

## 28. Examples of Foliations with Non Trivial Exotic Characteristic Classes

By Kenzi YAMATO

Osaka University

(Comm. by Kenjiro SHODA, M. J. A., Feb. 12, 1974)

**1. Introduction.** In [1], R. Bott has defined the exotic characteristic classes for foliations as follows:

Let  $q \geq 1$  be an integer.

First, a cochain complex  $(WO_q, d)$  is defined. Let  $R[c_1, \dots, c_q]$  denote the graded polynomial algebra over  $R$  generated by the elements  $c_i$  with degree  $2i$ . Set

$$R_q[c_1, \dots, c_q] = R[c_1, \dots, c_q] / \{\phi; \deg(\phi) > 2q\}.$$

Let  $E(h_1, h_3, \dots, h_r)$  denote the exterior algebra over  $R$  generated by the elements  $h_i$  with degree  $2i-1$ , where  $r$  is the largest odd integer  $\leq q$ . Then, as a graded algebra over  $R$

$$WO_q = R_q[c_1, \dots, c_q] \otimes E(h_1, h_3, \dots, h_r)$$

and a unique antiderivation of degree 1

$$d: WO_q \rightarrow WO_q$$

is defined by requiring

$$\begin{aligned} d(c_i) &= 0, & i &= 1, \dots, q \\ d(h_i) &= c_i, & i &= 1, 3, \dots, r. \end{aligned}$$

Secondly, given a  $C^\infty$ -smooth codimension  $q$  foliation  $(N, \mathcal{F})$  on an oriented manifold  $N$  without boundary, a homomorphism of cochain complexes

$$\lambda_{(N, \mathcal{F})}: WO_q \rightarrow A_c^*(N)$$

is defined, where  $A_c^*(N)$  denotes the space of complex smooth forms on  $N$ . We used the notation  $\lambda_{(N, \mathcal{F})}$  in place of  $\lambda_E$  of Bott [1]. Here the homomorphism  $\lambda_{(N, \mathcal{F})}$  depends only on the choices of two connections on the normal bundle of the foliation  $(N, \mathcal{F})$  called metric and basic.

In cohomology,  $\lambda_{(N, \mathcal{F})}$  induces a homomorphism of graded  $R$ -algebras

$$\lambda_{(N, \mathcal{F})}^*: H^*(WO_q) \rightarrow H^*(N; C)$$

which does not depend on the choices of the above connections.

The elements of  $\lambda_{(N, \mathcal{F})}^*(H^*(WO_q))$  are called the exotic characteristic classes for the foliation  $(N, \mathcal{F})$ .

In this paper, we construct the examples of foliations with non trivial exotic characteristic classes, that is,

**Theorem.** *For any integer  $q \geq 1$ , there exists a  $C^\infty$ -smooth*

*codimension  $q$  foliation  $(M, \mathcal{F})$  on a closed  $(2q+1)$ -manifold such that all the exotic characteristic classes for the foliation which correspond to the canonical generators of  $H^{2q+1}(WO_q)$  are non zero in  $H^{2q+1}(M; \mathbf{C})$ .*

**Remark.** When  $q=1$ , the generator  $[c_1 \cdot h_1]$  of  $H^3(WO_1) \cong \mathbf{R}$  is called the Godbillon-Vey invariant and R. Roussarie constructed an example of foliation with non trivial Godbillon-Vey invariant (see Bott [1]).

The author is grateful to Professor H. Ozeki and Mr. A. Ikeda for their advices.

Detailed proof will appear elsewhere.

**2. Construction of  $(M, \mathcal{F})$ .** Throughout this paper, integer  $q \geq 1$  is to be fixed and all foliations are to be  $C^\infty$ -smooth codimension  $q$  foliations.

Let  $O(q+1, 1) = \{X \in GL(q+2; \mathbf{R}); {}^tXBX = B\}$ , where

$$B = \begin{pmatrix} I_{q+1} & 0 \\ 0 & -1 \end{pmatrix}.$$

Define subgroups  $H \subset K \subset G$  of the Lie group  $O(q+1, 1)$  as follows:  $G$  is the identity component of  $O(q+1, 1)$ ,

$$H = \left\{ \begin{pmatrix} X & 0 \\ 0 & I_2 \end{pmatrix}; X \in SO(q) \right\}$$

$$K = \left\{ X = (x_{ij}) \in G; \begin{array}{l} \det \begin{pmatrix} x_{q+1 \ q+1} & x_{q+1 \ q+2} \\ x_{q+2 \ q+1} & x_{q+2 \ q+2} \end{pmatrix} = 1, \\ \text{and } x_{i \ q+1} + x_{i \ q+2} = 0, \text{ for } i=1, \dots, q \end{array} \right\}.$$

Then  $G/H$  is an open orientable  $(2q+1)$ -manifold and  $G/K$  is a  $q$ -manifold.

Set  $\bar{M} = G/H$ , and  $\bar{M}$  is foliated into the fibres of the fibre bundle  $\bar{M} = G/H \rightarrow G/K$ . We denote this foliation by  $(\bar{M}, \bar{\mathcal{F}})$ . Clearly, the foliation  $(\bar{M}, \bar{\mathcal{F}})$  is a  $G$ -invariant foliation of codimension  $q$  on  $\bar{M}$ .

By A. Borel [2],  $G$  admits a discrete subgroup  $D$  such that the quotient space  $M = D \backslash \bar{M}$  is a closed orientable  $(2q+1)$ -manifold. Since the foliation  $(\bar{M}, \bar{\mathcal{F}})$  is  $G$ -invariant,  $M$  has a codimension  $q$  foliation  $(M, \mathcal{F})$  induced naturally from  $(\bar{M}, \bar{\mathcal{F}})$ .

