

## Biharmonic extensions in Riemannian manifolds

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(Received June 29, 1998)

**ABSTRACT.** We give a characterization of the hyperbolic Riemannian manifolds  $R$  in which for any biharmonic function  $b$  outside a compact set, there exists a biharmonic function  $B$  in  $R$  such that  $B - b$  is bounded outside a compact set.

### 1. Introduction

Let  $R$  be a hyperbolic Riemannian manifold. It is known that given a harmonic function  $h$  outside a compact set, there always exists a harmonic function  $H$  in  $R$  such that  $H - h$  is bounded outside a compact set. One method of proof of this is via the principal functions [11] making use of the potentials  $>0$  in  $R$ , a potential in  $R$  being a superharmonic function  $u \geq 0$  in  $R$  such that if  $h$  is a harmonic function satisfying  $0 \leq h \leq u$ , then  $h \equiv 0$ . To solve a similar problem for the biharmonic functions in  $R$ , Chung [8] uses a variant of these principal functions. But the result is not satisfactory.

We prove in this note that a biharmonic extension in  $R$  is possible if and only if  $R$  satisfies the following condition: There exist potentials  $p > 0$  and  $q > 0$  in  $R$  such that  $\Delta q = p$  where  $\Delta = -\sum_{i,j} g^{ij} \frac{\partial}{\partial x_i} \frac{\partial}{\partial x_j}$  is the Laplace-Beltrami operator, and  $q$  is bounded outside a compact set. We remark that this condition is verified in  $\mathbf{R}^n$ ,  $n \geq 5$ .

The proof of this biharmonic extension depends on a lemma giving the representation of a biharmonic function defined outside a compact set in  $R$  by means of the difference of some special potentials in  $R$ .

### 2. Preliminaries

Let  $R$  be an oriented Riemannian manifold of dimension  $n \geq 2$  with local parameters  $x = (x^1, \dots, x^n)$  and a  $C^\infty$  metric tensor  $g_{ij}$  such that  $g_{ij}x^i x^j$  is positive definite. We denote the volume element by  $dx = \sqrt{\det(g_{ij})} dx^1 \dots dx^n$ ;

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2000 *Mathematics subject classification.* 31C12

*Key words and phrases.* Tapered manifold; Biharmonic extension.

$\Delta = d\delta + \delta d$  is the Laplace-Beltrami operator which, acting on a function  $f$ , gives  $\Delta f = -\operatorname{div} \operatorname{grad} f$ .  $R$  is said to be hyperbolic or parabolic depending on the existence or the non-existence of a potential  $> 0$  on  $R$  respectively.

**LEMMA 1.** *Given any locally  $dx$ -integrable function  $f$  on an open set  $\omega$  in  $R$ , there exists a  $\delta$ -superharmonic function  $g$  on  $\omega$  (that is, the difference of two superharmonic functions) such that  $\Delta g = f$ ; in this case,  $g$  is said to be generated by  $f$ .*

**PROOF.** This can be deduced from Theorem 4.2 [4].

For an outerregular compact set  $K$  in  $R$  (that is, if  $\omega$  is a relatively compact open set containing  $K$ , every point of  $\partial K$  is regular for the Dirichlet solution in  $\omega \setminus K$ ), let  $B_K f$  stand for the Dirichlet solution in  $R \setminus K$  with boundary values  $f$  on  $\partial K$  and 0 at the point at infinity of  $R$ .

**Terminology:** The term “near infinity” is used to mean a set that is the complement of a compact set in  $R$ .

**DEFINITION 2.** *A biharmonic function  $b$  (that is,  $\Delta^2 b = 0$ ) defined near infinity in  $R$  is said to be regular at infinity, if there exists an outerregular compact set  $K$  such that  $B_K(\Delta b) = \Delta b$  in  $R \setminus K$ .*

**REMARK 1.** a) *In a hyperbolic manifold  $R$ ,  $B_K h = h$  in  $R \setminus K$  if and only if there exists a Green potential  $p$  in  $R$  such that  $|h| \leq p$  near infinity; and in a parabolic manifold  $B_K h = h$  if and only if  $h$  is bounded near infinity.*

b) *In a hyperbolic manifold  $R$ , given a biharmonic function  $b$  near infinity, it is always possible (using Lemma 1 above and Remark 23 [2]) to find a biharmonic function  $B$  in  $R$  such that  $(B - b)$  is regular at infinity; however  $(B - b)$  may not be bounded near infinity (see Theorem 13). For example, if  $b(x) = |x|$  in  $|x| \geq 1$  in  $\mathbf{R}^3$ , we can take  $B(x) \equiv 0$ . In view of this example, the following proposition is interesting.*

