

### 134. On Submodules over an Asano Order of a Ring<sup>\*)</sup>

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1. Let  $R$  be a ring with unity quantity, and let  $\circ$  be a regular maximal order of  $R$ . The term *ideal* means a non-zero fractional two-sided  $\circ$ -ideal in  $R$ . We shall use small German letters  $\alpha, \mathfrak{b}, \mathfrak{c}$  with or without suffices to denote ideals in  $R$ . The inverse of an ideal  $\alpha$  will be denoted by  $\alpha^{-1}$ , and  $\alpha^*$  will denote  $\alpha^{-1-1}$ . Two ideals  $\alpha$  and  $\mathfrak{b}$  are said to be *quasi-equal* if  $\alpha^{-1} = \mathfrak{b}^{-1}$ ; in symbol:  $\alpha \sim \mathfrak{b}$ . The term *submodule* means a two-sided  $\circ$ -submodule which contains at least one regular element of  $R$ . A submodule  $M$  is said to be *closed* if whenever  $\alpha \subseteq M$  implies  $\alpha^* \subseteq M$ . It is then clear that every submodule is closed when the arithmetic holds for  $\circ$  (cf. [1, § 2]). For any two closed submodules  $M_1$  and  $M_2$  we define a product  $M_1 \circ M_2$  to be the set-theoretical union of all ideals  $(\sum_{i=1}^n \alpha_i \mathfrak{b}_i)^*$  where  $\alpha_i \subseteq M_1$  and  $\mathfrak{b}_i \subseteq M_2$  ( $i=1, \dots, n$ ). Now the set  $G$  of all ideals  $\alpha$  such that  $\alpha = \alpha^*$  forms a *commutative* group under the multiplication “ $\circ$ ” defined by  $\alpha \circ \mathfrak{b} = (\alpha \mathfrak{b})^* = (\alpha^* \mathfrak{b}^*)^*$ ; because  $G$  is a (conditionally) complete  $l$ -group under the above multiplication and the inclusion (cf. p. 91 in [5]). Hence  $M_1 \circ M_2 = M_2 \circ M_1$ , and if the ascending chain condition in the sense of quasi-equality holds for integral ideals, the set  $\mathfrak{M}$  of all closed submodules forms a commutative  $l$ -semigroup under the above multiplication and the set-inclusion (cf. Lemmas 5.1 and 5.2 in [2]).

Let  $\mathfrak{P}$  be the set of all prime ideals which are not quasi-equal to  $\circ$ , let  $|\mathfrak{P}|$  be the cardinal number of  $\mathfrak{P}$ , and let  $\mathbf{Z}_{-\infty}$  be the set-theoretical union of the rational integers  $\mathbf{Z}$  and  $-\infty$ . Then the complete direct sum  $\bigoplus_{\mathfrak{P}} \mathbf{Z}_{-\infty}$  ( $|\mathfrak{P}|$ -copies) of  $\mathbf{Z}_{-\infty}$  is an  $l$ -semigroup under the addition  $[m_p] + [n_p] = [m_p + n_p]$  and the partial order  $[m_p] > [n_p] \Leftrightarrow m_p \leq n_p$  for all  $p \in \mathfrak{P}$ , where  $m_p, n_p \in \mathbf{Z}_{-\infty}$ . Let  $\bigoplus_{\mathfrak{P}}^* \mathbf{Z}_{-\infty}$  be the set of all vectors  $[m_p]$  such that  $m_p \leq 0$  for almost all  $p \in \mathfrak{P}$ . Then it forms an  $l$ -subsemigroup of  $\bigoplus_{\mathfrak{P}} \mathbf{Z}_{-\infty}$ .

