

### 132. *Real-valued Measurable Cardinals and $\Sigma_1^1$ -Transcendancy of Cardinals\**

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In this paper, we shall prove  $\Sigma_1^1$ -transcendancy of cardinals<sup>1)</sup> under the assumption of existence of real-valued measurable cardinal,<sup>2)</sup> applying the results and definitions used in [2] and [3].

Let  $I$  be an ideal over a set  $A$ . The equivalence relation between two subsets  $B$  and  $C$  of  $A$  is defined by

$$B \sim C \equiv B - C - B \in I \wedge B - C \in I.$$

By  $[B]$  we denote the equivalence class including  $B$ . And  $[A]$  and  $[\phi]$  are sometimes abbreviated as  $\mathbf{1}$  and  $\mathbf{0}$  respectively. The relation  $[B] > [C]$  is defined by  $[B] > [C] \equiv BC \notin I \wedge C - B \in I$ .

An ideal  $I$  is called  $a$ -complete if

$$[A_\nu] = \mathbf{0} \text{ for all } \nu < a \text{ implies } [\bigcup_{\nu < a} A_\nu] = \mathbf{0}.$$

The character of  $I$  is defined to be the smallest ordinal  $a$  such that  $I$  is not  $a$ -complete, and it is denoted by  $\text{ch}(I)$ .

An ideal  $I$  is called  $a$ -saturated if

$$[A_\nu] > \mathbf{0}, [A_\nu \cap A_\mu] = \mathbf{0} \text{ for all } \nu \neq \mu, \text{ and } \nu, \mu < b \text{ imply } b < a.$$

The saturation number of  $I$  is defined to be the smallest ordinal  $a$  such that  $I$  is  $a$ -saturated, and it is denoted by  $\text{sat}(I)$ .

Let  $I$  be an ideal over  $\aleph_r$ . And let  $\mathfrak{A}$  be a set of functions in  $\text{On}^{\aleph_r}$  (On is the class of all ordinal numbers). A function  $f$  is said to be incompressible (cf. [3]) with respect to  $\mathfrak{A}$  if the following conditions are satisfied :

- (1)  $[\{\nu : g(\nu) < f(\nu)\}] = \mathbf{1}$  for every  $g \in \mathfrak{A}$ ,
- (2) if  $[\{\nu : h(\nu) < f(\nu)\}] > \mathbf{0}$ , then,  $[\{\nu : h(\nu) \leq g(\nu)\}] > \mathbf{0}$  for some  $g \in \mathfrak{A}$ .

The following lemma is proved easily. (cf. [3]).

**Lemma 1.** *Let  $I$  be an ideal over  $\aleph_r$  such that  $\text{sat}(I) \leq \text{ch}(I)$  ( $\aleph_0 < \text{ch}(I)$ ). And let  $\mathfrak{A}$  be a set of functions in  $\text{On}^{\aleph_r}$ . Then there is an incompressible function with respect to  $\mathfrak{A}$ .*

Now we shall define a function  $a^* \in \text{On}^{\aleph_r}$  by the induction on  $a$  as one of incompressible functions with respect to  $\{b^* : b < a\}$ . And  $a^*(\nu)$

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1) Cf. [2], [5].

2) Cf. [3], [6].

is abbreviated as  $(a)_\nu$ .<sup>3)</sup>

**Lemma 2.** *Let  $\aleph_\sigma$  be the smallest cardinal number such that  $\max(\aleph_1, \text{sat}(I)) \leq \aleph_{\sigma+1}$ . Then there is a set of functions  $\mathfrak{A}$  with the following properties:*

- (1)  $\overline{\mathfrak{A}} \leq \aleph_\sigma$ ,
- (2)  $\mathfrak{A}$  is arithmetically closed basis (cf. [1], [2]),
- (3) if  $f \in \mathfrak{A}$ , then

$$\left[ \bigcup_{g \in \mathfrak{A}} \{\nu : f((a_1)_\nu, \dots, (a_n)_\nu) = (g(a_1, \dots, a_n))_\nu\} \right] = 1.$$