**PROPOSITION 3.** *Let  $b$  be a biharmonic function defined near infinity in  $\mathbf{R}^n$ ,  $n \geq 5$ . If  $b$  is regular at infinity, then there exists a harmonic function  $H$  in  $\mathbf{R}^n$  such that  $\lim_{|x| \rightarrow \infty} [b(x) - H(x)] = 0$ .*

**PROOF.** Since  $b$  is regular at infinity, for some  $a$  if  $K = \{x : |x| \leq a\}$ ,  $B_a(\Delta b) = B_K(\Delta b) = \Delta b$  in  $|x| > a$ . Since  $B_K 1 = \left(\frac{a}{|x|}\right)^{n-2}$  in  $|x| > a$ , if  $m = \max_{\partial K} |\Delta b|$ , we see that  $|\Delta b| \leq m \left(\frac{a}{|x|}\right)^{n-2} = \frac{ma^{n-2}}{2(n-4)} \Delta(|x|^{4-n})$  in  $|x| > a$ . This

means that there exist in  $|x| > a$ , a superharmonic function  $s(x)$  and a subharmonic function  $t(x)$  such that  $s(x) = b(x) + A|x|^{4-n}$  where  $A = \frac{ma^{n-2}}{2(n-4)} > 0$  and  $t(x) = b(x) - A|x|^{4-n}$ .

Since  $t(x) \leq s(x)$ , there exists a harmonic function  $h(x)$  in  $|x| > a$  such that  $t(x) \leq h(x) \leq s(x)$ . Then, if  $r > a$  (following Remark 23 [2]), there exists a harmonic function  $H(x)$  in  $\mathbf{R}^n$  such that  $|H - h| \leq \alpha B_r 1$  in  $|x| \geq r$  for some constant  $\alpha$ . Consequently

$$\begin{aligned} |b - H| &\leq |b - h| + |H - h| \text{ if } |x| > r \\ &\leq A|x|^{4-n} + \alpha \left(\frac{r}{|x|}\right)^{n-2} \end{aligned}$$

and hence  $\lim_{|x| \rightarrow \infty} [b(x) - H(x)] = 0$ . Hence the proposition holds.

For a set  $A$  in  $R$ ,  $R_1^A$  stands for the infimum of the family of positive superharmonic functions  $s$  on  $R$  such that  $s \geq 1$  on  $A$ ; let  $\widehat{R}_1^A(x) = \liminf_{y \rightarrow x} R_1^A(y)$ .

**DEFINITION 4.** *A hyperbolic Riemannian manifold  $R$  is said to be a bipotential manifold if there exist potentials  $p > 0$  and  $q > 0$  in  $R$  such that  $\Delta q = p$ ;  $q$  is called a bipotential.*

Using the integral representation of the potentials in  $R$  with respect to the Green kernel, or as in [6], we can prove the following:

**THEOREM 5.** *In a hyperbolic Riemannian manifold  $R$ , the following are equivalent:*

- 1)  $R$  is a bipotential manifold.
- 2) For some (and hence any) nonpolar compact set  $A$ , there exists a potential  $q > 0$  in  $R$  such that  $\Delta q = \widehat{R}_1^A$ .
- 3) For any potential  $p > 0$  in  $R$  with compact harmonic support (that is,  $p$  is a potential in  $R$  and is harmonic outside a compact set in  $R$ ), there exists a potential  $q > 0$  in  $R$  such that  $\Delta q = p$ .
- 4) For some (and hence any)  $y$  in  $R$ , if  $G_y(x)$  is the Green function with pole  $\{y\}$ , there exists a bipotential  $Q_y(x)$  called the biharmonic Green function with pole  $\{y\}$ , such that  $\Delta Q_y(x) = G_y(x)$  in  $R$ .

Suppose now that  $R$  is a parabolic Riemannian manifold. Fix  $x_0 \in R$ . Let  $\omega_n$  be a fixed sequence of regular domains in  $R$  such that  $x_0 \in \omega_n \subset \overline{\omega_n} \subset \omega_{n+1}$  and  $R = \bigcup \omega_n$ . Let  $D_n f$  denote the Dirichlet solution in  $\omega_n$  with boundary values  $f$  on  $\partial\omega_n$ . Let  $E(x) = E(x_0, x)$  be a fixed Evans function for  $R$  (see Nakai [9] and Sario et al [12] p. 369).

**DEFINITION 6.** *A superharmonic function  $s$  in a parabolic Riemannian manifold  $R$  is called a pseudo-potential if for some constant  $\alpha$ ,  $D_n(s - \alpha E)$  tends locally uniformly to 0 when  $n \rightarrow \infty$ .*

**Note:** It can be proved in this case (see [3]) that every superharmonic function with compact harmonic support in  $R$  is the unique sum of a pseudo-potential and a harmonic function in  $R$ .

### 3. Representation of biharmonic functions near infinity

In this section, we give a method to express a given biharmonic function near infinity in a Riemannian manifold  $R$ , in terms of globally defined potentials (or pseudo-potentials) and biharmonic functions in  $R$ .

