

## 88. A Class of Solutions to the Self-Dual Yang-Mills Equations

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This note presents a method for generating a class of special solutions to the self-dual Yang-Mills equations. They are shown to be parametrized by some matrices which serve as "frames" representing the corresponding points of the (infinite dimensional) Grassmann manifolds. Thus a remarkable similarity to the results of Sato [7] and Date *et al.* [5] for the "soliton equations" is revealed. Also it should be added that the method presented here is closely related with those of Cherednik [3], Date [4] and Krichever [6].

§ 1. The self-dual Yang-Mills equations and the linearization. Hereafter, in contrast to the usual formulation in the real domain (see, for example, [1] and the references therein), we shall work with the complex analytic theory of the self-dual Yang-Mills fields with structure group  $GL(r, \mathbb{C})$  ( $r \geq 2$ ). All the functions which will appear in what follows are supposed to be holomorphic in some complex domains. Thus, the self-dual Yang-Mills equations which we shall consider are, by definition, given by

$$(1) \quad \begin{aligned} [\partial_y + A_y, \partial_{\bar{z}} + A_{\bar{z}}] &= 0, & [\partial_z + A_z, \partial_{\bar{y}} + A_{\bar{y}}] &= 0, \\ [\partial_y + A_y, \partial_{\bar{y}} + A_{\bar{y}}] &= [\partial_z + A_z, \partial_{\bar{z}} + A_{\bar{z}}], \end{aligned}$$

where  $x = (y, \bar{y}, z, \bar{z})$  are complex independent variables in  $\mathbb{C}^4$  ( $\bar{y}$  and  $\bar{z}$  do not indicate the complex conjugates of  $y$  and  $z$ ),  $\partial_y = \partial/\partial y$ ,  $\dots$ ,  $\partial_{\bar{z}} = \partial/\partial \bar{z}$ , and  $A_y, \dots, A_{\bar{z}}$  are unknown matrices of size  $r \times r$  of holomorphic functions of  $x$ .

Introducing another independent complex variable  $\lambda$ , we can rewrite (1) into

$$(2) \quad [-\lambda(\partial_y + A_y) + (\partial_z + A_z), \lambda(\partial_{\bar{z}} + A_{\bar{z}}) + (\partial_{\bar{y}} + A_{\bar{y}})] = 0,$$

so that, as pointed out first by Belavin-Zakharov [2] and Ward [8], the linear system

$$(3) \quad \begin{aligned} (-\lambda(\partial_y + A_y) + (\partial_z + A_z))\Psi(x, \lambda) &= 0, \\ (\lambda(\partial_{\bar{z}} + A_{\bar{z}}) + (\partial_{\bar{y}} + A_{\bar{y}}))\Psi(x, \lambda) &= 0 \end{aligned}$$

presents a linearization of (1). Note that if (3) is fulfilled for an invertible matrix  $\Psi(x, \lambda)$  of size  $r \times r$ , (2) immediately follows.

§ 2. Special solutions. As the data for the special solution stated below, inspired by [4], [6], let us consider  $\{\lambda_j(x), m_j, c_j(x, \lambda), j = 1, \dots, N\}$ , where  $\lambda_j(x)$ ,  $j = 1, \dots, N$ , are holomorphic functions with

(4)  $[-\lambda_j(x)\partial_y + \partial_z, \lambda_j(x)\partial_{\bar{z}} + \partial_{\bar{y}}] = 0,$   
 $m_j, j=1, \dots, N,$  are positive integers with

(5)  $\sum_{j=1}^N m_j = rm, \quad m$  is a positive integer,

and  $c_j(x, \lambda), j=1, \dots, N,$  are  $r$ -column vectors of holomorphic functions, defined near  $(x, \lambda_j(x))$  respectively, of the form

(6)  $c_j(x, \lambda) = \hat{c}_j(\lambda, y + \lambda z, -\bar{z} + \lambda \bar{y}),$

where  $\hat{c}_j(\lambda, p, q), j=1, \dots, N,$  are  $r$ -column vectors of holomorphic functions of three variables  $(\lambda, p, q)$ . Let us define  $c_{j,k,l}(x), 1 \leq j \leq N, 0 \leq k, l,$  by the expansion

(7)  $\lambda^k c_j(x, \lambda) = \sum_{l=0}^{\infty} c_{j,k,l}(x) (\lambda - \lambda_j(x))^l.$

