

# On $A_p^*$ -algebras of the first kind

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## Abstract

We introduce a new class of algebras which extends classical  $A^*$ -algebras to the  $p$ -normed case with generalized involution. We give results concerning the symmetry and the  $C^*$ -algebra structure in such algebras.

## Introduction

An  $A^*$ -algebra  $E$  is an involutive Banach algebra which possesses, in addition to its given complete norm, a second algebra norm, called the auxiliary norm, satisfying the  $C^*$ -property. The completion  $\mathcal{U}$  of  $E$  with respect to auxiliary norm is then a  $C^*$ -algebra and  $E$  is said to be of the first kind if it is a two-sided ideal of  $\mathcal{U}$ . For a detailed account of the basic properties of  $A^*$ -algebras and  $A^*$ -algebras of the first kind, we refer the reader to [9] and [11]. In this paper, we extend the class of  $A^*$ -algebras to the  $p$ -normed case with generalized involution. Thus, we obtain a new class of algebras which will be called  $A_p^*$ -algebras. Given an  $A_p^*$ -algebra  $(E, \|\cdot\|_p)$ ,  $0 < p \leq 1$ , with a generalized involution  $x \mapsto x^*$  and an auxiliary  $q$ -norm  $|\cdot|_q$ ,  $0 < q \leq 1$ , we prove that  $|\cdot|_q^{\frac{1}{q}}$  is a norm and hence the completion of  $(E, |\cdot|_q^{\frac{1}{q}})$  is a  $C^*$ -algebra. We also show that an  $A_p^*$ -algebra of the first kind  $E$  is hermitian. As a consequence, we obtain in this case the uniqueness of the auxiliary norm. If moreover  $E$  has a bounded left or right approximate identity, then  $E$  is a  $C^*$ -algebra.

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## 1 Preliminaries

A generalized involution on a complex algebra  $E$  is a vector involution  $x \mapsto x^*$  [5] which is either an algebra involution (i.e.,  $(xy)^* = y^*x^*$ , for every  $x, y \in E$ ) or an involutive anti-morphism (i.e.,  $(xy)^* = x^*y^*$ , for every  $x, y \in E$ ). We define an  $A_p^*$ -algebra as being a complex  $p$ -Banach algebra  $(E, \|\cdot\|_p)$ ,  $0 < p \leq 1$ , endowed with a generalized involution  $x \mapsto x^*$  on which there is defined a second algebra  $q$ -norm  $|\cdot|_q$ ,  $0 < q \leq 1$ , called auxiliary  $q$ -norm, with the  $C^*$ -property, that is  $|xx^*|_q = |x|_q^2$ , for all  $x \in E$ . If  $p = 1$  and  $x \mapsto x^*$  is an algebra involution, we obtain the classical  $A^*$ -algebras ([11]). As in the Banach case ([9], [1]), we say that an  $A_p^*$ -algebra  $E$  is of the first kind if it is a two-sided ideal of its completion with respect to the auxiliary  $q$ -norm. Let  $(E, \|\cdot\|_p)$ ,  $0 < p \leq 1$ , be a complex  $p$ -Banach algebra endowed with a generalized involution  $x \mapsto x^*$ . An element  $a$  of  $E$  is said to be hermitian (resp., normal) if  $a = a^*$  (resp.,  $a^*a = aa^*$ ). We designate by  $H(E)$  (resp.,  $N(E)$ ) the set of hermitian (resp., normal) elements of  $E$ . The algebra  $E$  is said to be hermitian if the spectrum of every hermitian element is real. We denote the Ptàk function, on  $E$ , by  $P_E$  that is, for every  $x \in E$ ,  $P_E(x) = \rho(xx^*)^{\frac{1}{2}}$ , where  $\rho$  is the spectral radius i.e.,  $\rho(x) = \sup\{|\lambda| : \lambda \in Spx\}$ .

Taking in account the fact that, in any  $p$ -Banach algebra  $(E, \|\cdot\|_p)$ , we have  $\rho(x)^p = \lim_n \|x^n\|_p^{\frac{1}{n}}$ , for all  $x \in E$ , we prove, as in ([2], p.115-117), the following result.

**Proposition 1.1.** Let  $(E, \|\cdot\|_p)$ ,  $0 < p \leq 1$ , be a  $p$ -Banach algebra with a generalized involution  $x \mapsto x^*$ . Then  $E$  is hermitian if, and only if,  $\rho_E(a) \leq cP_E(a)$ , for some  $c > 0$  and every  $a \in N(E)$ . In this case, if  $x \mapsto x^*$  is an algebra involution, we obtain that the Ptàk function  $P_E$  is an algebra semi-norm such that  $P_E(xx^*) = P_E(x)^2$ , for  $x \in E$ . Moreover  $Rad(E) = \{x \in E : P_E(x) = 0\}$ , where  $Rad(E)$  is the Jacobson radical of  $E$ .

