

# Modular congruences, Q-curves, and the diophantine equation $x^4 + y^4 = z^p$

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## Abstract

We prove two results concerning the generalized Fermat equation  $x^4 + y^4 = z^p$ . In particular we prove that the First Case is true if  $p \neq 7$ .

## 1 Introduction

In this note we will prove the following results concerning the generalized Fermat equation  $x^4 + y^4 = z^p$ :

**Theorem 1.1.** *Let  $p$  be a prime such that  $p \not\equiv -1 \pmod{8}$  and  $p > 13$ . Then the diophantine equation  $x^4 + y^4 = z^p$  has no solutions  $x, y, z$  with  $(x, y) = 1$  and  $xy \neq 0$ .*

We will call a solution primitive if  $(x, y) = 1$ , and non-trivial if  $xy \neq 0$ .

**Definition 1.2.** *Borrowing the terminology introduced by Sophie-Germain in connection with Fermat's Last Theorem, we say that a primitive solution  $(x, y, z)$  of  $x^4 + y^4 = z^p$  is in the First Case if  $p \nmid xy$ .*

**Theorem 1.3.** *Let  $p$  be a prime different from 7. Then the diophantine equation  $x^4 + y^4 = z^p$  has no primitive solutions in the First Case.*

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First of all, we have to stress that we will depend heavily on the work of Ellenberg (see [E]) on the more general equation  $x^2 + y^4 = z^p$ . The reader should keep in mind that the following much stronger result is proved in [E]:

**Theorem 1.4.** *Let  $p$  be a prime,  $p \geq 211$ . Then the equation  $x^2 + y^4 = z^p$  has no primitive solutions in non-zero integers.*

Our results are not included in this theorem only for the lower bound  $p \geq 211$ . So, both our theorems are new only for those primes in the interval  $13 < p < 211$ . As for theorem 1.3, for  $p \not\equiv \pm 1 \pmod{8}$  this result was already solved à la Kummer (see [P] and [C]). In fact our proof only applies for  $p > 13$  and we can replace this restriction by  $p \neq 7$  only by combining our proof with this previous results.

In [E], a Q-curve  $E$  of degree 2 defined over  $\mathbb{Q}(i)$  is attached to a given non-trivial solution of  $x^2 + y^4 = z^p$ , and using the modularity of  $E$  (proved in [ES]) it is shown that there is a congruence modulo  $p$  between the modular form corresponding to  $E$  and a modular form of weight 2, trivial nebentypus, and level 32 or 256. All cusp forms in these spaces have complex multiplication (CM). This implies that the prime  $p$  is dihedral for the mod  $p$  Galois representation attached to  $E$  (this is a representation of the full Galois group of  $\mathbb{Q}$ , see [ES], [E] for precise definitions). To prove theorem 1.4 in [E], it is proved (using in particular some results on the Birch and Swinnerton-Dyer conjecture and analytic estimates for zeros of special values of L-functions) that for  $p \geq 211$  if the mod  $p$  representation corresponding to a degree 2 Q-curve over  $\mathbb{Q}(i)$  is dihedral then the curve must have potentially good reduction at every prime with residual characteristic greater than 3.

We will follow a different path in order to obtain a result holding also for small primes: we will use the theory of modular congruences (level raising results of Ribet) to study the congruences between the modular form associated to  $E$  and the particular CM modular forms of level 32 and 256. We will restrict to the simpler case where the curve  $E$  is associated to a solution of the equation  $x^4 + y^4 = z^p$  to obtain a stronger result (if we start with a solution of  $x^2 + y^4 = z^p$  an imitation of the arguments in this paper only proves the First Case for primes  $p \equiv 1, 3 \pmod{8}$ ,  $p > 13$ , and the non-existence of solutions as in theorem 1.1 only for  $p \equiv 1 \pmod{8}$ ,  $p > 13$ ).

