

19. On the Group of Units of a Non-Galois Quartic or Sextic Number Field

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All number fields we consider are in the complex number field. The symbol $\langle S \rangle$ denotes a multiplicative group generated by S .

For a finite extension k/\mathbf{Q} , let E_k be the group of units of k , and E'_k be the group generated by all units of proper subfields of k together with roots of unity in k . We define the group H_k of relative units of k by

$$H_k = \{\varepsilon \in E_k \mid N_{k/k'}(\varepsilon) \text{ is a root of unity for a proper subfield } k' \text{ of } k\}.$$

Let us consider the problem to construct E_k with the help of E'_k . It is interesting to utilize H_k together with E'_k when $(E_k : E'_k) = +\infty$. Hasse [2] has treated such a case when k is a real cyclic quartic number field. We are going to treat the case when k is a non-galois quartic (resp. sextic) number field having a quadratic subfield (resp. a quadratic and a cubic subfields). Then the galois closure of k/\mathbf{Q} is a dihedral extension of degree 8 or 12 over \mathbf{Q} . We restrict our investigation on such extensions.

From now on, we assume $n=2$ or 3 . Let L/\mathbf{Q} be a galois extension of degree $4n$ with the galois group

$$G = \langle \sigma, \tau \rangle; \quad \sigma^{2n} = \tau^2 = (\sigma\tau)^2 = 1.$$

The invariant subfield of the subgroup $\langle \tau \rangle$ (resp. $\langle \sigma^3\tau \rangle, \langle \sigma^n \rangle$) is denoted by K (resp. F, Ω), and the maximal abelian subfield by A . Then K and F are non-galois number fields of degree $2n$ which we are going to study. The quadratic subfield of K (resp. F) is denoted by K_2 (resp. F_2). When $n=3$, the cubic subfield of both K and F is denoted by K_3 . The quartic field A is the composite field of K_2 and F_2 which contains another quadratic subfield A_2 . Note that $A = \Omega$ when $n=2$.

It is easy to show the following, which is in Nagell [6] when $n=2$.

Proposition 1. *When $L \cap \mathbf{R} = \Omega$, we have $E_K = E'_K$ and $E_F = E'_F$.*

Therefore we treat the two cases:

Case I: $L \cap \mathbf{R} = K$. Case II: $L \subset \mathbf{R}$.

Taking into account that all roots of unity of L is contained in the quartic subfield A , we take and fix a generator ω (resp. ζ, ρ) of the group of roots of unity of A (resp. A_2, F_2).

1. Type of E_K and E_F . A typical example of K and F are a pure number field of degree $2n$. The method, which is used in Stender [8],

[9], [10] in such cases, to determine fundamental units of K and F is based on the following easy lemma of group theory:

Let E be a free abelian group of rank r , and E_i be m subgroups of rank r_i ($1 \leq i \leq m$). Assume that there are m natural numbers n_i and m homomorphisms $f_i: E \rightarrow E_i$ which satisfy $f_i(x) = x^{n_i}$ for $x \in E_i$ and $f_i(x) = 1$ for $x \in E_j$ ($j \neq i$). Then $\langle E_1, \dots, E_m \rangle = E_1 \times \dots \times E_m$ (direct product). Thus we put $f := f_1 \times \dots \times f_m$, $H := \text{Ker}(f) = \{x \in E \mid f_i(x) = 1 \ (1 \leq i \leq m)\}$ and $r_0 := \text{rank}(H)$. Then we have

Lemma 1. (i) *The group $\langle H, E_1 \times \dots \times E_m \rangle = H \times E_1 \times \dots \times E_m$.* (ii) *The image $f(E)$ contains $E_1^{n_1} \times \dots \times E_m^{n_m}$ and the inverse image $f^{-1}(E_1^{n_1} \times \dots \times E_m^{n_m}) = H \times E_1 \times \dots \times E_m$. Therefore $r = r_0 + r_1 + \dots + r_m$, and the index $(E: H \times E_1 \times \dots \times E_m)$ divides $n_1^{r_1} \dots n_m^{r_m}$.* (iii) *If n_i ($1 \leq i \leq m$) are pairwise relatively prime, a basis $\{y_i\}_{i=1}^s$ ($s := r - r_0$) of $f(E)$ can be chosen so that $y_1, \dots, y_{r_1} \in E_1$; $y_{r_1+1}, \dots, y_{r_1+r_2} \in E_2$; \dots ; $y_{s-r_m+1}, \dots, y_s \in E_m$.*

