

# Non-archimedean function spaces and the Lebesgue dominated convergence theorem\*

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## Abstract

Let  $M(X, \mathbb{K})$  be the non-archimedean Banach space of all additive and bounded  $\mathbb{K}$ -valued measures on the ring of all clopen subsets of a zero-dimensional compact space  $X$ , where  $\mathbb{K}$  is a non-archimedean non-trivially valued complete field. It is known that  $M(X, \mathbb{K})$  is isometrically isomorphic to the dual of the Banach space  $C(X, \mathbb{K})$  of all continuous  $\mathbb{K}$ -valued maps on  $X$  with the sup-norm topology. Does the non-archimedean Lebesgue Dominated Convergence Theorem hold for the space  $M(X, \mathbb{K})$ ? Only in the trivial case! We show (Theorem 2) that for every sequence  $(f_n)_n$  in  $C(X, \mathbb{K})$  such that  $f_n(x) \rightarrow 0$  for all  $x \in X$  and  $\|f_n\| \leq 1$  for all  $n \in \mathbb{N}$ , one has  $\int_X f_n d\mu \rightarrow 0$  for each  $\mu \in M(X, \mathbb{K})$  iff  $X$  is finite. In the second part we characterize (Theorem 3) weakly Lindelöf non-archimedean Banach spaces  $E$  with a base as well as Corson  $\sigma(E', E)$ -compact unit balls in their duals  $E'$  (Theorem 17). We also look at the Kunen space from the non-archimedean point of view.

## 1 Introduction

Let  $X$  be a compact space and let  $M(X, \mathbb{R})$  be the space of all regular Borel measures on  $X$ . The classical Riesz Representation Theorem and the Lebesgue Domi-

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nated Convergence Theorem imply that every continuous linear real-valued functional  $C(X, \mathbb{R}) \rightarrow \mathbb{R}$  is represented by a unique regular Borel measure  $\mu$  on  $X$  and, if  $(f_n)_n$  is a sequence of real-valued continuous functions on  $X$  which converges pointwise to zero and is uniformly bounded, then  $\int_X f_n d\mu \rightarrow 0$  for every  $\mu \in M(X, \mathbb{R})$ . What about this very popular theorem for the non-archimedean case?

Let  $\mathbb{K}$  be a non-archimedean non-trivially valued complete field with valuation  $|\cdot|$ . Let  $X$  be a compact zero-dimensional space and let  $\Omega(X)$  be the ring of all clopen subsets of  $X$ . By a *measure* on  $X$  we mean a map  $\mu : \Omega(X) \rightarrow \mathbb{K}$  which is additive and bounded, i.e.  $\|\mu\| := \sup\{|\mu(U)| : U \in \Omega(X)\} < \infty$ . The space  $M(X, \mathbb{K})$  of measures on  $X$ , with the natural operations and the norm  $\|\cdot\|$ , is a Banach space.

There is a simple way to integrate continuous  $\mathbb{K}$ -valued functions on  $X$  with respect to a measure  $\mu$ , [15], [19].

Let  $C(X, \mathbb{K})$  be the Banach space of all  $\mathbb{K}$ -valued continuous maps on  $X$  equipped with the usual supremum norm  $\|\cdot\|$ . Let  $C(X, \mathbb{K})'$  be the topological dual of the space  $C(X, \mathbb{K})$ . The following Riesz Representation Theorem can be found in [15, Theorem 2.5.30], see also [19, Theorem 7.18] for generalizations.

**Theorem 1.** *Let  $X$  be a compact zero-dimensional space. For every  $\phi \in C(X, \mathbb{K})'$  there exists exactly one measure on  $X$  such that  $\phi(f) = \int_X f d\mu$  for all  $f \in C(X, \mathbb{K})$  and the map*

$$M(X, \mathbb{K}) \rightarrow C(X, \mathbb{K})', \mu \mapsto \phi_\mu,$$

*is an isometrical isomorphism.*

Let us identify  $C(X, \mathbb{K})' = M(X, \mathbb{K})$ . We say that  $X$  has the  $\mathbb{K}$ -Lebesgue property if for each sequence  $(f_n)_n$  in  $C(X, \mathbb{K})$  such that  $f_n(x) \rightarrow 0$  for all  $x \in X$  and  $\|f_n\| \leq 1$  for all  $n \in \mathbb{N}$ , one has  $\int_X f_n d\mu \rightarrow 0$  for each  $\mu \in C(X, \mathbb{K})'$ .

Since the space  $C(X, \mathbb{K})$  has the Orlicz-Pettis property, i.e. every convergent sequence in the weak topology of  $C(X, \mathbb{K})$  is norm-convergent, see [14, Corollary 2.5], we note that  $X$  has the  $\mathbb{K}$ -Lebesgue property iff for each sequence  $(f_n)_n$  in  $C(X, \mathbb{K})$  such that  $f_n(x) \rightarrow 0$  for all  $x \in X$  and  $\|f_n\| \leq 1$  for all  $n \in \mathbb{N}$ , one has  $\|f_n\| \rightarrow 0$ .

Let  $C_p(X, \mathbb{K})$  be the space of all  $\mathbb{K}$ -valued continuous maps on  $X$  endowed with the pointwise topology. By  $\sigma_X$  we denote the weak topology of  $C(X, \mathbb{K})$ .