**LEMMA 7.** *Let  $h$  be a harmonic function defined outside a compact set  $A$  in a Riemannian manifold  $R$  (hyperbolic or parabolic). Then there exist two finite continuous superharmonic functions  $s_1$  and  $s_2$  with compact harmonic support in  $R$  such that  $h(x) = s_1(x) - s_2(x)$  near infinity.*

**PROOF.** Let  $K$  be a compact set and  $\omega$  a relatively compact domain such that  $A \subset K^0 \subset K \subset \omega$  and  $\omega \setminus K$  is a regular open set for the Dirichlet problem. For  $y \in K^0$ , let  $s_y(x)$  denote a superharmonic function in  $R$  with harmonic point support at  $\{y\}$ .

Let  $Df$  denote the Dirichlet solution in  $\omega$  with boundary values  $f$  on  $\partial\omega$ . Since  $s_y > Ds_y$  in  $\omega$ , it is possible to find  $\alpha \geq 0$  such that  $h - Dh \geq -\alpha(s_y - Ds_y)$  on  $\partial K$ . The minimum principle then implies that this inequality is valid on  $\overline{\omega \setminus K}$ .

Then

$$s_1 = \begin{cases} h + \alpha s_y & \text{in } R \setminus \omega \\ D(h + \alpha s_y) & \text{in } \omega \end{cases}$$

is a continuous superharmonic function with compact harmonic support in  $R$  and

$$s_2 = \begin{cases} \alpha s_y & \text{in } R \setminus \omega \\ D(\alpha s_y) & \text{in } \omega \end{cases}$$

is also a continuous superharmonic function with compact harmonic support in  $R$ .

Finally  $h(x) = s_1(x) - s_2(x)$  in  $R \setminus \omega$ .

**Notation:** Let  $\wp_0$  denote the cone of finite continuous potentials with compact harmonic support in  $R$  if it is hyperbolic (resp. the cone of continuous

pseudo-potentials with compact harmonic support if  $R$  is parabolic). Let  $\mathfrak{S}_0$  denote the cone of functions in  $R$  generated (Lemma 1) by the elements of  $\wp_0$ ; that is,  $s \in \mathfrak{S}_0$  if and only if  $\Delta s \in \wp_0$ . Let  $\beta$  denote the set of all biharmonic functions in  $R$ . Finally, let  $\mathfrak{L}_0$  be the smallest vector space containing  $\wp_0$ ,  $\mathfrak{S}_0$  and  $\beta$ .

**THEOREM 8.** *Let  $b$  be a biharmonic function defined near infinity in a Riemannian manifold  $R$ . Then there exists some  $u \in \mathfrak{L}_0$  such that  $u = b$  near infinity.*

**PROOF.** Since  $\Delta b$  is harmonic outside a compact set, by Lemma 7,  $\Delta b = s_1(x) - s_2(x)$  near infinity. Since  $s_1(x)$  and  $s_2(x)$  are finite continuous superharmonic functions with compact harmonic support, we can represent each of them as the unique sum of a continuous potential if  $R$  is hyperbolic (resp. a pseudo-potential if  $R$  is parabolic) and a harmonic function in  $R$ .

Thus,  $\Delta b = u_1 - u_2 + h$  near infinity, where  $u_i \in \wp_0$ . Let  $t_i \in \mathfrak{S}_0$  be generated by  $u_i$  and  $B \in \beta$  be generated by  $h$ . Consequently,  $b = t_1 - t_2 + B + v$  near infinity where  $v$  is a harmonic function outside a compact set in  $R$  and hence by Lemma 7,  $v = p_1 - p_2 + H$ , where  $p_i \in \wp_0$  and  $H$  is harmonic in  $R$ .

Thus, outside a compact set in  $R$ ,  $b = (t_1 - t_2) + (p_1 - p_2) + (B + H)$  which is an element in  $\mathfrak{L}_0$ .

**REMARK 2.** a) *In the above theorem, suppose that  $R$  is a bipotential manifold. Then a biharmonic function  $b$  near infinity can be represented as  $b = (q_1 - q_2) + (p_1 - p_2) + B$  where  $q_i$ ,  $\Delta q_i$  and  $p_i$  are potentials in  $R$ , with the finite continuous functions  $\Delta q_i$  and  $p_i$  having compact harmonic supports and  $B$  is a uniquely determined biharmonic function in  $R$ . For, in a bipotential Riemannian manifold  $R$ , if  $p$  is a potential with compact harmonic support, there exists a potential  $q$  such that  $\Delta q = p$  (Theorem 5).*

