

### 153. Remarks on the Sequence of Quasi-Conformal Mappings

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1. It seems to me that there are essentially two kinds of definition, stronger and weaker, for quasi-conformal mapping with bounded dilatation. The former is rather classical definition of Grötzsch, Teichmüller and other authors. In 1951 Pfluger suggested the latter [6], and Ahlfors remarkably improved the theory of quasi-conformal mapping by making use of it in recent few years [1-3]. The present Note, which I owe much to the investigations of Ahlfors, is concerned with relations between these definitions.

Definition 1. A topological mapping  $w=f(z)$  from a domain  $D$  in the  $z(=x+iy)$ -plane to a domain  $\Delta$  in the  $w(=u+iv)$ -plane is called  $K$ -QC mapping in  $D$ , when it satisfies the following conditions there:

I) all the partial derivatives  $u_x, u_y, v_x, v_y$  exist and are continuous,

II) 
$$J(z)=u_x v_y - u_y v_x > 0,$$

III) 
$$\frac{|p|+|q|}{|p|-|q|} \leq K < \infty,$$

where  $p, q$  are the complex derivatives of  $f$

$$p(z)=f_z = \frac{1}{2}[(u_x + v_y) + i(v_x - u_y)],$$

$$q(z)=f_{\bar{z}} = \frac{1}{2}[(u_x - v_y) + i(v_x + u_y)],$$

and  $K$  is a constant  $\geq 1$ .

Let  $\Omega$  be a Jordan domain, on whose boundary four ordered points  $z_1, z_2, z_3, z_4$ , are marked in the positive sense with respect to  $\Omega$ . This configuration is named *quadrilateral* and is denoted by  $\Omega(z_1, z_2, z_3, z_4)$  or simply by  $\Omega$ . If one maps a quadrilateral  $\Omega$  by means of a sense-preserving homeomorphism  $T(z)$ , the image  $T(\Omega)$  is again a quadrilateral.  $\Omega$  can be mapped conformally onto the interior of a rectangle  $0 < \xi < 1, 0 < \eta < \lambda$  in the  $\zeta(=\xi+i\eta)$ -plane, so that the points  $z_1, z_2, z_3, z_4$  correspond to  $\zeta=0, 1, 1+i\lambda, i\lambda$  respectively. By *module* of the quadrilateral  $\Omega(z_1, z_2, z_3, z_4)$  is meant the positive number  $\lambda$ , which shall be denoted by  $\text{mod } \Omega(z_1, z_2, z_3, z_4)$ .

Definition 2. A topological mapping  $w=f(z)$  which transforms a plane domain  $D$  onto another such  $\Delta$  is called a  $K$ -QC\* mapping, when it satisfies the following conditions:

I') the mapping  $w=f(z)$  is sense-preserving,

II') for any quadrilateral  $\Omega(z_1, z_2, z_3, z_4)$  contained together with its boundary in  $D$  the inequality

$$\text{mod } f(\Omega(z_1, z_2, z_3, z_4)) \leq K \text{ mod } \Omega(z_1, z_2, z_3, z_4)$$

holds, where  $K$  is a finite constant  $\geq 1$ .

We easily see by the module theorem that  $K$ -QC mapping is  $K$ -QC\*.

2. Lemma 1. Suppose that a sequence  $\{C_n\}$  of Jordan curves converges to a Jordan curve  $C$  containing the origin  $w=0$  in its interior in Fréchet sense and that the finite domain  $D_n$  bounded by each curve  $C_n$  also contains  $w=0$ . Let  $w=F_n(z)$  ( $F_n(0)=0, F'_n(0)>0$ ) be the function which maps the unit disk  $|z|<1$  conformally onto  $D_n$ . Then the sequence  $\{F_n(z)\}$  of the mapping functions converges uniformly on  $|z|=1$  [4].