The main result of Section 2 is the next one, which establishes that the non-archimedean Lebesgue Dominated Convergence Theorem holds only in the trivial case: when  $X$  is finite.

**Theorem 2.** *Let  $X$  be a compact zero-dimensional space. Let  $B$  be the closed unit ball in  $C(X, \mathbb{K})$ . The following assertions are equivalent.*

- (i)  $X$  is finite.
- (ii)  $B$  is a Fréchet-Urysohn space in the weak topology of  $C(X, \mathbb{K})$ .
- (iii) For every decreasing sequence  $(U_n)_n$  of clopen subsets of  $X$  there is an  $m \in \mathbb{N}$  such that  $U_n = U_m$  for all  $n \geq m$ .
- (iv)  $X$  has the  $\mathbb{K}$ -Lebesgue property.
- (v) Every uniformly bounded  $C_p(X, \mathbb{K})$ -compact ( $C_p(X, \mathbb{K})$ -metrizable) set is compact in the weak topology of  $C(X, \mathbb{K})$ .

On the other hand, in [11, Theorem 4.13] Katsaras showed a Lebesgue Dominated Convergence Theorem for a certain class of measures  $\mu$  on  $X$ . Our Theorem 2 shows that such measures  $\mu$  do not cover the whole dual  $M(X, \mathbb{K})$ .

Section 3 deals with compact zero-dimensional spaces  $X$  such that  $C_p(X, \mathbb{K})$  is  $\mathbb{K}$ -analytic (Lindelöf). It is known that if  $X$  is a compact scattered space (i.e., every closed subset  $L$  of  $X$  has an isolated point in  $L$ ), then  $C_p(X, \mathbb{R})$  is Lindelöf iff  $C(X, \mathbb{R})$  is weakly Lindelöf. Also, it was proved in [17] that for a compact space  $X$  the real space  $C_p(X, \mathbb{R})$  is  $\mathbb{K}$ -analytic iff  $C(X, \mathbb{R})$  is weakly  $\mathbb{K}$ -analytic. The proofs of these classical results use the  $\mathbb{R}$ -Lebesgue property of  $X$ . The same argument cannot be used in the non-archimedean setting, since (as we show in Theorem 2) the Lebesgue theorem fails for this case. However, by using non-archimedean techniques we prove in Section 3 some  $p$ -adic versions of these classical results about  $C_p(X, \mathbb{R})$ . The key point to get these versions is the following

**Theorem 3.** *Let  $\mathbb{K}$  be separable. Let  $E$  be a Banach space over  $\mathbb{K}$  with a base. The following assertions are equivalent.*

- (i)  $E$  is separable.
- (ii)  $(E, \sigma(E, E'))$  is separable.
- (iii)  $E$  is analytic ( $\mathbb{K}$ -analytic, Lindelöf).
- (iv)  $(E, \sigma(E, E'))$  is analytic ( $\mathbb{K}$ -analytic, Lindelöf).
- (v)  $E$  has a compact resolution.
- (vi)  $(E, \sigma(E, E'))$  has a compact resolution.
- (vii)  $B_{E'}$  is  $\sigma(E', E)$ -(ultra)metrizable (where  $B_{E'}$  is the closed unit ball in  $E'$ ).
- (viii)  $(E', \sigma(E', E))$  is hereditarily separable, i.e., subsets of  $(E', \sigma(E', E))$  are separable.
- (ix)  $(E', \sigma(E', E))$  is linear hereditarily separable, i.e., linear subspaces of  $(E', \sigma(E', E))$  are separable.
- (x)  $E$  is isomorphic to the Banach space  $c_0(\mathbb{N}, \mathbb{K})$ .

Let  $\mathbb{K}$  be separable. It is known that  $c_0(I, \mathbb{K})$  is separable iff  $I$  is countable. Applying Theorem 3 for  $E := c_0(I, \mathbb{K})$  we obtain that  $c_0(I, \mathbb{K})$  is weakly ( $\mathbb{K}$ -)analytic iff  $I$  is countable. However,  $c_0(I, \mathbb{R})$  is weakly  $\mathbb{K}$ -analytic (but not  $\mathbb{K}$ -analytic) for any set  $I$  (since  $c_0(I, \mathbb{R})$  is a weakly compactly generated Banach space and Talagrand's [17] applies). Being motivated by remarkable Haydon-Kunen-Talagrand examples we characterize Corson  $\sigma(E', E)$ -compactness for the unit ball of the dual of any non-archimedean Banach space  $E$  over a locally compact  $\mathbb{K}$ , see Theorem 17.

For basics on non-archimedean normed and locally convex spaces we refer to [19] and [15], respectively.

## 2 Proof of Theorem 2

We start with the following example motivating also Theorem 2. Recall that, for a prime number  $p$ ,  $\mathbb{Q}_p$  is the field of the  $p$ -adic numbers equipped with its  $p$ -adic (non-archimedean) valuation, and  $\mathbb{Z}_p$  is the corresponding closed unit ball in  $\mathbb{Q}_p$ .

**Example 4.** Let  $\mathbb{K}$  be locally compact (e.g.  $\mathbb{K} = \mathbb{Q}_p$ ). Let  $X$  be the closed ball  $B(a, r) := \{x \in \mathbb{K} : |x - a| \leq r\}$  (e.g.  $X = \mathbb{Z}_p$ ). Then  $X$  is a zero-dimensional compact space not having the  $\mathbb{K}$ -Lebesgue property.