In Lemma 1, if we regard E as $E_K / \langle -1 \rangle$ (resp. $E_F / \langle \rho \rangle$) and E_i as the groups of units of maximal proper subfields of K (resp. F) modulo roots of unity, then the relative norm maps from K (resp. F) satisfy the condition of f_i , and then H can be regarded as $H_K / \langle -1 \rangle$ (resp. $H_F / \langle \rho \rangle$). Hence we have

Corollary 1 (Nagell [6]). *Let $n=2$. (i) In Case I, let $E_{K_2} = \langle -1, \eta_2 \rangle$ with $\eta_2 > 1$, $H_K = \langle -1, \varepsilon_1 \rangle$ with $\varepsilon_1 > 1$, and let $H_F (= E_F) = \langle \rho, \varepsilon_0 \rangle$. Then $E_K = \langle -1, \varepsilon_1, \varepsilon_2 \rangle$, where*

$$\varepsilon_2 = \eta_2 \text{ if } \pm \eta_2 \notin N_{K/K_2}(E_K), \varepsilon_2 = \sqrt{\eta_2} \text{ or } \sqrt{\varepsilon_1 \eta_2} \text{ otherwise.}$$

(ii) *In Case II, let $E_{K_2} = \langle -1, \eta_2 \rangle$ with $\eta_2 > 1$, and $H_K = \langle -1, \varepsilon_0, \varepsilon_1 \rangle$ with $\varepsilon_0 > 1$ and $\varepsilon_1 > 1$. Then $E_K = \langle -1, \varepsilon_0, \varepsilon_1, \varepsilon_2 \rangle$, where*

$$\varepsilon_2 = \eta_2 \text{ if } \pm \eta_2 \notin N_{K/K_2}(E_K), \text{ and } \varepsilon_2 = \sqrt{\varepsilon_0^\mu \varepsilon_1^\nu \eta_2} \ (\mu, \nu = 0 \text{ or } 1) \text{ otherwise.}$$

Corollary 2. *Let $n=3$. (i) In Case I, let $E_{K_3} = \langle -1, \eta_2 \rangle$ with $\eta_2 > 1$, $E_{K_3} = \langle -1, \eta_3 \rangle$ with $\eta_3 > 1$, $H_K = \langle -1, \varepsilon_1 \rangle$ with $\varepsilon_1 > 1$, and let $H_F = \langle \rho, \varepsilon_0 \rangle$. Then $E_K = \langle -1, \varepsilon_1, \varepsilon_2, \varepsilon_3 \rangle$ and $E_F = \langle \rho, \varepsilon_0, \varepsilon'_3 \rangle$, where $\varepsilon_2, \varepsilon_3$ and ε'_3 are given by:*

$$\varepsilon_2 = \eta_2 \text{ if } \eta_2 \notin N_{K/K_2}(E_K), \text{ and } \varepsilon_2 = \sqrt[3]{\eta_2} \text{ or } \sqrt[3]{\varepsilon_1 \eta_2^{\pm 1}} \text{ otherwise;}$$

$$\varepsilon_3 = \eta_3 \text{ if } \eta_3 \notin N_{K/K_3}(E_K), \text{ and } \varepsilon_3 = \sqrt{\eta_3} \text{ or } \sqrt{\varepsilon_1 \eta_3} \text{ otherwise;}$$

$$\varepsilon'_3 = \eta_3 \text{ if } \eta_3 \notin N_{F/K_3}(E_F), \text{ and } \varepsilon'_3 = \sqrt{\rho^\mu \varepsilon_0^\nu \eta_3} \ (\mu, \nu = 0 \text{ or } 1, \mu^2 + \nu^2 \neq 0) \text{ otherwise.}$$

(ii) *In Case II, let $E_{K_2} = \langle -1, \eta_2 \rangle$ with $\eta_2 > 1$, $E_{K_3} = \langle -1, \eta_3, \eta_4 \rangle$ with $\eta_3 > 1$, $\eta_4 > 1$, and let $H_K = \langle -1, \varepsilon_0, \varepsilon_1 \rangle$ with $\varepsilon_0 > 1$, $\varepsilon_1 > 1$. Then $E_K = \langle -1, \varepsilon_0, \varepsilon_1, \dots, \varepsilon_4 \rangle$, where ε_i ($i=2, 3, 4$) are given by:*