The aim of the present note is to prove the following

**Theorem.** *If the ascending chain condition in the sense of quasi-equality (cf. p. 109 in [1]) holds for integral ideals, the  $l$ -semigroup  $\mathfrak{M}$  of all non-zero closed submodules is isomorphic to  $\bigoplus_{\mathfrak{P}}^* \mathbf{Z}_{-\infty}$  as an  $l$ -semigroup. If in particular the arithmetic holds for  $\circ$ , the  $l$ -semigroup  $\mathfrak{M}$  of all submodules (containing regular elements) is isomorphic to  $\bigoplus_{\mathfrak{P}}^* \mathbf{Z}_{-}$  as an  $l$ -semigroup, and every submodule  $M \in \mathfrak{M}$  is written as follows:*

<sup>\*)</sup> Dedicated to professor Kiiti Morita on his 60th birthday.

$$M = \prod_{p \in P_+} p^{\nu(p)} \left( \sum_{p \in P_-} p^{\nu(p)} \right) \mathfrak{o}_P \quad (*)$$

where  $\nu(p) = \nu_M(p)$  is the  $p$ -coordinate of the vector in  $\bigoplus_{\mathfrak{P}} \mathbf{Z}_{-\infty}$  which corresponds to  $M$  by the above isomorphism,  $P_+ = P_+(M)$  is the prime ideals with  $\nu_M(p) > 0$ ,  $P_- = P_-(M)$  is the prime ideals with  $-\infty < \nu_M(p) < 0$ ,  $\mathfrak{o}_P$  is the  $P$ -component of  $\mathfrak{o}$  (cf. [1, § 3]) for the set  $P = P_0(M) \cup P_+(M) \cup P_-(M)$  the prime ideals with  $\nu_M(p) = 0$ , and  $\Sigma$  denotes the restricted direct sum.

$P_+(M)$  is a finite set for each submodule  $M$ , but both  $P_-(M)$  and  $P_0(M)$  are not necessarily finite.

The first half of Theorem is a generalization of [3, Theorem 1] in the case of Dedekind domains (cf. [4, § 2]) to a non-commutative case.

**2. Proof of Theorem.** Let  $\alpha$  be an ideal, and let  $\alpha \sim \alpha^* = \prod p^\alpha$ ,  $\alpha \in \mathbf{Z}$ , be the factorization of  $\alpha^*$  into prime ideals  $p$ 's with  $p \neq \mathfrak{o}$ , where  $\prod_i \alpha_i$  means  $(\prod_i \alpha_i)^*$  (cf. p. 13 in [2]). In the following we use  $\nu(p; \alpha)$  to denote  $\alpha$ , the  $p$ -exponent of  $\alpha^*$ . Then we have

- (1)  $\nu(p; \alpha) = 0$  for almost all  $p \in \mathfrak{P}$ .
- (2)  $\nu(p; \alpha) = \nu(p; \alpha^*)$ .
- (3)  $\nu(p; \alpha + \mathfrak{b}) = \text{Min} \{ \nu(p; \alpha), \nu(p; \mathfrak{b}) \}$ .
- (4)  $\nu(p; \alpha \mathfrak{b}) = \nu(p; \alpha) + \nu(p; \mathfrak{b})$ .
- (5)  $\alpha \subseteq \mathfrak{b}$  implies  $\nu(p; \alpha) \geq \nu(p; \mathfrak{b})$ .
- (6) If  $\nu(p; \alpha) \geq \nu(p; \mathfrak{b})$  for all  $p \in \mathfrak{P}$ , then  $\alpha \subseteq \mathfrak{b}^*$ .
- (7) If  $\nu(p; \alpha) = \nu(p; \mathfrak{b})$  for all  $p \in \mathfrak{P}$ , then  $\alpha \sim \mathfrak{b}$ .

Ad (3): It follows from  $(\alpha + \mathfrak{b})^* = (\alpha^* + \mathfrak{b}^*)^*$ . Ad (4): It follows from  $(\alpha \mathfrak{b})^* = (\alpha^* \mathfrak{b}^*)^*$  (cf. p. 13 in [2]). (5) is immediate from (3). The other properties are evident.

The initial stage in our proof will be a generalization of  $\nu(p; \ )$  for submodules. For any  $M \in \mathfrak{M}$  we define

$$\nu(p; M) = \inf \{ \nu(p; \alpha) \mid \alpha \subseteq M \}.$$

Then, fixing  $M$  and running  $p$  through  $\mathfrak{P}$ ,  $\nu(p; M)$  is considered as a map from  $\mathfrak{P}$  into  $\bigoplus_{\mathfrak{P}} \mathbf{Z}_{-\infty}$ . In this state it is convenient to use  $\nu_M(p)$  or  $\nu_M$  instead of  $\nu(p; M)$ . For any fixed ideal  $\alpha_0$  in  $M$  we have  $\nu_M(p) \leq \nu(p; \alpha_0)$ . Hence  $\nu_M(p) \leq 0$  for almost all  $p \in \mathfrak{P}$ .