The third main ingredient in the proof is the theory of sum of two squares (results of Fermat) and its relation with the CM cusp form of level 32. Let us explain this relation before getting into the proof of theorems 1.1 and 1.3:

Let  $f_1$  be the cusp form in  $S_2(32)$ . Using the fact that  $f_1$  is CM and it verifies  $f \cong f \otimes \psi$  where  $\psi$  is the Dirichlet character of conductor 4, we know that  $f_1$  is induced from a Hecke character of  $\mathbb{Q}(i)$ . From this, we easily get the well-known relation for the Hecke eigenvalues  $\{a_q\}$  of  $f_1$ :

$a_q = (\alpha + \beta i) + (\alpha - \beta i) = 2\alpha$ , with  $\alpha^2 + \beta^2 = q$ , if the prime  $q$  verifies  $q \equiv 1 \pmod{4}$ , and  $a_q = 0$  if  $q \equiv 2, 3 \pmod{4}$ .

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## 2 The results we need

Let  $A, B, C$  be a primitive solution of  $A^4 + B^4 = C^p$  with  $AB \neq 0$ . Assume that  $p > 13$ . It is an elementary exercise to see that  $(6, C) = 1$ . Thus, we can assume that  $A$  is even.

Following Darmon and Ellenberg (cf. [D] and [E]), the following two elliptic curves  $E_{A,B}$  and  $E_{B,A}$  can be attached to this triple:

$$E_{A,B} : \quad y^2 = x^3 + 2(1+i)Ax^2 + (-B^2 + iA^2)x$$

$$E_{B,A} : \quad y^2 = x^3 + 2(1+i)Bx^2 + (A^2 + iB^2)x$$

They are both degree 2  $Q$ -curves, i.e., each of them is isogenous to its Galois conjugate, with a degree 2 isogeny. Both have good reduction at primes not dividing  $2C$ , and because  $3 \nmid C$  this already implies (cf. [ES]) that they are modular. Let us denote by  $E$  any of these two  $Q$ -curves, whenever we do not need to distinguish between them.

Modularity should be interpreted in terms of the compatible family of Galois representations of  $G_{\mathbb{Q}}$  attached to  $E$  (see [ES] for definitions):

$$\rho_{E,\lambda} : G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\mathbb{Q}(\sqrt{2})_{\lambda})$$

for  $\lambda$  a prime in  $\mathbb{Z}(\sqrt{2})$ . Each  $\rho_{E,\lambda}$  is unramified outside  $2C\ell$ ,  $\ell$  the rational prime below  $\lambda$ . These representations are modular and by construction they correspond to a modular form  $f$  with  $\mathbb{Q}_f = \mathbb{Q}(\sqrt{2})$  having an extra twist given by the character  $\psi$  corresponding to  $\mathbb{Q}(i) : f^{\sigma} \cong f \otimes \psi$ ,  $\sigma$  generating  $\mathrm{Gal}(\mathbb{Q}(\sqrt{2})/\mathbb{Q})$ .

In [E], generalizing results of Mazur to the case of  $Q$ -curves, it is shown that if  $\ell > 13$  the residual representation  $\bar{\rho}_{E,\lambda}$  is irreducible.

From now on we will assume  $p > 13$ . The close relation between the discriminant of  $E$  and  $C^p$  shows that for  $P \mid p$  in  $\mathbb{Z}(\sqrt{2})$  the residual mod  $P$  representation  $\bar{\rho}_{E,P}$  has conductor equal to a power of 2. The exact value of this conductor was computed in [E], giving 32 for the case of  $E_{A,B}$  (recall  $A$  is even) and 256 for  $E_{B,A}$ .

The modularity of both  $Q$ -curves together with Ribet's level-lowering result give:

$$\bar{\rho}_{E_{A,B},P} \cong \bar{\rho}_{f,P} \quad f \in S_2^{new}(32)$$

$$\bar{\rho}_{E_{B,A},P} \cong \bar{\rho}_{f',P} \quad f' \in S_2^{new}(256)$$

All newforms of these levels have CM. Thus, this implies that the (projective) images of  $\bar{\rho}_{E_{A,B},P}$  and  $\bar{\rho}_{E_{B,A},P}$  fall both in the normalizer of a Cartan subgroup of  $\mathrm{PGL}_2(\mathbb{F}_p)$ . Always with the assumption  $p > 13$ , generalizing results of Momose to the case of  $Q$ -curves, it is proved in [E] that the case of a split Cartan subgroup is impossible, the case of a non-split Cartan subgroup remaining the only case to be considered.

