

A Note on Semi-Local Rings

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The object of this note is to give the complete information on the indecomposable components of the completions of semi-local rings.

Let \mathfrak{o} be a commutative ring, and let \mathfrak{m} be an ideal in \mathfrak{o} such that $\bigcap_{n=1}^{\infty} \mathfrak{m}^n = (0)$. The metrisable, uniform structure, defined in \mathfrak{o} by adopting the set $\{\mathfrak{m}^n; n=1, 2, \dots\}$ as a fundamental system of neighbourhoods of zero, shall be called an \mathfrak{m} -adic topology. If we give this topology to \mathfrak{o} , it becomes a topological ring and we shall call thus topologized ring an \mathfrak{m} -adic ring.

The completion of an \mathfrak{m} -adic ring \mathfrak{o} shall be called an \mathfrak{m} -adic completion of \mathfrak{o} , and shall be denoted by $\bar{\mathfrak{o}}$. If we denote by $\bar{\mathfrak{m}}^\sigma$ the adherence of \mathfrak{m}^σ in $\bar{\mathfrak{o}}$, the set $\{\bar{\mathfrak{m}}^\sigma; \sigma=1, 2, \dots\}$ is a fundamental system of neighbourhoods of zero in $\bar{\mathfrak{o}}$. If \mathfrak{m} has a finite base, then we have $\bar{\mathfrak{m}}^\sigma = \bar{\mathfrak{m}}^\sigma$, and $\bar{\mathfrak{o}}$ is an $\bar{\mathfrak{m}}$ -adic ring. If moreover \mathfrak{o} has a unit element, then $\bar{\mathfrak{m}} = \bar{\mathfrak{m}}\bar{\mathfrak{o}}$. If \mathfrak{o} is a Noetherian ring (that is, a commutative ring with the maximal condition for ideals) with a unit element, so is $\bar{\mathfrak{o}}$ too.

DEFINITION (D). Let $\mathfrak{m}, \mathfrak{m}_1$ be ideals in \mathfrak{o} , such that $\mathfrak{m} \subseteq \mathfrak{m}_1$, and $\bigcap \mathfrak{m}^n = (0)$. Set $\bigcap \mathfrak{m}_1^n = \mathfrak{m}_1^\infty$, $\mathfrak{o}/\mathfrak{m}_1^\infty = \mathfrak{o}_1'$, $\mathfrak{m}_1/\mathfrak{m}_1^\infty = \mathfrak{m}_1'$, and let $\bar{\mathfrak{o}}, \bar{\mathfrak{o}}_1$ be the \mathfrak{m} -adic and the \mathfrak{m}_1' -adic completion of \mathfrak{o} and \mathfrak{o}_1' respectively. Let x^* be any element in $\bar{\mathfrak{o}}$, and let $x^* = \lim x_n$ ($x_n \in \mathfrak{o}$). Then if we denote by x'_n the residue class modulo \mathfrak{m}_1^∞ which contains x_n , $\{x'_n\}$ is a Cauchy sequence in the \mathfrak{m}_1' -adic ring \mathfrak{o}_1' . If we denote by x^{**} the limit of $\{x'_n\}$ in $\bar{\mathfrak{o}}_1$, then the mapping $\tau_1: x^* \rightarrow x^{**}$ is clearly a homomorphism (that is, a continuous ring-homomorphism) of $\bar{\mathfrak{o}}$ into $\bar{\mathfrak{o}}_1$. This shall be called the canonical homomorphism of $\bar{\mathfrak{o}}$ into $\bar{\mathfrak{o}}_1$.

Now, let $\mathfrak{m}_i (i=1, 2, \dots, r)$ be ideals in \mathfrak{o} such that $\mathfrak{m} \subseteq \mathfrak{m}_i$, and define $\bar{\mathfrak{o}}_i, \tau_i$ similarly as $\bar{\mathfrak{o}}_1, \tau_1$. Then the mapping τ of $\bar{\mathfrak{o}}$ into the direct sum $\bar{\mathfrak{d}}$ of $\bar{\mathfrak{o}}_1, \dots, \bar{\mathfrak{o}}_r$ defined by setting $\tau x^* = \tau_1 x^* + \dots + \tau_r x^*$, shall be called the canonical homomorphism of $\bar{\mathfrak{o}}$ into $\bar{\mathfrak{d}}$.