*Proof.* Since  $\mathbb{K}$  is locally compact, the valuation of  $\mathbb{K}$  is discrete. Let  $\rho$  be its uniformizing element, see [15]. We may assume that  $a = 0$  and  $r = \rho^s$  for some  $s \in \mathbb{Z}$ . For each  $n \in \mathbb{N}$  set  $A_n := \{x \in \mathbb{K} : |x| = \rho^{n-1}\rho^s\}$  and assume that  $f_n$  is the  $\mathbb{K}$ -valued characteristic function of  $A_n$ . Note that  $(f_n)_n$  converges pointwise to zero on  $X$  and  $\|f_n\| = 1$  for each  $n \in \mathbb{N}$ . Hence  $X$  does not have the  $\mathbb{K}$ -Lebesgue property. ■

Now we prove Theorem 2.

*Proof.* (i)  $\Rightarrow$  (ii), (iv), (v) are obvious.

(iv)  $\Rightarrow$  (iii): Let  $(U_n)_n$  be a decreasing sequence of clopen subsets of  $X$ . Let  $x \in X$ . If  $x \in \bigcap_n U_n$ , then  $\chi_{U_n}(x) \rightarrow 1$ , where  $\chi_{U_n}$  is the  $\mathbb{K}$ -valued characteristic function of the set  $U_n$ . If  $x \notin \bigcap_n U_n$ , then  $\chi_{U_n}(x) \rightarrow 0$ . This implies that  $(\chi_{U_n})_n$  is a Cauchy sequence in  $C_p(X, \mathbb{K})$ . Also, it is clear that  $\|\chi_{U_n} - \chi_{U_m}\| \leq 1$  for all  $n, m \in \mathbb{N}$ . Since  $X$  has the  $\mathbb{K}$ -Lebesgue property,  $(\chi_{U_n})_n$  is Cauchy in the norm topology of  $C(X, \mathbb{K})$ , so there is an  $m \in \mathbb{N}$  such that  $\|\chi_{U_n} - \chi_{U_m}\| < 1$  for all  $n \geq m$ , i.e.,  $|\chi_{U_n}(x) - \chi_{U_m}(x)| < 1$  for all  $x \in X$  and  $n \geq m$ . Hence  $\chi_{U_n} = \chi_{U_m}$ , and then  $U_n = U_m$  for all  $n \geq m$ .

(iii)  $\Rightarrow$  (i): We prove that all the elements of  $X$  are isolated points. Then, by compactness of  $X$  we deduce that  $X$  is finite. Assume that there exists an element  $x \in X$  which is not isolated; we derive a contradiction. Let  $U_1 := U$  be a clopen neighbourhood of  $x$ . Since  $U \neq \{x\}$ , there are an  $x_1 \in U \setminus \{x\}$  and a clopen neighbourhood  $U_2$  of  $x$  such that  $U_2 \subset U$  and  $x_1 \in U \setminus U_2$ . Again we have that  $U_2 \neq \{x\}$  and with the same reasoning as before we find a clopen neighbourhood  $U_3$  of  $x$  with  $U_3 \subset U_2$  and an  $x_2 \in U_2 \setminus U_3$ . Continuing this procedure we construct a sequence  $x_1, x_2, \dots$  in  $X$  and a decreasing sequence  $(U_n)_n$  of clopen subsets of  $X$  such that  $x_n \in U_n \setminus U_{n+1}$  for all  $n \in \mathbb{N}$ . Thus, all the inclusions in that decreasing sequence are strict, a contradiction with (iii).

(ii)  $\Rightarrow$  (i): Let  $\tau_B$  and  $\sigma_B$  be the restrictions to  $B$  of the norm topology and of the topology  $\sigma_X$  on  $C(X, \mathbb{K})$  respectively. We prove that  $\tau_B = \sigma_B$ . For that, let  $A \subset B$ . Clearly  $\overline{A}^{\tau_B} \subset \overline{A}^{\sigma_B}$ . Now, let  $f \in \overline{A}^{\sigma_B}$ . By (ii) there is a sequence  $(f_n)_n$  in  $A$  such that  $f_n \rightarrow f$  in  $\sigma_X$ . Since  $C(X, \mathbb{K})$  has the Orlicz-Pettis property we obtain that  $f_n \rightarrow f$  in  $\tau_B$ , hence  $f \in \overline{A}^{\tau_B}$ . Therefore, for any  $A \subset B$  the closures of  $A$  in  $\sigma_B$  and  $\tau_B$  coincide, i.e.  $\tau_B = \sigma_B$ .

Since  $B$  is compactoid in  $(C(X, \mathbb{K}), \sigma_X)$  by [15, Theorem 5.4.1], and  $\sigma_B = \tau_B$ , we apply [15, Theorem 3.8.13] to deduce that  $B$  is a compactoid neighbourhood of zero in the Banach space  $C(X, \mathbb{K})$ . Then  $C(X, \mathbb{K})$  is finite-dimensional by [15, Theorem 3.8.5], i.e.  $X$  is finite.

