

24. Construction of Integral Basis. II

By Kōsaku OKUTSU

Department of Mathematics, Gakushuin University

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Let \mathfrak{o} be a complete discrete valuation ring with the maximal ideal \mathfrak{m} , k its quotient field, \bar{k} an algebraic closure of k , and k_s the separable closure of k in \bar{k} . Let θ be an element of k_s which is integral over \mathfrak{o} . In Part I, we have defined divisor polynomials and integrality indexes of θ , by means of which we have given an integral basis of $k(\theta)$ explicitly.

In this part, we shall define primitive divisor polynomials of θ , with which the divisor polynomials of θ will be expressed explicitly. We denote by $|\cdot|$ a fixed valuation of \bar{k} , extending the valuation of k . Let $f(x)$ be the minimal polynomial of θ over k , and assume that the degree n of $f(x)$ is greater than 1.

§ 1. We define a finite sequence $\{\lambda_i(\theta, k)\}_{i=1,2,\dots,r}$ of real numbers and a finite sequence $\{m_i(\theta, k)\}_{i=0,1,2,\dots,r}$ of natural numbers inductively as follows.

Definition 1. We put $m_0(\theta, k) = n$, $\lambda_i(\theta, k) = \min \{|\theta - \beta| \mid \beta \in \bar{k} \text{ such that } [k(\beta) : k] < m_{i-1}(\theta, k)\}$, and $m_i(\theta, k) = \min \{[k(\gamma) : k] \mid \gamma \in \bar{k} \text{ such that } |\theta - \gamma| = \lambda_i(\theta, k)\}$. We have clearly $\lambda_i(\theta, k) < \lambda_{i+1}(\theta, k)$ and $m_i(\theta, k) > m_{i+1}(\theta, k)$, and there exists some integer r such that $m_r(\theta, k) = 1$. r is said to be the *depth* of $f(x)$ or of θ over k .

$\lambda_i(\theta, k)$ and $m_i(\theta, k)$ do not depend upon the choice of a root θ of $f(x)$.

Proposition 1. *There exists an element α_i of k_s satisfying $|\theta - \alpha_i| = \lambda_i(\theta, k)$, and $[k(\alpha_i) : k] = m_i(\theta, k)$ ($i = 1, \dots, r$).*

Definition 2. We call the minimal polynomial of α_i over k an *i -th primitive divisor polynomial* of θ or of $f(x)$ over k .

Proposition 2. *An i -th primitive divisor polynomial of $f(x)$ over k is a divisor polynomial of $f(x)$ of degree $m_i(\theta, k)$ over k .*

Proposition 3. *We assume that the depth r of $f(x)$ is greater than 1. Then for any integer i ($1 < i \leq r$), an i -th primitive divisor polynomial of $f(x)$ over k is a first primitive divisor polynomial over k of an $(i-1)$ -th primitive divisor polynomial of $f(x)$ over k .*

Now we assume that an element θ of k_s is not contained in k . Let α, η be two elements of k_s such that $|\theta - \eta| = \lambda_1(\theta, k)$, and $|\theta - \alpha| = \lambda_1(\theta, k)$, $[k(\alpha) : k] = m_1(\theta, k)$. For any Galois extension F of k , we denote by $G(F/k)$ the Galois group of F over k . Suppose that F contains $k(\theta, \alpha, \eta)$.

We put $H = \{\sigma \in G(F/k) \mid |\theta - \theta^\sigma| \leq \lambda_i(\theta, k)\}$, then H is obviously a subgroups of $G(F/k)$. Let L be the subfield of F fixed by H . It is easy to see that L does not depend upon the choice of F . The notations α , η , L will keep these meanings throughout this section.

Proposition 4. *Let T be the maximal tamely ramified subextension of $k(\alpha)$ over k . Then we have*

$$T \subset L \subset k(\theta) \cap k(\eta).$$

Proposition 5. *For any element $\beta \neq 0$ of $k(\alpha)$, there exist elements $\gamma \in k(\theta)$, $\delta \in k(\eta)$ such that*

$$|\beta - \gamma| < |\beta|, \quad \text{and} \quad |\beta - \delta| < |\beta|.$$

For any finite extension k' over k , we denote by $e(k'/k)$, $f(k'/k)$ the ramification index and the residue class degree of the extension k'/k , respectively.