$$\varepsilon_2 = \eta_2 \text{ if } \eta_2 \notin N_{K/K_2}(E_K), \text{ and } \varepsilon_2 = \sqrt[3]{\varepsilon_0^\mu \varepsilon_1^\nu \eta_2} \ (\mu, \nu = \pm 1 \text{ or } 0) \text{ otherwise;}$$

$$\varepsilon_3 = \eta_3 \text{ if } \pm \eta_3 \notin N_{K/K_3}(E_K), \text{ and } \varepsilon_3 = \sqrt{\varepsilon_0^\mu \varepsilon_1^\nu \eta_3} \ (\mu, \nu = 0 \text{ or } 1) \text{ otherwise;}$$

$$\varepsilon_4 = \eta_4 \text{ if } \pm \eta_4, \pm \eta_3 \eta_4 \notin N_{K/K_3}(E_K), \text{ and } \varepsilon_4 = \sqrt{\varepsilon_0^\mu \varepsilon_1^\nu \eta_3 \eta_4} \ (\mu, \nu, \lambda = 0 \text{ or } 1) \text{ otherwise.}$$

2. Minkowski unit. In order to investigate the relation between E_K and E_F , the following group homomorphisms are useful when $n=2$ (resp. 3):

$$\begin{aligned}\varphi: K^\times \rightarrow F^\times; \varphi(x) &:= x^{1+\sigma} \text{ (resp. } x^{\sigma+\sigma^2}), \\ \psi: F^\times \rightarrow K^\times; \psi(y) &:= y^{1+\sigma^2} \text{ (resp. } y^{\sigma+\sigma^2}).\end{aligned}$$

Then it is easy to see

Lemma 2. (i) $\varphi(H_K) \subset H_F$ and $\psi(H_F) \subset H_K$.

(ii) When $n=2$ (resp. 3),

$$\begin{aligned}\psi \circ \varphi(x) &= x^2 N_{K/K_2}(x)^\sigma \text{ (resp. } x^{-3} N_{K/K_2}(x) N_{K/K_3}(x^2)) \text{ for } x \in K^\times, \\ \varphi \circ \psi(y) &= y^2 N_{F/F_2}(y)^\sigma \text{ (resp. } y^{-3} N_{F/F_2}(y) N_{F/K_3}(y^2)) \text{ for } y \in F^\times.\end{aligned}$$

From this lemma follow the following propositions.

Proposition 2. Let $n=2$. The notation being as in Corollary 1, we have

$$(H_K: \langle -1, \psi(H_F) \rangle)(H_F: \langle \rho, \varphi(H_K) \rangle) = 2 \text{ (resp. 4)}$$

in Case I (resp. Case II). If $\varepsilon_2 = \sqrt{\varepsilon_1 \eta_2} \in K$ in Case I, we have

$$H_F (= E_F) = \langle \rho, \varphi(\varepsilon_2) \rangle \text{ and } H_K = \langle -1, \psi(\varepsilon_0) \rangle.$$

Proposition 3. Let $n=3$. The notation being as in Corollary 2, we have

$$(H_K: \langle -1, \psi(H_F) \rangle)(H_F: \langle \rho, \varphi(H_K) \rangle) = 3 \text{ (resp. 9)}$$

in Case I (resp. Case II). In Case I, it holds that

$$H_F = \langle \rho, \varphi(\varepsilon_2) \rangle \text{ and } H_K = \langle -1, \psi(\varepsilon_0) \rangle$$

if $\varepsilon_2 = \sqrt[3]{\varepsilon_1 \eta_2^{\pm 1}} \in K$, and that $\varepsilon_3 = \eta_3$ if and only if $\varepsilon'_3 = \eta_3$.

In Case I, we study whether L has a *Minkowski unit*; a unit which together with some of its conjugates forms a set of fundamental units of L (cf. Brumer [1]). A condition that L has a *real M-unit* (Minkowski unit which is real) is obtained by Propositions 2 and 3.

Theorem 1. When $n=2$ (resp. 3) in Case I, the notation being as in Corollary 1 (resp. 2), the field L has a real *M-unit* ξ_1 (i.e. $\xi_1 \in E_K$ such that $E_L = \langle \omega, \xi_1, \xi_1', \dots, \xi_1^{\sigma^{2n-2}} \rangle$) if and only if

$$\varepsilon_2 = \sqrt{\varepsilon_1 \eta_2}, E_A = \langle \omega, \eta_2 \rangle \text{ and } K \neq K_2(\sqrt{2\eta_2}), \neq \mathbf{Q}(\sqrt[4]{2}),$$

$$\text{(resp. } \varepsilon_2 = \sqrt[3]{\varepsilon_1 \eta_2^{\pm 1}}, \varepsilon_3 = \sqrt{\varepsilon_1 \eta_3}, E_A = \langle \omega, \eta_2 \rangle \text{ and } E_D = \langle \zeta, \eta_3, \eta_3^\sigma \rangle),$$

and then we can take $\xi_1 = \varepsilon_2$ (resp. $\varepsilon_2^{-1} \varepsilon_3$) as an *M-unit* of L .

