

## AN INFINITE SEQUENCE OF IDEAL HYPERBOLIC COXETER 4-POLYTOPES AND PERRON NUMBERS

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### Abstract

In [7], Kellerhals and Perren conjectured that the growth rates of cocompact hyperbolic Coxeter groups are Perron numbers. By results of Floyd, Parry, Kolpakov, Nonaka-Kellerhals, Komori and the author [1], [3], [8], [10], [12], [13], [21], [22], the growth rates of 2- and 3-dimensional hyperbolic Coxeter groups are always Perron numbers. Kolpakov and Talambutsa showed that the growth rates of right-angled Coxeter groups are Perron numbers [9]. For certain families of 4-dimensional cocompact hyperbolic Coxeter groups, the conjecture holds as well (see [7], [19] and also [23]). In this paper, we construct an infinite sequence of ideal non-simple hyperbolic Coxeter 4-polytopes giving rise to growth rates which are distinct Perron numbers. This is the first explicit example of an infinite family of non-compact finite volume Coxeter polytopes in hyperbolic 4-space whose growth rates are of the conjectured arithmetic nature as well.

### 1. Introduction

Let  $\mathbf{H}^d$  denote the hyperbolic  $d$ -space and  $\bar{\mathbf{H}}^d$  its closure in  $\mathbf{R}^d \cup \{\infty\}$ . A  $d$ -dimensional convex polytope  $P \subset \bar{\mathbf{H}}^d$  of finite volume is called a *Coxeter polytope* if all of its dihedral angles are of the form  $\frac{\pi}{k}$  for an integer  $k \geq 2$  or  $k = \infty$ , meaning that the intersection of the facets of  $P$  is a point on the boundary  $\partial\mathbf{H}^d$ . The set  $S$  of reflections with respect to the facets of  $P$  generates a discrete group  $\Gamma$ , called a ( $d$ -dimensional) *hyperbolic Coxeter group*, and the pair  $(\Gamma, S)$  is called the *Coxeter system* associated with  $P$ . If  $P$  is compact (resp. non-compact), the hyperbolic Coxeter group  $\Gamma$  is called *cocompact* (resp. *cofinite*). The *growth series*  $f_S(t)$  of  $(\Gamma, S)$  and of  $P$  is the formal power series  $1 + \sum_{l=1}^{\infty} a_l t^l$  where  $a_l$  is the number of elements of  $\Gamma$  whose word length with respect to  $S$  is equal to  $l$ . Then  $\tau_\Gamma := \limsup_{l \rightarrow \infty} \sqrt[l]{a_l}$  is called the *growth rate* of  $(\Gamma, S)$  and of  $P$ .

It is known that the growth rate of a  $d$ -dimensional cofinite hyperbolic Coxeter group is a real algebraic integer strictly bigger than 1 [5]. Recall that a real algebraic number  $\tau > 1$  is a Perron number if and only if all of its other

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algebraic conjugates are strictly less than  $\tau$  in absolute value. It is known that the growth rates of cofinite 2- and 3-dimensional hyperbolic Coxeter groups are always Perron numbers ([1], [3], [8], [10], [12], [13], [21], [22]). The growth rates of right-angled Coxeter groups are also Perron numbers [9].

The goal of this work is the study of the arithmetic nature of growth rates associated to 4-dimensional cofinite hyperbolic Coxeter groups. In the context of *compact* hyperbolic Coxeter 4-polytopes  $P$ , Kellerhals and Perren [7] showed that the growth rates of polytopes  $P$  with at most 6 facets are Perron numbers and formulated a conjecture for arbitrary dimensions  $d$ . Then, Umemoto [19] constructed an infinite sequence of compact hyperbolic Coxeter 4-polytopes as garlands based on a totally truncated 4-simplex. Inspired by [23], she was able to prove that their growth rates are 2-Salem numbers and therefore particular Perron numbers.

In this paper, we construct and study growth rates of infinitely many *non-compact* hyperbolic Coxeter 4-polytopes. More precisely, we first construct an infinite family of *ideal* and *non-simple* hyperbolic Coxeter 4-polytopes starting from a certain pyramid in  $\mathbf{H}^4$ , found by Tumarkin [18], whose apex at infinity has a (Euclidean) cubical structure (see Figure 4, Section 4.2). By exploiting results of Kronecker, we are able to prove that their growth rates are Perron numbers. In this way, we provide the first example of an infinite sequence of non-cocompact but cofinite 4-dimensional hyperbolic Coxeter groups satisfying the (generalized) conjecture of Kellerhals and Perren.

The paper is organized as follows. In Section 2, we provide the necessary background about the growth series  $f_S(t)$ , representing the rational growth function  $\frac{p(t)}{q(t)}$ ,  $p(t), q(t) \in \mathbf{Z}[t]$ , of a  $d$ -dimensional hyperbolic Coxeter group  $\Gamma$  with natural generating system  $S$ . In Section 3, we explain in detail a method—going back to Sturm and Kronecker—which helps to analyze the root distribution of a real polynomial in the complex plane. Then, in Section 4, we construct an infinite sequence  $\{P_n\}_{n \in \mathbf{N}}$  of ideal non-simple hyperbolic Coxeter 4-polytopes by glueing isometric copies of Tumarkin’s Coxeter pyramid with 7 facets, and we provide a detailed analysis of the combinatorial and metrical structure of  $\{P_n\}_{n \in \mathbf{N}}$ . Finally, in Section 5, we apply the method described in Section 3 in order to analyze the root distribution of the denominator polynomials  $D_n(t) \in \mathbf{Z}[t]$  of the growth functions  $f_n(t)$  associated to the polyhedral sequence  $P_n$ . This allows us to prove that the growth rate  $\tau_n$  of each  $P_n$ ,  $n \in \mathbf{N}$ , is a Perron number (see Theorem 8). At the end, we attach an appendix listing the numerical data about the polynomials  $D_n(t)$  which were found by means of the software package Mathematica.

## 2. Preliminaries

In this section, we introduce the relevant notation and review Solomon’s and Steinberg’s formulas in order to calculate the growth functions of hyperbolic Coxeter polytopes.

A Coxeter system  $(\Gamma, S)$  consists of a group  $\Gamma$  and a finite set of generators  $S \subset \Gamma$ ,  $S = \{s_i\}_{i=1}^N$ , with relations  $(s_i s_j)^{m_{ij}}$  for each  $i, j$ , where  $m_{ii} = 1$  and  $m_{ij} \geq 2$  or  $m_{ij} = \infty$  for  $i \neq j$ . We call  $\Gamma$  a Coxeter group. For any subset  $I \subset S$ , we define  $\Gamma_I$  to be the subgroup of  $\Gamma$  generated by  $\{s_i\}_{i \in I}$ . Then  $(\Gamma_I, I)$  is a Coxeter system in its own right and  $\Gamma_I$  is called the Coxeter subgroup of  $\Gamma$  generated by  $I$ . The Coxeter diagram  $X(\Gamma, S)$  of  $(\Gamma, S)$  is constructed as follows: Its vertex set is  $S$ , and if  $m_{ij} \geq 3$ , we join the pair of vertices  $s_i, s_j$  by an edge. For each edge, we label it with  $m_{ij}$  if  $m_{ij} \geq 4$ . Note that the Coxeter diagram of  $(\Gamma_I, I)$  for each subset  $I \subset S$  is a subdiagram of  $X(\Gamma, S)$ . The growth series  $f_S(t)$  of  $(\Gamma, S)$  is the formal power series  $1 + \sum_{l=1}^{\infty} a_l t^l$  where  $a_l$  is the number of elements of  $\Gamma$  whose word length with respect to  $S$  is equal to  $l$ . Then  $\tau_{(\Gamma, S)} = \limsup_{l \rightarrow \infty} \sqrt[l]{a_l}$  is called the growth rate of  $(\Gamma, S)$ . A Coxeter system  $(\Gamma, S)$  is irreducible if the Coxeter diagram  $X(\Gamma, S)$  is connected.

Next, we recall Solomon’s formula and Steinberg’s formula which enable us to express and calculate growth series of Coxeter systems as rational functions.

**THEOREM 1** [16, Solomon’s formula]. *The growth series  $f_S(t)$  of an irreducible finite Coxeter system  $(\Gamma, S)$  is a polynomial of the form  $f_S(t) = [m_1 + 1, m_2 + 1, \dots, m_p + 1]$  where  $[n] = 1 + t + \dots + t^{n-1}$ ,  $[m, n] = [m][n]$ , etc., and where  $\{m_1, m_2, \dots, m_p\}$  is the set of exponents of  $(\Gamma, S)$ .*

Irreducible finite Coxeter groups are well-known. Their exponents are given in Table 1 (see [6] for details).