## 3 The Proofs

Let us start by describing in more detail the newforms of levels 32 and 256:

$N = 32$ : The cusp form  $f_1 \in S_2(32)$  corresponds to the elliptic curve  $y^2 = x^3 - x$  which has CM by  $\mathbb{Q}(i)$ .

$N = 256$ :  $f_2$  (and its Galois conjugate) correspond to the degree 2  $\mathbb{Q}$ -curve  $y^2 = x^3 + 2(1+i)x^2 + ix$  which has CM by  $\mathbb{Q}(\sqrt{-2})$ . We have  $\mathbb{Q}_{f_2} = \mathbb{Q}(\sqrt{-2})$ ,  $f_2$  has thus both CM and an inner twist (which is not uniquely defined).

$f_3$  and  $f_4$ : Corresponding to elliptic curves defined over  $\mathbb{Q}$  with CM by  $\mathbb{Q}(i)$ .

$f_5$  and  $f_6$ : Corresponding to elliptic curves defined over  $\mathbb{Q}$  with CM by  $\mathbb{Q}(\sqrt{-2})$ .

The eigenvalues  $a_p$ ,  $p \leq 17$ , of these cusp forms, are:

$$f_1 : 0, 0, -2, 0, 0, 6, 2$$

$$f_2 : 0, 2\sqrt{2}, 0, 0, -2\sqrt{2}, 0, 6$$

$$f_3 : 0, 0, -4, 0, 0, -4, -2$$

$$f_4 : 0, 0, 4, 0, 0, 4, -2$$

$$f_5 : 0, -2, 0, 0, -6, 0, -6$$

$$f_6 : 0, 2, 0, 0, 6, 0, -6$$

The first thing to observe is that  $f_5$  and  $f_6$  can be eliminated from the possibilities (this result will not be essential in the sequel but it may be of independent interest):

**Proposition 3.1.** *Let  $E$  be a  $\mathbb{Q}$ -curve of degree 2 defined over  $\mathbb{Q}(i)$  and with good reduction at 3. Let  $p > 13$  be a prime and  $P \mid p$  such that:  $\bar{\rho}_{E,P} \cong \bar{\rho}_{f_t,P}$  for  $t \leq 6$ , where  $f_t$  is one of the cusp forms described above. Then  $t \leq 4$ .*

Proof: We know that  $\rho_{E,P}$  is defined over  $\mathbb{Q}(\sqrt{-2})$  and has an extra twist:  $\rho_{E,P}^\sigma \cong \rho_{E,P} \otimes \psi$  where  $\psi$  is the mod 4 character. This implies that for every good reduction prime  $q \equiv 3 \pmod{4}$ :  $a_q = z\sqrt{2}$ ,  $z$  a rational integer; where  $a_q = \text{trace}(\rho_{E_P}(\text{Frob } q))$ ,  $P \nmid q$ .

Using the bound  $|a_3| \leq 2\sqrt{3}$  we have the only possibilities:  $a_3 = 0, \pm\sqrt{2}, \pm 2\sqrt{2}$ . The cusp forms  $f_5$  and  $f_6$  have Hecke eigenvalue  $a_3 = \pm 2$ , so the congruence  $\bar{\rho}_{E,P} \cong \bar{\rho}_{f_t,P}$  with  $t = 5, 6$  gives at  $q = 3$ :  $a \equiv \pm 2 \pmod{P}$  for  $a \in \{0, \pm\sqrt{2}, \pm 2\sqrt{2}\}$ , but this is impossible for  $p > 2$ .

Proof of Theorem 1.1:

We have attached two  $\mathbb{Q}$ -curves to a primitive non-trivial solution  $A, B, C$  of  $A^4 + B^4 = C^p$ , and for the Galois representations attached to them we have the congruences:

$$\bar{\rho}_{E_{A,B},P} \cong \bar{\rho}_{f_1,P} \tag{3.1}$$

$$\bar{\rho}_{E_{B,A},P} \cong \bar{\rho}_{f_t,P} \tag{3.2}$$

for  $t = 2, 3$  or  $4$ .