THEOREM I. Let \mathfrak{o} be a commutative ring with a unit element, and let \mathfrak{m} be an ideal in \mathfrak{o} such that $\bigcap \mathfrak{m}^n = (0)$. Suppose that

$$\mathfrak{m} = \mathfrak{m}_1 \cap \dots \cap \mathfrak{m}_r,$$

where $\mathfrak{m}_i (i=1, 2, \dots, r)$ are ideals in \mathfrak{o} , such that $(\mathfrak{m}_i, \mathfrak{m}_j) = (1)$ for $i \neq j$. Then (with the same notations as in (D)), the canonical homomorphism τ is an isomorphism of $\bar{\mathfrak{o}}$ onto $\bar{\mathfrak{d}}$.

PROOF. We shall first prove that τ is a mapping on $\bar{\mathfrak{d}}$. Let x_i^* be any element in $\bar{\mathfrak{o}}_i$, and let $x_i^* = \lim x'_v$ ($x'_v \in \mathfrak{o}_i'$). Let x'_v be any element in the residue class x'_v , then there exists an element x_v in \mathfrak{o} such that

$$x_\nu \equiv 0 \pmod{m_j^\nu} \text{ for } j \neq i,$$

$$x_\nu \equiv x'_\nu \pmod{m_i^\nu}.$$

Since we have $m^n = m_1^n \cap \dots \cap m_r^n$ for any positive integer n , $\{x_\nu\}$ is a Cauchy sequence in \mathfrak{o} . Let x^* be the limit of x_ν in $\bar{\mathfrak{o}}$, then we have $\tau x^* = x_i^*$.

We shall next show that τ is one-to-one. Assume $\tau x^* = 0$, and let $x^* = \lim x_\nu$ ($x_\nu \in \mathfrak{o}$). Let $x_{i,\nu}$ denote the residue class modulo m_i^∞ which contains x_ν , and let x_i^* be the limit of $x_{i,\nu}$ in $\bar{\mathfrak{o}}_i$. Then our assumption says that $x_i^* = 0$, that is $x_{i,\nu} \in m_i^{\nu\sigma_i(\nu)}$ with $\lim_{\nu \rightarrow \infty} \sigma_i(\nu) = \infty$, hence $x_\nu \in m_i^{\sigma_i(\nu)}$, whence follows $x^* = 0$.

Finally we shall show that the inverse mapping τ^{-1} is continuous. Set $U_\rho = \overline{m_1}^\rho + \dots + \overline{m_r}^\rho$, then $\{U_\rho; \rho=1, 2, \dots\}$ is a fundamental system of the neighbourhoods of zero in $\bar{\mathfrak{o}}$. Assume that τ^{-1} is not continuous at zero. Then for some positive integer σ , there exists x_ρ^* in $\bar{\mathfrak{o}}$ for every positive integer ρ , such that

$$x_\rho^* \notin \overline{m}^\sigma$$

$$\tau x_\rho^* \in U_\rho.$$

Since τ is continuous and U_ρ is open, we may assume that $x_\rho^* \in \mathfrak{o}$, whence

$$\tau x_\rho^* \in U_\rho \cap (\mathfrak{o}'_1 + \dots + \mathfrak{o}'_r) = (\overline{m_1}^\rho \cap \mathfrak{o}'_1) + \dots + (\overline{m_r}^\rho \cap \mathfrak{o}'_r) = m_1^\rho + \dots + m_r^\rho.$$

Hence $\tau_i x_\rho^* \in m_i^\rho$, that is $x_\rho^* \in m_i^\rho$. Therefore we have $x_\rho^* \in m^\rho = m_1^\rho \cap \dots \cap m_r^\rho$, which is a contradiction. q. e. d.

Let \mathfrak{o} be a commutative ring with a unit element and let \mathfrak{m} be an ideal in \mathfrak{o} . \mathfrak{m} is called dually indecomposable, if $\mathfrak{m} \neq \mathfrak{o}$ and there exist no ideals $\mathfrak{m}_1, \mathfrak{m}_2$ in \mathfrak{o} such that

$$\mathfrak{m} = \mathfrak{m}_1 \cap \mathfrak{m}_2, \quad \mathfrak{m}_1 + \mathfrak{m}_2 = \mathfrak{o}, \quad \mathfrak{m}_1 \neq \mathfrak{o}, \quad \mathfrak{m}_2 \neq \mathfrak{o}.$$

\mathfrak{o} is called directly indecomposable, if there exists no ideals $\mathfrak{a}_1, \mathfrak{a}_2$ in \mathfrak{o} such that

$$\mathfrak{o} = \mathfrak{a}_1 + \mathfrak{a}_2 \text{ (direct sum), } \quad \mathfrak{a}_1 \neq (0), \quad \mathfrak{a}_2 \neq (0).$$

With this terminologies, $\mathfrak{o}/\mathfrak{m}$ is directly indecomposable if and only if \mathfrak{m} is dually indecomposable.