Table 1. Exponents

Coxeter group	Exponents	growth series
$A_n$	$1, 2, \dots, n$	$[2, 3, \dots, n + 1]$
$B_n$	$1, 3, \dots, 2n - 1$	$[2, 4, \dots, 2n]$
$D_n$	$1, 3, \dots, 2n - 3, n - 1$	$[2, 4, \dots, 2n - 2][n]$
$E_6$	$1, 4, 5, 7, 8, 11$	$[2, 5, 6, 8, 9, 12]$
$E_7$	$1, 5, 7, 9, 11, 13, 17$	$[2, 6, 8, 10, 12, 14, 18]$
$E_8$	$1, 7, 11, 13, 17, 19, 23, 29$	$[2, 8, 12, 14, 18, 20, 24, 30]$
$F_4$	$1, 5, 7, 11$	$[2, 6, 8, 12]$
$H_3$	$1, 5, 9$	$[2, 6, 10]$
$H_4$	$1, 11, 19, 29$	$[2, 12, 20, 30]$
$I_2(m)$	$1, m - 1$	$[2, m]$

**THEOREM 2** [17, Steinberg’s formula]. *Let  $(\Gamma, S)$  be an infinite Coxeter system. Set  $\mathcal{F} := \{I \subset S \mid \Gamma_I \text{ is a finite Coxeter subgroup of } \Gamma\}$ . Denote by  $f_I(t)$  the growth series of  $(\Gamma_I, I)$  for each  $I \subset S$ . Then*

$$\frac{1}{f_S(t^{-1})} = \sum_{I \in \mathcal{F}} \frac{(-1)^{|I|}}{f_I(t)}.$$

By Theorem 1 and Theorem 2, the growth series  $f_S(t)$  of  $(\Gamma, S)$  is represented by a rational function  $\frac{p(t)}{q(t)}$  ( $p, q \in \mathbf{Z}[t]$ ). The rational function  $\frac{p(t)}{q(t)}$  is called the *growth function* of  $(\Gamma, S)$ . The radius of convergence  $R$  of  $f_S(t)$  is equal to the positive real root of  $q(t)$  which has the smallest absolute value among all roots of  $q(t)$ .

In this paper, we are interested in Coxeter groups giving rise to discrete subgroups generated by reflections in the isometry group  $\text{Isom}(\mathbf{H}^d)$  of  $\mathbf{H}^d$ . The references here are [15] and [20]. More concretely, we represent hyperbolic  $d$ -space in the upper half-space model according to  $\mathbf{H}^d = \{(x_1, \dots, x_d) \in \mathbf{R}^d \mid x_d > 0\}$  which is equipped with the metric  $\frac{|dx|}{x_d}$ . The boundary  $\partial\mathbf{H}^d$  of  $\mathbf{H}^d$  in the one-point compactification  $\mathbf{R}^d \cup \{\infty\}$  of  $\mathbf{R}^d$  is called the *boundary at infinity* of  $\mathbf{H}^d$ . We denote the closure of a subset  $A \subset \mathbf{R}^d \cup \{\infty\}$  by  $\bar{A}$ . By identifying  $\mathbf{R}^{d-1}$  with  $\mathbf{R}^{d-1} \times \{0\}$  in  $\mathbf{R}^d$ , the boundary at infinity  $\partial\mathbf{H}^d$  is equal to  $\mathbf{R}^{d-1} \cup \{\infty\}$ . A subset  $H \subset \mathbf{H}^d$  is called a *hyperplane* of  $\mathbf{H}^d$  if and only if it is either a Euclidean hemisphere or a half-plane in  $\mathbf{H}^d$  orthogonal to  $\mathbf{R}^{d-1}$ .

A non-empty subset  $P \subset \bar{\mathbf{H}}^d$  is called a *d-dimensional hyperbolic polytope* if  $P$  can be written as the intersection of finitely many closed half-spaces. This means that  $P = \bigcap H_i^-$ , where  $H_i^-$  is the closed half-space of  $\mathbf{H}^d$  bounded by the hyperplane  $H_i$  with normal vector  $u_i$  pointing outwards with respect to  $P$ . Suppose that  $H_i \cap H_j \neq \emptyset$  in  $\mathbf{H}^d$ . Then, the *dihedral angle* between  $H_i$  and  $H_j$  is given as follows: Choose a point  $x \in H_i \cap H_j$  and consider their outer normal vectors  $u_i$  and  $u_j$ . The dihedral angle between  $H_i$  and  $H_j$  is defined as the number  $\theta \in [0, \pi]$  satisfying  $\cos \theta = -(u_i, u_j)$  where  $(\cdot, \cdot)$  denotes the Euclidean inner product on  $\mathbf{R}^d$  at  $x$ . If  $\bar{H}_i \cap \bar{H}_j \in \bar{\mathbf{H}}^d$  is a point on  $\partial\mathbf{H}^d$ , then the dihedral angle between  $H_i$  and  $H_j$  is defined to be zero.

A hyperbolic polytope  $P \subset \bar{\mathbf{H}}^d$  of finite volume is called a *hyperbolic Coxeter polytope* if all of its dihedral angles have the form  $\frac{\pi}{k}$  for an integer  $k \geq 2$  or  $k = \infty$  if the intersection of respective bounding hyperplanes is a point on  $\partial\mathbf{H}^d$ . Notice that a hyperbolic polytope in  $\bar{\mathbf{H}}^d$  is of finite volume if and only if it is the convex hull of finitely many points in  $\bar{\mathbf{H}}^d$ . If  $P \subset \bar{\mathbf{H}}^d$  is a hyperbolic Coxeter polytope, the set  $S$  of all reflections with respect to the facets of  $P$  generates a discrete group  $\Gamma$  in  $\text{Isom}(\mathbf{H}^d)$ . It is easy to see that  $(\Gamma, S)$  is a

Coxeter system so that  $\Gamma$  is a Coxeter group. We call  $\Gamma$  a *d-dimensional hyperbolic Coxeter group*. The pair  $(\Gamma, S)$  is called the *Coxeter system associated to P*. In the sequel, we call the growth function and the growth rate of the Coxeter system  $(\Gamma, S)$  associated to  $P$  the *growth function of P* and the *growth rate of P*. The growth function and the growth rate of  $P$  are denoted by  $f_P(t)$  and  $\tau_P$ , respectively.

Let  $P = \bigcap_{i=1}^N H_i^-$  be a hyperbolic Coxeter polytope. For every pair of hyperplanes  $H_i$  and  $H_j$ , define

$$c_{ij} = \begin{cases} 1 & \text{if } i = j, \\ -\cos \frac{\pi}{m_{ij}} & \text{if they intersect at the dihedral angle } \frac{\pi}{m_{ij}}, \\ -1 & \text{if its intersection is a point on } \partial \mathbf{H}^d, \\ -\cosh d(H_i, H_j) & \text{if they do not intersect,} \end{cases}$$

where  $d(H_i, H_j)$  is the hyperbolic distance between them. The  $N \times N$  symmetric matrix  $M(P) = (c_{ij})$  is called the *Gram matrix of P*. The *Coxeter scheme X(P) of P* is defined as follows: Its vertex set is  $\{H_1, \dots, H_N\}$ , and for  $m_{ij} \geq 3$ , we join the pair of vertices  $H_i, H_j$  by an edge. For each edge, we label it with  $m_{ij}$  if  $m_{ij} \geq 4$ . Two vertices are joined by a dotted edge labeled with the hyperbolic distance between corresponding hyperplanes if  $H_i$  and  $H_j$  do not intersect. A subscheme of  $X(P)$  is called *elliptic* (resp. *parabolic*) if the corresponding submatrix of  $M(P)$  is positive definite (resp. positive semi-definite of rank  $d - 1$ ). Note that elliptic subschemes of order  $k$ , that is, with  $k$  vertices, correspond to finite Coxeter systems  $(\Gamma, S)$  with  $|S| = k$ . In the hyperbolic context, they can be characterized as follows.

**THEOREM 3** [20, Theorem 2.2, p. 109 and Theorem 2.5, p. 110]. *Given a hyperbolic Coxeter polytope P, the k-dimensional faces (resp. vertices at infinity) of P correspond to the order n - k elliptic (resp. parabolic) subschemes of the Coxeter scheme X(P) of P.*

### 3. Describing the root distribution of a real polynomial

In this section, we review Sturm's theorem and Kronecker's theorem. Sturm's theorem allows one to describe the distribution of the real roots of a real polynomial, while Kronecker's theorem is about counting the roots of a real polynomial contained in a closed disk of radius  $r$  centered at the origin 0 in the complex plane  $\mathbf{C}$ . For references, see [2], [11] and [14].

**3.1. Sturm's theorem.** Let  $f$  and  $g$  be real polynomials. We may assume that  $\deg f \geq \deg g$ . By the Euclidean algorithm, there are polynomials  $f_2, \dots, f_r$  such that

$$\begin{aligned}
 f &= q_1g - f_2, & \deg g > \deg f_2. \\
 g &= q_2f_2 - f_3, & \deg f_2 > \deg f_3. \\
 f_2 &= q_3f_3 - f_4, & \deg f_3 > \deg f_4. \\
 & \vdots \\
 f_{r-2} &= q_{r-1}f_{r-1} - f_r, & \deg f_{r-1} > \deg f_r. \\
 f_{r-1} &= q_r f_r.
 \end{aligned}$$

The finite sequence  $f_0 := f, f_1 := g, f_2, \dots, f_r$  of real polynomials is called the *Sturm sequence*  $S(f, g)$  of  $f$  and  $g$ . Note that  $f_r$  is the greatest common divisor of  $f$  and  $g$ . For any  $t_0 \in \mathbf{R}$ , the number of sign changes in  $S(f, g)$  at  $t_0$  is denoted by  $w(t_0)$ , that is,  $w(t_0)$  is the number of sign changes in the sequence  $f(t_0), g(t_0), f_2(t_0), \dots, f_r(t_0)$  ignoring zeros.

*Example 1.* Let  $f(z) := z^5 - 3z - 1$  and  $g(z) := f'(z) = 5z^4 - 3$ . Then,  $S(f, g)$  can be calculated as follows:

$$\begin{aligned}
 f(z) &= z^5 - 3z - 1. \\
 g(z) &= 5z^4 - 3. \\
 f_2(z) &= 12z + 5. \\
 f_3(z) &= 1.
 \end{aligned}$$

We consider the number of sign changes in the Sturm sequence  $S(f, g)$  at  $t_0 = -2$ . We have  $f(-2) = -27, g(-2) = 77, f_2(-2) = -19, f_3(-2) = 1$ , so that  $w(-2)$  is equal to 3.