**Proof.** Let  $f$  be a function. And we consider the set

$$B_{a_1 \cdots a_n} = \{d : [\{\nu : f((a_1)_\nu, \dots, (a_n)_\nu) = (d)_\nu\}] > 0\}.$$

Then we have

- (1)  $\overline{B_{a_1 \cdots a_n}} \leq \aleph_\sigma$ ,
- (2)  $\left[ \bigcup_{d \in B_{a_1 \cdots a_n}} \{\nu : f((a_1)_\nu, \dots, (a_n)_\nu) = (d)_\nu\} \right] = 1.$

Now we put  $B_{a_1 \cdots a_n} = \{d_\rho : \rho < \aleph_\sigma\}$ . And the functions  $f_\rho$  is introduced by

$$f_\rho(a_1, \dots, a_n) = d_\rho.$$

Then we have

$$\left[ \bigcup_{\rho < \aleph_\sigma} \{\nu : f((a_1)_\nu, \dots, (a_n)_\nu) = (f_\rho(a_1, \dots, a_n))_\nu\} \right] = 1.$$

The required set  $\mathfrak{A}$  is easily obtained from this.

**Lemma 3.** *Let  $I$  be a proper ideal on  $\aleph_\tau$  with the property  $\text{sat}(I) < \text{ch}(I) = \aleph_\tau (> \aleph_0)$ . And let the following conditions be satisfied:*

- (1)  $\overline{B}, \overline{\mathfrak{A}} < \aleph_\tau, \aleph_\tau \in B$ ,
- (2)  $\mathfrak{A}$  is arithmetically closed,
- (3)  $\left[ \bigcup_{g \in \mathfrak{A}} \{\nu : f((a_1)_\nu, \dots, (a_n)_\nu) = (g(a_1, \dots, a_n))_\nu\} \right] = 1$  for  $f \in \mathfrak{A}$ ,
- (4)  $B$  is  $\mathfrak{A}$ -closed,
- (5)  $a \in B$  and  $[\{\nu : (d)_\nu = a\}] > 0$  then  $d \in B$ .

Then there is an ordinal  $\alpha_0$  such that

- (1)  $[B \cup \{a_0\}]_{\mathfrak{A}} \cap \alpha_0 \subset B$ ,
- (2)  $\sup(B \cap \aleph_\tau) \leq \alpha_0 < \aleph_\tau, \alpha_0 \notin B$ ,
- (3) if  $c \in [B \cup \{a_0\}]_{\mathfrak{A}}$  and  $[\{\nu : (d)_\nu = c\}] > 0$  then  $d \in [B \cup \{a_0\}]_{\mathfrak{A}}$ .

**Proof.** We shall first define the sets as follows

$$C_a = \bigcup_{[\{\nu : (d)_\nu = a\}] > 0} \{\nu : (d)_\nu = a\},$$

$$A_{f a_1 \dots a_n} = \bigcup_{g \in \mathfrak{A}} \{\nu : f((a_1)_\nu, \dots, (a_n)_\nu) = (g(a_1, \dots, a_n))_\nu\}.$$

And the set  $D$  is defined by

$$D = \{\nu : (\aleph_\tau)_\nu < \aleph_\tau\} \cap \bigcap_{\substack{a < b \\ a, b \in B}} \{\nu : (a)_\nu < (b)_\nu\} \cap \bigcap_{b \in B} C_a \cap \bigcap_{\substack{a_1, \dots, a_n \in B \\ f \in \mathfrak{A}}} A_{f a_1 \dots a_n}.$$

By  $\text{ch}(I) = \aleph_\tau$ , we have  $[D] = 1$ . Therefore there is a  $\nu_0 \in D$ .  $\alpha_0$  is

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3) Cf. [2].