(v)  $\Rightarrow$  (iv): Let  $(f_n)_n$  be a sequence such that  $f_n \rightarrow 0$  in  $C_p(X, \mathbb{K})$  and  $\|f_n\| \leq 1$  for all  $n$ . Clearly  $L := \{f_n : n \in \mathbb{N}\} \cup \{0\}$  is  $C_p(X, \mathbb{K})$ -compact. Also,  $L$  is  $C_p(X, \mathbb{K})$ -metrizable, by [15, Theorem 3.8.24], hence  $\sigma_X$ -compact by (v). Therefore, the pointwise topology and  $\sigma_X$  coincide on  $L$ , so  $f_n \rightarrow 0$  in  $\sigma_X$ . Thus,  $X$  has the  $\mathbb{K}$ -Lebesgue property. ■

**Remark 5.** Implication (v)  $\Rightarrow$  (i) shows that Grothendieck’s Theorem, [6, Theorem 4.2], fails for the spaces  $C(X, \mathbb{K})$ .

### 3 Non-archimedean $C_p(X, \mathbb{K})$ spaces and the Lindelöf property

A topological space  $X$  has a *compact resolution* if  $X$  has a family  $\{K_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$  of compact subsets covering  $X$  such that  $K_\alpha \subset K_\beta$  if  $\alpha \leq \beta$  in  $\mathbb{N}^{\mathbb{N}}$ .  $X$  is called *analytic* if  $X$  is a continuous image of  $\mathbb{N}^{\mathbb{N}}$ ; it is a *K-analytic space* if there is an upper semi-continuous compact-valued map from  $\mathbb{N}^{\mathbb{N}}$  into  $X$  whose union is  $X$ , see [17], [16]. Note that separable complete metric spaces are analytic, analytic spaces are K-analytic, K-analytic spaces are Lindelöf and any K-analytic space admits a compact resolution. The converses of the above results fail, see [2], [16], [17]. Also, countable unions and products of K-analytic [analytic] spaces are K-analytic [analytic]; closed subspaces of a K-analytic [analytic] space are K-analytic [analytic], see [16], [18].

The following proposition is motivated by Theorem 2(v) and will be useful in the proof of Corollary 10.

**Proposition 6.** *Let  $X$  be a zero-dimensional space having a compact resolution (for example when  $X$  is  $\sigma$ -compact) and let  $L \subset C_p(X, \mathbb{K})$  be a compact set. Then  $L$  is metrizable iff  $L$  is separable.*

*Proof.* It is well-known that every metrizable compact space is separable. Now, assume that  $L$  is separable. Let  $S$  be a countable dense subset of  $L$ . The space  $C_p(S, \mathbb{K})$  is metrizable by [14, Theorem 3.7.2]. Clearly, the map

$$\varphi : C_p(L, \mathbb{K}) \rightarrow C_p(S, \mathbb{K}), f \mapsto f|_S,$$

is a continuous injection onto its metrizable range. It follows that  $C_p(L, \mathbb{K})$  admits a weaker metric topology. Let  $\delta : X \rightarrow C_p(L, \mathbb{K})$  be the continuous map defined by  $x \mapsto \delta_x, \delta_x(f) := f(x), x \in X, f \in L$ .  $\delta(X)$  has a compact resolution and, as a subset of  $C_p(L, \mathbb{K})$ ,  $\delta(X)$  admits a weaker metric topology. Then by Talagrand’s [17], see also [3, Corollary 4.3], the space  $\delta(X)$  is analytic, hence separable. For every  $f \in L$  let  $f_0 : \delta(X) \rightarrow \mathbb{K}$  be the continuous function on  $\delta(X)$  defined by  $f_0(\delta_x) := f(x)$ . Then  $\{f_0 : f \in L\}$  is a compact subset of  $C_p(\delta(X), \mathbb{K})$  homeomorphic to  $L$ . Since  $\delta(X)$  is separable,  $C_p(\delta(X), \mathbb{K})$  admits a weaker metric topology, so  $L$  is metrizable. ■

**Example 7.** *Proposition 6 fails if “compactness” of  $L$  is replaced by “compactoidity”.*

Indeed, let  $\mathbb{K}$  be locally compact. Let  $E := (c_0(\mathbb{N}, \mathbb{K}), \sigma(c_0(\mathbb{N}, \mathbb{K}), \ell^\infty(\mathbb{N}, \mathbb{K})))$ . Let  $T := \{e_1, e_2, \dots\}$ , where  $e_i$  are the unit vectors. Then  $T$  is bounded in  $E$ , hence compactoid by [15, Corollary 5.4.2], and  $T$  is metrizable and separable. By [15, Example 5.4.4] the closed absolutely convex hull  $L$  of  $T$  is nonmetrizable (although it is compactoid and separable). Finally, let  $X$  be the closed unit ball of  $E'$ . Observe that  $X$  is compact with respect to the weak\*-topology on  $E'$  and that  $E$  is homeomorphically embedded in  $C_p(X, \mathbb{K})$ .