**THEOREM 4** [2, Theorem 8.8.15, Sturm’s theorem]. *Let  $f$  be a real polynomial and  $S(f, f')$  =  $\{f_0, f_1, \dots, f_r\}$ . Suppose that  $a, b \in \mathbf{R}, a < b$ , are not roots of  $f$ . Then the number of distinct real roots of  $f$  in the closed interval  $[a, b]$  is equal to  $w(a) - w(b)$ .*

From now on, we assume that the real polynomials  $f$  and  $g$  have no common roots. For each real root  $t_0$  of  $f$ , the number of sign changes in  $S(f, g)$  satisfies one of the following three conditions:

- (i) The number of sign changes in  $S(f, g)$  decreases by 1 when  $t$  passes through  $t_0$ .
- (ii) The number of sign changes in  $S(f, g)$  increases by 1 when  $t$  passes through  $t_0$ .
- (iii) The number of sign changes in  $S(f, g)$  does not vary when  $t$  passes through  $t_0$ .

We assign the number  $\varepsilon_{t_0} = 1, -1$  and 0 to each root  $t_0$  of  $f$  when the number of sign changes of  $f(t), g(t)$  satisfies the condition (i), (ii) and (iii), respectively. The following well-known theorem is proved analogously to Sturm’s theorem.

**THEOREM 5.** *Suppose that the real numbers  $a$  and  $b$ ,  $a < b$ , are not roots of  $f$ . Then, the following identity holds for  $S(f, g)$ .*

$$\sum_{t_0 \in [a, b]: f(t_0)=0} \varepsilon_{t_0} = w(a) - w(b).$$

**3.2. Separation of complex roots.** We use the following notation:

- $\mathbf{C}_z$  and  $\mathbf{C}_\zeta$  denote respectively the complex planes with coordinates  $z = x + iy$  and  $\zeta = u + iv$ .
- $S_r \subset \mathbf{C}_z$  is a circle of radius  $r > 0$  centered at the origin  $0 \in \mathbf{C}_z$ .
- $B_r \subset \mathbf{C}_z$  is an open disk of radius  $r > 0$  centered at  $0$ .
- A parametrization for  $S_r$  is given by

$$z(t) = r \frac{t^2 - 1}{t^2 + 1} - ir \frac{2t}{t^2 + 1}, \quad t \in \mathbf{R}.$$

- $f(z)$  is a real polynomial of a complex variable  $z$ .
- Expanding  $f(z(t))$  yields the representation

$$f(z(t)) = \frac{\varphi_r(t) + i\psi_r(t)}{(t^2 + 1)^{\deg f}} \quad \text{on } S_r,$$

where  $\varphi_r(t)$  and  $\psi_r(t)$  are real polynomials of the real variable  $t$ . Next, we explain Kronecker's theorem.

**LEMMA 1.** *Suppose that  $f(z)$  has no roots on  $S_r$ . Given  $M > 0$  such that the closed interval  $[-M, M]$  contains all real roots of  $\varphi_r$ , the following identity holds for  $S(\varphi_r, \psi_r)$ .*

$$\sum_{t_0 \in [-M, M]: \varphi_r(t_0)=0} \varepsilon_{t_0} = w(-M) - w(M).$$

*Proof.* The assumption that  $f(z)$  has no roots on  $S_r$  implies that the polynomials  $\varphi_r(t)$  and  $\psi_r(t)$  do not have common real roots. Now, apply Theorem 4 to  $\varphi_r(t)$  and  $\psi_r(t)$ , and the assertion follows. □

By considering  $f(z)$  as a holomorphic function from  $\mathbf{C}_z$  to  $\mathbf{C}_\zeta$ , we parametrise the closed curve  $f(S_r)$  according to  $\zeta(t) = \frac{\varphi_r(t)}{(t^2 + 1)^{\deg f}} + i \frac{\psi_r(t)}{(t^2 + 1)^{\deg f}}$  for  $t \in \mathbf{R}$ . In order to calculate the winding number of  $f(S_r)$ , we divide  $f(S_r)$  into oriented closed curves  $C_1, \dots, C_m$  as follows. Trace  $f(S_r)$  by starting from the initial point  $f(r) = \lim_{t \rightarrow -\infty} \zeta(t)$ , and if the curve  $f(S_r)$  crosses the  $v$ -axis in  $\mathbf{C}_\zeta$  (at least) twice, we mark the (first) two crossing points by  $\alpha_1$  and  $\alpha_2$  on the  $v$ -axis, and then go back to the initial point  $f(r)$  along the straight line from the point  $\alpha_2$  to the initial point  $f(r)$ . This locus defines the oriented closed curve  $C_1$  (see the top right part of Fig. 1). Next, we go back to  $f(S_r)$  along the straight line from

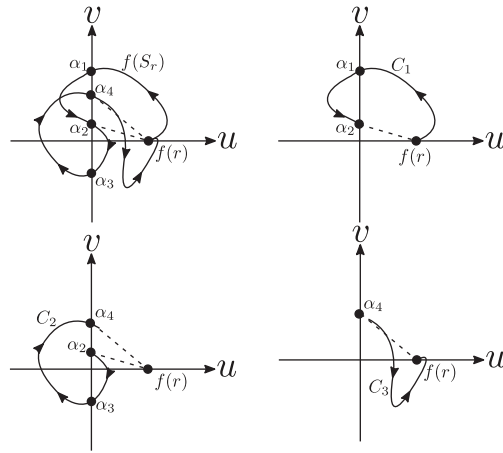


FIGURE 1. Subdivision of the closed curve  $f(S_r)$

$f(r)$  to  $\alpha_2$  and repeat the procedure for the next pair of crossing points  $\alpha_3$  and  $\alpha_4$  which provides an oriented closed curve  $C_2$  from  $f(r)$  via a straight line to  $\alpha_2$ , the part of  $f(S_r)$  from  $\alpha_2$  to  $\alpha_4$  and then back via a straight line to  $f(r)$ . By repeating this procedure, the closed curve  $f(S_r)$  gets subdivided and yields oriented closed curves  $C_1, \dots, C_m$  (see Fig. 1). Let us add that the final intersection point  $\alpha_f$  of  $f(S_r)$  with the  $v$ -axis gives rise to the oriented closed  $C_m$  given by the straight line from  $f(r)$  to  $\alpha_f$  combined with the part of  $f(S_r)$  from  $\alpha_f$  back to  $f(r)$ .

Given such a subdivision of  $f(S_r)$ , the winding number of  $f(S_r)$  equals the sum of the winding numbers of oriented closed curves  $C_1, \dots, C_m$ . In order to calculate the winding number of each curve  $C_i$ , we assign the number  $\chi_{\alpha_k} = 1$  (resp.  $\chi_{\alpha_k} = -1$ ) to a crossing point  $\alpha_k \in C_i$  on the  $v$ -axis if the (angular) argument in the parametrization of  $C_i$  is increasing (resp. decreasing) in the counterclockwise sense around the point  $\alpha_k$  (see Fig. 2). In this way, the winding number of  $C_i$  is equal to the sum of  $\frac{1}{2}\chi_\alpha$  at each of its crossing points  $\alpha$ . Note that if a

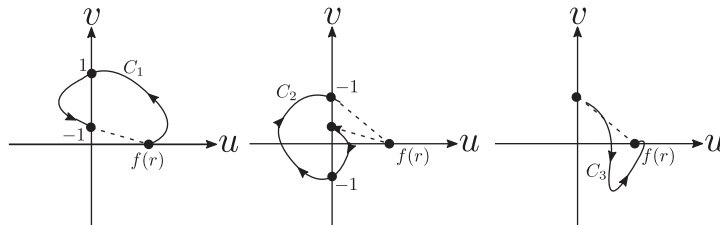


FIGURE 2. Assigning the numbers  $\chi_\alpha$  to crossing points  $\alpha$



component  $C_i$  has no crossing points of  $v$ -axis, then the winding number of  $C_i$  equals 0. For example, the winding number of  $C_1, C_2$  and  $C_3$  in Fig. 2 is equal to 0,  $-1$  and 0, respectively. This observation shows that the winding number of  $f(S_r)$  equals the sum of numbers  $\frac{1}{2}\chi_\alpha$  for each crossing point  $\alpha$  of  $v$ -axis and  $f(S_r)$ .

Let us now consider the Sturm sequence of polynomials  $\varphi_r(t)$  and  $\psi_r(t)$ . Every crossing point of curve  $f(S_r)$  corresponds to a root of  $\varphi_r(t)$ . For any root  $t_0 \in \mathbf{R}$  of  $\varphi_r(t)$ , the argument of  $f(S_r)$  is increasing (resp. decreasing) if  $\varepsilon_{t_0} = -1$  (resp.  $\varepsilon_{t_0} = 1$ ). This observation, together with Theorem 4 and the argument principle, give the following identities.

$$\begin{aligned} \#\{z \in B_r \mid z \text{ is a root of } f(z)\} &= \text{the winding number of } f(S_r) \\ &= \frac{1}{2} \sum_{\alpha_k: \text{ a mark on } f(S_r)} \chi_{\alpha_k} \\ &= \frac{1}{2} \sum_{t_0: \varphi_r(t_0)=0} (-\varepsilon_{t_0}). \end{aligned}$$

By Lemma 1, one can deduce Kronecker's theorem as follows.

