

42. On the Meromorphy of Euler Products

By Nobushige KUROKAWA

Department of Mathematics, Tokyo Institute of Technology

(Communicated by Kunihiko KODAIRA, M. J. A., June 15, 1978)

Introduction. We extend ordinary L -functions slightly and study their meromorphy. For simplicity we describe here the results on Euler products of Artin type which are contained in Part I of [3]. In Parts II and III of [3] we have some generalizations and modifications. Detailed proofs are described in [3].

§ 1. Euler products of Artin type. Let F be a finite extension of the rational number field \mathbf{Q} , K/F a finite Galois extension with the Galois group $G = \text{Gal}(K/F)$, $R(G)$ the character ring of G (i.e. the ring of virtual characters of G ; representations are over the complex number field \mathbf{C}). For $g \in G$ (or for the conjugacy class of G containing g) and for $H(T) \in 1 + T \cdot R(G)[T]$ where T is an indeterminate, we denote by $H_g(T) \in 1 + T \cdot \mathbf{C}[T]$ the polynomial obtained from $H(T)$ by taking the values of the coefficients at g . For each prime ideal \mathfrak{p} of F unramified in K/F , let $\alpha(\mathfrak{p})$ denote the Frobenius conjugacy class $\left[\frac{K/F}{\mathfrak{p}} \right]$ in G , where \mathfrak{P} is a prime ideal of K dividing \mathfrak{p} . We define $L(s, H) = \prod_{\mathfrak{p}} H_{\alpha(\mathfrak{p})}(N(\mathfrak{p})^{-s})^{-1}$ where \mathfrak{p} runs over all prime ideals of F unramified in K/F .

We say $H(T) \in 1 + T \cdot \mathbf{C}[T]$ is unitary if there exists a (complex) unitary matrix M such that $H(T) = \det(1 - MT)$. We say $H(T) = 1$ is unitary. For an Euler product over F (F/\mathbf{Q} being a finite extension) $L(s, H) = \prod_{\mathfrak{p}} H_{\mathfrak{p}}(N(\mathfrak{p})^{-s})^{-1}$ with $H = (H_{\mathfrak{p}})_{\mathfrak{p}}$, $H_{\mathfrak{p}}(T) \in 1 + T \cdot \mathbf{C}[T]$, where \mathfrak{p} runs over all prime ideals of F , we say $L(s, H)$ is unitary if $H_{\mathfrak{p}}(T)$ are unitary for all \mathfrak{p} . In general if $H_{\mathfrak{p}}(T)$ is not defined for a prime ideal \mathfrak{p} of F , then we consider $H_{\mathfrak{p}}(T) = 1$. We remark that the unitariness of $L(s, H)$ is not altered when we consider $L(s, H)$ as an Euler product over \mathbf{Q} in the natural way. More precisely if F_0 is a subfield of F , then we can consider $L(s, H)$ as an Euler product over F_0 in the natural way as follows: for each prime ideal \mathfrak{q} of F_0 , put $H_{\mathfrak{q}}(T) = \prod_{\mathfrak{p}|\mathfrak{q}} H_{\mathfrak{p}}(T^{f(\mathfrak{p}|\mathfrak{q})})$ where \mathfrak{p} runs over all prime ideals of F dividing \mathfrak{q} and $f(\mathfrak{p}|\mathfrak{q})$ is the relative degree of \mathfrak{p} over \mathfrak{q} , then $L(s, H) = L(s, H_0)$ with $H_0 = (H_{\mathfrak{q}})_{\mathfrak{q}}$. Under this process the unitariness is not altered. It may be remarked that the unitariness is an analogue of the (normalized) "Riemann-Ramanujan-Weil conjecture" or "temperedness" for some arithmetic objects.

Following Theorem 1 is a main result for Euler products of Artin type.

Theorem 1. *Let F/\mathbf{Q} , K/F , G , $H(T) \in 1 + T \cdot R(G)[T]$, $L(s, H)$ be as above. Then:*

(1) *$L(s, H)$ is unitary $\Leftrightarrow L(s, H)$ is meromorphic on \mathbf{C} .*

(2) *$L(s, H)$ is not unitary $\Leftrightarrow L(s, H)$ is meromorphic in $\operatorname{Re}(s) > 0$ with the natural boundary $\operatorname{Re}(s) = 0$; each point on $\operatorname{Re}(s) = 0$ is a limit-point of poles of $L(s, H)$ in $\operatorname{Re}(s) > 0$.*

Remark 1. The case $F = K = \mathbf{Q}$ is treated in Estermann [2].