Using Theorem 3.10 of [13], we prove that Theorem 4.8 of [8] extends to the  $p$ -Banach case as follows.

**Proposition 1.2.** A real semi-simple  $p$ -Banach algebra,  $0 < p \leq 1$ , in which every square is quasi-invertible, is necessarily commutative.

## 2 $A_p^*$ -algebras of the first kind

The following result shows that any  $A_p^*$ -algebra possesses an auxiliary norm which satisfies the  $C^*$ -property.

**Theorem 2.1.** Let  $(E, \|\cdot\|_p)$ ,  $0 < p \leq 1$ , be an  $A_p^*$ -algebra and  $|\cdot|_q$ ,  $0 < q \leq 1$ , its auxiliary  $q$ -norm. Then  $|\cdot|_q^{\frac{1}{q}}$  is a norm and the completion of  $(E, |\cdot|_q^{\frac{1}{q}})$  is a  $C^*$ -algebra.

*Proof.* Since  $x \mapsto x^*$  is continuous for  $|\cdot|_q$ , it follows that the equality  $|xx^*|_q = |x|_q^2$  extends to the completion  $\hat{E}_q$  of  $(E, |\cdot|_q)$ . In particular, we obtain  $|h|_q = \rho_{\hat{E}_q}(h)^q$ , for every  $h \in H(\hat{E}_q)$ . Whence

$$\rho_{\hat{E}_q}(a)^2 \leq |a|_q^{\frac{2}{q}} = |aa^*|_q^{\frac{1}{q}} = P_{\hat{E}_q}(a), \text{ for every } a \in N(\hat{E}_q).$$

By Proposition 1.1, the algebra  $\hat{E}_q$  is hermitian. Consider first an algebra involution  $x \mapsto x^*$ . In this case, the Ptàk function is an algebra semi-norm. But

$$P_E(x) = \rho(xx^*)^{\frac{1}{2}} = |xx^*|_q^{\frac{1}{2q}} = |x|_q^{\frac{1}{q}}, \text{ for every } x \in E.$$

Whence  $|\cdot|_q^{\frac{1}{q}}$  is a norm in  $E$  and hence  $(\hat{E}_q, |\cdot|_q^{\frac{1}{q}})$  is a  $C^*$ -algebra. Suppose now that  $x \mapsto x^*$  is an involutive anti-morphism. We will show that the algebra  $E$  is commutative. It is sufficient to prove that the real algebra  $H(E)$  is commutative. Since  $|h|_q = P_{\hat{E}_q}(h)^q$ , for every  $h \in H(\hat{E}_q)$ , it follows that  $H(\hat{E}_q)$  is semi-simple. Moreover, every square in  $H(\hat{E}_q)$  is quasi-invertible for  $\hat{E}_q$  is hermitian. Thus, by Proposition 1.2, the algebra  $H(\hat{E}_q)$  is commutative. Whence the commutativity of  $H(E)$ .

As a consequence, we obtain the following result.

**Corollary 2.2.** Let  $(E, \|\cdot\|_p)$ ,  $0 < p \leq 1$ , be an  $A_p^*$ -algebra and  $|\cdot|_q$ ,  $0 < q \leq 1$ , its auxiliary  $q$ -norm. Then

- 1)  $E$  is semi-simple.
- 2) The involution is continuous for  $\|\cdot\|_p$ .
- 3)  $|a|_q^{\frac{1}{q}} \leq c \|a\|_p^{\frac{1}{p}}$ , for some  $c > 0$  and every  $a \in E$ .

*Proof.*

1) We have  $|h|_q = |h^{2^n}|_q^{\frac{1}{2^n}}$ , for every  $h \in H(E)$  and  $n = 1, 2, \dots$ . Since, by Theorem 2.1,  $|\cdot|_q^{\frac{1}{q}}$  is a norm, it follows from Theorem 7 ([5], p. 22), that

$$|h|_q^{\frac{1}{q}} = \lim_n \left( |h^{2^n}|_q^{\frac{1}{q}} \right)^{\frac{1}{2^n}} \leq \rho(h), \text{ for every } h \in H(E).$$

Then  $|a|_q^2 = |aa^*|_q \leq \rho(aa^*)^q$ , for every  $a \in E$ . Whence  $|a|_q^{\frac{1}{q}} \leq P_E(a)$ , for every  $a \in E$ . It follows from Proposition 1.1 and Theorem 2.1 that the algebra  $E$  is semi-simple.