**THEOREM 6** [14, Theorem 1.4.6, Kronecker's theorem]. *Suppose that  $f(z)$  has no roots on  $S_r$ . Then, the number of roots of  $f$  contained in  $B_r$  equals to  $\frac{w(M) - w(-M)}{2}$ , where  $M > 0$  is a real number such that  $[-M, M]$  contains all roots of  $\varphi_r(t)$ .*

If we substitute  $z(t) = r \frac{t-i}{t+i}$  in  $f(z)$ , then  $f(z(t))$  can be rewritten according to

$$f(z(t)) = \frac{\Phi(t) + i\Psi(t)}{(t+i)^{\deg f}}.$$

Since  $\frac{1}{2\pi} \int_{f(S_r)} d \log w = \frac{1}{2\pi} \int_{f(S_r)} d \arg w$  (see [4]), the winding number of  $f(S_r)$  equals  $\frac{1}{2\pi} \int_{-\infty}^{\infty} \arg\{\Phi(t) + i\Psi(t)\} dt - \frac{1}{2\pi} \int_{-\infty}^{\infty} \arg(t+i)^{\deg f} dt$ . For brevity, we denote the quantities  $\frac{1}{2\pi} \int_{-\infty}^{\infty} \arg\{\Phi(t) + i\Psi(t)\} dt$  and  $\frac{1}{2\pi} \int_{-\infty}^{\infty} \arg(t+i)^{\deg f} dt$  by  $\Theta(\Phi(t) + i\Psi(t))$  and  $\Theta((t+i)^{\deg f})$ . Then,  $\Theta(\Phi(t) + i\Psi(t))$  and  $\Theta((t+i)^{\deg f})$  measure the extent of argument increase of the curves  $\Phi(t) + i\Psi(t)$  and  $(t+i)^{\deg f}$ ,  $t \in \mathbf{R}$ , respectively (see [4] for details). Applying the previous arguments to the curve  $\Phi(t) + i\Psi(t)$ ,  $t \in \mathbf{R}$ , we obtain the identification

$$\Theta(\Phi(\mathbf{R}) + i\Psi(\mathbf{R})) = \frac{w(M) - w(-M)}{2}.$$

By substituting  $t = \tan \theta$ , a calculation yields

$$\Theta((\mathbf{R} + i)^{\deg f}) = -\frac{\deg f}{2}.$$

Therefore, we obtain the following corollary of Kronecker’s theorem.

**COROLLARY 1.** *Suppose that  $f(z)$  has no roots on  $S_r$ . Let  $w(t)$  denote the number of sign changes in the Sturm sequence of  $\Phi(t)$  and  $\Psi(t)$ . Then, the number of roots of  $f(z)$  contained in  $B_r$  equals to  $\frac{w(M) - w(-M) + \deg f}{2}$ .*

For any real polynomial  $f$ , the sign of  $f(t)$  for sufficiently large (resp. small)  $t \in \mathbf{R}$  is determined by its leading coefficient (resp. multiplied by  $(-1)^{\deg f}$ ). Therefore, in order to determine  $w(M)$ , we only have to consider the leading coefficients of the polynomials in the Sturm sequence  $S(\Phi, \Psi)$  of  $\Phi(t)$  and  $\Psi(t)$ . For the rest of the paper,  $w(\infty)$  (resp.  $w(-\infty)$ ) denotes the number of sign changes of the leading coefficients (resp. multiplied by  $(-1)^{\deg f_i}$ ) of  $S(\Phi, \Psi)$ .

**3.3. A method for describing the root distribution of a real polynomial.**

Suppose  $f(z)$  is a real polynomial. Then, we can describe its roots as follows.

In order to count the number of the real roots of  $f$  contained in the closed interval  $[a, b]$ , we proceed as follows.

1. Check that  $a$  and  $b$  are not roots of  $f$ .
2. Calculate the Sturm sequence  $S(f, f')$  of  $f(t)$  and  $f'(t)$ .
3. By Sturm’s theorem,  $w(a) - w(b)$  is equal to the number of real roots of  $f$  contained in  $[a, b]$ .

In order to count the number of roots of  $f$  contained in  $B_r$ , one performs the following steps.

1. Calculate the two real polynomials  $\Phi(t)$  and  $\Psi(t)$  by substituting  $z(t) = r \frac{t - i}{t + i}$  into  $f(z)$ .
2. Check that  $f(z)$  has no roots on  $S_r$ . To this end, recall that if the resultant of  $\Phi(t)$  and  $\Psi(t)$  is not 0, then  $f(z)$  has no roots on  $S_r$ .
3. Calculate the Sturm sequence  $S(\Phi, \Psi)$  of  $\Phi(t)$  and  $\Psi(t)$ .
4. By Corollary 1 and the definition of  $w(\infty)$  and  $w(-\infty)$ , the number of roots of  $f$  contained in  $B_r$  is equal to  $\frac{w(\infty) - w(-\infty) + \deg f}{2}$ .

**4. The construction of an infinite sequence of ideal non-simple hyperbolic Coxeter polytopes**

We construct an infinite sequence  $\{P_n\}_{n \in \mathbf{N}}$  of non-simple ideal hyperbolic Coxeter 4-polytopes by glueing copies of certain ideal hyperbolic Coxeter

4-pyramid along their isometric facets. First, we consider the vertical projection  $p_\infty$  from  $\infty$  to  $\mathbf{R}^3$  and describe a hyperbolic 4-polytope by means of its projective image. In the sequel, we call polygonal faces of a 4-polytope *faces* for brevity.

**4.1. The vertical projection from  $\infty$ .** A horosphere  $\Sigma = \Sigma_u$  based at a point at infinity  $u \in \partial\mathbf{H}^d$  is defined to be a 3-dimensional Euclidean sphere in  $\mathbf{H}^4$  tangent to  $\mathbf{R}^3$  at  $u$  (resp. a Euclidean hyperplane parallel to  $\mathbf{R}^3$ ) if  $u$  is situated on  $\mathbf{R}^3$  (resp.  $u = \infty$ ). The restriction of the hyperbolic metric to the horosphere  $\Sigma$  turns  $\Sigma$  into a Euclidean 3-space.

LEMMA 2 [15, Theorem 6.4.5]. *Suppose that  $P = \bigcap_{i=1}^m H_i^-$  is a non-compact hyperbolic 4-polytope of finite volume and  $u$  is a vertex at infinity of  $P$ . Let  $\Sigma$  be a horosphere based at  $u$  such that  $\Sigma$  intersects with  $P$  only at the bounding hyperplanes incident to  $u$ . Then,  $L(u) := P \cap \Sigma$  has the following properties.*

- $L(u)$  is a 3-dimensional Euclidean polytope in  $\Sigma$ .
- For any bounding hyperplane  $H_i$  incident to  $u$ ,  $H_i \cap L(u)$  is a bounding hyperplane of  $L(u)$  in  $\Sigma$ .
- If two facets  $F_i := H_i \cap P$  and  $F_j := H_j \cap P$  form a face of  $P$ , then the intersection of  $F_i \cap L(u)$  and  $F_j \cap L(u)$  is an edge of  $L(u)$  and the dihedral angle  $\angle F_i \cap F_j$  is equal to the dihedral angle  $\angle (F_i \cap L(u)) \cap (F_j \cap L(u))$ .

Consider the vertical projection from  $\infty$  denoted by

$$p_\infty : \mathbf{H}^4 \rightarrow \mathbf{R}^3; \quad (x, y, z, t) \mapsto (x, y, z).$$

Let  $P = \bigcap_{i=1}^m H_i^-$  be a non-compact hyperbolic 4-polytope of finite volume and  $u$  be a vertex at infinity of  $P$ . By using the hyperbolic isometries induced by the translation of  $\mathbf{R}^3$  which maps  $u$  to 0 and the inversion with respect to the unit sphere in  $\mathbf{R}^4$ , we may assume that  $u$  is  $\infty$ . If a hyperplane  $H_i$  is incident to (resp. not incident to)  $\infty$ , then  $H_i$  is a Euclidean hyperplane (resp. hemisphere) in  $\mathbf{H}^4$  orthogonal to  $\mathbf{R}^3$ . Note that in our setting any closed half-space  $H_i^-$  contains  $\infty$ . Since the vertical projection  $p_\infty$  maps any horosphere  $\Sigma$  based at  $\infty$  conformally onto  $\mathbf{R}^3$ , by using Lemma 2, we can treat dihedral angles between two bounding hyperplanes of  $P$  incident to  $\infty$  as the corresponding dihedral angles in the 3-dimensional Euclidean polytope  $p_\infty(L(\infty))$ . Suppose that the bounding hyperplanes  $H_i$  and  $H_j$  of  $P$  are not incident to  $\infty$ . By choosing a point in  $H_i \cap H_j \cap \mathbf{R}^3$  and considering the outer normal vectors  $u_i$  and  $u_j$ , the dihedral angle  $\angle H_i \cap H_j$  in  $P$  is given by  $\arccos(-\langle u_i, u_j \rangle)$ .

**4.2. The ideal hyperbolic Coxeter pyramid  $P_1$ .** In [18], Tumarkin classified all hyperbolic Coxeter 4-pyramids whose apex at infinity has a cubical structure. In particular, there exists an ideal hyperbolic Coxeter 4-pyramid  $P_1$  with Coxeter scheme shown in Figure 4.