Following [E], for  $p > 13$  we can assume that the projective images lie both in the normalizer of non-split Cartan subgroups of  $\text{PGL}_2(\mathbb{F}_p)$ . Using the fact that  $f_1$  has CM by  $\mathbb{Q}(i)$ , we know that for  $E_{A,B}$  this is the case precisely when  $p \equiv 3 \pmod{4}$ . This proves the theorem for  $p \equiv 1 \pmod{4}$ ,  $p > 13$ .

So let  $p$  be a prime  $p \equiv 3 \pmod{4}$ ,  $p > 13$ . Observe that this implies in particular that  $E_{A,B}$  and  $E_{B,A}$  have both good reduction at  $p$ , because from the equation  $A^4 + B^4 = C^p$  and  $(A, B) = 1$  it is a very old result that all primes dividing  $C$  are of the form  $4k + 1$ . Let  $\{a_q\}$  be the set traces of  $\rho_{E_{A,B},P}$  for  $q \nmid 2Cp$ . Congruence (3.1)

gives at  $q = 3$ :  $a_3 \equiv 0 \pmod{P}$ . But we know that  $a_3 \in \{0, \pm\sqrt{2}, \pm 2\sqrt{2}\}$ , then the only possibility is  $a_3 = 0$ . The value  $a_3$  is computed as usual by counting the number of points of the reduction of the curve  $E_{A,B}$  modulo  $\mathfrak{3}$  for a prime  $\mathfrak{3}$  dividing 3. It depends only on the values of  $A$  and  $B^2$  modulo 3. A direct computation shows that  $a_3 = 0$  if and only if  $A \equiv 0 \pmod{3}$  and  $B \not\equiv 0 \pmod{3}$ . Thus, we can assume that  $A$  and  $B$  verify these congruences (recall that by assumption  $A$  is even, so we have in particular  $6 \mid A$ ). This determines up to sign the value of the trace  $a'_3$  of the image of  $\text{Frob } 3$  for the Galois representation  $\rho_{E_{B,A},P}$  (it depends only on the values of  $A$  and  $B$  modulo 3). In fact, putting  $A = 0$  and  $B = \pm 1$  we obtain  $a'_3 = \pm 2\sqrt{2}$ . If we suppose that congruence (3.2) holds for  $t = 3$  or 4, comparing traces at  $q = 3$  we obtain:  $a'_3 = \pm 2\sqrt{2} \equiv 0 \pmod{P}$ , and this is a contradiction. So we conclude that if  $B, A, C$  is a non-trivial primitive solution of  $B^4 + A^4 = C^p$  with  $A$  even and  $p > 13$ , then congruence (3.2) has to be verified by the newform  $f_2$  (or its Galois conjugated). Applying again Ellenberg's generalization of results of Momose (cf. [E]) to the case of  $Q$ -curves, we can assume that the projective images of the congruent mod  $P$  representations in (3.2) must be contained in the normalizer of a non-split Cartan subgroup, and using the fact that  $f_2$  has CM by  $\mathbb{Q}(\sqrt{-2})$  we know that this can only happen if  $p \equiv 5, 7 \pmod{8}$ . This proves the result also for  $p \equiv 3 \pmod{8}$ ,  $p > 13$ , which concludes the proof.

Proof of Theorem 1.3:

Assume as before that  $p > 13$  and consider congruence (3.1) again. As we already mentioned in the proof of theorem 1.1, we can restrict to  $p \equiv 3 \pmod{4}$  (the non-split Cartan case). The odd primes where  $E_{A,B}$  has bad reduction are precisely the primes  $q \mid C$ ; the curve has semistable reduction at these primes (cf. [E]) and we obviously have  $q \equiv 1 \pmod{4}$ . In particular  $E_{A,B}$  has good reduction at  $p$ .

