

A characterization of Prüfer v -multiplication domains in terms of polynomial grade

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Prüfer v -multiplication domains, abbreviated to PVMD's, have among their special cases a variety of notions, including Prüfer domains, Krull domains, GCD domains, etc. Many interesting characterizations of PVMD's are given by several authors (see [2], [3], [5], [7], [8], [11]). The main purpose of this paper is to give a characterization of PVMD's in terms of polynomial grade (cf. Theorem 2 and Remark 3). This characterization makes the situation of PVMD's in the class of P-domains clearer.

Moreover, we shall examine some properties of PVMD's by making use of Theorem 2 and Remark 3. First, we shall give some characterizations of PVMD's in the class of integrally closed domains (cf. Theorem 5 and Proposition 7). In particular, Theorem 5 is a generalization of Theorem 3.4 of [5]. Next, we shall give a necessary and sufficient condition for an FC domain to be integrally closed (cf. Proposition 11). Finally, in case A is a PVMD, we shall give a characterization of G_2 -stablensness of $A \subset B$, where B is an overring of A (cf. Proposition 12).

To give our results, we include the following notions and notations.

Throughout this paper, A and K denote an integral domain and its quotient field respectively. Moreover, we denote by X an indeterminate. For a fractional ideal I of A , we put $I_v = A :_K(A :_K I)$. We say that I is a v -ideal if $I = I_v$, and a v -ideal I is of finite type if there is a finitely generated fractional ideal J of A such that $I = J_v$. An integral domain A is called a *Prüfer v -multiplication domain* (PVMD), if the set of all v -ideals of A of finite type forms a group under the v -multiplication $I \cdot J = (IJ)_v$, [3]. Let I be an ideal of A . We denote by $\text{gr}(I)$ and $\text{Gr}(I)$ the classical grade of I and the polynomial grade of I respectively, [6]. The following subsets of $\text{Spec}(A)$ are needed for this paper.

$$\mathfrak{P}(A) = \{P \in \text{Spec}(A) \mid P \text{ is minimal over } a : b \text{ for some } a, b \in A\}.$$

$$\mathfrak{G}(A) = \{P \in \text{Spec}(A) \mid \text{Gr}(P) \leq 1\}.$$

If A_P is a valuation ring for each $P \in \mathfrak{P}(A)$, A is called a P -domain, [5]. It is known that a PVMD is a P -domain, ([5], Corollary 1.4). Since $A = \bigcap \{A_P \mid P \in \mathfrak{P}(A)\}$ by Theorem E of [9] and $\mathfrak{P}(A) \subset \mathfrak{G}(A)$, we have $A = \bigcap \{A_P \mid P \in \mathfrak{G}(A)\}$.

Let I be an ideal of $A[X]$. We denote by $c(I)$ the ideal of A generated by

all coefficients of all polynomials in I and we call it the *content* of I . Let $U = \{f(X) \in A[X] \mid A :_K c(f) = A\}$. Then U is a multiplicatively closed subset of $A[X]$ and $A[X]_U$ is a subring of $K(X)$.

We begin with the following lemma which can be proved easily.

LEMMA 1. *Let $Q \in \text{Spec}(A[X])$ with $Q \cap U = \emptyset$. Then we have $c(Q)A[X] \cap U = \emptyset$.*

THEOREM 2. *For A , the following statements are equivalent.*

- (1) $A[X]_U$ is a Prüfer domain.
- (2) A_P is a valuation ring for each $P \in \mathfrak{G}(A)$.

PROOF. (1) \Rightarrow (2). Let $P \in \mathfrak{G}(A)$. Then we have $PA[X] \cap U = \emptyset$ by Lemma 3.1 of [10]. Then $(A[X]_U)_{PA[X]_U}$ is a valuation ring by the assumption. Therefore, $A_P = (A[X]_U)_{PA[X]_U} \cap K$ is a valuation ring.

(2) \Rightarrow (1). Let $P \in \text{Spec}(A[X]_U)$ and put $Q = P \cap A[X]$. Then we have $P = QA[X]_U$ and $Q \cap U = \emptyset$. Therefore, $c(Q)A[X] \cap U = \emptyset$ by Lemma 1. Since U is a multiplicatively closed subset of $A[X]$, there exists $Q_1 \in \text{Spec}(A[X])$ with the property that $Q_1 \cap U = \emptyset$ and $c(Q)A[X] \subset Q_1$. Put $P_1 = Q_1 \cap A$. Then $c(Q) \subset P_1$ and $\text{Gr}(P_1) \leq 1$. Therefore, A_{P_1} is a valuation ring by the assumption. Since $Q \subset P_1A[X]$, we have easily that $(A[X]_U)_P$ is a valuation ring. That is, $A[X]_U$ is a Prüfer domain.

