

## 116. On Compact Kähler Manifolds of Constant Scalar Curvatures

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**1. Introduction.** The purpose of this note is to generalize the result obtained in [1]. To be more precise we shall present an obstruction to the existence of a Kähler metric of constant scalar curvature in any fixed Kähler class of a compact complex manifold  $M$  with  $b_1(M)=0$ .

Let  $\omega=(i/2\pi) g_{\alpha\beta} dz^\alpha \wedge d\bar{z}^\beta$  be a Kähler form of  $M$ ,  $\gamma_\omega = -(i/2\pi) \partial\bar{\partial} \log \det (g_{\alpha\beta})$  the Ricci form of  $\omega$ , and  $\tau_\omega$  the harmonic part of  $\gamma_\omega - \omega$ . Then there exists a real valued smooth function  $F_\omega$ , uniquely determined up to any constant function, such that

$$\gamma_\omega = \omega + \tau_\omega + \frac{i}{2\pi} \partial\bar{\partial} F_\omega.$$

We denote by  $\mathfrak{h}(M)$  the complex Lie algebra of all holomorphic vector fields of  $M$ . We define a linear function  $f_{[\omega]}$  of  $\mathfrak{h}(M)$  into  $\mathbb{C}$  by

$$f_{[\omega]}(X) = \int_M X F_\omega \omega^m$$

where  $m = \dim M$ .

**Theorem 1.** *Let  $M$  be a compact complex manifold with  $b_1(M) = 0$  admitting Kählerian structures. Then the function  $f_{[\omega]}$  depends only on the Kähler class  $[\omega] \in H^2(M; \mathbb{R})$ . If  $M$  admits a Kähler form  $\bar{\omega} \in [\omega]$  of constant scalar curvature, then  $f_{[\omega]} = 0$ .*

**Theorem 2.** *The function  $f_{[\omega]}$  is invariant under the group  $G$  of all holomorphic transformations of  $M$  preserving the class  $[\omega]$ . In particular the derived algebra of  $\mathfrak{h}(M)$  is contained in the kernel of  $f_{[\omega]}$  and  $f_{[\omega]}$  is a Lie algebra homomorphism. If  $\mathfrak{h}(M)$  is semisimple then  $f_{[\omega]} = 0$ . If  $f_{[\omega]} \neq 0$  then  $\mathfrak{h}(M)$  contains a hyperplane invariant under  $G$ .*

If the first Chern class  $c_1(M)$  is positive, any Kähler form of constant scalar curvature in  $c_1(M)$  is Einstein. So the result of this paper generalizes that of [1].

We remark that  $f_{[\omega]}$  actually varies as  $\omega$  does; this can be observed by considering a product of two compact Kähler manifolds  $M_i$  with the Kähler form  $\omega_i$ ,  $i=1, 2$ , such that  $f_{[\omega_i]} \neq 0$  and taking Kähler forms  $\omega = k_1 \omega_1 + k_2 \omega_2$  on  $M_1 \times M_2$  for positive parameters  $k_1$  and  $k_2$ .

**2. Proof.** Fix a Kähler form  $\omega_0$ . Any Kähler form  $\omega_1$  cohomologous to  $\omega_0$  can be joined by a smooth family of Kähler forms  $\omega_t = \omega_0$

+  $t(i/2\pi) \partial\bar{\partial}\phi$ ,  $\phi$  being a real valued smooth function of  $M$ . There exists a smooth family  $\theta_t$  of smooth functions of  $M$ , uniquely determined up to any  $M$ -constant functions of  $t$ , such that

$$\frac{d}{dt}(\tau_{\omega_t}) = \frac{i}{2\pi} \partial\bar{\partial}\theta_t.$$

From now on we shall omit the suffix  $t$  for the notational convenience.

**Lemma 3.**  $\Delta\theta - g^{\alpha\beta}g^{\gamma\delta}\phi_{\alpha\delta}\tau_{\gamma\beta} = 0$  where  $\tau_{\omega} = (i/2\pi) \tau_{\alpha\beta}dz^{\alpha} \wedge dz^{\beta}$ .

*Proof.* Differentiating the equation  $\delta''\tau_{\omega} = 0$  with respect to  $t$ , we obtain

$$\bar{\partial}(\Delta\theta - g^{\alpha\beta}g^{\gamma\delta}\phi_{\alpha\delta}\tau_{\gamma\beta}) = 0.$$

Since  $\delta''\tau_{\omega} = 0$  also implies that

$$\int_M g^{\alpha\beta}g^{\gamma\delta}\phi_{\alpha\delta}\tau_{\gamma\beta}\omega^m = 0,$$

the proof of the lemma is immediate.

**Lemma 4.** If  $b_1(M) = 0$ , then for each  $X \in \mathfrak{h}(M)$  there exists a smooth function  $\psi$  such that  $X = \psi^{\alpha}(\partial/\partial z^{\alpha})$ .

*Proof.* Let  $\eta$  be the  $(0, 1)$ -form dual to  $X$ . Then  $\bar{\partial}\eta = 0$ . Since  $b_1(M) = 0$  there exists a smooth function  $\psi$  such that  $\eta = \bar{\partial}\psi$ , which is the desired function.

*Proof of Theorem 1.* To prove the first part we need only to show that the derivative of the function  $\int_M XF_{\omega_t}\omega_t^m$  of  $t$  vanishes identically. In fact one can calculate as in [1]

$$\begin{aligned} \frac{d}{dt} \int_M XF_{\omega}\omega^m &= \int_M X^{\gamma}(g^{\alpha\beta}\phi_{\alpha}\tau_{\gamma\beta} - \theta_{\gamma})\omega^m \\ &= \int_M \psi^{\alpha}(\Delta\theta - g^{\alpha\beta}g^{\gamma\delta}\phi_{\alpha\delta}\tau_{\gamma\beta})\omega^m \\ &= 0. \end{aligned}$$

The proof of the second part of Theorem 1 is immediate from the fact that harmonicity of  $\tau_{\omega}$  implies that  $g^{\alpha\beta}\tau_{\alpha\beta}$  is constant.

The proof of Theorem 2 is quite similar to that of Theorem 2.1 in [1], and is omitted.

## References

- [1] A. Futaki: An obstruction to the existence of Einstein Kähler metrics (to appear in Invent. math.).
- [2] A. Lichnerowicz: Sur les transformations analytiques des variétés kählériennes. C. R. Acad. Sc. Paris, **244**, 3011–3014 (1957).
- [3] Y. Matsushima: Sur la structure du groupe d'homéomorphismes analytiques d'une certaine variété Kaehlérienne. Nagoya Math. J., **11**, 145–150 (1957).