THEOREM II. *Let \mathfrak{o} be a commutative ring with a unit element, and let \mathfrak{m} be an ideal in \mathfrak{o} such that $\bigcap m^n = (0)$. Then if \mathfrak{m} is dually indecomposable, the \mathfrak{m} -adic completion of \mathfrak{o} is directly indecomposable.*

PROOF. Assume that $\bar{\mathfrak{o}}$ is directly decomposable, and set $\bar{\mathfrak{o}} = \mathfrak{o}_1 + \mathfrak{o}_2$ where \mathfrak{o}_1 and \mathfrak{o}_2 are ideals not equal to (0) . Then, we have $\bar{\mathfrak{o}}/\bar{\mathfrak{m}} = (\mathfrak{o}_1 + \bar{\mathfrak{m}})/\bar{\mathfrak{m}} + (\mathfrak{o}_2 + \bar{\mathfrak{m}})/\bar{\mathfrak{m}}$ (direct sum).

If $\mathfrak{o}_1 \subseteq \bar{\mathfrak{m}}$, then $\mathfrak{o}_1 = \mathfrak{o}_1^\rho \subseteq \bar{\mathfrak{m}}^\rho \subseteq \overline{m}^\rho$ for any positive integer ρ . Hence we have $\mathfrak{o}_1 = (0)$ by the relation $\bigcap \overline{m}^\rho = (0)$, which is a contradiction. On the other hand we have $\bar{\mathfrak{o}}/\bar{\mathfrak{m}} \cong \mathfrak{o}/\mathfrak{m}$, which shows that \mathfrak{m} is dually decomposable. q. e. d.

From now on we shall confine our consideration to the case where \mathfrak{o} is

Noetherian. If \mathfrak{o} has a unit element, any ideal $\mathfrak{m} (\neq \mathfrak{o})$ in \mathfrak{o} is represented uniquely as an intersection of such ideals $\mathfrak{m}_i (i=1, 2, \dots, r)$ that $(\mathfrak{m}_i, \mathfrak{m}_j) = (1)$ for $i \neq j$, $\mathfrak{m}_i \neq \mathfrak{o} (i=1, 2, \dots, r)$, and \mathfrak{m}_i is dually indecomposable. Dually \mathfrak{o} is, as is well known, uniquely represented as a direct sum of directly indecomposable ideals which we shall call the indecomposable components of \mathfrak{o} . Let \mathfrak{o} be an \mathfrak{m} -adic ring, $\bar{\mathfrak{o}}$ its completion, then Theorems I and II give us the complete information on indecomposable components of $\bar{\mathfrak{o}}$. This is the main result we wanted to establish in this note.

Let $\mathfrak{m}_i (i=1, 2)$ be ideals in \mathfrak{o} such that $\bigcap \mathfrak{m}_i^n = (0) (i=1, 2)$. Then in order that the \mathfrak{m}_1 -adic and the \mathfrak{m}_2 -adic topologies coincide, it is necessary and sufficient that \mathfrak{m}_1 and \mathfrak{m}_2 have the same radical. Hence in the consideration of \mathfrak{m} -adic Noetherian rings we may assume, without loss of generality, that \mathfrak{m} is semi-prime.

Such being the case, let \mathfrak{m} be semi-prime and let $\mathfrak{m} = \mathfrak{p}_1 \cap \dots \cap \mathfrak{p}_r$ be the irredundant representation of \mathfrak{m} . We divide the set $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$ into classes such that \mathfrak{p}_i and \mathfrak{p}_j are in the same class if and only if there exists a chain $\mathfrak{p}_i, \mathfrak{p}_{v_1}, \dots, \mathfrak{p}_{v_s}, \mathfrak{p}_j$, connecting \mathfrak{p}_i and \mathfrak{p}_j and satisfying the conditions $(\mathfrak{p}_i, \mathfrak{p}_{v_1}) \neq (1)$, $(\mathfrak{p}_{v_1}, \mathfrak{p}_{v_2}) \neq (1)$, \dots , $(\mathfrak{p}_{v_s}, \mathfrak{p}_j) \neq (1)$. Let \mathfrak{m}_t be the intersection of prime ideals in the same classes. Then we have $\mathfrak{m} = \mathfrak{m}_1 \cap \dots \cap \mathfrak{m}_t$ and \mathfrak{m}_t is dually indecomposable. Therefore in the investigation of the \mathfrak{m} -adic completion, we may confine ourselves to the case where \mathfrak{m} is dually indecomposable.