Example 1. Let F/\mathbf{Q} , K/F , $G = \operatorname{Gal}(K/F)$, $R(G)$ be as above. Let $\rho: G \rightarrow GL(n, \mathbf{C})$ be a homomorphism ($n \geq 1$ being an integer), and put $P_\rho(T) = \det(1 - \rho T) = \sum_{i=0}^n (-1)^i \operatorname{tr}(\wedge^i \rho) T^i \in 1 + T \cdot R(G)[T]$ where T is an indeterminate and $\wedge^i \rho$ is the (equivalence class of) i -th exterior power of ρ . Then $L(s, P_\rho)$ is unitary, and it follows from Theorem 1 that $L(s, P_\rho)$ is meromorphic on \mathbf{C} . In fact $L(s, P_\rho) = L(s, \rho)$ is the Artin L -function (except for a finite number of Euler factors), and the meromorphy of $L(s, \rho)$ is due to R. Brauer. We use this fact in our proof of Theorem 1.

Example 2. Let the notations be as in Example 1. Let m be a non-zero integer. For each finite place v of F , let $t(v) = -\log(m) / \log(N(v))$ where $N(v)$ is the "norm" of v (i.e. the number of elements of the residue field at v) and $\log(m)$ is the principal value (but what we need is $N(v)^{-t(v)} = m$). Let $t(v) = 0$ for each infinite place v of F . We denote by A_F the adèle ring of F . For each idele $a = (a_v)_v \in GL(1, A_F)$, let $\omega_m(a) = \prod_v |a_v|_v^{t(v)}$ where $|\cdot|_v$ is the normalized valuation at v and v runs over all places of F . Then $\omega_m: GL(1, A_F) \rightarrow GL(1, \mathbf{C})$ is a continuous homomorphism and an admissible representation of $GL(1, A_F)$ in the usual sense. The corresponding L -function (the finite part) is $L(s, \omega_m) = \prod_{\mathfrak{p}} (1 - m \cdot N(\mathfrak{p})^{-s})^{-1}$ where \mathfrak{p} runs over all prime ideals of F . We define $L(s, \rho, \omega_m) = L(s, P_\rho^{(m)})$ where $P_\rho^{(m)}(T) = P_\rho(m \cdot T) \in 1 + T \cdot R(G)[T]$. It follows from Theorem 1 that: (1) *If $|m| = 1$, then $L(s, \rho, \omega_m)$ is meromorphic on \mathbf{C} .* (2) *If $|m| > 1$, then $L(s, \rho, \omega_m)$ is meromorphic in $\operatorname{Re}(s) > 0$ with the natural boundary $\operatorname{Re}(s) = 0$.* In general if we assume the existence of admissible (and automorphic) representation $\pi(\rho)$ of $GL(n, A_F)$ attached to ρ in the usual sense, then essentially $L(s, \rho, \omega_m) = L(s, \pi(\rho) \otimes \omega_m)$. In particular if $n = 1$, then $\pi(\rho)$ exists by Artin's reciprocity law and $\pi(\rho) \otimes \omega_m$ is an admissible (and not automorphic for $m \neq 1$) representation of $GL(1, A_F)$. More generally it follows from a generalization of Theorem 1 that if $\rho: W(K/F) \rightarrow GL(1, \mathbf{C})$ is a (continuous) one-dimensional unitary representation of the Weil group $W(K/F)$, then the above (1) and (2) hold for $L(s, \pi(\rho) \otimes \omega_m)$. This example is considered to be an example of the analytic behaviour of Euler products attached to admissible (not necessarily automorphic)

representations.

§ 2. Scalar products. Let $F/\mathbf{Q}, K/F, G = \text{Gal}(K/F)$ be as in § 1. Let $\rho_i: G \rightarrow GL(n_i, \mathbf{C})$ be a homomorphism, $i=1, \dots, r, r \geq 1$. Let $L(s, \rho_i) = \sum_{\mathfrak{a}} c_i(\mathfrak{a})N(\mathfrak{a})^{-s}$ be the Artin L -function expanded over integral ideals \mathfrak{a} of F . We call $L(s, \rho_1, \dots, \rho_r) = \sum_{\mathfrak{a}} c_1(\mathfrak{a}) \cdots c_r(\mathfrak{a})N(\mathfrak{a})^{-s}$ the scalar product of $L(s, \rho_i), i=1, \dots, r$.