2) We have  $|a|_q^2 \leq \|aa^*\|_p^{\frac{q}{p}} \leq \|a\|_p^{\frac{q}{p}} \|a^*\|_p^{\frac{q}{p}}$ , for every  $a \in E$ . A simple application of the closed graph Theorem shows that  $x \mapsto x^*$  is continuous for  $\|\cdot\|_p$ .

3) Let  $M > 0$  such that  $\|a^*\|_p \leq M \|a\|_p$ , for every  $a \in E$ . Then

$$|a|_q^{\frac{1}{q}} \leq \rho(aa^*)^{\frac{1}{2}} \leq \|aa^*\|_p^{\frac{1}{2p}} \leq M^{\frac{1}{2p}} \|a\|_p^{\frac{1}{p}}, \text{ for every } a \in E.$$

According to Theorem 2.1, we use in the sequel the notation  $(E, \|\cdot\|_p, |\cdot|)$  to declare an  $A_p^*$ -algebra  $(E, \|\cdot\|_p)$  with an algebra involution  $x \mapsto x^*$  and an auxiliary norm  $|\cdot|$  satisfying  $|xx^*| = |x|^2$  for all  $x \in E$ . The completion  $\hat{E}$  of  $E$  with respect to the norm  $|\cdot|$  is then a  $C^*$ -algebra. By Corollary 2.2(3),  $\|\cdot\|_p$  is finer than  $|\cdot|$ . Since every  $A_p^*$ -algebra  $(E, \|\cdot\|_p, |\cdot|)$  is an  $F$ -space (Fréchet space) for the metric  $d(x, y) = \|x - y\|_p$ , using the closed graph theorem and Theorem 2.17 of [12], we can prove that an  $A_p^*$ -algebra of the first kind satisfies

$$\|ax\|_p^{\frac{1}{p}} \leq c \|a\|_p^{\frac{1}{p}} |x| \quad \text{and} \quad \|xa\|_p^{\frac{1}{p}} \leq c \|a\|_p^{\frac{1}{p}} |x|,$$

for some  $c > 0$  and every  $a \in E, x \in \hat{E}$ . Conversely, if  $E$  is an  $A_p^*$ -algebra and there is a constant  $c > 0$  such that  $\|ab\|_p^{\frac{1}{p}} \leq c \|a\|_p^{\frac{1}{p}} |b|$ , for all  $a, b \in E$ , then  $E$  is an  $A_p^*$ -algebra of the first kind.

The following example shows that an  $A_p^*$ -algebra  $(E, \|\cdot\|_p)$  of the first kind is not necessarily an  $A^*$ -algebra for a norm equivalent to  $\|\cdot\|_p$ .

**Example 2.3.** For  $0 < p < 1$ , consider

$$E = \left\{ (x_n)_n \subset C : \sum_{n=1}^{\infty} |x_n|^p < +\infty \right\},$$

equipped with the pointwise operations and the  $p$ -norm given by  $\|x\|_p = \sum_{n=1}^{\infty} |x_n|^p$ , where  $x = (x_n)_n \in E$ . Then  $(E, \|\cdot\|_p)$  is a  $p$ -Banach (not Banach) algebra. Endowed with the algebra involution  $((x_n)_n)^* = (\overline{x_n})_n$ ,  $E$  is an  $A_p^*$ -algebra with auxiliary norm  $|\cdot|$  defined by  $|x| = \sup_n |x_n|$ . Moreover, it is easily seen that  $\|xy\|_p^{\frac{1}{p}} \leq \|x\|_p^{\frac{1}{p}} |y|$ , for every  $x, y \in E$ . Hence, the  $A_p^*$ -algebra  $E$  is of the first kind.

If the algebra admits a bounded left or right approximate identity, the situation is different as the following result shows.

**Theorem 2.4.** Let  $(E, \|\cdot\|_p, |\cdot|)$ ,  $0 < p \leq 1$ , be an  $A_p^*$ -algebra of the first kind. If  $E$  has a bounded left or right approximate identity  $(e_i)_{i \in I}$  with respect to  $\|\cdot\|_p$ , then  $(E, |\cdot|)$  is a  $C^*$ -algebra.

*Proof.* We will show that  $\|\cdot\|_p$  and  $|\cdot|$  are equivalent. By Theorem 2.1 and Corollary 2.2, it remains to show that  $\|a\|_p^{\frac{1}{p}} \leq c |a|$ , for some  $c > 0$  and every  $a \in E$ . Since  $E$  is an  $A_p^*$ -algebra of the first kind, we have  $\|ba\|_p^{\frac{1}{p}} \leq c \|b\|_p^{\frac{1}{p}} |a|$ , for some  $c > 0$  and every  $a, b \in E$ . In particular  $\|e_i a\|_p^{\frac{1}{p}} \leq c \|e_i\|_p^{\frac{1}{p}} |a|$ , for every  $a \in E$ , and so  $\|a\|_p^{\frac{1}{p}} \leq c' |a|$ , for some  $c' > 0$  and every  $a \in E$ .