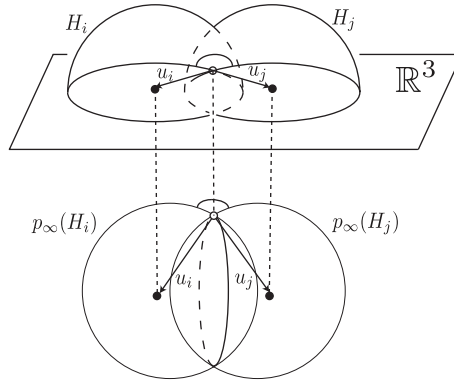


FIGURE 3. The dihedral angle in  $\mathbf{R}^3$

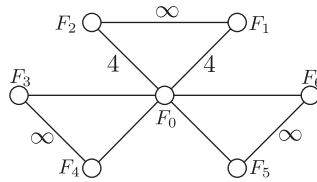


FIGURE 4. The Coxeter scheme  $X(P_1)$

In the sequel, we use the following notations.

- The non-simple vertex of  $P_1$  is denoted by  $u$ .
  - $F_0$  denotes the unique cubical facet of  $P_1$ .
  - The pyramidal facets of  $P_1$  are denoted by  $F_1, \dots, F_6$ . The facets have the property that  $F_i$  and  $F_{i+1}$  ( $i = 1, 3, 5$ ) meet at the non-simple vertex  $u$  of  $P_1$  and the dihedral angle formed by  $F_i$  and  $F_0$  is equal to  $\frac{\pi}{4}$  for  $i = 1, 2$ .
  - If the intersection of facets  $F_i$  and  $F_j$  is a face of  $P_1$ , we denote it by  $f_{ij}$ . In particular,  $f_{ij}$  is the ridge of dihedral angle  $\angle F_i \cap F_j$ .
  - The hyperplane carrying  $F_i$  is denoted by  $H_i$ .
- Since the vertex link of  $u$  is a Euclidean right-angled cube given by  $\tilde{A}_1 \times \tilde{A}_1$ , and by using suitable isometries of  $\mathbf{H}^4$ ,  $P_1$  can be normalized as follows.
- The vertex  $u$  is  $\infty$ .
  - The hyperplane  $H_0$  is the unit hemisphere centered at origin.
  - The hyperplanes  $H_1$  and  $H_2$  are orthogonal to the  $x$ -axis.
  - The hyperplanes  $H_3$  and  $H_4$  are orthogonal to the  $y$ -axis.
  - The hyperplanes  $H_5$  and  $H_6$  are orthogonal to the  $z$ -axis.

Under this normalization for  $P_1$ , we can depict  $p_\infty(P_1)$  according to Figure 5. The coordinates of eight vertices  $A, B, C, D, E, F, G$  and  $H$  are

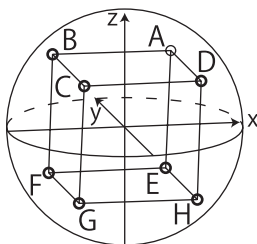


FIGURE 5. The projective image  $p_\infty(P_1)$  of  $P_1$  in  $\mathbf{R}^3$

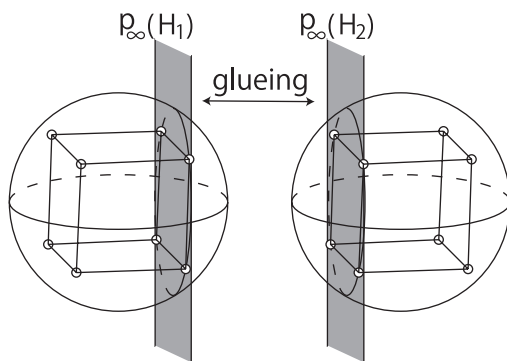


FIGURE 6. The projective images of  $P_1$  and  $P'_1$

$$\begin{aligned}
 A &= \left(\frac{1}{\sqrt{2}}, \frac{1}{2}, \frac{1}{2}\right) & B &= \left(-\frac{1}{\sqrt{2}}, \frac{1}{2}, \frac{1}{2}\right) & C &= \left(-\frac{1}{\sqrt{2}}, -\frac{1}{2}, \frac{1}{2}\right) \\
 D &= \left(\frac{1}{\sqrt{2}}, -\frac{1}{2}, \frac{1}{2}\right) & E &= \left(\frac{1}{\sqrt{2}}, \frac{1}{2}, -\frac{1}{2}\right) & F &= \left(-\frac{1}{\sqrt{2}}, \frac{1}{2}, -\frac{1}{2}\right) \\
 G &= \left(-\frac{1}{\sqrt{2}}, -\frac{1}{2}, -\frac{1}{2}\right) & H &= \left(\frac{1}{\sqrt{2}}, -\frac{1}{2}, -\frac{1}{2}\right).
 \end{aligned}$$

In Figure 5, the hyperplanes carrying the quadrangular faces ADHE, ABFE and ABCD are  $p_\infty(H_1)$ ,  $p_\infty(H_3)$  and  $p_\infty(H_5)$ . Now, we take a copy of  $P_1$ , denoted by  $P'_1$ , such that the facet  $F'_k$  of  $P'_1$  is isometric to the facet  $F_k$  of  $P_1$  for  $k = 0, \dots, 6$ . Glue the two 4-pyramids  $P_1$  and  $P'_1$  along the facet  $F_1$  of  $P_1$  and the facet  $F'_2$  of  $P'_1$  to obtain a new polytope  $P_2$ .

The projective image of  $P_2$  is depicted in Figure 7. By the glueing procedure, the facets  $F_1$  of  $P_1$  and  $F'_2$  of  $P'_1$  do not appear in  $P_2$ . Since the hyperplanes  $p_\infty(H_3)$ ,  $p_\infty(H_4)$ ,  $p_\infty(H_5)$  and  $p_\infty(H_6)$  of  $P_1$  and  $P'_1$  coincide with each other, the faces  $f_{13}, f_{14}, f_{15}, f_{16}$  in  $P_1$  and  $f_{23}, f_{24}, f_{25}, f_{26}$  in  $P'_1$  do not appear in  $P_2$  as well. On the other hand,  $P_2$  has some new faces; one is the quadrangular

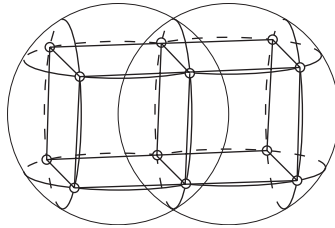


FIGURE 7. The projective image of the resulting 4-polytope  $P_2$ .

face coming from the cubical facet  $F_0$  in  $P_1$  and  $P'_1$ , and the other new faces are composed by the unions of  $f_{34}$ ,  $f_{45}$ ,  $f_{56}$  and  $f_{63}$  in  $P_1 \cup P'_1$ . Since the pyramidal facets  $F_2$  in  $P_1$  and  $F'_1$  in  $P'_1$  do not contribute to the glueing procedure,  $P_2$  has the two facets  $F_1$  and  $F_2$  in its boundary.

In summary, we obtain the following combinatorial data for  $P_2$ .

- $P_2$  has 8 facets; 2 cubical facets, 2 pyramidal facets and 4 facets with 6 faces.
- $P_2$  has 23 faces; (i) 8 triangular faces come from  $F_2$  of  $P_1$  and  $F'_1$  of  $P'_1$ , (ii) 10 quadrangular faces come from  $F_0$  in  $P_1$  and  $P'_1$ , (iii) only one quadrangular face comes from the intersection of  $F_1$  in  $P_1$  and  $F'_2$  in  $P'_1$ , (iv) 4 quadrangular faces come from the union of  $f_{34}$ ,  $f_{45}$ ,  $f_{56}$  and  $f_{63}$  of  $P_1$  and  $P'_1$ .
- $P_2$  has 28 edges.
- $P_2$  has 13 ideal vertices; only the vertex  $\infty$  is non-simple.

Since the two pyramidal facets of  $P_2$  are isometric to the pyramidal facets  $F_1$  and  $F_2$  of  $P_1$ , we can repeat this procedure by glueing  $P_1$  and  $P_2$  along their pyramidal facets, and the resulting 4-polytope is denoted by  $P_3$ . By induction, glueing a copy of  $P_1$  to  $P_{n-1}$  gives rise to a new polytope denoted by  $P_n$ . In fact, the ideal hyperbolic 4-polytope  $P_n$  is obtained by glueing  $n$  copies of  $P_1$  along the isometric facets  $F_1$  and  $F_2$ .

### 4.3. The combinatorial structure of $P_n$ .

LEMMA 3.  $P_n$  has the following combinatorial data.

- (Facets)  $(n + 6)$  facets;  $n$  cubical facets, 2 pyramidal facets and the other 4 facets have  $(n + 4)$ -gonal faces.
- (Faces)  $(5n + 13)$  faces; 8 triangular faces,  $5n + 1$  quadrilateral faces and 4  $(n + 2)$ -gonal faces.
- (Edges)  $(8n + 12)$  edges.
- (Vertices)  $(4n + 5)$  vertices;  $4n + 4$  simple vertices and only one non-simple vertex.