Proof. i)  $\{F_n(z)\}$  is equicontinuous on  $|z|=1$ . For otherwise, there would exist two sequences  $\{z'_k\}, \{z''_k\}$  on  $|z|=1$ , a subsequence  $\{F_{n_k}(z)\}$  of  $\{F_n(z)\}$  and a positive number  $\delta_1$ , such that we have

$$|F_{n_k}(z'_k) - F_{n_k}(z''_k)| \geq \delta_1 > 0 \quad (k=1, 2, \dots), \quad \lim_{k \rightarrow \infty} |z'_k - z''_k| = 0.$$

Without loss of generality we may assume  $z'_k \rightarrow z_0, z''_k \rightarrow z_0$  for  $k \rightarrow \infty$ . We can choose parametrizations  $w_n(t), w(t)$  ( $0 \leq t \leq 1$ ) of the Jordan curves  $C_n$  ( $n=1, 2, \dots$ ) and  $C$ , such that  $\{w_n(t)\}$  converges to  $w(t)$  uniformly on the interval  $[0, 1]$ . If we put  $F_{n_k}(z'_k) = w'_k = w_{n_k}(t'_k), F_{n_k}(z''_k) = w''_k = w_{n_k}(t''_k)$ , then we have  $|t'_k - t''_k| \geq \alpha > 0$  ( $k=1, 2, \dots$ ). For arbitrary  $\varepsilon > 0$  we choose a number  $k_0$  so large that the inequalities  $|z_0 - z'_k| < \varepsilon, |z_0 - z''_k| < \varepsilon$  simultaneously hold for  $k \geq k_0$ . The common part of the circle  $|z - z_0| = r$  (resp. the disk  $|z - z_0| \leq r$ ) with the unit disk  $|z| \leq 1$  will be transformed by  $F_{n_k}(z)$  to some cross-cut  $\Gamma_{n_k, r}$  (resp. some subdomain  $D_{n_k, r}$ ) of  $D_{n_k}$ , whose endpoints shall be denoted by  $A_{k, r} = w_{n_k}(t'_k(r)), B_{k, r} = w_{n_k}(t''_k(r))$ . Then  $|t'_k(r) - t''_k(r)| > \alpha$  ( $\varepsilon \leq r \leq 1; k \geq k_0 + 1$ ). Since  $C$  is a Jordan curve, we have  $|w(t'_k(r)) - w(t''_k(r))| \geq \beta > 0$ . Consequently we see by our assumption of Fréchet convergence that there exists a positive integer  $k_1$  depending on  $\gamma < \beta$ , such that

$$|w_{n_k}(t'_k(r)) - w_{n_k}(t''_k(r))| \geq \gamma > 0 \quad \text{for all } r \in [\varepsilon, 1]$$

provided  $k \geq k_1$ . Thus we can extract a contradiction from the well-known inequality

$$\int_{\varepsilon}^1 \frac{dr}{r} \leq \frac{2\pi}{\gamma^2} \int_{A(\varepsilon)}^{A(1)} dA(r),$$

where  $A(r)$  means the area of  $D_{n_k, r}$  ( $k \geq k_1$ ).

ii) Let  $F(z)$  be the function mapping  $|z| < 1$  conformally onto the interior of  $C$  ( $F(0)=0, F'(0)>0$ ). Then by Carathéodory's theorem  $\{F_n(z)\}$  converges uniformly to  $F(z)$  in  $|z| < 1$ .  $F(z)$  is continuous on  $|z| \leq 1$ .

iii)  $\{F_n(z)\}$  converges to  $F(z)$  uniformly on  $|z|=1$ . For otherwise,  $\max_{|z|=1} |F_n(z) - F(z)| \geq \alpha' > 0$  ( $n=1, 2, \dots$ ). By the maximum-modulus

principle and i) the family  $\{F_n(z)\}$  is normal on  $|z| \leq 1$ . Namely, a suitable subsequence  $\{F_{n_\nu}(z)\}$  of  $\{F_n(z)\}$  can be chosen so that it is uniformly convergent on  $|z| \leq 1$ . Put

$$\lim_{\nu \rightarrow \infty} F_{n_\nu}(z) = F_0(z) \quad \text{on } |z| \leq 1. \tag{1}$$

Then by ii)  $F_0(z) \equiv F(z)$  in  $|z| < 1$  and accordingly on  $|z| \leq 1$ . Therefore we would have  $\max_{|z|=1} |F_0(z) - F_{n_\nu}(z)| \geq \alpha'$  ( $\nu = 1, 2, \dots$ ), which is contrary to (1).

