

## HYPERSURFACES IN EUCLIDEAN SPACES WITH FINITE TOTAL CURVATURE

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### Abstract

We discuss complete noncompact hypersurfaces in the Euclidean space  $\mathbf{R}^{n+1}$  with finite total curvature. We obtain vanishing result and finiteness theorem for the space of  $L^2$  harmonic 2-forms. These results are generalized versions of results for  $L^2$  harmonic 1-forms.

### 1. Introduction

Shen and Zhu [10] showed that a complete stable immersed minimal hypersurface  $M$  in the Euclidean space  $\mathbf{R}^{n+1}$  with finite total curvature is hyperplane. Cheng, Cheung and Zhou [4] proved that a complete weakly stable immersed minimal hypersurface  $M$  in  $\mathbf{R}^{n+1}$  with finite total curvature is hyperplane. Fu and Xu [6] discussed a complete submanifold in  $\mathbf{R}^{n+p}$  and obtained the dimension of the space of the  $L^2$  harmonic 1-forms on  $M$  is finite if  $M$  has finite total curvature (i.e.,  $\|\Phi\|_{L^n} < +\infty$ ) and finite total mean curvature (i.e.,  $\|H\|_{L^n} < +\infty$ ). Carron [2] obtained the dimension of the space of all  $L^2$  harmonic  $p$ -forms is finite if  $M$  has finite total curvature and finite total mean curvature. Cavalcante, Mirandola and Vitória [3] proved that if a complete noncompact submanifold  $M^n$  ( $n \geq 3$ ) isometric immersed in  $\mathbf{R}^{n+p}$  has finite total curvature, then the dimension of the space of the  $L^2$  harmonic 1-forms on  $M$  is finite. Furthermore, they also proved that there exists a positive constant  $\delta(n)$ , depending only on  $n$ , such that if  $\|\Phi\|_{L^n} < \delta(n)$ , then there admits no non-trivial  $L^2$  harmonic 1-form on  $M$ . It was showed in [1] that the space of  $L^2$  harmonic  $p$ -forms is related with reduced  $L^2$  cohomology  $H_2^p(M)$ . The author [12] studied the existence of the symplectic structure and  $L^2$  harmonic 2-forms on complete manifolds by use of the Bochner formula.

In this paper, we discuss a complete noncompact hypersurface  $M^n$  in the Euclidean space  $\mathbf{R}^{n+1}$  with finite total curvature. We obtain vanishing theorem

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and finiteness theorem for hypersurfaces in the Euclidean space with finite total curvature as follows:

**THEOREM 1.1.** *Suppose that  $M^n$  ( $n \geq 3$ ) is an  $n$ -dimensional complete non-compact hypersurface isometrically immersed in  $\mathbf{R}^{n+1}$ . There exists a positive constant  $\delta(n)$  depending only on  $n$  such that if the total curvature  $\|\Phi\|_{L^2(M)}$  is less than  $\delta(n)$ , then there admits no non-trivial  $L^2$  harmonic 2-form on  $M$  and the second space of reduced  $L^2$  cohomology of  $M$  is trivial.*

**THEOREM 1.2.** *Let  $M^n$  ( $n \geq 3$ ) be an  $n$ -dimensional complete noncompact hypersurface isometrically immersed in  $\mathbf{R}^{n+1}$ . If the total curvature is finite, then the dimension of the space of all  $L^2$  harmonic 2-forms and the dimension of the second space of reduced  $L^2$  cohomology of  $M$  are both finite.*

**2. Preliminaries**

We recall several definitions. Let  $M^n$  be an  $n$ -dimensional Riemannian manifold. The Hodge operator  $*$ :  $\bigwedge^p(M) \rightarrow \bigwedge^{n-p}(M)$  is defined as follows:

$$*e^{i_1} \wedge \dots \wedge e^{i_p} = \text{sgn } \sigma(i_1, i_2, \dots, i_n) e^{i_{p+1}} \wedge \dots \wedge e^{i_n},$$

where  $\sigma(i_1, i_2, \dots, i_n)$  denotes a permutation of the set  $(i_1, i_2, \dots, i_n)$  and  $\text{sgn } \sigma$  is the sign of  $\sigma$ . The operator  $d^*$ :  $\bigwedge^p(M) \rightarrow \bigwedge^{p-1}(M)$  is given by

$$d^* \omega = (-1)^{(np+p+1)} * d * \omega.$$

The Laplacian operator is defined by

$$\Delta \omega = -dd^* \omega - d^* d \omega.$$

A  $p$ -form  $\omega$  is called  $L^2$ -harmonic if  $\Delta \omega = 0$  and

$$\int_M \omega \wedge * \omega < +\infty.$$

We denote  $H^p(L^2(M))$  by the space of all  $L^2$  harmonic  $p$ -forms on  $M$ .

Suppose that  $x: M^n \rightarrow \mathbf{R}^{n+1}$  is an isometric immersion of an  $n$ -dimensional hypersurface  $M$  in an  $(n + 1)$ -dimensional Euclidean space. Let  $A$  denote the second fundamental form and  $H$  the mean curvature of the immersion  $x$ . Let

$$\Phi(X, Y) = A(X, Y) - H \langle X, Y \rangle,$$

for all vector fields  $X$  and  $Y$ , where  $\langle , \rangle$  is the induced metric of  $M$ . We say the immersion  $x$  has finite total curvature if

$$\|\Phi\|_{L^2(M)} < +\infty.$$

We state several results which will be used later.