*Proof.* It suffices to consider  $p_\infty(P_n)$ . Indeed, the projective image  $P_n$  consists of  $n$  right-angled cubes inscribed in closed balls of radius 1 (see Fig. 8). □

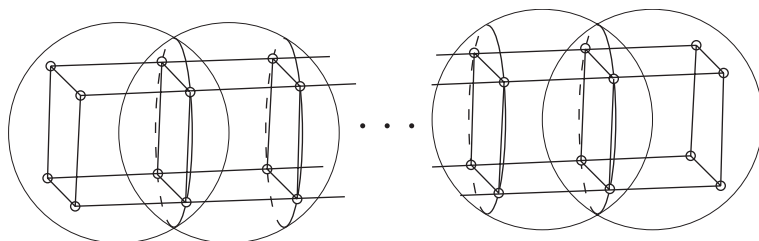


FIGURE 8. The projective image of  $P_n$

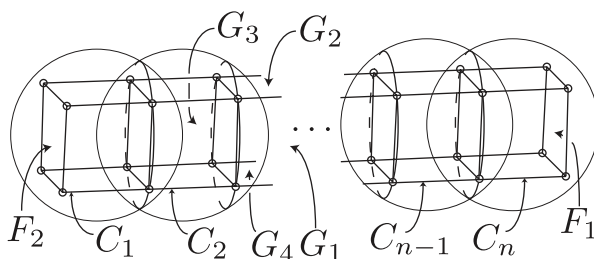


FIGURE 9. The front, top, back, and bottom planes are labeled by  $G_1, G_2, G_3,$  and  $G_4,$  respectively, following the notations for  $P_n.$

We use the following notation and terminology to describe  $P_n.$

- The 2 pyramidal facets of  $P_n$  are denoted by  $F_1$  and  $F_2.$
- The  $n$  cubical facets of  $P_n$  are denoted by  $C_1, \dots, C_n.$  Moreover, we suppose that  $C_1 \cap F_1, C_n \cap F_2$  and  $C_i \cap C_{i+1}$  are the quadrilateral faces.
- The remaining facets of  $P_n$  are denoted by  $G_1, G_2, G_3, G_4.$  Moreover, we suppose that  $G_i \cap G_{i+1} (i \bmod 4)$  is a  $(n+2)$ -gonal face.
- $X_n$  denotes the Coxeter scheme of  $P_n.$
- If a face of  $P_n$  has the dihedral angle  $\frac{\pi}{m},$  we call it a  $\frac{\pi}{m}$ -face.

Let us determine the elliptic and parabolic subschemes of  $X_n.$

(1) By Lemma 3,  $X_n$  has  $n+6$  vertices.

(2) Since each quadrilateral face  $C_i \cap C_{i+1}$  is the intersection of gluing facets, its dihedral angle  $\angle C_i \cap C_{i+1}$  is equal to  $\frac{\pi}{2}.$  If we glue  $P_{n-1}$  and  $P_1$  along their isometric pyramidal facets, then all faces of  $P_{n-1}$  and  $P_1$  which are not incident to the gluing facets are invariant. Therefore, we have the following situation.

- The triangular faces  $F_i \cap G_j$  are  $\frac{\pi}{2}$ -faces.
- The  $(n+2)$ -gonal faces  $G_i \cap G_{i+1}$  are  $\frac{\pi}{2}$ -faces.

- The quadrilateral faces  $G_i \cap C_j$  are  $\frac{\pi}{3}$ -faces.
  - The quadrilateral faces  $C_1 \cap F_1$  and  $C_n \cap F_2$  are  $\frac{\pi}{4}$ -faces.
- (3) Each edge of  $P_n$  is expressed as the intersection of precisely three facets.
- If an edge is the intersection  $F_i \cap G_j \cap G_{j+1}$ , it corresponds to the elliptic subscheme  $A_1 \times A_1 \times A_1$  of  $X_n$ .
  - If an edge is the intersection  $F_1 \cap G_i \cap C_1$  or  $F_2 \cap G_i \cap C_n$ , it corresponds to the elliptic subscheme  $B_3$  of  $X_n$ .
  - If an edge is the intersection  $G_i \cap G_{i+1} \cap C_j$ , it corresponds to the elliptic subscheme  $A_3$  of  $X_n$ .
  - If an edge is the intersection  $G_i \cap C_j \cap C_{j+1}$ , it corresponds to the elliptic subscheme  $A_3$  of  $X_n$ .
- (4) Each vertex corresponds to a parabolic subscheme of  $X_n$ .
- If a vertex is the intersection  $F_1 \cap G_i \cap G_{i+1} \cap C_1$  or  $F_2 \cap G_i \cap G_{i+1} \cap C_n$ , then it corresponds to the parabolic subscheme  $\tilde{B}_3$  of  $X_n$ .
  - If a vertex is the intersection  $G_i \cap G_{i+1} \cap C_j \cap C_{j+1}$ , then it corresponds to the parabolic subscheme  $\tilde{A}_3$  of  $X_n$ .
  - The non-simple vertex corresponds to the parabolic subscheme  $\tilde{A}_1 \times \tilde{A}_1 \times \tilde{A}_1$  of  $X_n$ .

**5. The growth function of  $P_n$**

By implementing the combinatorial data of  $P_n$  into Steinberg’s formula (see Theorem 2), the growth function  $f_n(t)$  of  $P_n$  can be calculated as follows.

$$\frac{1}{f_n(t^{-1})} = 1 - \frac{n+6}{[2]} + \frac{n+11}{[2,2]} + \frac{4n}{[2,3]} + \frac{2}{[2,4]} - \frac{8}{[2,2,2]} - \frac{8}{[2,4,6]} - \frac{8n-4}{[2,3,4]}.$$

By using Mathematica, the growth function  $f_n(t)$ , written as

$$f_n(t^{-1}) =: \frac{N_n(t)}{D_n(t)},$$

can be expressed according to

$$\begin{aligned} N_n(t) &= (t+1)^3(t^2+1)(t^2-t+1)(t^2+t+1), \\ D_n(t) &= t^9 - (n+3)t^8 - (n-4)t^7 + (2n-8)t^6 + (2n+8)t^5 + (2n-8)t^4 \\ &\quad - (2n-11)t^3 + (3n-5)t^2 + (3n+4)t - 4(n+1). \end{aligned}$$

LEMMA 4. *All the roots of  $D_n(t)$  are simple.*

*Proof.* We show that the resultant  $R(D_n(t), D'_n(t))$  of  $D_n(t)$  and  $D'_n(t)$  is not equal to 0 for any  $n \in \mathbf{N}$ . By using Mathematica, we can calculate it as follows:



$$\begin{aligned}
R(D_n(t), D'_n(t)) &= 9367548196608n^{16} - 84315693201408n^{15} - 3211145218356480n^{14} \\
&\quad - 13452086684085248n^{13} - 76883986729280512n^{12} \\
&\quad - 221310749589989376n^{11} - 369276695931527424n^{10} \\
&\quad - 436823682353681408n^9 - 375744535536699392n^8 \\
&\quad - 227155659791212544n^7 - 100271146222672128n^6 \\
&\quad - 28147372028425216n^5 - 2791806794781440n^4 \\
&\quad - 1194005028478976n^3 - 23952968404992n^2 - 2787725279232n.
\end{aligned}$$

By using Descartes' rule [14, Corollary 1, p. 28],  $R(D_n(t), D'_n(t))$  has at most one positive real root as a real polynomial with respect to the index  $n$ . We can check the following equalities by using Mathematica.

$$\begin{aligned}
R(D_{25}(t), D'_{25}(t)) &= -5236764089528548306162419869100800, \\
R(D_{26}(t), D'_{26}(t)) &= 18356309345841539117459400503775232.
\end{aligned}$$

Hence,  $R(D_n(t), D'_n(t)) \neq 0$  for any  $n \in \mathbf{N}$ . □

### 5.1. The distribution of the real roots of $D_n(t)$ .

LEMMA 5. Let  $w(t)$  be the number of sign changes in the Sturm sequence  $S(D_n, D'_n)$ . Then,

$$w(0) = \begin{cases} 6 & (1 \leq n \leq 25) \\ 5 & (26 \leq n) \end{cases} \quad \text{and} \quad w(\infty) = \begin{cases} 3 & (1 \leq n \leq 25) \\ 2 & (26 \leq n) \end{cases}.$$

Moreover, by Sturm's theorem, the number of positive real roots of  $D_n(t)$  is equal to 3 for any  $n \in \mathbf{N}$ .

*Proof.* The equality  $D_n(0) = -4(n+1)$  implies that 0 is not a root of  $D_n(t)$  for any  $n \in \mathbf{N}$ . By using Mathematica, the Sturm sequence  $S(D_n, D'_n)$  can be calculated easily (see Appendix). Let us write  $S(D_n, D'_n) =: \{d_0, \dots, d_9\}$ , and denote the  $i$ -th coefficient of  $d_k(t) \in \mathbf{Q}[t]$  as  $a_i^{(k)}$ , that is,

$$(*) \quad d_k(t) = \sum_{i=0}^{9-k} a_i^{(k)} t^i.$$

Then,  $w(0)$  (resp.  $w(\infty)$ ) is equal to the number of sign changes in the sequence  $a_0^{(0)}, \dots, a_0^{(9)}$  (resp.  $a_9^{(0)}, a_8^{(1)}, \dots, a_1^{(8)}, a_0^{(9)}$ ). The sign of each coefficient  $a_i^{(k)}$  depends on  $n \in \mathbf{N}$ . Let us investigate these signs. For example, let us check the sign of  $a_0^{(5)}$ . The sign of  $a_0^{(5)}$  depends on the following factor polynomial  $p(n)$  (see Appendix):

$$p(n) = 13008n^8 + 20600n^7 - 1607896n^6 + 2420092n^5 + 2017855n^4 + 899112n^3 + 1122697n^2 - 1476508n - 45088.$$

The difference of  $p(n + 1)$  and  $p(n)$  equals

$$p(n + 1) - p(n) = 52032n^7 + 254212n^6 - 4243164n^5 - 5193210n^4 + 781934n^3 + 7841885n^2 + 7857749n + 1704480.$$

By Descartes' rule, the number of positive real zeroes of  $p(n + 1) - p(n)$  is at most 2. Consider

$$\begin{aligned} p(2) - p(1) &= 9055918 > 0, \\ p(3) - p(2) &= -140899954 < 0, \\ p(8) - p(7) &= -10316213144 < 0, \\ p(9) - p(8) &= 16414574600 > 0. \end{aligned}$$

This observation shows that

$$\begin{cases} p(2) > p(1), \\ p(2) > p(3) > \dots > p(7) > p(8), \\ p(8) < p(9) < \dots < p(n) < p(n + 1) < \dots. \end{cases}$$

Moreover,

$$\begin{aligned} p(1) &= 3363872, \\ p(3) &= -260324200, \\ p(9) &= -39144733360, \\ p(10) &= 162088321532. \end{aligned}$$

Therefore, we can determine the sign of  $a_0^{(5)}$  as follows.

$$a_0^{(5)} \begin{cases} > 0 & (n = 1, 2) \\ < 0 & (3 \leq n \leq 9) \\ > 0 & (n \geq 10). \end{cases}$$

The remaining cases concerning  $a_i^{(k)}$  follow by analogy. □

We can calculate  $w(-\infty)$  similarly to the proof of Lemma 5 in such a way that

$$w(-\infty) = \begin{cases} 6 & (1 \leq n \leq 25) \\ 7 & (26 \leq n). \end{cases}$$

Therefore, by combining Lemma 5 with Sturm's theorem, we obtain the following result.

