

86. Free Arrangements of Hyperplanes over an Arbitrary Field^{*)}

By Hiroaki TERAO

Department of Mathematics, International Christian University

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In [6], we proved a factorization theorem for the Poincaré polynomial of the complement of hyperplanes in an l -dimensional vector space over the complex number field C when the arrangement of the hyperplanes is free. That was called Shephard-Todd-Brieskorn theorem there. Our main aim here is to report a generalized factorization theorem for a free arrangement over an arbitrary field. The detailed proof will appear in [3].

1. Let A be an arrangement in an l -dimensional vector space V over a field K . In other words, A is a finite family of $(l-1)$ -dimensional vector subspaces of V . Denote the dual vector space of V by V^* . Let $S=S(V^*)$ be the symmetric algebra of V^* . Fix a base $\{x_1, \dots, x_l\}$ for V^* , and S is isomorphic to the polynomial algebra $K[x_1, \dots, x_l]$. Let $Q \in S$ be a reduced defining equation for $\bigcup_{H \in A} H$. Then Q is a product of elements of V^* . The derivation of S is a K -linear map $\theta: S \rightarrow S$ satisfying $\theta|_K \equiv 0$ and $\theta(fg) = f\theta(g) + g\theta(f)$ for any $f, g \in S$.

Definition 1. A derivation along A (which is called a logarithmic vector field [4] when we are in the complex analytic category) is a derivation θ of S satisfying

$$\theta(Q) \in QS.$$

Let $D(A)$ denote the set of derivations along A . Then $D(A)$ is naturally an S -module.

Definition 2. If $D(A)$ is an S -free module, we say that A is a free arrangement.

Definition 3. A derivation θ of S is said to be homogeneous of degree b if $\theta(x_i) \in S_b$ ($i=1, \dots, l$), where S_b is the vector subspace of S generated by monomials of degree b . We write $b = \deg \theta$. We can show that $D(A)$ has a free base $\{\theta_1, \dots, \theta_l\}$ consisting of homogeneous derivations if A is a free arrangement. The integers $(\deg \theta_1, \dots, \deg \theta_l)$ are called the degree of A (called the generalized exponents of A in [6]). They depend only upon A .

The following useful criterion, proved by K. Saito [4] when $K=C$, remains true for arbitrary K :

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Proposition 1. For homogeneous $\theta_1, \dots, \theta_l \in D(A)$, 1) $\theta_1 \wedge \dots \wedge \theta_l = : \det [\theta_i(x_j)]_{1 \leq i, j \leq l} \in QS$, 2) $\theta_1, \dots, \theta_l$ are a free base for $D(A)$ if and only if $\theta_1 \wedge \dots \wedge \theta_l \in K^*Q$ ($K^* = K \setminus \{0\}$).

2. We will define combinatorial notions. Let $L(A) = \{\bigcap_{H \in B} H; B \subseteq A\}$. (Agree that $\bigcap_{H \in \phi} H = V$.) Introduce a partial order \geq by $X \geq Y$ iff $X \subseteq Y$. Then V is the minimal element. We simply write L instead of $L(A)$.

Definition 4. The Möbius function μ on L is inductively defined by

$$\begin{aligned} \mu(V) &= 1, \\ \mu(Y) &= -\sum_{\substack{X < Y \\ X \in L}} \mu(X) \quad (Y \in L). \end{aligned}$$

The characteristic polynomial $\chi(A, t) \in Q[t]$ for an arrangement A is defined by

$$\chi(A, t) = \sum_{X \in L} \mu(X) t^{\dim X}.$$

In [1], Orlik-Solomon showed that $(-t)^l \chi(A, t^{-1})$ equals the Poincaré polynomial $\sum_{i \geq 0} \dim H^i(M) t^i$ ($M = V \setminus \bigcup_{H \in A} H$) when $K = C$. Our main result is

Factorization theorem (see [6] when $K = C$). For a free arrangement A with its degrees (b_1, \dots, b_l) ,

$$\chi(A, t) = \prod_{i=1}^l (t - b_i).$$

Example 1. Let $K = C$. When A is the set of all reflecting hyperplanes of a finite unitary reflection group (over C), A is free. In this case, Factorization theorem was first proved by Orlik-Solomon [2].