**Theorem 1.** *Let  $\zeta = \varphi_n(z)$  ( $\varphi_n(0) = 0; n = 1, 2, \dots$ ) be a  $K$ -QC mapping from  $|z| < 1$  to  $|\zeta| < 1$ . If the sequence  $\{\varphi_n(z)\}$  converges to a function  $\varphi(z)$  uniformly in  $|z| < 1$ ,  $\zeta = \varphi(z)$  is a  $K$ -QC\* mapping from  $|z| < 1$  to  $|\zeta| < 1$ .*

**Proof.** It is known that  $\zeta = \varphi(z)$  supplies a homeomorphism from  $|z| < 1$  to  $|\zeta| < 1$  [7]. Let us fix a rectangle  $R$  confined with its boundary  $B$  in  $|z| < 1$ , whose vertices shall be denoted by  $z_1, z_2, z_3, z_4$ . We write  $\varphi_n(z_k) = \zeta_k^{(n)}$ ,  $\varphi(z_k) = \zeta_k$  ( $k = 1, 2, 3, 4; n = 1, 2, \dots$ ) for later use. Suppose that  $B$  is transformed by  $\varphi_n(z)$  to  $C_n$  and by  $\varphi(z)$  to  $C$ . Then  $C_n$  and  $C$  are Jordan curves, and the sequence  $\{C_n\}$  converges to  $C$  in Fréchet sense. Let  $[C_n]$  (resp.  $[C]$ ) be the interior of  $C_n$  (resp.  $C$ ). If we put  $\varphi(z_0) = \zeta_0$  for the centre  $z_0$  of  $R$ ,  $\zeta_0$  will be contained in  $[C_n]$  from some number  $N$  onwards. Let  $\zeta = G_n(Z)$  (resp.  $\zeta = G(Z)$ ) be the function which maps  $[C_n]$  (resp.  $[C]$ ) conformally onto  $|Z| < 1$  with the normalization  $G_n(0) = G(0) = \zeta_0$ ,  $G_n'(0) > 0$ ,  $G'(0) > 0$ ;  $n \geq N$ . If we put  $Z_k^{(n)} = G_n^{-1}(\zeta_k^{(n)})$ ,  $Z_k = G^{-1}(\zeta_k)$ , we see at once

$$\lim_{n \rightarrow \infty} G_n(Z_k^{(n)}) = \lim_{n \rightarrow \infty} \zeta_k^{(n)} = \zeta_k = G(Z_k). \tag{2}$$

Now, if  $\{Z_k^{(n)}\}$  never tend to  $Z_k$  for  $n \rightarrow \infty$ , then for a suitable subsequence, say again  $\{Z_k^{(n)}\}$ , we would have  $\lim_{n \rightarrow \infty} Z_k^{(n)} = Z'_k \neq Z_k$ . Therefore  $\lim_{n \rightarrow \infty} G_n(Z_k^{(n)}) = G(Z'_k) = G(Z_k)$  by Lemma 1 and (2). We must have  $Z'_k = Z_k$  ( $k = 1, 2, 3, 4$ ), since  $G(Z)$  is univalent. We conclude

$$\lim_{n \rightarrow \infty} \text{mod } \Gamma(Z_1^{(n)}, Z_2^{(n)}, Z_3^{(n)}, Z_4^{(n)}) = \text{mod } \Gamma(Z_1, Z_2, Z_3, Z_4),$$