**Remark 2.5.** Theorem 2.4 shows that the unitization of an  $A_p^*$ -algebra of the first kind is not in general of the same type. But we can always adjoin an identity element so as to preserve the  $A_p^*$ -algebra structure. In fact, Let  $(E, \|\cdot\|_p, |\cdot|)$

be an  $A_p^*$ -algebra. By lemma 4.1.13 of [11], there exists a normed algebra  $B$  and an isometric  $*$ -isomorphism of  $E$  into  $B$  such that  $B$  has an identity element and its norm satisfies the  $C^*$ -property. The algebra  $B$  consists of all operators of the form  $L_x + \alpha I$ ,  $x \in E$ ,  $\alpha \in C$ , where  $I$  the identity operator of  $L(E)$  and  $L_x$  the operator defined, in  $E$ , by  $L_x(a) = xa$ . If  $E$  does not have an identity element, define  $\|L_x + \alpha I\|_p = \|x\|_p + |\alpha|^p$ . Then  $(B, \|\cdot\|_p)$  is a  $p$ -Banach algebra and hence an  $A_p^*$ -algebra.

By Proposition 1.1, a semi-simple  $p$ -Banach algebra endowed with a hermitian algebra involution is an  $A_p^*$ -algebra with auxiliary norm the Ptàk function. In [7], Gelfand and Naimark give an example of an  $A^*$ -algebra which is not hermitian. However, the following result shows that an  $A_p^*$ -algebra of the first kind is hermitian.

**Theorem 2.6.** Let  $(E, \|\cdot\|_p, |\cdot|)$ ,  $0 < p \leq 1$ , be an  $A_p^*$ -algebra of the first kind. Then  $E$  is hermitian.

*Proof.* There exists  $c > 0$  such that  $\|ab\|_p^{\frac{1}{p}} \leq c \|a\|_p^{\frac{1}{p}} \|b\|_p^{\frac{1}{p}}$ , for all  $a, b \in E$ . In particular, for every  $a \in E$  and  $n = 1, 2, \dots$ , we have  $\|a^{n+1}\|_p^{\frac{1}{p}} \leq c^{\frac{1}{n}} \|a\|_p^{\frac{1}{np}} |a^n|^{\frac{1}{n}}$ . Tending  $n$  to infinity, we obtain  $\rho_E(a) \leq \rho_{\mathcal{U}}(a)$ , where  $\mathcal{U}$  is the completion of  $(E, |\cdot|)$ . On the other hand  $Sp_{\mathcal{U}}(a) \subset Sp_E(a)$ ,  $a \in E$ , and hence  $\rho_E(a) = \rho_{\mathcal{U}}(a)$  for every  $a \in E$ . Moreover, the algebra  $(\mathcal{U}, |\cdot|)$  is hermitian for it is a  $C^*$ -algebra. It follows, by Proposition 1.1, that  $\rho_{\mathcal{U}} \leq P_{\mathcal{U}}$  in  $\mathcal{U}$ . But  $P_E = P_{\mathcal{U}}$  in  $E$ . Thus  $\rho_E \leq P_E$  in  $E$ . This implies, by Proposition 1.1, that the algebra  $E$  is hermitian.

As a consequence, we obtain the uniqueness of the auxiliary norm in  $A_p^*$ -algebras of the first kind.

**Corollary 2.7.** An  $A_p^*$ -algebra  $E$  of the first kind has a unique auxiliary norm. This norm is exactly the Ptàk function.

*Proof.* If  $|\cdot|$  is an auxiliary norm in  $E$  and  $\mathcal{U}$  the completion of  $E$  with respect to  $|\cdot|$ , then  $|\cdot| = P_{\mathcal{U}}$  in  $\mathcal{U}$  for  $(\mathcal{U}, |\cdot|)$  is a  $C^*$ -algebra. But  $P_E = P_{\mathcal{U}}$  in  $E$ , by Theorem 2.6. Whence  $|\cdot| = P_E$  in  $E$ .

**Remark 2.8.** Using Theorem 2.6, we can deduce Corollary 2.7 from a result of Bhatt-Inoue-Kürsten [3; Lemma 4.5(1)] according to which every spectral  $C^*$ -semi-norm is unique and coincides with Ptàk function. In fact, Theorem 2.6 shows that every  $A_p^*$ -algebra  $E$  of the first kind is a  $C^*$ -spectral algebra, in the sense that there is a  $C^*$ -semi-norm  $|\cdot|$  (in this case Ptàk function) with  $\rho_E(x) \leq |x|$ , for every  $x$  in  $E$  ([4]).

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