*To show that  $B$  is unique: Suppose  $(q_1 - q_2) + (p_1 - p_2) + B = (q'_1 - q'_2) + (p'_1 - p'_2) + B'$  outside a compact set. Then near infinity,  $\Delta(B - B') = \Delta(q'_1 + q_2) - \Delta(q_1 + q'_2)$ ; in this equality the right side is the difference of two potentials and the left side is a harmonic function in  $R$ . Hence  $\Delta(B - B') = 0$ ; that is  $B' = B + h$  where  $h$  is harmonic in  $R$ . Then again, near infinity  $h$  is the difference of two potentials in  $R$  and hence  $h \equiv 0$ .*

b) *We can easily deduce from the above theorem that in a hyperbolic Riemannian manifold  $R$ , given a biharmonic function  $b$  outside a compact set, there exists a biharmonic function  $B$  in  $R$  such that  $b - B$  is bounded near infinity if and only if for any given  $t \in \mathfrak{S}_0$ , there exists a biharmonic function  $u$  on  $R$  such that  $t + u$  is bounded near infinity.*

In the next section, we give a sufficient condition expressed in different equivalent forms on  $R$  so that such a biharmonic extension is possible on  $R$ .

#### 4. Biharmonic extension in a manifold

In this section, we study the manifolds  $R$  with the following property: Given a biharmonic function  $b$  near infinity in  $R$ , there exists a biharmonic function  $B$  in  $R$  such that  $B - b$  is bounded near infinity.

**DEFINITION 9.** *A hyperbolic manifold  $R$  is said to be tapered if there exist potentials  $p$  and  $q$ ,  $q$  being bounded outside a compact set such that  $\Delta q = p > 0$  in  $R$ .*

**THEOREM 10.** *In a hyperbolic Riemannian manifold  $R$ , the following are equivalent:*

- 1) *There exists a bounded function  $s$  outside a compact set such that  $\Delta s$  is a superharmonic function  $> 0$ .*
- 2) *For any harmonic function  $h$  defined outside a compact set  $K$  such that  $|h| \leq R_1^K$ , there exists a bounded function  $b$  near infinity such that  $\Delta b = h$ .*
- 3)  *$R$  is tapered.*

**PROOF.** 1)  $\Rightarrow$  2): Let  $s$  be a bounded function outside a compact set  $A$  such that  $\Delta s = t > 0$  is superharmonic. Clearly  $s$  can be assumed to be positive. Let  $h$  be a harmonic function defined outside a compact set  $K$  such that  $|h| \leq R_1^K$  in  $K^c$ . Since  $R_1^K$  is an increasing function of  $K$ , we can assume  $A \subset K^0$ . Then  $R_1^K \leq \alpha t$  in  $K^c$  where  $\alpha = (\min_{\partial K} t)^{-1}$  and by Lemma 1, there exist superharmonic functions  $u_1$  and  $u_2$  in  $K^c$  such that  $\Delta u_1 = R_1^K$  and  $\Delta u_2 = \alpha t - R_1^K$  so that in  $K^c$ ,  $u_1 + u_2 = \alpha s + v$  where  $v$  is a harmonic function.

Since  $s \geq 0$ ,  $u_1$  has a subharmonic minorant in  $K^c$  and hence in the equation  $\Delta u_1 = R_1^K$  we can assume  $u_1$  is a potential and so is  $u_2$ . Consequently, the potential  $u_1 + u_2$  majorizes the harmonic function  $v$ ; this implies  $v \leq 0$  and hence  $u_1 + u_2 \leq \alpha s$ . Since  $s$  is bounded, so are  $u_1$  and  $u_2$ . Thus, there exists a bounded function  $u_1$  in  $K^c$  such that  $\Delta u_1 = R_1^K$ . Since  $h^+ \leq R_1^K$  in  $K^c$ , the same argument shows that there exists a bounded function  $v^+$  in  $K^c$  such that  $\Delta v^+ = h^+$ ; and similarly another bounded function  $v^-$  in  $K^c$  such that  $\Delta v^- = h^-$ .

Thus, if  $b = v^+ - v^-$ ,  $b$  is a bounded function in  $K^c$  and  $\Delta b = h$ .

2)  $\Rightarrow$  3): Let  $K$  be a nonpolar compact set and let  $\Delta q = \widehat{R_1^K}$  in  $R$ .

Now, by the assumption (2), there exists a bounded function  $b$  near infinity such that  $\Delta b = \widehat{R_1^K}$  (taking  $h = \widehat{R_1^K}$  in  $K^c$ ). Hence, outside a compact set,  $q = b +$  a harmonic function  $u$ ; this implies that  $q$  has a harmonic minorant outside a compact set and consequently  $q$  is the sum of a potential in  $R$  and a

harmonic function (not necessarily positive). This means that in the equation  $\Delta q = \widehat{R}_1^K$ ,  $q$  can be taken as a potential.