where  $\Gamma$  denotes the unit disk. It is equivalent to the relation

$$\lim_{n \rightarrow \infty} \text{mod } [C_n](\zeta_1^{(n)}, \zeta_2^{(n)}, \zeta_3^{(n)}, \zeta_4^{(n)}) = \text{mod } [C](\zeta_1, \zeta_2, \zeta_3, \zeta_4),$$

from which our desired inequality

$$\text{mod } [C](\zeta_1, \zeta_2, \zeta_3, \zeta_4) \leq K \text{mod } R(z_1, z_2, z_3, z_4)$$

follows.

3. The following propositions will play fundamental rôle throughout the whole theory of  $K$ -QC\* mapping.

Let  $w = f(z)$  be a  $K$ -QC\* mapping defined in a rectangle  $R: a < x < b, c < y < d$ . Then

1°  $f(z)$  is totally differentiable at almost all points of  $R$

$$df(z) = p(z)dz + q(z)d\bar{z};$$

2° at such a point there hold the inequalities

$$|p|^2=|q|^2 \geq 0, \quad (|p|+|q|)^2 \leq K(|p|^2-|q|^2);$$

3° for almost every value of  $y_0$  belonging to the interval  $(c, d)$   $f(x, y_0)$  is absolutely continuous with respect to  $x$  in the interval  $(a, b)$  [3, 5].

The next is due to Ahlfors [3]:

4° any set of 2-dimensional measure zero in the  $z$ -plane is transformed by  $w=f(z)$  to a set of 2-dimensional measure zero in the  $w$ -plane.

It follows from 1°, 2° and 4° that  $p(z) \neq 0$  a.e. in  $R$ , whence the measurable function  $h(z)=q(z)/p(z)$  is defined a.e. in  $R$  and satisfies

$$|h(z)| \leq \frac{K-1}{K+1} < 1 \quad \text{a.e. in } R.$$

Lemma 2. Let  $\zeta = \varphi_n(z)$  ( $\varphi_n(0)=0, \varphi_n(1)=1$ ) be a  $K$ -QC\* mapping from  $|z| < 1$  to  $|\zeta| < 1$ , and let us write

$$d\varphi_n = p_n dz + q_n d\bar{z}, \quad h_n(z) = \frac{q_n(z)}{p_n(z)}.$$

If  $\lim_{n \rightarrow \infty} \iint_{|z| < 1} |h_n(z)|^2 dx dy = 0$ , then we have  $\lim_{n \rightarrow \infty} \varphi_n(z) = z$  uniformly on  $|z| \leq 1$ .

Proof. Let  $C$  be an arbitrary rectifiable Jordan curve in  $|z| < 1$  and let  $[C]$  be its interior. Then by 2°, 3° and Schwarz's inequality

$$\begin{aligned} \left| \int_C \varphi_n(z) dz \right|^2 &= 4 \left| \int_{[C]} q_n(z) dx dy \right|^2 = 4 \left| \int_{[C]} h_n(z) p_n(z) dx dy \right|^2 \\ &\leq 4 \iint_{[C]} |h_n(z)|^2 dx dy \iint_{[C]} |p_n(z)|^2 dx dy \leq 4K\pi \iint_{[C]} |h_n(z)|^2 dx dy. \end{aligned}$$

Since the sequence  $\{\varphi_n(z)\}$  forms a normal family on  $|z| \leq 1$  [1], its suitable subsequence  $\{\varphi_{n_\nu}(z)\}$  will be uniformly convergent there. If we put

$$\lim_{\nu \rightarrow \infty} \varphi_{n_\nu}(z) = \varphi(z) \quad |z| \leq 1,$$

we have by the above inequality

$$\int_C \varphi(z) dz = \lim_{\nu \rightarrow \infty} \int_C \varphi_{n_\nu}(z) dz = 0.$$

