

### 37. Potential Theory and Eigenvalues of the Laplacian

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**§ 1. Introduction.** We consider a bounded domain  $\Omega$  in  $\mathbb{R}^3$  with  $C^2$  boundary  $\gamma$ . We fix a point  $w$  in  $\Omega$ . Let  $D$  be an open neighbourhood of the origin. Let  $D(\varepsilon, w)$  be the set defined by  $D(\varepsilon, w) = \{x \in \mathbb{R}^3; \varepsilon^{-1}(x-w) \in D\}$ . We put  $\Omega(\varepsilon) = \Omega \setminus \overline{D(\varepsilon, w)}$ . Let  $0 > m_1(\varepsilon) \geq m_2(\varepsilon) \geq \dots$  be the eigenvalues of the Laplacian in  $\Omega(\varepsilon)$  under the Dirichlet condition on  $\partial\Omega(\varepsilon)$ . Let  $0 > m_1 \geq m_2 \geq \dots$  be the eigenvalues of the Laplacian in  $\Omega$  under the Dirichlet condition on  $\gamma$ . We arrange them repeatedly according to their multiplicities.

We proposed the following problem in Ozawa [1].

**Problem.** Describe the precise asymptotic behaviour of  $m_j(\varepsilon)$  as  $\varepsilon$  tends to zero.

And the author conjectured in [1] the following

**Conjecture.** Fix  $j$ . Assume that the multiplicity of  $m_j$  is one, then there exists a constant  $c(D)$  such that

$$(1.1) \quad m_j(\varepsilon) - m_j = -4\pi c(D) \varepsilon \varphi_j(w)^2 + o(\varepsilon^{3/2})$$

holds as  $\varepsilon$  tends to zero. Here  $\varphi_j(x)$  is the normalized eigenfunction of the Laplacian associated with  $m_j$ .

In this note we give an answer to the above problem. We have the following

**Theorem 1.** Under the same assumption as above, (1.1) holds and  $c(D)$  is the electrostatic capacity  $\text{cap}(D)$  of the set  $D$ . Moreover,

$$(1.2) \quad m_j(\varepsilon) - m_j + 4\pi \text{cap}(D) \varepsilon \varphi_j(w)^2 = o(\varepsilon^{2-s})$$

holds for an arbitrary fixed  $s > 0$  as  $\varepsilon$  tends to zero.

**Remark.** We define  $\text{cap}(D)$  by

$$(1.3) \quad \text{cap}(D) = -(4\pi)^{-1} \int_{\partial D} \frac{\partial u}{\partial \nu} d\sigma,$$

where  $u$  is the unique solution of

$$(1.4) \quad \Delta u = 0 \quad \text{in } D^c, \quad u|_{\partial D} = 1, \quad \lim_{|x| \rightarrow \infty} u(x) = 0.$$

Here  $d\sigma$  is the surface element of  $\partial D$ .

The above Theorem 1 is a generalization of Theorem 2 in Ozawa [2]. The work in this paper was heavily inspired by the paper Papanicolau-Varadhan [5] in which "many holes problem" was studied.

In § 2, we give an outline of the proof of Theorem 1. Details of this paper will be given elsewhere.

§ 2. Outline of the proof of Theorem 1. It should be remarked that  $\Omega(\varepsilon)$  may not be connected. But for the sake of simplicity, we study the case where  $\Omega(\varepsilon)$  is connected.

Let  $G(x, y)$  (resp.  $G_\varepsilon(x, y)$ ) be the Green function of the Laplacian in  $\Omega$  (resp.  $\Omega(\varepsilon)$ ) under the Dirichlet condition on  $\gamma$  (resp.  $\partial\Omega(\varepsilon)$ ). We put

$$G^{(2)}(x, y) = \int_{\Omega} G(x, z)G(z, y)dz$$

for any  $x, y \in \Omega$ . And we put

$$G_\varepsilon^{(2)}(x, y) = \int_{\Omega(\varepsilon)} G_\varepsilon(x, z)G_\varepsilon(z, y)dz$$

for any  $x, y \in \Omega(\varepsilon)$ .