REMARK 3 (cf. [7], Theorem & [2], Theorem 3.6). It is known that the following statements are all equivalent to (1) of Theorem 2.

- (3) $A[X]_U$ is a Bezout domain.
- (4) A is integrally closed and each prime ideal of $A[X]_U$ is the extension of a prime ideal of A .
- (5) A is a PVMD.

Since $\mathfrak{B}(A) \subset \mathfrak{G}(A)$, Theorem 2 and Remark 3 imply that a PVMD is a P -domain. Moreover, we have the following two characterizations of PVMD's.

COROLLARY 4. *The following statements are equivalent.*

- (1) A is a PVMD.
- (2) $A[X]$ is a PVMD.
- (3) $A[X]_P$ is a valuation ring for each prime ideal P of $A[X]$ with $\text{gr}(P) \leq 1$.

PROOF. (2) \Leftrightarrow (3). This equivalence follows easily from Proposition 3.4 of [10].

(2) \Rightarrow (1). Assume that $A[X]$ is a PVMD and let $P \in \mathfrak{G}(A)$. Then $PA[X] \in \mathfrak{G}(A[X])$. By Theorem 2 and Remark 3, $(A[X]_{PA[X]})_P$ is a valuation ring. Therefore, $A_P = (A[X]_{PA[X]})_P \cap K$ is a valuation ring. This implies that A is a PVMD.

(1) \Rightarrow (2). Assume that A is a PVMD and let $Q \in \mathfrak{G}(A[X])$. If $Q \cap A = (0)$ and $Q \neq (0)$, then we have $QK[X] = f(X)K[X]$ for some irreducible polynomial $f(X) \in K[X]$. Therefore, $A[X]_Q = K[X]_{f(X)K[X]}$ is a valuation ring.

Next, assume that $Q \cap A = P \neq (0)$. Then we have $\text{Gr}(P) = 1$. Moreover, since $Q \cap A \neq (0)$, $Q \cap U = \emptyset$ by Lemma 3.1 of [10]. Therefore, $A[X]_Q = (A[X]_U)_{QA[X]_U}$ is a valuation ring by Theorem 2 and Remark 3. That is, $A[X]$ is a PVMD.

THEOREM 5 (cf. [5], Theorem 3.4). *Let A be integrally closed. Then the following statements are equivalent.*

- (1) A is a PVMD.
- (2) Let $P \in \mathfrak{P}(A[X])$ and $P \neq (0)$. If $P \cap U = \emptyset$, then $P \cap A \neq (0)$.

PROOF. (1) \Rightarrow (2). Assume that A is a PVMD. Let $P \in \mathfrak{P}(A[X])$ and $P \neq (0)$. Suppose that $P \cap U = \emptyset$. Then $PA[X]_U$ is a prime ideal of $A[X]_U$. Therefore, $PA[X]_U$ is the extension of a prime ideal of A by Remark 3. That is, we have $P \cap A \neq (0)$.

(2) \Rightarrow (1). Let $Q \in \mathfrak{G}(A)$ and $Q \neq (0)$. Then we have $QA[X] \cap U = \emptyset$ by Lemma 3.1 of [10]. Let P be a prime ideal of $A[X]$ contained in $QA[X]$. Suppose that $(P \cap A)A[X] \neq P$ and take $f(X) \in P - (P \cap A)A[X]$. Then there exists $P_1 \in \mathfrak{P}(A[X])$ such that $f(X) \in P_1 \subset P$. Since $P_1 \subset QA[X]$, $P_1 \cap U = \emptyset$. Therefore, we have $P_1 \cap A \neq (0)$ by the assumption. Thus, $P_1 = (P_1 \cap A)A[X]$ and $P_1 \cap A \in \mathfrak{P}(A)$ by Corollary 8 of [1]. Since $P_1 \subset P$, $f(X) \in P_1 \subset (P \cap A)A[X]$. This is a contradiction. Hence, we have $P = (P \cap A)A[X]$. Since A is integrally closed, A_Q is a valuation ring by Theorem (19.15) of [3]. Therefore, A is a PVMD by Theorem 2 and Remark 3.

Given an extension of integral domains $A \subset B$ and $P \in \text{Spec}(A)$, we say the extension satisfies INC at P if distinct comparable prime ideals of B do not contract to P , [8]. If $W \subset \text{Spec}(A)$, we say that the extension satisfies INC on W if it satisfies INC at each $P \in W$, [8]. If $A \subset B$ satisfies INC on $\text{Spec}(A)$, then as usual we say $A \subset B$ satisfies INC. Given an extension of integral domains $A \subset B$, we say that an element u in B is *super-primitive over A* , if u is the root of a polynomial $f(X) \in A[X]$ with $A :_{Kc}(f) = A$. The following proposition is a characterization of super-primitive elements.