Recall that (Théorème 2.6 [1]) if  $\omega_n$  is a regular exhaustion of  $R$  and if  $D_n u$  denotes the Dirichlet solution in  $\omega_n$  with boundary values  $u$  ( $u$  being the above mentioned harmonic function outside a compact set), then  $D_n u$  converges locally uniformly to a harmonic function  $v$  in  $R$  so that  $|u - v|$  is bounded outside a compact set.

Now  $q$  being a potential,  $D_n q$  tends locally uniformly to 0 in  $R$ . Thus, the equation  $q = b + u$  outside a compact set implies that the harmonic function  $v = \lim D_n u$  is bounded in  $R$  since  $b$  is bounded. Consequently,  $u$  is also bounded near infinity and hence the potential  $q$  in  $R$  is bounded near infinity and  $\Delta q = \widehat{R}_1^K$ . Hence  $R$  is tapered (Definition 9).

3)  $\Rightarrow$  1): Since a tapered manifold is a bipotential manifold, the implication 3)  $\Rightarrow$  1) is a consequence of the following theorem.

**THEOREM 11.** *A bipotential manifold  $R$  is tapered if and only if for any nonpolar compact set  $A$  in  $R$ , there exists a potential  $u$  in  $R$  bounded near infinity such that  $\Delta u = \widehat{R}_1^A$ .*

**PROOF.** Let  $R$  be tapered and  $A$  be a nonpolar compact set in  $R$ . Since  $R$  is tapered, there exist potentials  $p$  and  $q$ ,  $q$  being bounded near infinity, such that  $\Delta q = p > 0$ . Now,  $p$  being a potential,  $\widehat{R}_1^A \leq (\inf_A p)^{-1} p$ .

Hence, if  $u$  is the potential in  $R$  such that  $\Delta u = \widehat{R}_1^A$  (Theorem 5),  $u \leq (\inf_A p)^{-1} q$ . For, if  $q_1 = (\inf_A p)^{-1} q$ , there exists a subharmonic function  $s$  in  $R$  such that  $u = q_1 + s$  in  $R$ . This implies that  $s \leq 0$  since the potential  $u \geq s$ ; hence  $u \leq q_1$ . Since  $q_1$  is bounded near infinity, so is  $u$ .

The converse is evident.

**LEMMA 12.** *Let  $R$  be a tapered manifold and  $s \in \mathfrak{S}_0$  (see Notation in §3). Then  $s = v + h$  where  $h$  is harmonic in  $R$  and  $v$  is a potential bounded near infinity.*

**PROOF.** Let  $\Delta s = p$  where  $p$  is a finite continuous potential with compact support  $A$  in  $R$ . If  $K$  is an outerregular compact set,  $K^0 \supset A$ ,  $p \leq (\sup_K p) \widehat{R}_1^K$  in  $R$ . Since by Theorem 11, there exists a potential  $u$  in  $R$ , bounded near infinity and  $\Delta u = \widehat{R}_1^K$ , there also exists a potential  $v$  in  $R$ , bounded near infinity and  $\Delta v = p$ ; consequently,  $s = v + h$  in  $R$ , where  $h$  is harmonic in  $R$ .

**THEOREM 13.** *Let  $R$  be a bipotential Riemannian manifold. Then the following are equivalent:*

- 1)  $R$  is tapered.
- 2) For any biharmonic function  $b$  defined outside a compact set in  $R$ , there exists a biharmonic function  $B$  in  $R$  such that  $B - b$  is regular at infinity (Definition 2) and bounded outside a compact set.

3) *Any bipotential with compact biharmonic support in  $R$  is bounded near infinity.*

PROOF. 1)  $\Rightarrow$  2): Let  $R$  be tapered and  $b$  be a biharmonic function defined outside a compact set in  $R$ .

By Remark 2(a),  $b$  can be represented as  $(q_1 - q_2) + (p_1 - p_2) + B$  where  $\Delta q_i$  and  $p_i$  are in  $\wp_0$  and  $B$  is biharmonic in  $R$ . By Lemma 12, we can take  $q_1$  and  $q_2$  to be bounded near infinity and  $p_1$  and  $p_2$ , being potentials with compact support, are also bounded near infinity. Hence  $b - B$  is bounded near infinity.

Also, since  $|\Delta(b - B)| = |\Delta q_1 - \Delta q_2|$  outside a compact set and since  $\Delta q_i \in \wp_0$ ,  $(b - B)$  is regular at infinity (see Remark 2.3 [1]).

2)  $\Rightarrow$  3): Let  $q$  be a bipotential with compact biharmonic support in  $R$ . Then, by the assumption, there exists a biharmonic function  $B$  in  $R$  such that  $(q - B)$  is regular at infinity and bounded near infinity.

Since  $|\Delta(q - B)| \leq p$  near infinity, where  $p$  is a potential in  $R$  and since  $\Delta q$  is also a potential in  $R$ , the harmonic function  $\Delta B$  is bounded by a potential near infinity and hence  $\Delta B \equiv 0$ ; that is,  $B$  is harmonic in  $R$ .