Let  $\tilde{u}(x) \in C^2(\mathbb{R}^3)$  be an extension of  $u$ . Put  $\Delta\tilde{u}(x) = \rho(x)$  for any  $x \in \mathbb{R}^3$ . And we put  $\rho_\varepsilon(x) = \rho(\varepsilon^{-1}(x-w))$ . Then  $\rho_\varepsilon(x) = 0$  on  $\overline{\Omega(\varepsilon)}$ .

We introduce the integral kernel  $p_\varepsilon(x, y)$  given by the following :

$$(2.1) \quad p_\varepsilon(x, y) = G^{(2)}(x, y) - \varepsilon^{-2} \sum_{h=1}^2 \int_{D(\varepsilon, w)} G^{(3-h)}(x, v)G^{(h)}(y, v)\rho_\varepsilon(v)dv$$

for any  $x, y \in \Omega$ . Here  $G^{(1)}(x, y) = G(x, y)$ .

We put

$$(\mathbf{Q}_\varepsilon f)(x) = \int_{\Omega(\varepsilon)} (G_\varepsilon^{(2)}(x, y) - p_\varepsilon(x, y))f(y)dy.$$

Then we have the following

**Proposition 1.** *There exists a constant  $C_s$  independent of  $\varepsilon$  such that*

$$\|\mathbf{Q}_\varepsilon f\|_{L^2(\Omega(\varepsilon))} \leq C_s \varepsilon^{2-s} \|f\|_{L^2(\Omega(\varepsilon))} \quad (s > 0)$$

holds. Here  $C_s$  may depend on  $s > 0$ .

We use  $L^p$  ( $1 < p < \infty$ ) function spaces to get Proposition 1.

Since  $p_\varepsilon(x, y)$  is written explicitly by the original Green function  $G(x, y)$ , we can construct an approximate eigenvalue of  $\mathbf{P}_\varepsilon$  defined by  $\mathbf{P}_\varepsilon f(x) = \int_{\Omega(\varepsilon)} p_\varepsilon(x, y)f(y)dy$ . More explicitly, we have the following

**Proposition 2.** *Let  $m_j$  be as before. Then there exists at least one eigenvalue  $g_j(\varepsilon)$  of  $\mathbf{P}_\varepsilon$  satisfying*

$$(2.2) \quad g_j(\varepsilon) - m_j^{-2} = -2m_j^{-3}\varepsilon^{-2} \int_{D(\varepsilon, w)} \varphi_j(v)^2 \rho_\varepsilon(v)dv + 0(\varepsilon^2).$$

On the other hand, we have

$$(2.3) \quad \begin{aligned} \varepsilon^{-2} \int_{D(\varepsilon, w)} \varphi_j(v)^2 \rho_\varepsilon(v)dv \\ = \varepsilon^{-2} \varphi_j(w)^2 \int_{D(\varepsilon, w)} \rho_\varepsilon(v)dv + 0(\varepsilon^2) \\ = -4\pi\varepsilon \text{cap}(D) \varphi_j(w)^2 + 0(\varepsilon^2). \end{aligned}$$

Therefore there exists at least one eigenvalue  $g_j(\varepsilon)$  of  $\mathbf{G}_\varepsilon^2$  satisfying

$$g_j(\varepsilon) - m_j^{-2} = -2m_j^{-3}(-4\pi\varepsilon \text{cap}(D) \varphi_j(w)^2) + 0(\varepsilon^{2-s}) \quad (s > 0)$$

as  $\varepsilon$  tends to zero. Here  $\mathbf{G}_\varepsilon^2$  is the square of the Green operator  $\mathbf{G}_\varepsilon$ .

with the integral kernel  $G_\varepsilon(x, y)$ . We know  $g_j(\varepsilon) = m_j(\varepsilon)^{-2}$  by the result of Rauch-Taylor [6]. See also [2]. Thus we have Theorem 1.

### References

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