PROPOSITION 6 (cf. [8], Corollary 2.2). *Let $A \subset B$ be an extension of integral domains and assume that $u \in B$ is algebraic over A . Then u is super-primitive over A if and only if $A \subset A[u]$ satisfies INC on $\mathfrak{G}(A)$.*

PROOF. Let $I = \text{Ker}(A[X] \rightarrow A[u])$, where the homomorphism is the evaluation map.

First, assume that u is super-primitive over A . Then there exists $f(X) \in I$

such that $A: {}_K c(f) = A$. Hence, $c(I) \not\subset P$ for each $P \in \mathfrak{G}(A)$ by Theorem 8 of Chapter 5 of [6]. Then $A \subset A[u]$ satisfies INC on $\mathfrak{G}(A)$ by Proposition 2.0 of [8].

Conversely, assume that u is not super-primitive over A . Then we have $\text{Gr}(c(I)) = 1$ by Theorem 11 of Chapter 5 of [6]. Since $c(I) \neq A$, there exists $P \in \mathfrak{G}(A)$ with $c(I) \subset P$ by Theorem 16 of Chapter 5 of [6]. Therefore, $A \subset A[u]$ does not satisfy INC at P by Proposition 2.0 of [8]. That is, $A \subset A[u]$ does not satisfy INC on $\mathfrak{G}(A)$.

Therefore, we have easily the following proposition by Proposition 2.5 of [8] and Proposition 6.

PROPOSITION 7 (cf. [8], Corollary 2.2 & Proposition 2.5). *Let Ω be the algebraic closure of K and assume that A is integrally closed. Then the following statements are equivalent.*

- (1) A is a PVMD.
- (2) $A \subset A[u]$ satisfies INC on $\mathfrak{G}(A)$ for each $u \in K$.
- (3) $A \subset A[u]$ satisfies INC on $\mathfrak{G}(A)$ for each $u \in \Omega$.
- (4) For each $u \in K$, u is super-primitive over A .
- (5) For each $u \in \Omega$, u is super-primitive over A .

Here, we shall give two conditions which imply that a P -domain is a PVMD.

PROPOSITION 8. *Let $\mathfrak{P}(A)$ be compact as a subspace of $\text{Spec}(A)$ in the Zariski topology. Then A is a PVMD if and only if A is a P -domain.*

PROOF. By Lemma 3.1 of [8] and Theorem E of [9], $\mathfrak{P}(A)$ is compact if and only if given any ideal I of A with $\text{Gr}(I) = 1$, there exists $P \in \mathfrak{P}(A)$ such that $I \subset P$. Therefore, this proposition follows easily from Theorem 2 and Remark 3.

A partially ordered set is said to form a *tree* in case no two unrelated elements have a common upper bound.

PROPOSITION 9. *A is a PVMD if and only if it is a P -domain and $\mathfrak{G}(A)$ forms a tree.*

PROOF. By virtue of Theorem 2 and Remark 3, it is sufficient to prove the 'if' part. Assume that A is not a PVMD. Then, by Theorem 2 and Remark 3, there exists $P \in \mathfrak{G}(A)$ and exist two elements a, b in A such that $a: b \subset P$ and $b: a \subset P$. Moreover, there exist $Q_1, Q_2 \in \mathfrak{P}(A)$ such that $a: b \subset Q_1 \subset P$ and $b: a \subset Q_2 \subset P$. Since $Q_1, Q_2 \in \mathfrak{P}(A)$, both A_{Q_1} and A_{Q_2} are valuation rings. Therefore, $b: a \not\subset Q_1$ and $a: b \not\subset Q_2$. That is, $Q_1 \not\subset Q_2$ and $Q_2 \not\subset Q_1$. This is a contradiction.

Recall that an integral domain A is said to be an *FC domain*, in case $Aa \cap Ab$

is finitely generated for each $a, b \in A$.

