

75. Remarks on the Lower Bound of a Linear Operator

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1. Introduction. Let X, Y be normed linear spaces and let T be a linear operator with domain $D(T)$ in X and range $R(T)$ in Y . By $N(T)$, we denote the null space of T and set $n(T) = \dim N(T)$. The set of all closed linear operators from X to Y is denoted by $\mathcal{C}(X, Y)$.

The *lower bound* (or *reduced minimum modulus*), $\gamma(T)$, of T is defined by

$$\gamma(T) = \sup \{ \gamma : \|Tx\| \geq \gamma d(x, N(T)) \ (x \in D(T)) \}$$

where $d(x, N(T))$ denotes the distance from x to $N(T)$. If X, Y are Banach spaces and $T \in \mathcal{C}(X, Y)$, then $R(T)$ is closed if and only if $\gamma(T) > 0$ (cf. Kato [1, p. 231]). A closed linear operator with closed range is called *normally solvable*.

Now let Z be another normed linear space and let S be a linear operator from Y to Z . Then the following result is well known.

Theorem 1. *Assume that*

- (1) X, Y and Z are Banach spaces;
- (2) $T \in \mathcal{C}(X, Y)$ and $S \in \mathcal{C}(Y, Z)$ are normally solvable;
- (3) $n(S) < \infty$.

Then ST is also normally solvable.

For the proof of the above theorem, we refer to Kato [2, p. 277].

In this note, we are interested in the estimate of $\gamma(ST)$ from below in terms of $\gamma(S)$ and $\gamma(T)$. As a result, we shall obtain Theorem 1 above as a corollary.

2. Estimate of $\gamma(ST)$. Before we state our result, we shall explain some notations. Let E be a normed linear space and let M, N be closed subspaces of E . For such a pair (M, N) , we define the quantity $\gamma(M, N)$ by

$$\gamma(M, N) = \inf \frac{d(u, N)}{d(u, M \cap N)}$$

where infimum is taken over all u such that $u \in M$ and $u \notin N$. If $M \subset N$, we set $\gamma(M, N) = 1$. For a Banach space E , it is known that $\gamma(M, N) > 0$ if and only if $M + N$ is closed in E . For details, we refer to Kato [1].

Let $x \in D(T)$. Then we have the following

Lemma 1. $d(Tx, N(S)) \geq \gamma(T)\gamma(R(T), N(S))d(x, N(ST))$.

Proof. Since we have

$$d(Tx, N(S)) \geq \gamma(\mathbf{R}(T), N(S))d(Tx, N(S) \cap \mathbf{R}(T)),$$

it suffices to prove that

$$d(Tx, N(S) \cap \mathbf{R}(T)) \geq \gamma(T)d(x, N(ST)).$$

It follows from $TN(ST) = N(S) \cap \mathbf{R}(T)$ that

$$\begin{aligned} d(Tx, N(S) \cap \mathbf{R}(T)) &= \inf \{ \|T(x-z)\| : z \in N(ST) \} \\ &\geq \gamma(T) \inf \{ d(x-z, N(T)) : z \in N(ST) \} \\ &\geq \gamma(T)d(x, N(ST)). \end{aligned}$$

This completes the proof of the lemma.

By using Lemma 1, we now obtain the estimate of $\gamma(ST)$ from below in terms of $\gamma(S)$ and $\gamma(T)$.

Proposition 1. $\gamma(ST) \geq \gamma(S)\gamma(T)\gamma(\mathbf{R}(T), N(S))$.

Proof. Let $x \in D(ST)$. Then it follows from Lemma 1 that

$$\begin{aligned} \|STx\| &\geq \gamma(S)d(Tx, N(S)) \\ &\geq \gamma(S)\gamma(T)\gamma(\mathbf{R}(T), N(S))d(x, N(ST)), \end{aligned}$$

whence we have

$$\gamma(ST) \geq \gamma(S)\gamma(T)\gamma(\mathbf{R}(T), N(S)).$$

3. Corollaries of Proposition 1. In this section, we state some corollaries of Proposition 1. We shall assume throughout that X, Y, Z are Banach spaces and $T \in \mathcal{C}(X, Y)$, $S \in \mathcal{C}(Y, Z)$ are both normally solvable.

Corollary 1. *Let T, S be bounded with $D(T) = X$ and $D(S) = Y$. Then ST is normally solvable if and only if $N(S) + \mathbf{R}(T)$ is closed in Y .*

Proof. Assume that ST is normally solvable. Then $\mathbf{R}(ST)$ is closed, so that $N(S) + \mathbf{R}(T) = S^{-1}\mathbf{R}(ST)$ is closed in Y since S is bounded with $D(S) = Y$. The converse is a direct consequence of Proposition 1.

Corollary 2. *Assume that $n(S) < \infty$. Then ST is normally solvable.*

Proof. Since $ST \in \mathcal{C}(X, Z)$ (cf. Kato [2, p. 277]), it suffices to note that $\gamma(\mathbf{R}(T), N(S)) > 0$.

Finally, we shall consider a bounded normal operator T on a Hilbert space H . Then it is easy to verify that $\overline{\mathbf{R}(T)} = N(T)^\perp$ and $N(T^n) = N(T)$ for every positive integer n , where $\overline{\mathbf{R}(T)}$ denotes the closure of $\mathbf{R}(T)$ and $N(T)^\perp$ denotes the orthogonal complement of $N(T)$.

Corollary 3. *Assume that H is a Hilbert space and T is a normal operator on H . Then we have;*

$$\gamma(T^n) \geq [\gamma(T)]^n \quad (n=2, 3, \dots).$$

Proof. Let $n \geq 2$. Then it follows from Proposition 1 that

$$\gamma(T^n) \geq (\gamma_1 \gamma_2 \cdots \gamma_{n-1})[\gamma(T)]^n,$$

where $\gamma_k = \gamma(\mathbf{R}(T), N(T^k))$ ($k=1, 2, \dots, n-1$). Hence it is enough to show that $\gamma_k = 1$ for $k=1, 2, \dots, n-1$. However, by the remark preceding the corollary, we have

$$\gamma_k = \gamma(\mathbf{R}(T), N(T^k)) = \gamma(\mathbf{R}(T), N(T)) = 1,$$

which completes the proof.

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References

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