PROPOSITION 1. *The denominator polynomial  $D_n(t)$  has the following real roots:*

$$\begin{cases} \text{three positive roots and no negative roots} & (1 \leq n \leq 25), \\ \text{three positive roots and two negative roots} & (n \geq 26). \end{cases}$$

**5.2. The distribution of the complex roots of  $D_n(t)$ .** By applying the method presented in section 3.3, we can deduce an upper bound for the absolute values of all complex roots of  $D_n(t)$ .

1. Calculate the two real polynomials  $\Phi(t)$  and  $\Psi(t)$  which are given according to

$$D_n(z(t)) = \frac{\Phi(t) + i\Psi(t)}{(t+i)^{\deg D_n}},$$

where  $z(t) = 2\frac{t-i}{t+i}$ . By using Mathematica,  $\Phi(t)$  and  $\Psi(t)$  can be written as follows:

$$\begin{aligned} \Phi(t) &= -(162n + 56)t^9 + (6456n - 6512)t^7 - (2476n - 49792)t^5 \\ &\quad - (7176n + 60048)t^3 + (894n + 13752)t, \\ \Psi(t) &= (2034n - 456)t^8 - (8280n - 24880)t^6 - (7188n + 67136)t^4 \\ &\quad + (4136n + 36816)t^2 - (14n + 2808). \end{aligned}$$

2. By using Mathematica, we can show that the resultant of  $\Phi(t)$  and  $\Psi(t)$  is not equal to 0 for any  $n \in \mathbf{N}$ . Therefore  $D_n(t)$  has no roots on the circle  $S_2$  of radius 2 centered at the origin.

3. By using Mathematica, the Sturm sequence  $S(\Phi, \Psi)$  can be calculated.

4. In a manner similar to the argument in section 5.1, we can calculate the numbers of sign changes  $w(\infty)$  and  $w(-\infty)$  in  $S(\Phi, \Psi)$ .

LEMMA 6. *For any  $n \in \mathbf{N}$ ,  $w(\infty) = 8$  and  $w(-\infty) = 1$ . By Corollary 1, the number of roots of  $D_n(t)$  contained in the closed disk of radius 2 centered at the origin in the complex plane  $\mathbf{C}$  is equal to 8.*

THEOREM 7. *The growth rate of  $P_n$  is a Perron number for any  $n \in \mathbf{N}$ .*

*Proof.* By Lemma 6, the absolute values of eight roots of  $D_n(t)$  are strictly less than 2. Since  $\deg D_n(t) = 9$ , it is sufficient to prove that  $D_n(t)$  has a positive real root which is greater than 2. In order to prove that, we consider  $w(2)$ . By section 3.3, we obtain

$$w(2) = \begin{cases} 4 & (1 \leq n \leq 25) \\ 3 & (26 \leq n). \end{cases}$$

Therefore, by Sturm's theorem, the polynomial  $D_n(t)$  has a unique positive real root which is strictly greater than 2 for any  $n \in \mathbf{N}$ .  $\square$

**6. Appendix: the Sturm sequence of  $D_n(t)$  and  $D'_n(t)$** 

In this section, we provide the details about the Sturm sequence  $S(D_n, D'_n) = \{d_0, \dots, d_9\}$  with polynomial ingredients  $d_0, \dots, d_9 \in \mathbf{Q}[t]$  given by (\*) in section 5.1.

$$\begin{aligned}
 d_0(t) &= t^9 - (n+3)t^8 - (n-4)t^7 + (2n-8)t^6 + (2n+8)t^5 + (2n-8)t^4 \\
 &\quad - (2n-11)t^3 + (3n-5)t^2 + (3n+4)t - 4(n+1) \\
 d_1(t) &= 9t^8 - 8(n+3)t^7 - 7(n-4)t^6 + 6(2n+8)t^5 + 5(2n+8)t^4 \\
 &\quad + 4(2n-8)t^3 - 3(2n-11)t^2 + 2(3n-5)t + (3n+4) \\
 d_2(t) &= \frac{1}{81} \{ (8n^2 + 66n)t^7 + (7n^2 - 61n + 132)t^6 + (-12n^2 - 60n - 144)t^5 \\
 &\quad + (-10n^2 - 160n + 240)t^4 + (-8n^2 + 116n - 498)t^3 \\
 &\quad + (6n^2 - 204n + 216)t^2 + (-6n^2 - 224n - 258)t - 3n^2 + 311n + 312 \} \\
 d_3(t) &= \frac{81}{4n^2(4n+33)^2} \{ (39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)t^6 \\
 &\quad + (36n^4 + 612n^3 + 3956n^2 + 4480n + 2112)t^5 \\
 &\quad + (54n^4 + 470n^3 - 1872n^2 - 4372n - 3520)t^4 \\
 &\quad + (-88n^4 - 776n^3 + 3866n^2 + 6246n + 7304)t^3 \\
 &\quad + (150n^4 + 1374n^3 - 3216n^2 - 1660n - 3168)t^2 \\
 &\quad + (162n^4 + 2508n^3 + 8540n^2 + 8870n + 3784)t \\
 &\quad - 259n^4 - 3428n^3 - 7161n^2 - 8548n - 4576 \}
 \end{aligned}$$

Next, we list the coefficients  $a_i^{(k)}$ ,  $0 \leq i \leq 9-k$ , of polynomials  $d_k(t)$ ,  $4 \leq k \leq 8$ , according to (\*) in section 5.1. We also provide the denominator of  $d_k(t)$  as given by the least common multiple of coefficients  $a_i^{(k)}$ .

The denominator of  $d_4(t) = 81(1936 + n(1848 + n(2673 - n(266 + 39n))))^2$

$$\begin{aligned}
 a_5^{(4)} &= 8n^2(4n+33)^2(270n^6 - 930n^5 - 59765n^4 - 72316n^3 \\
 &\quad - 51247n^2 - 34920n + 11920)
 \end{aligned}$$

$$\begin{aligned}
 a_4^{(4)} &= -16n^2(4n+33)^2(51n^6 + 1630n^5 + 7368n^4 - 68445n^3 \\
 &\quad - 3176n^2 - 41152n + 16768)
 \end{aligned}$$

$$\begin{aligned}
 a_3^{(4)} &= 8n^2(4n+33)^2(471n^6 + 6452n^5 - 5086n^4 - 176746n^3 \\
 &\quad - 54403n^2 - 120344n - 8944)
 \end{aligned}$$

$$a_2^{(4)} = 16n^2(4n + 33)^2(153n^6 - 411n^5 - 32385n^4 - 33106n^3 - 44007n^2 - 20216n - 7664)$$

$$a_1^{(4)} = -8n^2(4n + 33)^2(579n^6 + 14834n^5 + 101041n^4 + 47610n^3 + 25760n^2 + 3472n - 25280)$$

$$a_0^{(4)} = 16n^2(33 + 4n)^2(10304 + 60992n + 92088n^2 + 112317n^3 + 78944n^4 + 5932n^5 + 33n^6)$$

The denominator of  $d_5(t) = 4n^2(33 + 4n)^2(11920 - 34920n - 51247n^2 - 72316n^3 - 59765n^4 - 930n^5 + 270n^6)^2$

$$a_4^{(5)} = -81(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2(246n^8 - 5794n^7 + 360959n^6 + 5606880n^5 - 3313218n^4 + 6140122n^3 - 3491843n^2 + 2584756n - 544176)$$

$$a_3^{(5)} = 162(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2(5289n^8 + 5992n^7 - 788952n^6 - 810030n^5 - 5107313n^4 + 118907n^3 - 2823408n^2 + 1353973n - 43828)$$

$$a_2^{(5)} = -81(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2(8442n^8 - 32742n^7 - 1868957n^6 - 1946748n^5 - 4253223n^4 - 1203496n^3 - 1818280n^2 + 440564n - 127008)$$

$$a_1^{(5)} = -162(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2(6261n^8 + 27352n^7 - 543939n^6 + 1168425n^5 - 740209n^4 - 333809n^3 - 454006n^2 - 793981n + 269220)$$

$$a_0^{(5)} = 81(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2(13008n^8 + 20600n^7 - 1607896n^6 + 2420092n^5 + 2017855n^4 + 899112n^3 + 1122697n^2 - 1476508n - 45088)$$