Let  $\sigma$  be a map from  $\mathfrak{P}$  into  $\bigoplus_{\mathfrak{P}} \mathbf{Z}_{-\infty}$  such that  $\sigma(p) \leq 0$  for almost all  $p \in \mathfrak{P}$ , and let  $M\langle \sigma \rangle$  be the set-theoretical union of all ideals  $\alpha$  such that  $\nu(p; \alpha) \geq \sigma(p)$  for all  $p \in \mathfrak{P}$ . Then  $M\langle \sigma \rangle$  is a closed submodule in our sense. For, we let  $\mathfrak{b}$  be an ideal contained in  $M\langle \sigma \rangle$ . Then by the ascending chain condition in the sense of quasi-equality and by the regularity of  $\mathfrak{o}$ , we can choose a finite number of elements  $b_1, \dots, b_n$  in  $\mathfrak{b}$  such that at least one of the  $b_i$  is regular and  $\mathfrak{b}^* = (b_1, \dots, b_n)^*$ . Taking  $\alpha_i$  such that  $\alpha_i \ni b_i$ ,  $\alpha_i \subseteq M\langle \sigma \rangle$ , we have  $\mathfrak{b}^* = (b_1, \dots, b_n)^* \subseteq (\sum_{i=1}^n \alpha_i^*)^* = (\sum_{i=1}^n \alpha_i)^*$ . Hence  $\nu(p; \mathfrak{b}^*) \geq \nu(p; (\sum_{i=1}^n \alpha_i)^*) = \nu(p; \sum_{i=1}^n \alpha_i) = \text{Min} \{ \nu(p; \alpha_i) \} \geq \sigma(p)$ . Thus we get  $\mathfrak{b}^* \subseteq M\langle \sigma \rangle$ .

We note here that for each ideal  $\alpha \subseteq M \langle \nu_M \rangle$  there exists an ideal  $c$  such that  $\nu(p; c) \leq \nu(p; \alpha)$ ,  $c \subseteq M$ . For, if there is no such ideal we have  $\nu(p; c) > \nu(p; \alpha)$  for all (non-zero) ideal  $c \subseteq M$ . Since  $\nu(p; \alpha) \neq -\infty$ , the set of all  $\nu(p; c)$ ,  $c \subseteq M$ , has a lower bound. Hence there exists an integer  $n_0$  such that  $\nu(p; M) = n_0 = \nu(p; c_0)$  for a suitable  $c_0 \subseteq M$ . By the assumption we have  $n_0 = \nu(p; c_0) > \nu(p; \alpha)$ . However  $\alpha \subseteq M \langle \nu_M \rangle$  implies  $\nu(p; \alpha) \geq \nu_M(p) = n_0$ , which is a contradiction.

Now we prove  $M \langle \nu_M \rangle = M$ .  $M \subseteq M \langle \nu_M \rangle$  is evident. Conversely, let  $\alpha$  be an arbitrary (non-zero) ideal in  $M \langle \nu_M \rangle$ , and let  $p_1, \dots, p_m$  be the all prime ideals  $p$  such that  $\nu(p; \alpha) \neq 0$ ,  $p \in \mathfrak{P}$ . Then we can choose a suitable ideal  $c_1$  such that  $\nu(p_1; c_1^*) \leq \nu(p_1; \alpha)$ ,  $c_1 \subseteq M$ . Next we let  $p_{m+1}, \dots, p_n$  be all prime ideals  $p$ , if there exists, such that  $\nu(p; c_1) > 0$  and  $p$  does not appear among  $p_1, \dots, p_m$ . Then we can take suitable ideals  $c_i$  such that  $\nu(p_i; c_i) \leq \nu(p_i; \alpha)$ ,  $c_i \subseteq M$  ( $i=2, \dots, n$ ). Then clearly  $c = c_1 + c_2 + \dots + c_n \subseteq M$ , and  $c^* \subseteq M$ . For any  $p_j$  ( $j=1, \dots, n$ ), we have  $\nu(p_j; c) \leq \nu(p_j; c_j) \leq \nu(p_j; \alpha)$ , and for any  $p \in \mathfrak{P}$  different from  $p_j$  ( $j=1, \dots, n$ ), we have  $\nu(p; c) \leq \nu(p; c_1) \leq 0 = \nu(p; \alpha)$ . Thus we obtain  $\alpha \subseteq c^*$ ,  $\alpha \subseteq M$  as desired.