Therefore  $\zeta = \varphi(z)$  must be regular in  $|z| < 1$ , while it is a topological mapping from  $|z| < 1$  to  $|\zeta| < 1$  by Theorem 1. Thus  $\varphi(z) \equiv z$ . If the original sequence  $\{\varphi_n(z)\}$  do not converge to  $z$  uniformly on  $|z| \leq 1$ , we would have for a suitable subsequence  $\{\varphi_{n_k}(z)\}$

$$\max_{|z| \leq 1} |z - \varphi_{n_k}(z)| \geq a > 0 \quad (k=1, 2, \dots).$$

This is a contradiction, since  $\{\varphi_{n_k}(z)\}$  always contains a subsequence converging uniformly to  $z$  on  $|z| \leq 1$ .

Lemma 3. For any function  $S(z)$  of summable square it is possible

to choose a sequence  $\{S_n(z)\}$  ( $n=1, 2, \dots$ ) of functions  $C^1$  which vanish outside a compact set, so that

$$\lim_{n \rightarrow \infty} \iint |S(z) - S_n(z)|^2 dx dy = 0.$$

Proof. Given any  $\varepsilon > 0$ , we can find a bounded measurable function  $s_\varepsilon(z)$  vanishing outside a compact set, such that

$$\iint |S(z) - s_\varepsilon(z)|^2 dx dy < \frac{\varepsilon}{3}.$$

Let  $s_{\varepsilon, m}(z)$  be the arithmetic mean of the function  $s_\varepsilon(z)$  over the disk  $|\zeta - z| \leq 1/m$  ( $m=1, 2, \dots$ )

$$s_{\varepsilon, m}(z) = \frac{m^2}{\pi} \int_0^{1/m} \int_0^{2\pi} s_\varepsilon(z + re^{i\theta}) r dr d\theta.$$

Then  $s_{\varepsilon, m}(z)$  is continuous and uniformly (with respect to  $m$ ) bounded function, and

$$\lim_{m \rightarrow \infty} s_{\varepsilon, m}(z) = s_\varepsilon(z) \quad \text{a.e.}$$

Therefore there exists a number  $m_0(\varepsilon)$ , such that for  $m \geq m_0(\varepsilon)$  we have

$$\iint |s_\varepsilon(z) - s_{\varepsilon, m}(z)|^2 dx dy < \frac{\varepsilon}{3}.$$

Let us mean  $s_{\varepsilon, m}(z)$  arithmetically once more over a disk with radius  $1/k$  ( $k=1, 2, \dots$ ) to obtain the smooth function

$$s_{\varepsilon, m, k}(z) = \frac{k^2}{\pi} \int_0^{1/k} \int_0^{2\pi} s_{\varepsilon, m}(z + re^{i\theta}) r dr d\theta.$$

There exists a number  $k_0(\varepsilon, m)$ , such that for  $k \geq k_0(\varepsilon, m)$  we have

$$\iint |s_{\varepsilon, m}(z) - s_{\varepsilon, m, k}(z)|^2 dx dy < \frac{\varepsilon}{3}.$$

Consequently there holds the inequality

$$\iint |S(z) - s_{\varepsilon, m, k}(z)|^2 dx dy < \varepsilon, \tag{3}$$

so far as  $m, k$  is large enough for given  $\varepsilon$ . Let  $S_n(z)$  be one of the functions  $s_{\varepsilon, m, k}(z)$  satisfying (3) when  $\varepsilon=1/n$ . The proof is completed.