The denominator of  $d_6(t) = 81(-1936 - 1848n - 2673n^2 + 266n^3 + 39n^4)^2$   
 $(-544176 + 2584756n - 3491843n^2 + 6140122n^3 - 3313218n^4 + 5606880n^5 + 360959n^6 - 5794n^7 + 246n^8)^2$

$$a_3^{(6)} = -8n^2(4n + 33)^2(270n^6 - 930n^5 - 59765n^4 - 72316n^3 - 51247n^2 - 34920n + 11920)^2(403481n^{10} + 2480778n^9 - 37969219n^8 - 158119702n^7$$

$$\begin{aligned}
 & - 1100390746n^6 - 216055166n^5 - 1160964773n^4 + 282443786n^3 \\
 & - 329580155n^2 + 172728524n - 35052620)
 \end{aligned}$$

$$\begin{aligned}
 a_2^{(6)} = & 16n^2(4n + 33)^2(270n^6 - 930n^5 - 59765n^4 - 72316n^3 - 51247n^2 - 34920n \\
 & + 11920)^2(169494n^{10} + 14649n^9 - 18830064n^8 + 62828800n^7 \\
 & - 387398843n^6 + 226406803n^5 - 413299018n^4 + 245275527n^3 \\
 & - 138927361n^2 + 67186063n - 4007124)
 \end{aligned}$$

$$\begin{aligned}
 a_1^{(6)} = & 8n^2(4n + 33)^2(270n^6 - 930n^5 - 59765n^4 - 72316n^3 - 51247n^2 - 34920n \\
 & + 11920)^2(474903n^{10} + 4516538n^9 - 11601465n^8 + 104831670n^7 \\
 & + 294141284n^6 - 180768204n^5 + 111338775n^4 - 296355112n^3 \\
 & + 31452859n^2 - 39181768n + 10452012)
 \end{aligned}$$

$$\begin{aligned}
 a_0^{(6)} = & -16n^2(4n + 33)^2(270n^6 - 930n^5 - 59765n^4 - 72316n^3 - 51247n^2 - 34920n \\
 & + 11920)^2(252601n^{10} + 1535932n^9 - 10172760n^8 + 137682333n^7 \\
 & + 130244020n^6 + 208421539n^5 + 143139607n^4 + 2115857n^3 \\
 & + 44003972n^2 - 41200307n + 18745192)
 \end{aligned}$$

The denominator of  $d_7(t) = 4n^2(33 + 4n)^2(11920 - 34920n - 51247n^2 - 72316n^3$

$$\begin{aligned}
 & - 59765n^4 - 930n^5 + 270n^6)^2(-35052620 \\
 & + 172728524n - 329580155n^2 + 282443786n^3 \\
 & - 1160964773n^4 - 216055166n^5 - 1100390746n^6 \\
 & - 158119702n^7 - 37969219n^8 + 2480778n^9 \\
 & + 403481n^{10})^2
 \end{aligned}$$

$$\begin{aligned}
 a_2^{(7)} = & 81(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2(246n^8 - 5794n^7 + 360959n^6 \\
 & + 5606880n^5 - 3313218n^4 + 6140122n^3 - 3491843n^2 + 2584756n \\
 & - 544176)^2(48400755n^{12} + 245803454n^{11} - 4721345357n^{10} \\
 & - 11572421870n^9 - 124324436353n^8 - 146160412422n^7 - 206861074257n^6 \\
 & - 134297550268n^5 - 66775078001n^4 - 24225751096n^3 + 3620403819n^2 \\
 & - 813838328n + 111404496)
 \end{aligned}$$

$$\begin{aligned}
 a_1^{(7)} = & 162(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2(246n^8 - 5794n^7 + 360959n^6 \\
 & + 5606880n^5 - 3313218n^4 + 6140122n^3 - 3491843n^2 + 2584756n
 \end{aligned}$$

$$\begin{aligned}
& - 544176)^2(9127365n^{12} + 43738914n^{11} - 1050600669n^{10} - 2134594907n^9 \\
& - 221052668n^8 + 8764159647n^7 + 11937399782n^6 + 16709700491n^5 \\
& + 4028829086n^4 + 2954840024n^3 - 2598459169n^2 - 405956928n \\
& - 67672272)
\end{aligned}$$

$$\begin{aligned}
a_0^{(7)} = & -81(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2(246n^8 - 5794n^7 + 360959n^6 \\
& + 5606880n^5 - 3313218n^4 + 6140122n^3 - 3491843n^2 + 2584756n \\
& - 544176)^2(59130903n^{12} + 320783028n^{11} - 5921870437n^{10} \\
& - 16668405100n^9 - 117418503841n^8 - 151967821848n^7 - 180213457131n^6 \\
& - 140644288440n^5 - 51131969275n^4 - 32331152680n^3 + 5676560341n^2 \\
& - 2814520288n - 23940048)
\end{aligned}$$

The denominator of  $d_8(t) = 81(-1936 - 1848n - 2673n^2 + 266n^3 + 39n^4)^2$

$$\begin{aligned}
& (-544176 + 2584756n - 3491843n^2 + 6140122n^3 \\
& - 3313218n^4 + 5606880n^5 + 360959n^6 - 5794n^7 \\
& + 246n^8)^2(111404496 - 813838328n \\
& + 3620403819n^2 - 24225751096n^3 - 66775078001n^4 \\
& - 134297550268n^5 - 206861074257n^6 \\
& - 146160412422n^7 - 124324436353n^8 \\
& - 11572421870n^9 - 4721345357n^{10} + 245803454n^{11} \\
& + 48400755n^{12})^2
\end{aligned}$$

$$\begin{aligned}
a_1^{(8)} = & 16n^2(4n + 33)^2(270n^6 - 930n^5 - 59765n^4 - 72316n^3 - 51247n^2 - 34920n \\
& + 11920)^2(403481n^{10} + 2480778n^9 - 37969219n^8 - 158119702n^7 \\
& - 1100390746n^6 - 216055166n^5 - 1160964773n^4 + 282443786n^3 \\
& - 329580155n^2 + 172728524n - 35052620)^2(1462545045n^{14} \\
& - 10472627469n^{13} - 402243294759n^{12} - 1104112693071n^{11} \\
& - 8571517376059n^{10} - 16797900884717n^9 - 22904507347277n^8 \\
& - 22168784110521n^7 - 14235620251809n^6 - 6907194126551n^5 \\
& - 2062300172501n^4 - 196719185377n^3 - 72614586920n^2 \\
& + 4391952n - 226865664)
\end{aligned}$$

$$\begin{aligned}
 a_0^{(8)} = & -16n^2(4n + 33)^2(270n^6 - 930n^5 - 59765n^4 - 72316n^3 - 51247n^2 - 34920n \\
 & + 11920)^2(403481n^{10} + 2480778n^9 - 37969219n^8 - 158119702n^7 \\
 & - 1100390746n^6 - 216055166n^5 - 1160964773n^4 + 282443786n^3 \\
 & - 329580155n^2 + 172728524n - 35052620)^2(682442280n^{14} \\
 & - 13967744415n^{13} - 318617986273n^{12} - 866028050552n^{11} \\
 & - 5973136686946n^{10} - 11470936502501n^9 - 15278417145211n^8 \\
 & - 15018314214172n^7 - 9591556809634n^6 - 5038052836203n^5 \\
 & - 1582742665577n^4 - 286371055374n^3 - 76587929392n^2 \\
 & - 3723242592n - 226865664)
 \end{aligned}$$

Finally, we give the details of  $d_9 = d_9(n) \in \mathbf{Q}$ .

The numerator of  $d_9 = 81(39n^4 + 266n^3 - 2673n^2 - 1848n - 1936)^2$

$$\begin{aligned}
 & (246n^8 - 5794n^7 + 360959n^6 + 5606880n^5 - 3313218n^4 \\
 & + 6140122n^3 - 3491843n^2 + 2584756n - 544176)^2 \\
 & (48400755n^{12} + 245803454n^{11} - 4721345357n^{10} \\
 & - 11572421870n^9 - 124324436353n^8 - 146160412422n^7 \\
 & - 206861074257n^6 - 134297550268n^5 - 66775078001n^4 \\
 & - 24225751096n^3 + 3620403819n^2 - 813838328n \\
 & + 111404496)^2(36591985143n^{15} - 329358176568n^{14} \\
 & - 12543536009205n^{13} - 52547213609708n^{12} \\
 & - 300328073161252n^{11} - 864495115585896n^{10} \\
 & - 1442487093482529n^9 - 1706342509194068n^8 \\
 & - 1467752091940232n^7 - 887326796059424n^6 \\
 & - 391684164932313n^5 - 109950671986036n^4 \\
 & - 10905495292115n^3 - 4664082142496n^2 \\
 & - 93566282832n - 10889551872)
 \end{aligned}$$

The denominator of  $d_9 = 4n(4n + 33)^2(270n^6 - 930n^5 - 59765n^4 - 72316n^3$

$$\begin{aligned}
 & - 51247n^2 - 34920n + 11920)^2(403481n^{10} + 2480778n^9 \\
 & - 37969219n^8 - 158119702n^7 - 1100390746n^6
 \end{aligned}$$



$$\begin{aligned}
& - 216055166n^5 - 1160964773n^4 + 282443786n^3 \\
& - 329580155n^2 + 172728524n - 35052620)^2 \\
& (1462545045n^{14} - 10472627469n^{13} - 402243294759n^{12} \\
& - 1104112693071n^{11} - 8571517376059n^{10} \\
& - 16797900884717n^9 - 22904507347277n^8 \\
& - 22168784110521n^7 - 14235620251809n^6 \\
& - 6907194126551n^5 - 2062300172501n^4 \\
& - 196719185377n^3 - 72614586920n^2 + 4391952n \\
& - 226865664)^2
\end{aligned}$$

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