In [10] we showed a pure non-archimedean theorem stating that if  $E$  is a non-archimedean Banach space over a locally compact  $\mathbb{K}$ , then  $E$  endowed with the weak topology is a Lindelöf space iff  $E$  is separable. We extend this result (Theorem 3) for Banach spaces with a base over a separable  $\mathbb{K}$  (if  $\mathbb{K}$  is locally compact, then  $\mathbb{K}$  is separable and its valuation is discrete, so every Banach space over  $\mathbb{K}$  has a base, [15, Theorems 2.1.11, 2.5.4]). First we note the following

**Lemma 8.** *If  $E$  is a Banach space with a base, then every closed linear subspace of countable type of  $E$  is weakly closed.*

*Proof.* Let  $D$  be a closed linear subspace of countable type of  $E$ . By [19, Corollary 3.18],  $D$  is complemented in  $E$ , so there is a continuous linear projection  $P : E \rightarrow E$  whose kernel is  $D$ . Then  $P$  is continuous if  $E$  is endowed with its weak topology (which is Hausdorff), so we obtain that  $D = P^{-1}\{0\}$  is weakly closed. ■

Note that, by separability of  $\mathbb{K}$ , a locally convex space  $E$  over  $\mathbb{K}$  is separable iff there is a countable set whose linear hull is dense in  $E$  (iff the space is of countable type, in case  $E$  is metrizable).

Now we prove Theorem 3.

*Proof.* Clearly (i)  $\Rightarrow$  (ii) and (viii)  $\Rightarrow$  (ix). (ii)  $\Rightarrow$  (i) follows from Lemma 8 and (v)  $\Rightarrow$  (i) from [15, Theorem 11.5.3].

Recall again that every separable complete metric space is analytic, that analytic  $\Rightarrow$   $K$ -analytic  $\Rightarrow$  existence of a compact resolution;  $K$ -analytic  $\Rightarrow$  Lindelöf, and that these last four properties are preserved by passing from the norm to the weak topology. Hence we have (i)  $\Rightarrow$  (iii)  $\Rightarrow$  (iv), (v)  $\Rightarrow$  (vi). Next we prove the remaining implications.

$(E, \sigma(E, E'))$  is Lindelöf  $\Rightarrow$  (i): Let  $(e_i)_{i \in I}$  be a base of  $E$ . Then  $E$  is linearly homeomorphic to  $c_0(I, \mathbb{K})$ , [19, Corollary 3.8], so it is enough to prove this implication for  $E = c_0(I, \mathbb{K})$ .

We follow the argument from [10, Theorem 7, (9)  $\Rightarrow$  (4)]: Assume  $I$  is uncountable; we derive a contradiction. Let  $x \in E$ . Put  $I_x = \{i \in I : x_i = 0\}$ . The functional  $f_x : E \rightarrow \mathbb{K}$ ,  $y \mapsto \sum_{i \in I_x} y_i$  is well defined, linear, and continuous. Set  $\mathcal{W} := \{W_x : x \in E\}$ , where  $W_x = \{y \in E : |f_x(y)| < 1\}$ . Since  $x \in W_x$  for all  $x \in E$ , the family  $\mathcal{W}$  covers  $E$ . By assumption  $\mathcal{W}$  contains a countable subfamily  $\{W_x : x \in X\}$  covering  $E$ , where  $X$  is a countable subset of  $E$ . There exists  $j \in I$  such that  $x_j = 0$  for each  $x \in X$ . Then  $j \in \bigcap_{x \in X} I_x$ . Consequently,  $f_x(e_j) = 1$  for each  $x \in X$ , where  $e_j \in E$  such that  $(e_j)_i := \delta_{ji}$  for all  $i \in I$ . We proved that  $e_j \notin W_x$  for all  $x \in X$ , a contradiction. Hence  $I$  is countable, so  $E$  is separable.

(vi)  $\Rightarrow$  (v): Every separable closed linear subspace of  $E$  is complemented in  $E$ , [19, Corollary 3.18]. This implies that every continuous linear functional defined on a separable linear subspace of  $E$  admits a continuous linear extension to the whole space. Then every weakly compact subset of  $E$  is norm-compact by [15, Theorem 5.8.5], and we are done.

(i)  $\Leftrightarrow$  (vii): It follows from [15, Theorem 7.6.10] and its proof.

(i)  $\Rightarrow$  (viii): Since every separable Banach space is linearly homeomorphic to  $c_0 := c_0(\mathbb{N}, \mathbb{K})$ , it suffices to prove this implication for  $E := c_0$ . Let  $e_1, e_2, \dots$  be the unit vectors of  $E$ . It is easily seen that every  $x = (x_n)_n \in \ell^\infty (= E')$  can be written

as  $x = \sum_n x_n e_n$  in the weak\*-topology  $\sigma^* := \sigma(\ell^\infty, c_0)$  and that  $e_n \rightarrow 0$  in this topology. In particular,  $B_{E'}$  is the  $\sigma^*$ -closed absolutely convex hull of  $\{e_1, e_2, \dots\}$ , and by separability of  $\mathbb{K}$  we obtain that  $B_{E'}$  is  $\sigma^*$ -separable. Also, by [15, Theorem 3.8.24],  $B_{E'}$  is  $\sigma^*$ -metrizable. Then  $B_{E'}$  and all of its subsets are  $\sigma^*$ -separable.

Now, let  $F \subset E'$  and let  $\lambda \in \mathbb{K}$  with  $|\lambda| > 1$ . By the above,  $F \cap \lambda^n B_{E'}$  is  $\sigma^*$ -separable for all  $n$ . Then,  $F = \bigcup_n (F \cap \lambda^n B_{E'})$ , being a countable union of  $\sigma^*$ -separable sets, is also  $\sigma^*$ -separable. Therefore,  $E'$  is  $\sigma^*$ -hereditarily separable.