A special solution to the self-dual Yang-Mills equations is constructed from the above data as follows:

**Theorem 1.** *Suppose*

(8)  $\det [(c_{1,k,l}(x))_{\substack{k=0, \dots, m-1 \\ l=0, \dots, m_1-1}} | \dots | (c_{N,k,l}(x))_{\substack{k=0, \dots, m-1 \\ l=0, \dots, m_N-1}}] \neq 0.$

Then, for any invertible matrix  $W_0(x)$  of size  $r \times r$  of holomorphic functions of  $x,$  a matrix  $\Psi(x, \lambda) = \sum_{k=0}^m W_k(x) \lambda^{m-k}$  of size  $r \times r$  is uniquely determined by the conditions

(9)  $\Psi(x, \lambda) c_j(x, \lambda) = O((\lambda - \lambda_j(x))^{m_j}) \quad (\lambda \rightarrow \lambda_j(x)), \quad j=1, \dots, N.$

Furthermore, the matrices  $A_y(x), \dots, A_{\bar{z}}(x)$  defined by

(10)  $A_y = -\partial_y W_0 \cdot W_0^{-1}, \quad A_{\bar{z}} = -\partial_{\bar{z}} W_0 \cdot W_0^{-1},$   
 $A_z = -(\partial_z W_0 - \partial_y W_1 - A_y W_1) \cdot W_0^{-1},$   
 $A_{\bar{y}} = -(\partial_{\bar{y}} W_0 + \partial_{\bar{z}} W_1 + A_{\bar{z}} W_1) \cdot W_0^{-1}$

and  $\Psi(x, \lambda)$  solve equations (1)–(3).

**Remark.** A holomorphic function  $\lambda_j(x)$  with condition (4) can be generated, for example, by solving (locally) an equation of the form  $f_j(\lambda, y + \lambda z, -\bar{z} + \lambda \bar{y}) = 0$  with respect to  $\lambda,$  where  $f_j(\lambda, p, q)$  is a holomorphic function of three variables  $(\lambda, p, q)$ .

The structure of higher evolutions (“hierarchy”) similar to those of the soliton equations [7], [5] can be also specified: Let us introduce a series of independent variables  $t = (t_{\nu, \rho, \sigma}^{(\alpha)})_{1 \leq \alpha \leq r, 0 \leq \nu \leq \rho, \sigma}$  and a diagonal matrix

(11)  $T(t, \lambda) = \sum_{\nu, \rho, \sigma=0}^{\infty} \text{diag} [t_{\nu, \rho, \sigma}^{(1)}, \dots, t_{\nu, \rho, \sigma}^{(r)}] \lambda^{\nu} (y + \lambda z)^{\rho} (-\bar{z} + \lambda \bar{y})^{\sigma}.$

**Theorem 2.** *Suppose (8). Then, for any invertible matrix  $W_0(x, t),$  a matrix  $\Psi(x, t, \lambda) = \sum_{k=0}^m W_k(x, t) \lambda^{m-k} e^{T(t, \lambda)}$  of size  $r \times r$  is uniquely determined by the conditions*

(12)  $\Psi(x, t, \lambda) c_j(x, \lambda) = O((\lambda - \lambda_j(x))^{m_j}) \quad (\lambda \rightarrow \lambda_j(x)), \quad j=1, \dots, N.$

$\Psi(x, \lambda)$  satisfies (3) for the matrices  $A_y(x, t), \dots, A_{\bar{z}}(x, t)$  defined by (10) with  $W_0 = W_0(x, t), W_1 = W_1(x, t),$  and also the linear systems

(13)  $\partial \Psi(x, t, \lambda) / \partial t_{\nu, \rho, \sigma}^{(\alpha)} = B_{\nu, \rho, \sigma}^{(\alpha)}(x, t, \lambda) \Psi(x, t, \lambda), \quad 1 \leq \alpha \leq r, \quad 0 \leq \nu, \rho, \sigma,$

where  $B_{\nu, \rho, \sigma}^{(\alpha)}(x, t, \lambda)$  is a matrix of size  $r \times r$  whose components are

polynomials of  $\lambda$ .

§ 3. Parametrization by “frames”. We shall, first, investigate a general framework of the parametrization; later, we shall go back to the solutions mentioned in § 2.