defined to be  $(\aleph)_{\nu_0}$ . (2) is clear by this definition. Now we shall prove (1). Assume that

$$c \in [B \cup \{a_0\}]_{\mathfrak{A}} \cap a_0.$$

Since  $\mathfrak{A}$  is closed under substitution, there is a function  $f$  such that

$$c = f(b_1, \dots, b_n, a_0) < a_0.$$

By the definition of  $\nu_0$ , we have  $d_1, \dots, d_n \in B$  such that

$$\nu_0 \in \{\nu : (d_1)_\nu = b_1\}, \dots, \nu_0 \in \{\nu : (d_n)_\nu = b_n\}.$$

And we also have

$$\nu_0 \in \bigcup_{g \in \mathfrak{A}} \{\nu : f((d_1)_\nu, \dots, (d_n)_\nu, (\aleph_\nu)_\nu) = (g(d_1, \dots, d_n, \aleph_\nu))_\nu\}.$$

Therefore for some  $g \in \mathfrak{A}$ , we have

$$f(b_1, \dots, b_n, a_0) = f((d_1)_{\nu_0}, \dots, (d_n)_{\nu_0}, (\aleph_{\nu_0})_{\nu_0}) = (g(d_1, \dots, d_n, \aleph_{\nu_0}))_{\nu_0}.$$

If  $\aleph_\nu \leq g(d_1, \dots, d_n, \aleph_\nu)$ , then  $a_0 \leq (f(d_1, \dots, d_n, \aleph_\nu))_{\nu_0}$ . Therefore we have

$$g(d_1, \dots, d_n, \aleph_\nu) < \aleph_\nu.$$

Hence we obtain that  $\nu_0 \in \{\nu : (g(d_1, \dots, d_n, \aleph_\nu))_\nu = g(d_1, \dots, d_n, \aleph_\nu)\}$ .

Namely we have  $f(b_1, \dots, b_n, a_0) = g(d_1, \dots, d_n, \aleph_{\nu_0}) \in B$ .

Now we prove (3). Assume that

$$c \in [B \cup \{a_0\}]_{\mathfrak{A}} \text{ and } [\{\nu : (d)_\nu = c\}] > 0.$$

Then there are ordinals  $d_1, \dots, d_n \in B$  such that

$$[\{\nu : c = f((d_1)_\nu, \dots, (d_n)_\nu, (a_0)_\nu) \text{ and } (d)_\nu = c\}] > 0.$$

By  $[\bigcup_{g \in \mathfrak{A}} \{\nu : f((d_1)_\nu, \dots, (d_n)_\nu, (a_0)_\nu) = (g(d_1, \dots, d_n, a_0))_\nu\}] = 1$ , we have for some  $g \in \mathfrak{A}$ ,

$$[\{\nu : (d)_\nu = (g(d_1, \dots, d_n, a_0))_\nu\}] > 0.$$

Hence we have  $d = g(d_1, \dots, d_n, a_0) \in [B \cup \{a_0\}]_{\mathfrak{A}}$ .

By the same method as in [1], [2] we obtain the following theorem.

**Theorem.** *Let  $I$  be a proper ideal on  $\aleph_\tau$  such that  $\text{sat}(I) < \text{ch}(I) = \aleph_\tau (> \aleph_0)$ . Then  $\Sigma_1^1$ -transcendancy of cardinals is true for every cardinals  $\aleph_\sigma > \max(\aleph_{0\sigma}, \text{sat}(I))$ . Namely we have, for every  $\Sigma_1^1$ -formula  $P(a_1, \dots, a_n)$  in  $(\aleph_\tau)$ .*

$$\forall x_1 \dots \forall x_n (x_1, \dots, x_n < \aleph_\sigma \wedge \exists x P(x, x_1, \dots, x_n) \rightarrow \exists x (x < \aleph_\sigma \wedge P(x, x_1, \dots, x_n))).$$

**Corollary.** *If  $\aleph_\tau$  is real-valued measurable cardinal, then  $\Sigma_1^1$ -TC holds in  $(\aleph_\tau)$ .*

### References

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