Next we prove  $\nu_{M \langle \sigma \rangle} = \sigma$ . Let  $p_1, \dots, p_n$  be the set of all the prime ideals  $p$  such that  $\sigma(p) > 0$ ,  $p \in \mathfrak{P}$ . We form  $c = p_1^{\sigma(p_1)} \circ \dots \circ p_n^{\sigma(p_n)}$ . Then evidently  $c^* = c$  and  $\nu(p_i; c) = \sigma(p_i)$  for  $i=1, \dots, n$ . If  $p \neq p_i$  ( $i=1, \dots, n$ ),  $p \in \mathfrak{P}$ , then  $\nu(p; c) = 0 \geq \sigma(p)$ . Hence  $c \subseteq M \langle \sigma \rangle$ , and hence  $\nu(p_i; M \langle \sigma \rangle) \leq \nu(p_i; c) = \sigma(p_i)$  for  $i=1, \dots, n$ . If  $p' \neq p_i$  ( $i=1, \dots, n$ ),  $p' \in \mathfrak{P}$ , then putting  $\alpha = (cp'^{\sigma(p')})^*$ , we have  $\nu(p_i; \alpha) = \sigma(p_i)$  and  $\nu(p'; \alpha) = \sigma(p')$ . For any  $p''$  such that  $p'' \neq p_i$  ( $i=1, \dots, n$ ),  $p'' \neq p'$ ,  $p'' \in \mathfrak{P}$ , we have  $\nu(p''; \alpha) = 0 \geq \sigma(p'')$ . Hence  $\alpha \subseteq M \langle \sigma \rangle$ , and hence  $\nu(p'; M \langle \sigma \rangle) \leq \nu(p'; \alpha) = \sigma(p')$  for an arbitrary  $p' \neq p_i$  ( $i=1, \dots, n$ ),  $p' \in \mathfrak{P}$ . Above all we get  $\nu(p; M \langle \sigma \rangle) \leq \sigma(p)$  for all  $p \in \mathfrak{P}$ . Thus we have  $\nu_{M \langle \sigma \rangle} \leq \sigma$ .  $\nu_{M \langle \sigma \rangle} \geq \sigma$  is evident by the definition of  $\nu_{M \langle \sigma \rangle}$ . Therefore we obtain  $\nu_{M \langle \sigma \rangle} = \sigma$  as desired.

By the above argument we have

$$M \mapsto \nu_M \mapsto M \langle \nu_M \rangle = M, \quad \sigma \mapsto M \langle \sigma \rangle \mapsto \nu_{M \langle \sigma \rangle} = \sigma.$$

Accordingly the map  $M \mapsto \nu_M$  gives a bijection from  $\mathfrak{M}$  to the set of all  $\sigma$ . Now it is clear that the set of all vectors  $[\sigma(p)] = \{\sigma(p) \mid p \in \mathfrak{P}\}$  coincides with  $\bigoplus_{\mathfrak{P}}^* \mathbf{Z}_{-\infty}$ . We shall show the map  $f$ :

$$M \mapsto f(M) = [\nu_M(p)]$$

gives an  $l$ -semigroup-isomorphism from  $\mathfrak{M}$  to  $\bigoplus_{\mathfrak{P}}^* \mathbf{Z}_{-\infty}$ . For, let  $M_1, M_2 \in \mathfrak{M}$ , and take an arbitrary (non-zero) ideal  $c$  contained in  $M_1 \circ M_2$ . Then by using the ascending chain condition in the sense of quasi-equality for integral ideals we can take an ideal  $(\sum_{i=1}^n \alpha_i b_i)^*$  which contains  $c$ . In fact by the ascending chain condition in the sense of quasi-equality  $c^*$  is generated by a finite number of elements  $x_1, \dots, x_m$  in  $c$  (some of  $x_k$  is regular), i.e.,  $c^* = (x_1, \dots, x_m)^*$ ,  $x_k \in c$ . Then by the