Let us consider the correspondence between two matrices,  $\Psi(x, \lambda) = \sum_{k=0}^m W_k(x)\lambda^{m-k}$  (of size  $r \times r$ ) and  $\xi(x) = (\xi_k(x))_{k=0,1,\dots,(1)}$  (of size  $\infty \times rm$  with  $\xi_k(x)$ ,  $k=0, 1, \dots$ , being  $r \times rm$ -blocks), defined by

$$(14) \quad (W_m(x), W_{m-1}(x), \dots, W_0(x), 0, 0, \dots)\xi(x) = 0,$$

where  $\Psi(x, \lambda)$  and  $\xi(x)$  are supposed to satisfy the conditions

$$(15) \quad \det W_0(x) \neq 0, \quad \det (\xi_k(x))_{k=0,\dots,m-1} \neq 0,$$

$$(16) \quad A\xi(x) = (\xi_{k+1}(x))_{k=0,1,\dots} = \xi(x)C(x)$$

for some matrix  $C(x)$  of size  $rm \times rm$ .

Here  $A$  denotes the block-wise shifting matrix  $(\delta_{i,j-1}1_r)_{i,j=0,1,\dots}$  with  $1_r$  the unit matrix of size  $r \times r$ .

**Theorem 3.** *Each of two matrices  $\Psi(x, \lambda)$  and  $\xi(x)$  with properties (15) and (16) is determined by the other via (14), uniquely up to the arbitrariness  $\Psi(x, \lambda) \rightarrow G(x)\Psi(x, \lambda)$ ,  $\xi(x) \rightarrow \xi(x)H(x)$ , where  $G(x)$  and  $H(x)$  are invertible matrices of size  $r \times r$  and  $rm \times rm$  respectively. Furthermore, (1)–(3) are fulfilled for the matrices  $A_y(x), \dots, A_z(x)$  defined by (10) if and only if*

$$(17) \quad (-A\partial_y + \partial_z)\xi(x) = \xi(x)A(x), \quad (A\partial_z + \partial_y)\xi(x) = \xi(x)B(x)$$

are satisfied for some matrices  $A(x)$  and  $B(x)$  of size  $rm \times rm$ .

It should be noted that  $\Psi(x, \lambda) \rightarrow G(x)\Psi(x, \lambda)$  corresponds to the gauge transformation, while  $\xi(x) \rightarrow \xi(x)H(x)$  defines the equivalence of “frames” representing a common point of the Grassmann manifold as appeared in [7], i.e. the equivalence of  $\infty \times rm$ -matrices whose column vectors span a common linear subspace of dimension  $rm$  in the vector space of column vectors of size  $\infty$ .

The structure of higher evolutions is described as follows:

**Theorem 4.** *Suppose (15)–(17). Then, for any invertible matrix  $W_0(x, t)$ , a matrix  $\Psi(x, t, \lambda) = \sum_{k=0}^m W_k(x, t)\lambda^{m-k}e^{T(t, \lambda)}$  of size  $r \times r$  is uniquely determined by*

$$(18) \quad (W_m(x, t), W_{m-1}(x, t), \dots, W_0(x, t), 0, 0, \dots)e^{T(t, \lambda)}\xi(x) = 0.$$

$\Psi(x, t, \lambda)$  satisfies (3) for the matrices  $A_y(x, t), \dots, A_z(x, t)$  defined by

(10) with  $W_0 = W_0(x, t)$ ,  $W_1 = W_1(x, t)$ , and also linear systems (13).

The solutions presented in § 2 are recovered if we set

$$(19) \quad \xi(x) = \left[ (c_{1,k,i}(x))_{\substack{k=0,1,\dots \\ i=0,\dots,m_1-1}} \mid \dots \mid (c_{N,k,i}(x))_{\substack{k=0,1,\dots \\ i=0,\dots,m_N-1}} \right].$$

$A(x), B(x)$  and  $C(x)$  are given by

$$(20) \quad \begin{aligned} A(x) &= -\bigoplus_{j=1}^N \text{diag} [\partial_y \lambda_j(x), 2\partial_y \lambda_j(x), \dots, m_j \partial_y \lambda_j(x)], \\ B(x) &= \bigoplus_{j=1}^N \text{diag} [\partial_z \lambda_j(x), 2\partial_z \lambda_j(x), \dots, m_j \partial_z \lambda_j(x)], \\ C(x) &= \bigoplus_{j=1}^N J(\lambda_j(x), m_j), \end{aligned}$$

where  $J(\lambda, m)$  denotes the Jordan cell of size  $m \times m$  with eigenvalue  $\lambda$ .

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