Since  $q$  is also a potential and since  $|q - B| \leq \lambda$  outside a compact set  $A$ ,  $|B| \leq q + \lambda$  in  $R \setminus A$ . Hence the subharmonic function  $|B|$  in  $R$  is bounded above by  $\lambda$ . Consequently,  $q$  is bounded outside the compact set  $A$ .

3)  $\Rightarrow$  1): Let  $A$  be a compact nonpolar set in  $R$ . Since  $R$  is a bipotential manifold, there exists a bipotential  $q$  in  $R$  such that  $\Delta q = \widehat{R}_1^A$  (Theorem 5). Since  $q$  has compact biharmonic support  $A$ , by the assumption,  $q$  is bounded near infinity. Hence  $R$  is tapered.

We prove now a result that is useful in the extension of biharmonic functions with singularities.

**THEOREM 14.** *In a tapered manifold  $R$ , let  $K$  be a compact set and  $\omega$  an open set  $\supset K$ . Suppose  $b$  is a biharmonic function in  $\omega \setminus K$ . Then there exists a biharmonic function  $v$  in  $\omega$  and a biharmonic function  $u$  in  $R \setminus K$  which is bounded near infinity such that  $b = u + v$  in  $\omega \setminus K$ .*

PROOF. A similar result in  $\mathbf{R}^n$  is given in Theorem 3.1 [5]. It is not difficult to modify the proof in the context of any Riemannian manifold; but in this general case we cannot prove that  $u$  is bounded near infinity.

So, we need the restriction that the Riemannian manifold  $R$  should be tapered in which case Theorem 10 will ensure that  $u$  is bounded near infinity, proving the theorem in the given form.

We conclude this section with a characterization of tapered manifolds, using Remark 1(b) and Theorems 10 and 13.



**THEOREM 15.** *In a hyperbolic manifold  $R$ , the following are equivalent:*

- 1)  $R$  is tapered.
- 2) For any biharmonic function  $b$  defined outside a compact set and regular at infinity, there exists a harmonic function  $h$  in  $R$  such that  $b - h$  is bounded near infinity.

**PROOF.** 1)  $\Rightarrow$  2): Let  $b$  be a biharmonic function regular at infinity. Then by Theorem 13, there exists a biharmonic function  $B$  in  $R$  such that  $B - b$  is regular at infinity and bounded outside a compact set. Since  $b$  and  $B - b$  are regular at infinity, so is the biharmonic function  $B$  defined on  $R$ . This implies that  $B$  is harmonic. Set  $B = h$  to obtain (2).

2)  $\Rightarrow$  1): Let  $K$  be a compact nonpolar set and  $\Delta b = \widehat{R_1^K}$  in  $R$ . Then  $b$  is biharmonic in  $K^c$  and regular at infinity. Hence, by the assumption there exists a harmonic function  $h$  in  $R$  such that  $b - h$  is bounded near infinity. Set  $\widehat{s} = b - h$ . Then  $s$  is a bounded function outside a compact set such that  $\Delta s = \widehat{R_1^K}$  is superharmonic. Hence, by Theorem 10,  $R$  is tapered.

### 5. Biharmonic extension in $\mathbf{R}^n$

In  $\mathbf{R}^n$ ,  $n \geq 5$ ,  $s_n(x) = |x|^{4-n}$  is a bipotential tending to 0 at infinity. Hence these spaces are tapered and the biharmonic extensions mentioned in Theorems 13 and 14 are valid here.

But the spaces  $\mathbf{R}^n$ ,  $2 \leq n \leq 4$ , are not tapered. For,  $\mathbf{R}^2$  is not hyperbolic; and  $\mathbf{R}^3$  and  $\mathbf{R}^4$ , though hyperbolic, are not even bipotential spaces. In this section, we show that if  $b$  is a biharmonic function near infinity in  $\mathbf{R}^n$ ,  $n = 3$  or 4, then there exists a biharmonic function  $B$  in  $\mathbf{R}^n$  such that  $(b - B)$  is bounded near infinity if and only if the flux at infinity of  $\Delta b$  is 0. This result is contained in the following theorem, where we denote by  $E_n$  the fundamental solution  $\Delta E_n = \delta$  in  $\mathbf{R}^n$ ,  $n \geq 2$ .

**THEOREM 16.** *For a biharmonic function  $b$  defined outside a compact set in  $\mathbf{R}^n$ ,  $2 \leq n \leq 4$ , the following are equivalent:*

- 1) Flux at infinity of  $\Delta b$  is 0.
- 2) There exists a biharmonic function  $B$  in  $\mathbf{R}^n$  and a constant  $\alpha$  such that  $(b - B - \alpha E_n)$  is bounded near infinity.
- 3) For some  $r_0$ , the mean-value  $M(r, \Delta b)$  of  $\Delta b$  on  $|x| = r > r_0$  is independent of  $r$ .