**3. Exotic characteristic classes.** It is easy to see the following.

**Lemma 1.** *Each canonical generator of  $H^{2q+1}(WO_q)$  is represented by some  $\phi \cdot h_j \in WO_q$ , where  $\phi \in \mathbf{R}_q[c_1, \dots, c_q]$  is a monomial with degree  $2(q-j+1)$ .*

In this section, all manifolds are to be oriented manifolds without boundary.

Let  $(N, g)$  be a Riemannian manifold and  $\nabla$  the Riemannian connection on  $N$ . For any foliation  $(N, \mathcal{F})$ , let  $\tau(\mathcal{F})$  (resp.  $\nu(\mathcal{F})$ ) denote the subbundle of  $\tau(N)$  tangent (resp. normal) to the foliation. Then a metric connection  $\nabla^0$  and a basic connection  $\nabla^1$  on  $\nu(\mathcal{F})$  are defined as follows:

$$\begin{aligned} \mathcal{V}_x^0(Y) &= \pi \mathcal{V}_x(Y) \\ \mathcal{V}_x^1(Y) &= \pi[X_{\tau(\mathcal{F})}, Y] + \mathcal{V}_{X_{\nu(\mathcal{F})}}^0(Y) \end{aligned}$$

for any  $X \in \mathfrak{X}(N)$ ,  $Y \in \Gamma(\nu(\mathcal{F}))$ , where  $\pi : \tau(N) \rightarrow \nu(\mathcal{F})$  is the natural projection and  $X_{\tau(\mathcal{F})} \in \Gamma(\tau(\mathcal{F}))$ ,  $X_{\nu(\mathcal{F})} \in \Gamma(\nu(\mathcal{F}))$  are such that  $X = X_{\tau(\mathcal{F})} + X_{\nu(\mathcal{F})}$ .

Then the homomorphism of cochain complexes

$$\lambda_{(N, \mathcal{F})} : WO_q \rightarrow A_{\mathbb{C}}^*(N)$$

is uniquely determined from the above connections  $\mathcal{V}^0$  and  $\mathcal{V}^1$ , hence from the foliation  $(N, \mathcal{F})$  and the Riemannian metric  $g$ . Thus we denote this  $\lambda_{(N, \mathcal{F})}(\omega)$  by  $\omega((N, \mathcal{F}), g)$  for  $\omega \in WO_q$ . Then we have the followings.

**Lemma 2.** *Let  $G$  be a Lie group and  $N$  be a  $G$ -manifold with a  $G$ -invariant Riemannian metric  $g$ . If a foliation  $(N, \mathcal{F})$  is  $G$ -invariant, then  $\omega((N, \mathcal{F}), g)$  is also  $G$ -invariant for any  $\omega \in WO_q$ .*

**Lemma 3.** *Let  $\bar{N}$  be a covering manifold over  $N$  with projection  $p$ . If  $N$  has a foliation  $(N, \mathcal{F})$ . Then,*

$$p^*\omega((N, \mathcal{F}), g) = \omega((\bar{N}, p^*\mathcal{F}), p^*g)$$

for any  $\omega \in WO_q$  and a Riemannian metric  $g$  on  $N$ , where  $(\bar{N}, p^*\mathcal{F})$  (resp.  $p^*g$ ) is the pull back of  $(N, \mathcal{F})$  (resp.  $g$ ).

**4. Outline of the proof of theorem.** Let  $(\bar{M}, \bar{\mathcal{F}})$  and  $G$  be as in Section 2. Then the following is a key lemma for the calculation of  $\lambda_{(\bar{M}, \bar{\mathcal{F}})}$ .

**Lemma 4.** *There exist a  $G$ -invariant Riemannian metric  $g$  on  $\bar{M}$  and a 1-form  $\theta$  on  $\bar{M}$  such that the followings hold:*

$$\begin{aligned} (1) \quad & \text{At } o = H \in \bar{M} = G/H, \\ & c_i((\bar{M}, \bar{\mathcal{F}}), g) = \alpha_i(\sqrt{-1}/2\pi)^i (d\theta)^i, \alpha_i > 0, \\ & h_j((\bar{M}, \bar{\mathcal{F}}), g) = \beta_j(\sqrt{-1}/2\pi)^j (d\theta)^{j-1} \wedge \theta, \beta_j > 0 \end{aligned}$$

for  $i=1, \dots, q$ ,  $j=1, 3, \dots, r$ .

$$(2) \quad \text{The } (2q+1)\text{-form } (d\theta)^q \wedge \theta \text{ is non zero at } o \in \bar{M}.$$

It follows from Lemma 1 and Lemma 4 that all the differential forms which correspond to the cochains representing the canonical generators of  $H^{2q+1}(WO_q)$  are non zero at  $o = H \in \bar{M} = G/H$ . Then these differential forms are  $G$ -invariant and nowhere zero on  $\bar{M}$  by Lemma 2. Hence they induce nowhere zero differential forms on  $M$ . In view of Lemma 3, the exotic characteristic classes for  $(M, \mathcal{F})$  corresponding to the canonical generators of  $H^{2q+1}(WO_q)$  are represented by these differential forms. Therefore they are non zero in  $H^{2q+1}(M; \mathbb{C})$ .

### References

- [1] R. Bott: Lectures on Characteristic Classes and Foliations. Lecture Notes in Math., No. 279, Springer-Verlag (1972).
- [2] A. Borel: Compact Clifford-Klein forms of symmetric spaces. Topology, 2, 111-123 (1963).