The proof of the “only if” part is easy. The “if” part is proved by showing that ε_2 (resp. $\varepsilon_2^{-1} \varepsilon_3$) actually gives an *M-unit* on account of Proposition 2 (resp. 3) and of the fact that E_L^n is contained in E'_L .

It seems more complicated to see whether L has an *M-unit* ξ_1 which is not necessarily real (e.g. $E_L = \langle \omega, \xi_1, \xi_1', \xi_1'' \rangle$ when $n=2$ in Case I). However, we have

Proposition 4. Let $n=2$ in Case I. The notation being as in Corollary 1, the field L has no *M-unit* if $E_K = \langle -1, \varepsilon_1, \eta_2 \rangle$ and $E_A = \langle \omega, \sqrt{\omega \eta_2} \rangle$.

The proof is given by showing contradiction under the assumption

that there is an M -unit in L .

3. Binomial unit. In the following, we assume $K = \mathbf{Q}(\theta)$ ($\theta := \sqrt[2n]{d} > 0$) is a real pure number field of degree $2n$ with a natural number $d > 1$. We may suppose that the action of G on $L = \mathbf{Q}(\theta, \zeta)$ satisfies that $\theta^\sigma = \zeta\theta$, $\theta^\tau = \theta$, $\zeta^\sigma = \zeta$ and $\zeta^\tau = \zeta^{-1}$. Then $F = \mathbf{Q}(\sqrt[2n]{-n^nd})$ is a totally imaginary pure number field of degree $2n$. We mention that $\sqrt{\eta_2} \notin K$ when $n=2$ and that $\sqrt[3]{\eta_2}, \sqrt{\eta_3} \notin K$ when $n=3$.

We can construct a set of fundamental units of K in a certain case when K has a *binomial unit*.

Theorem 2. *Suppose that d is square free and that K has a binomial unit $a - b\theta$ with natural numbers a and b such that $a + 1 \geq b^{2n}$. Then a set $\{\xi_i\}_{i=1}^n$ of fundamental units of K is given by*

$$\xi_1 = a - b\theta, \xi_2 = a + b\theta; \text{ and } \xi_3 = a^2 + ab\theta + b^2\theta^2 \quad \text{when } n=3.$$

The theorem is proved by Stender's method in [8], [9] after some calculations. The field, which is considered in Theorem 2, is different from that of Stender [10] if $b > 1$.

The simplest example of Theorem 2 is the case when $a = b^{2n}c \pm 1$ with a natural number c and $d = (a^{2n} - 1)/b^{2n}$ is square free. There are infinitely many such cases for any fixed (odd when $n=2$) natural number b (see [5]). This example has been treated more in detail in author's article [7] when $n=3$.

By Propositions 2, 3 and Theorem 2, we obtain

Corollary 3. *The assumption being as in Theorem 2,*

$$E_F = \langle \rho, \varphi(\xi_1) \rangle \text{ (resp. } \langle \rho, \varphi(\xi_1), \varphi(\xi_1^{\sigma^3}) \rangle) \quad \text{when } n=2 \text{ (resp. } 3).$$

As an explicit form of a set of fundamental units of K is given in the case of Theorem 2, we can determine E_A and E_ρ according to Kuroda [4] and Iimura [3] and see that the condition of Theorem 1 is satisfied except for the case $d=2$. Thus we have

Theorem 3. *The assumption being as in Theorem 2, ξ_1 is a real M -unit of $L = \mathbf{Q}(\theta, \zeta)$ if $d \neq 2$.*

Lastly, we give an example of L which has no (real or imaginary) M -unit in case when $n=2$. Let $\theta^4 = d := 3g^2$ with a square free natural number g which is not divisible by 3. Then the condition of Proposition 4 is verified easily (cf. [4] and [8]).

Proposition 5. *The field $L = \mathbf{Q}(\sqrt[4]{3g^2}, \sqrt{-1})$ has no M -unit if g is a square free natural number prime to 3.*

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