*In particular, if  $b$  is harmonic so is  $B$  in (2).*

**PROOF.** For the simplicity of writing, we give the proof when  $n = 3$ ; the case  $n = 4$  does not differ much from  $n = 3$ ; when  $n = 2$ , we indicate the relevant changes.

We start with the following remark: In  $\mathbf{R}^3$ , let  $\mu$  be a measure with compact support  $K$ . Then  $u(x) = |x| * \mu$  is well-defined in  $\mathbf{R}^3$ , biharmonic in  $K^c$ . Let  $y \in K$  be fixed. Then, for  $x_0 \in K^c$ , we have  $|u(x_0) - |x_0 - y|\mu(\mathbf{R}^3)| \leq \mu(\mathbf{R}^3)$  (diameter of  $K$ ). Consequently, for  $i = 1, 2$ , if  $\mu_i$  is a measure with compact support  $K_i$  such that  $\mu_1(\mathbf{R}^3) = \mu_2(\mathbf{R}^3) = \|\mu\|$  and if  $u_i(x) = |x| * \mu_i$  then  $|u_1(x_0) - u_2(x_0)| \leq 3 \|\mu\| \text{diam}(K_1 \cup K_2)$  for any  $x_0 \in (K_1 \cup K_2)^c$ .

1)  $\Rightarrow$  2): By Theorem 8, the given biharmonic function  $b$  outside a compact set is of the form  $b = s_1 - s_2 + p_1 - p_2 + B$ . By the construction, there exist two measures  $\mu_i$ ,  $i = 1, 2$ , with compact support such that  $\Delta^2 s_i = \mu_i$ .

If the flux  $\Delta b$  at infinity is 0, then  $\mu_1(\mathbf{R}^3) = \mu_2(\mathbf{R}^3)$  and by the above remark we can find  $u_i$  such that  $\Delta^2 u_i = \mu_i$ , so that  $u_1$  and  $u_2$  are biharmonic outside a compact set and  $|u_1 - u_2|$  is bounded near infinity. Thus, in the above decomposition of  $b$ , we can assume that  $s_1 - s_2$  is bounded outside a compact set.

Moreover,  $p_1$  and  $p_2$  are potentials (resp. logarithmic potentials if  $n = 2$ ) with compact support in  $\mathbf{R}^3$ . Hence there are constants  $\alpha_1$  and  $\alpha_2$  such that  $p_i - \alpha_i E_n$  ( $2 \leq n \leq 4$ ) is bounded near infinity. (Actually, if  $n = 3$  or  $4$  we can take  $\alpha_1 = \alpha_2 = 0$ ). Finally, if  $\alpha = \alpha_1 - \alpha_2$ ,  $(b - B - \alpha E_n)$  is bounded near infinity.

2)  $\Rightarrow$  1): Conversely, suppose that for the biharmonic function  $b$  near infinity in  $\mathbf{R}^n$ ,  $2 \leq n \leq 4$ , there exists a biharmonic function  $B$  in  $\mathbf{R}^n$  and a constant  $\alpha$  such that  $(b - B - \alpha E_n)$  is bounded near infinity. We shall show that the flux  $\Delta b$  at infinity is 0.

Whatever be flux  $\Delta b$ , there exists a constant  $\beta$  such that if  $u = b - \beta s_n$  where  $\Delta^2 s_n = \delta$ , then the flux  $\Delta u$  at infinity is 0. Consequently, from the above proof of 1)  $\Rightarrow$  2), there exist a biharmonic function  $v$  in  $\mathbf{R}^n$  and a constant  $\nu$  such that  $(u - v - \nu E_n)$  is bounded near infinity.

Hence  $B - v = (u - v - \nu E_n) - (b - B - \alpha E_n) + (\nu - \alpha) E_n + \beta s_n$ , and consequently  $B - v$  which is biharmonic in  $\mathbf{R}^n$  is bounded on one side. Such a biharmonic function is of the form  $c|x|^2 +$  (a harmonic polynomial of degree  $\leq 2$ ) (see Nicolesco [10] p. 20; can also be proved as Lemma 17 below).

Now, taking the mean-values on  $|x| = r$  of both sides and allowing  $r \rightarrow \infty$ , we obtain  $\beta = 0$  (recall that up to a multiplicative constant,  $s_2 = |x|^2 \log |x|$ ,  $s_3 = |x|$  and  $s_4 = \log |x|$ ). This means that  $u = b$  and the flux  $\Delta b$  at infinity is 0.

3)  $\Leftrightarrow$  1): This is an old result for harmonic functions in  $\mathbf{R}^n$ , proved in M. Brelot [7] p. 303.