**Theorem 2.** *Given any K-QC\* mapping  $\zeta = \varphi(z)$  from  $|z| < 1$  to  $|\zeta| < 1$ , there exists a sequence  $\{\varphi_n(z)\}$  of functions which converges to  $\varphi(z)$  uniformly on  $|z| \leq 1$ , such that each function  $\zeta = \varphi_n(z)$  furnishes a K-QC mapping from  $|z| < 1$  to  $|\zeta| < 1$ .*

Proof. We may assume  $\varphi(0)=0, \varphi(1)=1$  without loss of generality. Let us write  $d\varphi = p dz + q d\bar{z}$ ,  $h = q/p$  a.e. in  $|z| < 1$  and put  $h(z)=0$  where it is not defined. Then we can construct by the method in Lemma 3 a sequence  $\{h_n(z)\}$  of continuously differentiable functions which tends to  $h(z)$  in  $L^2$  sense. Each  $h_n(z)$  has a uniformly bounded compact carrier and  $|h_n(z)| \leq (K-1)/(K+1) < 1$ . Ahlfors proved: for any square-summable and Hölder-continuous function  $h_n(z)$  ( $|h_n(z)|$

$\leq \kappa < 1$ ) there exists a function  $w = f_n(z) \in C^1$  which supplies a homeomorphism between the whole  $z$ - and  $w$ -plane, such that  $\tau_n(z)/\sigma_n(z) = h_n(z)$ , where  $\sigma_n(z) = \partial f_n / \partial z$ ,  $\tau_n(z) = \partial f_n / \partial \bar{z}$  [2]. Let  $\zeta = \varphi_n(w)$  be the function which maps conformally onto  $|\zeta| < 1$  the image of  $|z| < 1$  by  $f_n(z)$  and let  $\varphi_n(z)$  be the composite function  $\zeta = \varphi_n(z) = \varphi_n(f_n(z))$  with the normalization  $\varphi_n(0) = 0$ ,  $\varphi_n(1) = 1$ . Every  $\varphi_n(z)$  is  $K$ -QC, and we write  $d\varphi_n = p_n dz + q_n d\bar{z}$ . One may express the composite function  $\varphi_n \circ \varphi^{-1}$  by means of  $\tilde{\varphi}_n(\zeta)$  with the independent variable  $\zeta$ . It is obviously a  $K^2$ -QC\* mapping between the unit disks which can be considered conformal with respect to some Riemannian metric  $|d\zeta + \tilde{h}_n(\zeta)d\bar{\zeta}|$ . In order to calculate  $\tilde{h}_n(\zeta)$ ,  $dz$  and  $d\bar{z}$  should be eliminated from three relations

$$d\varphi_n = p_n dz + q_n d\bar{z}, \quad d\varphi = p dz + q d\bar{z}, \quad d\bar{\varphi} = \bar{q} dz + \bar{p} d\bar{z}.$$

We obtain

$$(|p|^2 - |q|^2)d\varphi_n = (p_n \bar{p} - q_n \bar{q})d\varphi + (p q_n - p_n q)d\bar{\varphi},$$

and finally

$$\tilde{h}_n(\zeta) = \frac{\partial \varphi_n}{\partial \bar{\varphi}} \bigg/ \frac{\partial \varphi_n}{\partial \varphi} = \frac{p(z)}{p(z)} \frac{h_n(z) - h(z)}{1 - h_n(z)h(z)}.$$

Since

$$\left| \frac{h_n(z) - h(z)}{1 - h_n(z)h(z)} \right| \leq \frac{K^2 - 1}{2K},$$

it follows by the well-known theorem of Lebesgue that

$$\lim_{n \rightarrow \infty} \int \int_{|\zeta| < 1} |\tilde{h}_n(\zeta)|^2 d\zeta d\bar{\zeta} = \int \int_{|\zeta| < 1} \lim_{n \rightarrow \infty} \left| \frac{h_n(z) - h(z)}{1 - h_n(z)h(z)} \right|^2 (|p(z)|^2 - |q(z)|^2) dx dy = 0.$$

Therefore by Lemma 2 the sequence  $\{\tilde{\varphi}_n(\zeta)\}$  tends uniformly to the identity on  $|\zeta| \leq 1$  for  $n \rightarrow \infty$ , in other words,  $\lim_{n \rightarrow \infty} \varphi_n(z) = \varphi(z)$  uniformly on  $|z| \leq 1$ .

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