On this point we have the following

THEOREM III. *Let \mathfrak{o} be a Noetherian ring (not assumed to have a unit element), and let \mathfrak{m} be a semi-prime ideal in \mathfrak{o} such that $\bigcap \mathfrak{m}^n = (0)$. Let $\mathfrak{m} = \mathfrak{p}_1 \cap \dots \cap \mathfrak{p}_r$ be the irredundant representation, and set $\mathfrak{p}_i^\infty = \bigcap \mathfrak{p}_i^n$, $\mathfrak{o}/\mathfrak{p}_i^\infty = \mathfrak{o}_i'$, $\mathfrak{p}_i/\mathfrak{p}_i^\infty = \mathfrak{p}_i'$ and denote by $\bar{\mathfrak{o}}_i$ the \mathfrak{p}_i' -adic completion of \mathfrak{o}_i' . Then the canonical homomorphism of the \mathfrak{m} -adic completion of \mathfrak{o} into the direct sum of $\bar{\mathfrak{o}}_1, \dots, \bar{\mathfrak{o}}_r$ is an isomorphism.*

This will be proved similarly as Theorem I, by utilizing the following

LEMMA I. *With the same notations as in Theorem III, set $\alpha_n = \mathfrak{p}_1^n \cap \dots \cap \mathfrak{p}_r^n$, then we have $\mathfrak{m}^{\sigma(n)} \supseteq \alpha_n \supseteq \mathfrak{m}^n$ with $\sigma(n) \rightarrow \infty$ as $n \rightarrow \infty$.*

PROOF. Since $\mathfrak{p}_1, \dots, \mathfrak{p}_r$ is the set of all the isolated prime divisors of \mathfrak{m}^σ , we have, $\mathfrak{m}^\sigma \supseteq \alpha_\rho$, if ρ denotes the maximum of exponents of primary ideals which appear in an irredundant representation of \mathfrak{m}^σ as an intersection of primary ideals.

Finally we shall remark that the following Corollary, due to Chevalley, is an immediate consequence of Theorem I and Lemma II given below.

COROLLARY. *If \mathfrak{m} is the intersection of r maximal ideals in a Noetherian ring \mathfrak{o} with a unit element such that $\bigcap \mathfrak{m}^n = (0)$, then the \mathfrak{m} -adic completion of \mathfrak{o} is the direct sum of r complete local rings.*

LEMMA II. *If \mathfrak{p} is a maximal ideal in a Noetherian ring \mathfrak{o} with a unit element, such that $\bigcap \mathfrak{p}^n = (0)$. Then we can form the quotient ring $\mathfrak{o}_{\mathfrak{p}}$ of \mathfrak{o} with respect to \mathfrak{p} , and the \mathfrak{p} -adic ring \mathfrak{o} is a subspace of the $\mathfrak{p} \cdot \mathfrak{o}_{\mathfrak{p}}$ -adic ring $\mathfrak{o}_{\mathfrak{p}}$ and is everywhere dense in $\mathfrak{o}_{\mathfrak{p}}$. The \mathfrak{p} -adic ring \mathfrak{o} and the $\mathfrak{p} \cdot \mathfrak{o}_{\mathfrak{p}}$ -adic ring $\mathfrak{o}_{\mathfrak{p}}$ have the same completion.*

PROOF. That \mathfrak{o} has no zero divisors outside of \mathfrak{p} , follows from $\bigcap \mathfrak{p}^n = (0)$. If we set $\mathfrak{p}^* = \mathfrak{p} \cdot \mathfrak{o}_{\mathfrak{p}}$, then we have $\mathfrak{p}^{*n} \cap \mathfrak{o} = \mathfrak{p}^n$, which proves the second assertion. Let x/s ($x \in \mathfrak{o}$, $s \notin \mathfrak{p}$) be any element in $\mathfrak{o}_{\mathfrak{p}}$, then we have $(\mathfrak{p}^n, s\mathfrak{o}) = (1)$ for any positive integer n , whence $1 \equiv su \pmod{\mathfrak{p}^n}$ for some u in \mathfrak{o} . Hence $x \equiv sux \pmod{\mathfrak{p}^n}$, that is $x/s \equiv ux \pmod{\mathfrak{p}^{*n}}$. q. e. d.

References

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