(ix)  $\Rightarrow$  (i): It is enough to prove this implication for  $E = c_0(I, \mathbb{K})$ , where  $I$  is a set with the same cardinality as a base of  $E$ . Then clearly we have  $(E', \sigma(E', E)) = (\ell^\infty(I, \mathbb{K}), \sigma(\ell^\infty(I, \mathbb{K}), c_0(I, \mathbb{K})))$ .

Assume (ix) holds for  $(\ell^\infty(I, \mathbb{K}), \sigma(\ell^\infty(I, \mathbb{K}), c_0(I, \mathbb{K})))$ . Let  $\{e_i : i \in I\}$  be the set formed by the canonical unit vectors of  $E$  and let  $D$  be the linear hull of this set. By assumption  $D$  is separable in  $\sigma := \sigma(\ell^\infty(I, \mathbb{K}), c_0(I, \mathbb{K}))$ , hence there exist  $y_1, y_2, \dots \in D$  such that  $D \subset \overline{\{y_1, y_2, \dots\}}^\sigma$ . Then each element of  $D$  has null coordinates off of the countable set  $\bigcup_n \{i \in I : (y_n)_i \neq 0\}$ . Therefore  $I$  is countable, so  $c_0(I, \mathbb{K})$  is separable.

(i)  $\Leftrightarrow$  (x): It follows from [15, Corollary 2.3.9]. ■

We often use the terms “weakly separable”, “weakly analytic”, .... instead of “ $\sigma(E, E')$ -separable”, “ $\sigma(E, E')$ -analytic”, ...

It was proved in [17] that for a compact space  $X$  the real space  $C_p(X, \mathbb{R})$  is  $\mathbb{K}$ -analytic iff  $C(X, \mathbb{R})$  is weakly  $\mathbb{K}$ -analytic. By using the previous results of this section, we will give in Corollary 11 a non-archimedean counterpart of the above classical result, when  $X$  is a zero-dimensional compact abelian group, see also Corollary 12.

We will use the following additional fact

**Theorem 9.** *Let  $X$  be a zero-dimensional compact space. The following are equivalent.*

- (i)  $X$  is metrizable.
- (ii)  $X$  is ultrametrizable.

*If, in addition,  $X$  is a zero-dimensional compact abelian group, then (i), (ii) are equivalent to:*

- (iii)  $X$  has countable tightness (i.e., if  $A \subset X$  and  $x \in \overline{A}$ , then there exists a countable subset  $B \subset A$  such that  $x \in \overline{B}$ ).

*Proof.* (ii)  $\Rightarrow$  (i)  $\Rightarrow$  (iii) is obvious. (i)  $\Rightarrow$  (ii): Since  $X$  is compact and metrizable,  $X$  is second-countable. Hence  $X$  is ultrametrizable by [19, p. 39]. If  $X$  is a zero-dimensional compact abelian group, (iii)  $\Rightarrow$  (i) follows from [8, Theorem 2]. ■

For any non-empty set  $\Gamma$  put  $\Sigma(\Gamma) := \{x \in \mathbb{R}^\Gamma : \{x(\gamma) \neq 0\} \text{ is countable}\}$  endowed with the product topology. It is known that each space  $\Sigma(\Gamma)$  is Fréchet-Urysohn, see [13].

A compact space  $X$  is called *Corson-compact* if  $X$  is homeomorphic to a compact subset of some  $\Sigma(\Gamma)$ . We refer to [5] and [12] for the properties of Corson-compact spaces used in the sequel.

**Corollary 10.** *Let  $X$  be a zero-dimensional compact space and let  $\mathbb{K}$  be separable. The following assertions are equivalent.*

(i) The Banach space  $C(X, \mathbb{K})$  is separable (analytic,  $K$ -analytic, Lindelöf, has a compact resolution).

(ii)  $(C(X, \mathbb{K}), \sigma_X)$  is separable (analytic,  $K$ -analytic, Lindelöf, has a compact resolution).

(iii)  $C_p(X, \mathbb{K})$  is separable (analytic).

(iv)  $X$  is (ultra)metrizable.

(v)  $X$  is Corson-compact and separable.

(vi)  $X$  is separable and  $C_p(X, \mathbb{K})$  is  $K$ -analytic.

*Proof.* (i)  $\Leftrightarrow$  (ii) is a direct consequence of Theorem 3, as  $C(X, \mathbb{K})$  has an orthonormal base [15, Theorem 2.5.22]. (iv)  $\Rightarrow$  (i) follows from Theorem 9 and [15, Theorem 2.5.24]. (ii)  $\Rightarrow$  (iii) is obvious since, on  $C(X, \mathbb{K})$ , the pointwise topology is weaker than the weak topology. (iii)  $\Rightarrow$  (iv): If  $C_p(X, \mathbb{K})$  is separable, then (iv) follows from [15, Theorem 4.3.4].

(iv)  $\Leftrightarrow$  (v): Every metric compact space is separable and Corson-compact, and every separable Corson-compact space is metrizable (note that  $\Sigma(\Gamma)$  is dense  $\mathbb{R}^\Gamma$ ), so ultrametrizable by Theorem 9.