As explained in [E], from the fact that  $E_{A,B}$  has multiplicative reduction at  $q \nmid p$ , and the assumption that the corresponding projective mod  $P$  Galois representation has image in the normalizer of a non-split Cartan subgroup (using the fact that the cusps of  $X_0^{ns}(2, p)$  have minimal field of definition  $\mathbb{Q}(\zeta_p + \zeta_p^{-1})$ , with  $\zeta_p$  a primitive  $p$ -th root of unity) it follows that the residue field  $\mathbb{Z}[i]/\tilde{q}$  must contain  $\zeta_p + \zeta_p^{-1}$  for  $\tilde{q} \mid q$ , and this implies (because  $q$  splits in  $\mathbb{Q}(i)$ ) that  $q^2 \equiv 1 \pmod{p}$  (3.3).

On the other hand, the level of the modular form  $f$  corresponding to  $E_{A,B}$  is  $32 \cdot \text{cond}(C)$ , where  $\text{cond}(C)$  is the product of the primes dividing  $C$ . This implies, by Ribet's level-lowering result, that together with congruence (3.1) there are congruences:

$$\rho_{f,P} \equiv \rho_{f_1,P} \equiv \rho_{f_{(q)},P} \pmod{P}$$

for every prime  $q \mid C$ , with  $f_{(q)}$  a newform of level  $32q$ . Ribet's level raising result gives a constraint for such congruence primes (see [G]): using the fact that  $f_1$  has level 32 and  $f_{(q)}$  has level  $32q$ , the above congruences imply that:

$$a_q^2 \equiv (q + 1)^2 \pmod{P}$$

where  $a_q$  is the  $q$ -th coefficient of  $f_1$ , for every  $q \mid C$ .

Using (3.3), in the above congruence we substitute  $q$  by  $\pm 1$  and we obtain:  $a_q^2 \equiv 4, 0 \pmod{P}$  (convention: we list first the value corresponding to  $q \equiv 1$ ).

As we explained in section 1, we have  $a_q = 2\alpha$  with  $\alpha^2 + \beta^2 = q$ . Thus, the above

congruence gives:  $\alpha^2 \equiv 1, 0 \pmod{p}$  (3.4).

In particular, if  $q \equiv -1 \pmod{p}$  we have:  $\alpha \equiv 0 \pmod{p}$ , then  $\beta^2 = q - \alpha^2 \equiv -1 \pmod{p}$ , but this is impossible because  $p \equiv 3 \pmod{4}$ .

Then, it must hold  $q \equiv 1 \pmod{p}$  and from (3.4):  $\alpha^2 \equiv 1 \pmod{p}$ . Thus,  $\beta^2 = q - \alpha^2 \equiv 0 \pmod{p}$ .

We have proved that for every  $q \mid C$ , if we write it as a sum of squares  $q = \alpha^2 + \beta^2$ , these two integers (which are, up to sign, unique) have to verify:  $\alpha^2 \equiv 1 \pmod{p}$  and  $p \mid \beta$  (or viceversa); and in particular,  $p \mid \alpha\beta$  (\*).

Applying the product formula and the properties of decompositions of numbers as sums of two squares proved by Fermat (in particular, the fact that every such decomposition can be recovered from the corresponding decompositions of the prime factors), we see that property (\*) “propagates” in the following sense: if all prime divisors  $q$  of an integer  $N$  are of the form  $4k + 1$  and writing  $q = \alpha^2 + \beta^2$  property (\*) holds (for a fixed prime  $p$ ), then if we decompose  $N$  as a sum of squares in any possible way  $N = U^2 + V^2$ , this decomposition will also verify property (\*), namely,  $p \mid UV$ .

Thus, having established property (\*) for all prime factors of  $C$ , and a fortiori for all prime factors of  $C^p$ , we conclude that if we write  $C^p = R^2 + S^2$  in any possible way, it must always hold  $p \mid RS$ .

Thus, in the equation  $A^4 + B^4 = C^p$  we have  $p \mid AB$ , and this proves the theorem for  $p > 13$ . The First Case for the remaining small primes different from 7 (and more generally for  $p \not\equiv \pm 1 \pmod{8}$ ) was already solved à la Kummer (see [P] and [C]).

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