Finally, suppose  $b$  is harmonic outside a compact set so that  $\Delta b = 0$ . Then, using the representation above that  $b = s_1 - s_2 + p_1 - p_2 + B$ , we have  $0 = \Delta b = \Delta s_1 - \Delta s_2 + \Delta B$  near infinity. Since  $\Delta B$  is harmonic in  $\mathbf{R}^n$  and  $\Delta s_i$  is

a potential in  $\mathbf{R}^n$  for  $n = 3$  and  $4$  (resp. a logarithmic potential if  $n = 2$ ) with compact support, we conclude that  $\Delta B = 0$ .

Thus, the theorem is proved.

In the context of the final part of the proof of the above theorem, we remark that it is known that if  $b$  is harmonic outside a compact set in  $\mathbf{R}^n$ ,  $n \geq 2$ , then there exists a harmonic function  $h(x)$  in  $\mathbf{R}^n$  such that  $b(x) - h(x) - \beta E_n(x)$  is bounded near infinity, where  $\beta$  is a constant (which can be taken as  $0$  if  $n \geq 3$ ). Using this fact, we have another proof of the final remark of Theorem 16 when we prove the following lemma.

**LEMMA 17.** *Let  $B$  be a biharmonic function and  $h$  be a harmonic function in  $\mathbf{R}^n$ ,  $n \geq 2$ , such that  $B(x) - h(x) = o(|x|^2)$  when  $|x| \rightarrow \infty$ . Then  $B$  is harmonic.*

**PROOF.** By Almansi representation,  $B(x) = |x|^2 h_1(x) + h_2(x)$  in  $\mathbf{R}^n$  where  $h_1(x)$  and  $h_2(x)$  are harmonic. Thus,  $|x|^2 h_1(x) + h_2(x) = h(x) + o(|x|^2)$  when  $|x|$  is large.

For a fixed  $z \in \mathbf{R}^n$ , let  $\rho_z^r(x)$  denote the harmonic measure on  $|x| = r > |z|$  with large  $r$ . Then integrating the above equality with respect to  $\rho_z^r(x)$  we have

$$r^2 h_1(z) + h_2(z) = h(z) + o(r^2).$$

Dividing by  $r^2$  and allowing  $r \rightarrow \infty$ , we find  $h_1(z) = 0$ . Since  $z$  is arbitrary, this implies that  $h_1 \equiv 0$  and hence  $B$  is harmonic in  $\mathbf{R}^n$ .

**REMARK 3.** (a) *If  $b$  is a bounded biharmonic function defined outside a compact set in  $\mathbf{R}^n$ ,  $2 \leq n \leq 4$ , then by Theorem 16 the flux  $\Delta b$  at infinity is  $0$ .*

(b) *By considering the function  $|x|^{4-n}$ , we see that the above remark(a) is not valid if  $n \geq 5$ .*

**Biharmonic functions outside a compact set in  $\mathbf{R}^n$ :**

The above discussion leads to the following more precise representation of a biharmonic function defined near infinity in  $\mathbf{R}^n$ ,  $n \geq 2$  (see Theorem 8). If  $b(x)$  is a biharmonic function defined outside a compact set in  $\mathbf{R}^n$ ,  $n \geq 2$ , there exist uniquely determined constants  $\alpha$  and  $\beta$  and a biharmonic function  $B(x)$  in  $\mathbf{R}^n$  unique up to an additive constant such that outside a compact set

$$b(x) = \begin{cases} \alpha \log |x| + \beta |x|^2 \log |x| + B(x) + u(x), & \text{if } n = 2 \\ \beta |x| + B(x) + u(x), & \text{if } n = 3 \\ \beta \log |x| + B(x) + u(x), & \text{if } n = 4 \\ B(x) + u(x), & \text{if } n \geq 5. \end{cases}$$

Here  $u(x)$  is a bounded biharmonic function near infinity; also  $u(x)$  is regular at infinity if  $n \geq 5$ ; and flux at infinity of  $\Delta u$  is  $0$  if  $2 \leq n \leq 4$ .

Consequently, in view of Proposition 3, if  $b(x)$  is a biharmonic function near infinity in  $\mathbf{R}^n$ ,  $n \geq 5$ , then there exists a biharmonic function  $B_1(x)$  in  $\mathbf{R}^n$  such that  $\lim_{|x| \rightarrow \infty} [b(x) - B_1(x)] = 0$ . In particular, if  $b(x)$  is bounded near infinity in  $\mathbf{R}^n$ ,  $n \geq 5$ ,  $\lim_{|x| \rightarrow \infty} b(x)$  exists.

This last statement concerning the limit of a bounded biharmonic function at infinity is true even when  $n = 4$  (can be proved by using the extended Kelvin transform as given in Nicolesco [10] p. 14) but not when  $n = 2$  or 3; for example,  $\sin 2\theta$  in  $\mathbf{R}^2$  and  $\frac{x_1}{|x|}$  in  $\mathbf{R}^3$  where  $x = (x_1, x_2, x_3)$ .

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