Example 2. Let $K = F_q$ (a field with q elements). Let A be the arrangement consisting of all $(l-1)$ -dimensional subspaces of V . Define

$$\theta_i = \sum_{j=1}^l x_j^{q^i-1} (\partial/\partial x_j) \quad (i=1, \dots, l).$$

Let $\alpha = \sum_{j=1}^l c_j x_j \in V^*$. Then

$$\begin{aligned} \theta_i(\alpha) &= \sum_{j=1}^l x_j^{q^i-1} c_j \\ &= (\sum_{j=1}^l c_j x_j)^{q^i-1} \in \alpha S. \end{aligned}$$

For each $H \in A$, fix an element $\alpha_H \in V^*$ such that $H = \ker(\alpha_H)$. Note that $\theta_i(\alpha_H) \in \alpha_H S$ ($H \in A$) by the argument above. Let $Q = \prod_{H \in A} \alpha_H$.

$$\theta_i(Q) = \sum_{H \in A} (Q/\alpha_H) \theta_i(\alpha_H) \in QS.$$

Thus $\theta_1, \dots, \theta_l \in D(A)$. The determinant

$$\theta_1 \wedge \dots \wedge \theta_l = \begin{vmatrix} x_1 & \dots & x_l \\ x_1^q & \dots & x_l^q \\ \vdots & & \vdots \\ x_1^{q^l-1} & \dots & x_l^{q^l-1} \end{vmatrix}$$

is not zero because the coefficient of $x_1 x_2^2 \dots x_l^{l-1}$ is 1. One also has

$$\begin{aligned} \sum_{i=1}^l \deg \theta_i &= 1 + q + \dots + q^{l-1} \\ &= (q^l - 1)/(q - 1) = \#A = \deg Q. \end{aligned}$$

Thanks to Proposition 1, these imply that $\theta_1 \wedge \cdots \wedge \theta_l \in K^*Q$ and that $\theta_1, \dots, \theta_l$ are a free base for $D(A)$. Thus A is free. In this case, by Factorization theorem, one has

$$\chi(A, t) = (t-1)(t-q) \cdots (t-q^{l-1}).$$

3. Fix $H_0 \in A$. Define

$$\begin{aligned} A' &= A \setminus \{H_0\}, \\ A'' &= \{H \cap H_0, H \in A'\}. \end{aligned}$$

The arrangement A'' is an arrangement in the $(l-1)$ -dimensional vector space H_0 .

Addition-deletion theorem. *Any two of the following three conditions imply the other one.*

- (1) A is free with its degrees (b_1, \dots, b_l) ,
- (2) A' is free with its degrees $(b_1, \dots, b_{l-1}, b_l - 1)$,
- (3) A'' is free with its degrees (b_1, \dots, b_{l-1}) .

This was proved in [5] for $K = \mathbf{R}$ or \mathbf{C} . The principle of our proofs for Factorization theorem and Addition-deletion theorem is essentially same as our proofs when $K = \mathbf{C}$ [6] [5]. In order to overcome the obstruction which appears when K is a finite field, the following lemma is crucial:

Lemma 1 (Stability of freeness under an algebraic field extension). *Let A be an arrangement over K . Suppose that F is an algebraic field extension of K . Denote the corresponding arrangement in $V \otimes_K F$ by A_F . Then the arrangement A_F over F is free if and only if the arrangement A over K is free.*

By using Lemma 1, we can prove the following proposition, which is important in our proofs for the two theorems above, for an arbitrary field K :

Proposition 2. *If A is free, then so is $A_X = \{H \in A; H \supseteq X\}$ for any $X \in L$.*

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