From what we have already proved, it is clear that any of the properties (i)–(v) implies (vi).

(vi)  $\Rightarrow$  (iv):  $C_p(X, \mathbb{K})$  is  $K$ -analytic, so it has a compact resolution. Also,  $X$  is homeomorphically embedded in  $C_p(C_p(X, \mathbb{K}), \mathbb{K})$ . Then applying Proposition 6 we get that  $X$  is metrizable and so ultrametrizable by Theorem 9. ■

**Corollary 11.** *Let  $X$  be a zero-dimensional compact abelian group. Then (i)–(vi) are equivalent to*

(vii)  $X$  is Corson-compact.

(viii)  $X$  has countable tightness.

(ix)  $C_p(X, \mathbb{K})$  is  $K$ -analytic (Lindelöf).

If, in addition,  $\mathbb{K}$  is locally compact, then (i)–(vi) are equivalent to

(x)  $C_p(X, \mathbb{K})$  has a compact resolution.

*Proof.* Clearly (v)  $\Rightarrow$  (vii). (iv)  $\Leftrightarrow$  (viii) follows from Theorem 9. Also, (ii)  $\Rightarrow$  (ix), (x) are obvious, since on  $C(X, \mathbb{K})$ , the pointwise topology is weaker than the weak one.

(vii)  $\Rightarrow$  (viii): Every Corson-compact space is Fréchet-Urysohn, hence has countable tightness.

(ix)  $\Rightarrow$  (viii): Assume that  $C_p(X, \mathbb{K})$  is Lindelöf. Let  $A \subset X$  and let  $x \in \overline{A}$ . Set  $\mathcal{F} := \{f \in C(X, \mathbb{K}) : f(x) = 1\}$ . Since  $\mathcal{F}$  is a closed subspace of  $C_p(X, \mathbb{K})$ , the space  $\mathcal{F}$  is Lindelöf. For each  $y \in A$  set

$$V_y := \{g \in C(X, \mathbb{K}) : g(y) \neq 0\}.$$

Clearly each  $V_y$  is open in  $C_p(X, \mathbb{K})$ . Fix  $f \in \mathcal{F}$ . Since  $f(\overline{A}) \subset \overline{f(A)}$ , there exists  $y \in A$  such that  $f(y) \neq 0$ . This implies that  $\mathcal{F} \subset \bigcup \{V_y : y \in A\}$ . Hence there exists a countable set  $B \subset A$  such that  $\mathcal{F} \subset \bigcup \{V_y : y \in B\}$ . We show that  $x \in \overline{B}$ . Assume  $x \notin \overline{B}$ . By zero-dimensionality of  $X$  there is a clopen set  $U$  in  $X$  such that  $x \in U$  and  $\overline{B} \subset X \setminus U$ . Then the characteristic function on  $U$ ,  $\chi_U : X \rightarrow \mathbb{K}$ , is continuous and satisfies

$$\chi_U(x) = 1, \quad \chi_U(X \setminus U) = \{0\}.$$



Since  $\chi_U \in \mathcal{F}$ , there exists  $y \in B$  such that  $\chi_U \in V_y$ . As  $y \in U$  we conclude that  $y \in U \cap B$ , a contradiction. Therefore,  $X$  has countable tightness.

Now assume that  $\mathbb{K}$  is locally compact. By [9, Theorem 14]  $C_p(X, \mathbb{K})$  is  $\mathbb{K}$ -analytic if it has a compact resolution, which proves (x)  $\Rightarrow$  (ix). ■

Corollary 11 is not true for zero-dimensional compact spaces in general, as we will see in Remark 16.

As a direct consequence of Corollary 10 we note

**Corollary 12.** *Let  $X$  be a zero-dimensional compact space and let  $\mathbb{K}$  be separable. Then  $C_p(X, \mathbb{K})$  is separable (analytic) iff  $C(X, \mathbb{K})$  is weakly separable (weakly analytic).*

Alster and Pol [1, Theorem] proved

**Proposition 13.** *If  $X$  is Corson-compact and  $M$  is a separable metric space, then  $C(X, M)$  is Lindelöf in the pointwise topology.*

The remarkable Haydon-Kunen-Talagrand example (under the continuum hypothesis) of a non-separable Corson-compact space  $X$  such that the real space  $C_p(X, \mathbb{R})$  is Lindelöf but  $C(X, \mathbb{R})$  is not weakly Lindelöf can be found in [12, Theorem 5.9].

The following corollary provides a large class of compact spaces related with a non-archimedean version of the mentioned Haydon-Kunen-Talagrand example.

**Corollary 14.** *Let  $\mathbb{K}$  be separable. If  $X$  is a non-separable zero-dimensional Corson-compact space, then  $C_p(X, \mathbb{K})$  is Lindelöf and  $C(X, \mathbb{K})$  is not weakly Lindelöf.*

*Proof.*  $C_p(X, \mathbb{K})$  is Lindelöf by Proposition 13.  $C(X, \mathbb{K})$  is not weakly Lindelöf by Corollary 10. ■

In [1, Example 7] Alster and Pol constructed a non-separable Corson-compact space  $X_0 \subset \{0, 1\}^T$  with  $|T| = \aleph_1$  such that  $X_0 \subset \Sigma(\aleph_1)$  and  $C_p(X_0, \mathbb{R})$  is not  $\mathbb{K}$ -analytic. Note the following non-archimedean version of the Alster-Pol's example (which supplements also Proposition 13).