For $\mathbf{n}=(n_1, \dots, n_r)$ with $1 \leq n_1 \leq \dots \leq n_r$ integers, $r \geq 1$, we make the following definition: \mathbf{n} is of type I if $\mathbf{n}=(1, \dots, 1, *)$ or $(1, \dots, 1, 2, 2)$ ($\mathbf{n}=(*, (1, *), (2, 2)$ for $r \leq 2$), and \mathbf{n} is of type II if \mathbf{n} is otherwise. Then we obtain following Theorem 2 from Theorem 1.

Theorem 2. Let $F/\mathbf{Q}, K/F, G, \rho_i, i=1, \dots, r, L(s, \rho_1, \dots, \rho_r)$ be as above. Assume that $1 \leq n_1 \leq \dots \leq n_r$, and set $\mathbf{n}=(n_1, \dots, n_r)$. Then:

- (1) \mathbf{n} is of type I $\Leftrightarrow L(s, \rho_1, \dots, \rho_r)$ is meromorphic on \mathbf{C} .
- (2) \mathbf{n} is of type II $\Leftrightarrow L(s, \rho_1, \dots, \rho_r)$ is meromorphic in $\text{Re}(s) > 0$ with the natural boundary $\text{Re}(s) = 0$.

Remark 2. This result has an application to Linnik's problem, cf. [4].

§ 3. An application. We have an application of Theorem 1 to Dirichlet series attached to elliptic modular forms of weights one. We follow the notations of Deligne-Serre [1]. Let $f(z) = \sum_{n=0}^{\infty} a(n)q^n$ ($q = \exp(2\pi\sqrt{-1} \cdot z)$) be a holomorphic modular form on $\Gamma_0(N)$ ($N \geq 1$ an integer) of type $(1, \varepsilon)$, ε being an odd character mod N . We assume that $f(z)$ is an eigen-function of Hecke operator $T(p)$ for each prime $p \nmid N$ and $f(z)$ is normalized ($a(1)=1$). For simplicity we say $f(z)$ is a holomorphic normalized eigen modular form. We have the following results from Theorem 1 (cf. Theorem 2) by applying the main result in Deligne-Serre [1].

Theorem 3. Let $f_i(z) = \sum_{n=0}^{\infty} a_i(n)q^n$ be a holomorphic normalized eigen modular form on $\Gamma_0(N_i)$ of type $(1, \varepsilon_i), i=1, \dots, r, r \geq 1$. Let $L(s, f_1, \dots, f_r) = \sum_{n=1}^{\infty} a_1(n) \cdots a_r(n)n^{-s}$. Then:

- (1) $r=1$ or $2 \Leftrightarrow L(s, f_1, \dots, f_r)$ is meromorphic on \mathbf{C} .
- (2) $r \geq 3 \Leftrightarrow L(s, f_1, \dots, f_r)$ is meromorphic in $\text{Re}(s) > 0$ with the natural boundary $\text{Re}(s) = 0$.

Theorem 3-a. Let $f(z) = \sum_{n=0}^{\infty} a(n)q^n$ be a holomorphic normalized eigen modular form on $\Gamma_0(N)$ of type $(1, \varepsilon)$. Let $m \geq 1$ be an integer. Then:

- (1) $m=1$ or $2 \Leftrightarrow \sum_{n=1}^{\infty} a(n)^m n^{-s}$ and $\sum_{n=1}^{\infty} a(n^m) n^{-s}$ are meromorphic on \mathbf{C} .
- (2) $m \geq 3 \Leftrightarrow \sum_{n=1}^{\infty} a(n)^m n^{-s}$ and $\sum_{n=1}^{\infty} a(n^m) n^{-s}$ are meromorphic in $\text{Re}(s) > 0$ with their natural boundaries $\text{Re}(s) = 0$.

Remark 3. Similar results hold for holomorphic elliptic eigen cusp forms of weights ≥ 2 assuming a modification of Sato-Tate conjecture.

Remark 4. From a modification of Theorem 1, we have an application to the meromorphy of Dirichlet series constructed from the eigen-values of Hecke operators on Siegel modular forms of degree 3.

References

- [1] P. Deligne and J.-P. Serre: Formes modulaires de poids 1. Ann. sci. E. N. S. 4^e ser., **7**, 507–530 (1974).
- [2] T. Estermann: On certain functions represented by Dirichlet series. Proc. London Math. Soc., **27**, 435–448 (1928).
- [3] N. Kurokawa: On the meromorphy of Euler products. I, II, III (preprints).
- [4] —: On Linnik's problem. Proc. Japan Acad., **54A**, 167–169 (1978).