The next proposition follows from Propositions 4 and 5.

Proposition 6. *We have*

$$e(k(\alpha)/k) \mid e(k(\theta)/k), \quad f(k(\alpha)/k) \mid f(k(\theta)/k)$$

and

$$e(k(\alpha)/k) \mid e(k(\eta)/k), \quad f(k(\alpha)/k) \mid f(k(\eta)/k).$$

Corollary 1. *Assume that the depth r of $f(x)$ is greater than 1. Then for any i ($1 \leq i \leq r$) we have*

$$m_i(\theta, k) \mid m_{i-1}(\theta, k).$$

Corollary 2. *If $k(\theta)$ is tamely ramified over k , we have $L = k(\alpha)$.*

Remark. As we will see later, $k(\alpha)$ is not necessarily contained by $k(\theta)$, when $k(\theta)$ is not tamely ramified over k .

The following proposition is useful in numerical applications.

Proposition 7. *Let $f_i(x)$ be an i -th primitive divisor polynomial of $f(x)$ ($1 \leq i \leq r$, where r is the depth of $f(x)$), and let l_i be the natural number such that $l_i - 1 < \text{ord}_\eta(f_i(\theta)) \leq l_i$. Then $f_i(x)$ is irreducible mod η^{l_i} in $\mathfrak{o}[x]$.*

§ 2. The following theorem shows how we can construct divisor polynomials of $f(x)$ by means of the primitive divisor polynomials of $f(x)$.

Theorem 1. *Let r be the depth of $f(x)$, and $f_i(x)$ an i -th primitive divisor polynomial of $f(x)$ ($i=1, \dots, r$). For any integer m such that $1 \leq m < n$, we define uniquely a finite sequence $q_1(m), \dots, q_r(m)$ of integers by the following conditions.*

$$m = \sum_{i=1}^r q_i(m) m_i(\theta, k), \quad \text{and} \quad 0 \leq q_i(m) < \frac{m_{i-1}(\theta, k)}{m_i(\theta, k)}, \quad (i=1, \dots, r).$$

Then $\prod_{i=1}^r f_i(x)^{q_i(m)}$ is a divisor polynomial of degree m of $f(x)$ over k .

Corollary. *Let $g_m(x)$ be a divisor polynomial of degree m of $f(x)$ over k . Put $\kappa_i = \text{ord}_\eta(f_i(\theta))$ ($1 \leq i \leq r$) and $\mu_m = \text{ord}_\eta(g_m(\theta))$ ($1 \leq m < n$). Then we have*

$$\sum_{m=1}^{n-1} \mu_m = \frac{n}{2} \sum_{i=1}^r \left(\frac{m_{i-1}(\theta, k)}{m_i(\theta, k)} - 1 \right) \cdot \kappa_i.$$

By this corollary and Theorem 3 in Part I, we have the following.

Proposition 8. *Let $D(1, \theta, \dots, \theta^{n-1})$ be the discriminant of $\mathfrak{o}[\theta]$ over \mathfrak{o} and $D(k(\theta)/k)$ the discriminant of $\mathfrak{o}_{k(\theta)}$ over \mathfrak{o} . Then we have*

$$\begin{aligned} \text{ord}_{\mathfrak{y}}(D(k(\theta)/k)) = & f \cdot (e-1) - n \sum_{i=1}^r \left(\frac{m_{i-1}(\theta, k)}{m_i(\theta, k)} - 1 \right) \cdot \kappa_i \\ & + \text{ord}_{\mathfrak{y}}(D(1, \theta, \dots, \theta^{n-1})). \end{aligned}$$

In Part III, we will give an explicit construction of the primitive divisor polynomials from the given polynomial $f(x)$.

Reference

- [1] K. Okutsu: Construction of integral basis. I. Proc. Japan Acad., **58A**, 47-49 (1982).