**Example 15.** *Let  $\mathbb{K}$  be separable. Then  $C_p(X_0, \mathbb{K})$  is not  $\mathbb{K}$ -analytic although it is Lindelöf.*

Indeed,  $C_p(X_0, \mathbb{K})$  is Lindelöf by Proposition 13. To prove the first claim, suppose that  $C_p(X_0, \mathbb{K})$  is  $\mathbb{K}$ -analytic; we derive a contradiction. Then  $C_p(X_0, \mathbb{K}^{\mathbb{N}})$  is  $\mathbb{K}$ -analytic, since it is homeomorphic to  $C_p(X_0, \mathbb{K})^{\mathbb{N}}$ , a countable product of  $\mathbb{K}$ -analytic spaces. Also, by [9, Proposition 19] there exists a continuous surjection  $S_\varphi : C_p(X_0, \mathbb{K}^{\mathbb{N}}) \rightarrow C_p(X_0, \mathbb{R})$ . So  $C_p(X_0, \mathbb{R})$  is  $\mathbb{K}$ -analytic, a contradiction.

**Remark 16.** Corollary 14 and Example 15 show that Corollary 11 is not true for general zero-dimensional compact spaces  $X$ .

Gul'ko proved that in the classical case the closed unit ball of the dual of a weakly  $\mathbb{K}$ -analytic Banach space is Corson-compact in the weak\* topology. Also, Kunen constructed (under the continuum hypothesis) an uncountable separable compact scattered (hence zero-dimensional) space  $Z$  such that  $C(Z, \mathbb{R})$  is weakly

Lindelöf and the weak\* dual is hereditarily separable, see for example [7]. Next we provide non-archimedean variants of the above classical facts.

If  $\mathbb{K}$  is locally compact, the closed unit ball  $B_{E'}$  in the dual of a non-archimedean Banach space  $E$  is a weak\*-compact abelian group. Also,  $\mathbb{K}$  is separable and its valuation is discrete, so every Banach space over  $\mathbb{K}$  has a base, [15, Theorems 2.1.11, 2.5.4]. Hence the previous results of this section apply to get the following

**Theorem 17.** *Let  $E$  be a Banach space over a locally compact  $\mathbb{K}$ . Then (i)–(ix) of Theorem 3 are equivalent to (i)–(x) of Corollaries 10 and 11, by taking  $X := B_{E'}$  equipped with the restriction to  $X$  of the weak\* topology  $\sigma(E', E)$ .*

*Proof.* It follows immediately from Theorem 3 and Corollaries 10, 11 (one has just to look at Theorem 3(vii) and Corollary 10(iv)). ■

When  $\mathbb{K}$  is locally compact, the weak\* dual  $F$  of the non-separable Banach space  $\ell^\infty(\mathbb{N}, \mathbb{K})$  is separable ([4, Proposition 4]), but the space  $F$  is not hereditarily separable by Theorem 17. Also, the weak\* dual  $F$  of the real Banach space  $\ell^\infty(\mathbb{N}, \mathbb{R})$  is separable but not hereditarily separable. Indeed, otherwise it is easily seen that  $F$  has countable tightness. Hence  $\ell^\infty(\mathbb{N}, \mathbb{R})$  has the Corson's property (C) by [5, Theorem 12.41], a contradiction, [5, Exercise 12.44] (recall that a real or complex Banach space  $F$  has the Corson's property (C) if for every family of closed convex subsets of  $F$  with empty intersection there is a countable subfamily with empty intersection).

On the other hand, there are situations in sharp contrast with the classical case, as we show in the next examples.

**Example 18.** *Let  $\mathbb{K}$  be locally compact and let  $I$  be an uncountable set. Let  $B_{\mathbb{K}}$  and  $B_{\mathbb{R}}$  be the closed unit ball in the dual of the Banach space  $c_0(I, \mathbb{K})$  and  $c_0(I, \mathbb{R})$ , respectively. Then  $B_{\mathbb{R}}$  is Corson-compact in the weak\* topology and  $B_{\mathbb{K}}$  is not.*

Indeed, the claim about  $B_{\mathbb{K}}$  follows from Theorem 17, as  $c_0(I, \mathbb{K})$  is not separable.  $c_0(I, \mathbb{R})$  is weakly  $\mathbb{K}$ -analytic, since it is a WCG Banach space, [5]; so Gul'ko result (mentioned above) applies.

**Example 19.** *Let  $\mathbb{K}$  be locally compact and let  $Z$  be the Kunen compact space. Then the weak\* dual of  $C(Z, \mathbb{R})$  is hereditarily separable and the weak\* dual of  $C(Z, \mathbb{K})$  is not.*

Indeed, the claim about  $C(Z, \mathbb{R})$  was already mentioned above (see the comments after Remark 16). Now, suppose that the weak\* dual of  $C(Z, \mathbb{K})$  is hereditarily separable. Then  $C(Z, \mathbb{K})$  is separable by Theorem 17 and so  $Z$  must be ultrametrizable by Corollary 10. On the other hand, every metrizable scattered compact space is countable, a contradiction (since  $Z$  by assumption is uncountable).

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