_k, B_k \}.$$

First we assume that $\{a_k\}$ is unbounded. Then there is a strictly (and indefinitely) increasing sequence $\{a_{k_j}\}$ of $\{a_k\}$ and we have for this sequence $\{a_{k_j}\}$

$$A_{k_j-1} \leq \frac{1}{a_{k_j}} \left| \sum_{a < a_{k_j}} e(\phi(n)) \right| + \frac{1}{a_{k_j}},$$

and

$$B_{k_j-1} \leq \frac{1}{a_{k_j}} \left| \sum_{a < a_{k_j}} e(\phi(n)) \right| + \frac{2}{a_{k_j}}.$$

It is easy to see from (1) that the sequence $\{M_k\}$ is decreasing, and so the limit

$$c = \lim_{k \rightarrow \infty} M_k$$

exists. Since by assumption $\{\phi(n)\}$ is u.d. mod 1, we must have $c=0$, by (3).

Secondly, we assume that $\{a_k\}$ is bounded. Let L be an upper bound of $\{a_k\}$. The case that $a_k=1$ for all sufficiently large k has been treated by J. Coquet [1], and therefore we may assume that there are infinitely many k such that $a_k>1$. We take a subsequence $\{a_{k_j}\}$ of $\{a_k\}$ such that $a_{k_j}=d>1$ where d is a number independent of k_j . Since $\phi(1)$ is irrational, we have

$$\delta = d - \left| \sum_{n < d} e(\phi(n)) \right| > 0.$$

Then we find

$$A_{k_j-1} \leq (a_{k_j} - \delta) \frac{q_{k_j-1}}{q_{k_j}} + \frac{q_{k_j-2}}{q_{k_j}} = 1 - \frac{q_{k_j-1}}{q_{k_j}} \delta < 1 - \frac{\delta}{L+1}$$

and

$$\begin{aligned} B_{k_j-1} &\leq (1 + a_{k_{j+1}}(a_{k_j} - \delta)) \frac{q_{k_j-1}}{q_{k_{j+1}}} + a_{k_j} \frac{q_{k_j-2}}{q_{k_{j+1}}} \\ &= 1 - \frac{a_{k_{j+1}} q_{k_j-1}}{q_{k_{j+1}}} \delta < 1 - \frac{\delta}{(L+1)^2} \end{aligned}$$

which implies by (3) that the limit

$$c = \lim_{k \rightarrow \infty} M_k$$

satisfies

$$c \leq c(1 - \delta(L+1)^{-2}).$$

Thus we have $c=0$, and the proof is complete.

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Reference

- [1] J. Coquet: Répartition de la somme des chiffres associée à une fraction continue. Bull. Soc. Roy. Sci. Liège, **51**, 161–165 (1982).