definition of  $M_1 \circ M_2$ , we can take  $(\sum_{i=1}^{n(k)} \alpha_i^{(k)} \mathfrak{b}_i^{(k)})^*$  which contains  $x_k$  ( $k=1, \dots, m$ ) where  $\alpha_i^{(k)} \subseteq M_1$  and  $\mathfrak{b}_i^{(k)} \subseteq M_2$ . Hence  $x_j \in \sum_{k=1}^m (\sum_{i=1}^{n(k)} \alpha_i^{(k)} \mathfrak{b}_i^{(k)})^*$  ( $j=1, \dots, m$ ), and  $c \subseteq c^* = (x_1, \dots, x_m)^* \subseteq (\sum_{k=1}^m (\sum_{i=1}^{n(k)} \alpha_i^{(k)} \mathfrak{b}_i^{(k)})^*)^* = (\sum_{k=1}^m \sum_{i=1}^{n(k)} \alpha_i^{(k)} \mathfrak{b}_i^{(k)})^* \equiv (\sum_{i=1}^n \alpha_i \mathfrak{b}_i)^*$ . Then we have  $\nu(p; c) \geq \nu(p; (\sum_{i=1}^n \alpha_i \mathfrak{b}_i)^*) = \text{Min} \{ \nu(p; \alpha_i) + \nu(p; \mathfrak{b}_i) \} \geq \inf \{ \nu(p; \alpha_i) \mid \alpha_i \subseteq M_1 \} + \inf \{ \nu(p; \mathfrak{b}_i) \mid \mathfrak{b}_i \subseteq M_2 \} = \nu(p; M_1) + \nu(p; M_2)$ . This implies  $\nu(p; M_1 \circ M_2) = \inf \{ \nu(p; c) \mid c^* \subseteq M_1 \circ M_2 \} \geq \nu(p; M_1) + \nu(p; M_2)$ . Since  $\nu(p; \alpha) + \nu(p; \mathfrak{b}) = \nu(p; \alpha \mathfrak{b}) \geq \nu(p; M_1 \circ M_2)$  for any  $\alpha \subseteq M_1$  and  $\mathfrak{b} \subseteq M_2$ , we have  $\nu(p; M_1) + \nu(p; \mathfrak{b}) = \inf_{\alpha \subseteq M_1} \{ \nu(p; \alpha) + \nu(p; \mathfrak{b}) \} \geq \nu(p; M_1 \circ M_2)$ ,  $\nu(p; M_1) + \nu(p; M_2) \geq \nu(p; M_1 \circ M_2)$ . Hence the opposite inequality is true. It is evident that  $f$  is order-preserving.  $f$  is therefore an  $l$ -semigroup-isomorphism from  $\mathfrak{M}$  to  $\bigoplus_{\mathfrak{P}}^* \mathbf{Z}_{-\infty}$ . If the arithmetic holds for  $\mathfrak{o}$ , then the  $l$ -semigroup  $\mathfrak{M}$  of all submodules containing regular elements is isomorphic to  $\bigoplus_{\mathfrak{P}}^* \mathbf{Z}_{-\infty}$  as an  $l$ -semigroup.