LEMMA 10. *Let A be integrally closed and take $a, b \in A - \{0\}$. Assume that $a : b$ is finitely generated and put $I = (a : b) + (b : a)$. Then we have $A :_{\kappa} I = A$.*

PROOF. Since $a : b$ is finitely generated, there exist $a_1, a_2, \dots, a_n \in A$ such that $a : b = (a_1, a_2, \dots, a_n)$. Moreover, for $1 \leq i \leq n$, there exists $b_i \in A$ such that $a_i b = a b_i$. Then we have $b : a = (b_1, b_2, \dots, b_n)$. Assume that $x \in A :_{\kappa} I$. Put $x a_i = \alpha_i$ and $x b_i = \beta_i$ for $1 \leq i \leq n$. Then $\alpha_i \in A$ and $\beta_i \in A$. Moreover, we have $\alpha_i \in a : b$ for $1 \leq i \leq n$. Therefore, for $1 \leq i \leq n$, there exist $\lambda_{ij} \in A$ ($1 \leq j \leq n$) such that $\alpha_i = \sum_{j=1}^n \lambda_{ij} a_j$. Since $x a_i = \sum_{j=1}^n \lambda_{ij} a_j$ for $1 \leq i \leq n$, x integral over A . On the other hand, A is integrally closed. Thus, $x \in A$. This implies that $A :_{\kappa} I = A$.

The following proposition contains the result of Theorem 2 of [11].

PROPOSITION 11. *Let A be an FC domain. Then the following statements are equivalent.*

- (1) *A is integrally closed.*
- (2) *$A :_{\kappa} ((a : b) + (b : a)) = A$ for each $a, b \in A - \{0\}$.*
- (3) *A is a PVMD.*

PROOF. The implication (3) \Rightarrow (1) is obvious. Moreover, the implication (1) \Rightarrow (2) follows easily from Lemma 10.

(2) \Rightarrow (3). Let $P \in \mathfrak{G}(A)$ and assume that A_P is not a valuation ring. Then there exists $u \in K$ such that $u, u^{-1} \notin A_P$. Put $u = a/b \in A - \{0\}$. Since $u, u^{-1} \notin A_P$, we have $a : b \subset P$ and $b : a \subset P$. Moreover, $A :_{\kappa} ((a : b) + (b : a)) = A$ by the assumption. On the other hand, since A is an FC domain, $(a : b) + (b : a)$ is finitely generated. Therefore, we have $\text{Gr}(P) \geq \text{Gr}((a : b) + (b : a)) \geq 2$. This is a contradiction. Thus, A_P is a valuation ring for each $P \in \mathfrak{G}(A)$. That is, A is a PVMD by Theorem 2 and Remark 3.

Let $A \subset B$ be an extension of integral domains. We say that $A \subset B$ is G_2 -stable if for each finitely generated ideal I of A with $\text{Gr}(I) \geq 2$, $\text{Gr}(IB) \geq 2$, [10]. It is obvious that if $A \subset B$ is flat, then $A \subset B$ is G_2 -stable. But the converse is false as is seen in $\mathbb{Z}[\sqrt{5}] \subset \mathbb{Z}[1 + \sqrt{5}/2]$, where \mathbb{Z} is the ring of integers. As for overrings, we have the following

PROPOSITION 12 (cf. [5], Proposition 5.1). *Let A be a PVMD and B an overring of A . Then $A \subset B$ is G_2 -stable if and only if $B = \bigcap \{A_P \mid P \in Y\}$ for some $Y \subset \mathfrak{G}(A)$. Moreover, in this case, B is also a PVMD.*

PROOF. Assume that $A \subset B$ is G_2 -stable and let $Q \in \mathfrak{G}(B)$. Put $P = Q \cap A$. Since $A \subset B$ is G_2 -stable, we have $\text{Gr}(P) = 1$. Therefore, A_P is a valuation ring

by Theorem 2 and Remark 3. Since $A_P \subset B_Q \subset K$, B_Q is a valuation ring. Hence, B is a PVMD by Theorem 2 and Remark 3. Moreover, we have $B_Q = A_P$ by Theorem 65 of [4]. Put $Y = \{Q \cap A \mid Q \in \mathfrak{G}(B)\}$. Then we have $Y \subset \mathfrak{G}(A)$ and $B = \cap \{B_Q \mid Q \in \mathfrak{G}(B)\} = \cap \{A_P \mid P \in Y\}$.

Conversely, assume that $B = \cap \{A_P \mid P \in Y\}$ for some $Y \subset \mathfrak{G}(A)$. Let w be the $*$ -operation induced by the valuation ring A_P for $P \in Y$. Suppose that I is a finitely generated ideal of A with $\text{Gr}(I) \geq 2$. Then we have $(IB)_w = \cap \{IA_P \mid P \in Y\} = \cap \{A_P \mid P \in Y\} = B$. Hence, we have $(IB)_v = B$ by Theorem (34.1) of [3]. That is, $B :_K(B :_K IB) = B$. Therefore, $B :_K IB = B :_K(B :_K(B :_K IB)) = B$. Then $\text{Gr}(IB) \geq 2$. This implies that $A \subset B$ is G_2 -stable.

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