In order to prove the last part of the theorem we show that a submodule  $M$  is a subring containing  $\mathfrak{o}$ , if and only if the coordinates of the vector  $f(M) = [\nu_M(p)]$  consists only of 0 and  $-\infty$ ; and in this case  $M = \mathfrak{o}_P$  the  $P$ -component of  $\mathfrak{o}$  where  $P = P_0(M)$ . We suppose that  $M$  is a subring which contains  $\mathfrak{o}$  strictly. Since there exists a prime ideal  $\mathfrak{p}$  such that  $\mathfrak{p}^{-1} \subseteq M$ ,  $\mathfrak{p} \in \mathfrak{P}$  (cf. Hilfssatz 6, p. 119 in [1]), we have  $\mathfrak{p}^{-n} \subseteq M$  for all  $n \in \mathbf{Z}^+$ , the positive integers. Hence we obtain  $\nu_M(p) = \inf \{ \nu(p; \alpha) \mid \alpha \subseteq M \} \leq \inf \{ \nu(p; \mathfrak{p}^{-n}) \mid n \in \mathbf{Z}^+ \} = \inf \{ -n \mid n \in \mathbf{Z}^+ \} = -\infty$ . If  $\mathfrak{p}^{-1}$  is not contained in  $M$ , we can show  $\nu_M(p) = 0$  as follows: Since  $\mathfrak{o} \subseteq M$ ,  $M$  contains a pure fractional ideal. Let  $F$  be the set of the pure fractional ideals in  $M$ . Then evidently  $\nu_M(p) \leq \inf \{ \nu(p; \mathfrak{b}) \mid \mathfrak{b} \in F \} \equiv \alpha$ . To prove the opposite inequality we take an arbitrary ideal  $\alpha$  in  $M$ . Then there exists a pure fractional ideal  $\alpha'$  such that  $\alpha \subseteq \alpha' \subseteq M$  (e.g.  $\alpha' = \alpha + \mathfrak{o}$ ). Then we have  $\nu(p; \alpha) \geq \nu(p; \alpha') \geq \alpha$ . Hence we get  $\nu_M(p) = \inf \{ \nu(p; \mathfrak{b}) \mid \mathfrak{b} \in F \}$ . Suppose that there exists an ideal  $\mathfrak{b} \in F$  such that  $\mathfrak{p}^{-1}$  appears among the prime factors of  $\mathfrak{b}$ ,  $\mathfrak{b} = \mathfrak{p}^{-1} \cdot \mathfrak{b}'$ , say. Then we have  $\mathfrak{p}^{-1} \subseteq \mathfrak{b} \subseteq M$ , a contradiction. Hence  $\nu(p; \mathfrak{b}) = 0$  for all  $\mathfrak{b} \in F$ . We have therefore  $\nu_M(p) = 0$  as desired. Conversely let  $M$  be a submodule such that the coordinates of  $f(M)$  consists only of 0 and  $-\infty$ . An ideal  $\alpha$  is contained in  $M$  if and only if both  $P_0(M) \subseteq P_0(\alpha) \cup P_+(\alpha)$  and  $P_{-\infty}(M) \subseteq P_0(\alpha) \cup P_{\pm}(\alpha)$  hold, where  $P_{-\infty}(M) = \{ p \in \mathfrak{P} \mid \nu_M(p) = -\infty \}$ . In order to show that  $M$  is a subring of  $R$  it is sufficient to show that  $\alpha \mathfrak{b} \subseteq M$  for any ideals  $\alpha$  and  $\mathfrak{b}$  in  $M$ . Because, since  $\mathfrak{o}$  is regular there is an ideal which is contained in  $M$  and contains an arbitrary fixed element of  $M$ . Take two non-zero ideals  $\alpha$  and  $\mathfrak{b}$  in  $M$ . Then since  $f(\alpha \mathfrak{b}) = f(\alpha) + f(\mathfrak{b})$  we can show  $P_0(M) \subseteq P_0(\alpha \mathfrak{b}) \cup P_+(\alpha \mathfrak{b})$  and  $P_{-\infty}(M) \subseteq P_0(\alpha \mathfrak{b}) \cup P_{\pm}(\alpha \mathfrak{b})$ . This means  $\alpha \mathfrak{b} \subseteq M$ .  $M = \mathfrak{o}_P$ ,  $P = P_0(M)$ , is easy to see. The representation (\*) is obtained by using the additive property of  $f$ . This completes the proof.

**Remark.** Let  $M$  be a submodule such that  $|P_-(M)|$  is finite. Then  $\sum p^{\nu(p)} = (\prod p^{-\nu(p)})^{-1}$ , and  $M$  is the  $P$ -component of the ideal

$$\prod_{p \in P_+} p^{\nu(p)} \prod_{p \in P_-} p^{\nu(p)}.$$

Moreover  $M = \alpha_P$  (the  $P$ -component of an ideal  $\alpha$ ) if and only if

$$\alpha = \prod_{p \in P_+} p^{\nu(p)} \prod_{p \in P_-} p^{\nu(p)} \prod_{p \in Q} p^\rho,$$

where  $Q$  is a finite subset of  $P_{-\infty}(M)$  and  $\rho$  is an integer. It is then obvious that a submodule  $M$  is a  $P$ -component of an ideal if and only if both  $P_{-\infty}(0_P) = P_{-\infty}(M)$  and  $|P_0(0_P) - P_0(M)| < \infty$  hold.

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