LEMMA 2.1 [8]. *If  $(M^n, g)$  is a Riemannian manifold and  $\omega = a_I \omega_I \in \bigwedge^p(M)$ , then*

$$\Delta|\omega|^2 = 2\langle \Delta\omega, \omega \rangle + 2|\nabla\omega|^2 + 2\langle E(\omega), \omega \rangle,$$

where  $E(\omega) = R_{k\beta i\rho j\alpha i\alpha} a_{i_1 \dots k\beta \dots i_p} e^{i\rho} \wedge \dots \wedge e^{j\alpha} \wedge \dots \wedge e^{i_1}$ .

PROPOSITION 2.2 [1]. *Let  $(M, g)$  is a complete Riemannian manifold, then the space of  $L^2$  harmonic  $p$ -forms  $H^p(L^2(M))$  is isomorphic to the  $p$ -th space of reduced  $L^2$  cohomology  $H_2^p(M)$ .*

PROPOSITION 2.3 [7]. *Let  $M^n$  ( $n \geq 3$ ) be a complete noncompact hypersurface isometrically immersed in  $\mathbf{R}^{n+1}$ . Then*

$$\left( \int_M |f|^{2n/(n-2)} \right)^{(n-2)/n} \leq C_0 \left( \int_M |\nabla f|^2 + n^2 \int_M H^2 f^2 \right)$$

for each  $f \in C_0^1(M)$ , where  $C_0$  depends only on  $n$  and  $H$  is the mean curvature of  $M$  in  $\mathbf{R}^{n+1}$ .

### 3. An inequality for $L^2$ harmonic 2-forms

We initially prove an inequality for  $L^2$  harmonic 2-forms on hypersurfaces in  $\mathbf{R}^{n+1}$ . Suppose  $\omega \in H^2(L^2(M))$  and  $h = |\omega|$ .

PROPOSITION 3.1. *If  $M^n$  ( $n \geq 3$ ) is an  $n$ -dimensional complete noncompact hypersurface isometrically immersed in  $\mathbf{R}^{n+1}$ , then*

$$h\Delta h \geq \begin{cases} |\nabla h|^2 - |\Phi|^2 h^2 + \frac{3}{2} H^2 h^2 & \text{for } n = 3, \\ \frac{1}{n-2} |\nabla h|^2 - \frac{n-2}{2} |\Phi|^2 h^2 + nH^2 h^2 & \text{for } n \geq 4. \end{cases}$$

*Proof.* Since  $\omega \in H^2(L^2(M))$ , we get that

$$(3.1) \quad \Delta|\omega|^2 = 2|\nabla|\omega||^2 + 2|\omega|\Delta|\omega|.$$

Lemma 2.1 implies that

$$(3.2) \quad \Delta|\omega|^2 = 2|\nabla\omega|^2 + 2\langle E(\omega), \omega \rangle.$$

Combining (3.1) with (3.2), we get that

$$(3.3) \quad |\omega|\Delta|\omega| = |\nabla\omega|^2 - |\nabla|\omega||^2 + \langle E(\omega), \omega \rangle.$$

Note that there is the Kato inequality for  $L^2$  harmonic 2-forms [5, 11]:

$$(3.4) \quad |\nabla\omega|^2 \geq \frac{n-1}{n-2} |\nabla|\omega||^2.$$

By (3.3) and (3.4), we get that

$$(3.5) \quad |\omega|\Delta|\omega| \geq \frac{1}{n-2} |\nabla|\omega||^2 + \langle E(\omega), \omega \rangle.$$

Now, we give the estimate of the term  $\langle E(\omega), \omega \rangle$ . Let  $\omega = a_{i_1 i_2} e^{i_2} \wedge e^{i_1} \in \bigwedge^2(M)$ , where  $a_{i_1 i_2} = -a_{i_2 i_1}$ . By Lemma 2.1, we obtain that

$$\begin{aligned} E(\omega) &= R_{k_1 i_1 j_1 i_1} a_{k_1 i_2} e^{i_2} \wedge e^{j_1} + R_{k_2 i_2 j_2 i_2} a_{i_1 k_2} e^{j_2} \wedge e^{i_1} \\ &\quad + R_{k_2 i_2 j_1 i_1} a_{i_1 k_2} e^{i_2} \wedge e^{j_1} + R_{k_1 i_1 j_2 i_2} a_{k_1 i_2} e^{j_2} \wedge e^{i_1} \\ &= Ric_{k_1 j_1} a_{k_1 i_2} e^{i_2} \wedge e^{j_1} + Ric_{k_2 j_2} a_{i_1 k_2} e^{j_2} \wedge e^{i_1} \\ &\quad + R_{k_2 i_2 j_1 i_1} a_{i_1 k_2} e^{i_2} \wedge e^{j_1} + R_{k_1 i_1 j_2 i_2} a_{k_1 i_2} e^{j_2} \wedge e^{i_1}. \end{aligned}$$

So, we have that

$$(3.6) \quad \begin{aligned} \langle E(\omega), \omega \rangle &= Ric_{k_1 j_1} a_{k_1 i_2} a_{j_1 i_2} + Ric_{k_2 j_2} a_{i_1 k_2} a_{i_1 j_2} \\ &\quad + R_{k_2 i_2 j_1 i_1} a_{i_1 k_2} a_{j_1 i_2} + R_{k_1 i_1 j_2 i_2} a_{k_1 i_2} a_{i_1 j_2}. \end{aligned}$$

By Gauss equation, we have that

$$R_{ijkl} = h_{ik}h_{jl} - h_{il}h_{jk}.$$

A direct computation shows that

$$(3.7) \quad Ric_{k_1 j_1} = nHh_{k_1 j_1} - h_{k_1 i}h_{ij_1};$$

$$(3.8) \quad Ric_{k_2 j_2} = nHh_{k_2 j_2} - h_{k_2 i}h_{ij_2};$$

$$(3.9) \quad R_{k_2 i_2 j_1 i_1} = h_{k_2 j_1}h_{i_2 i_1} - h_{k_2 i_1}h_{i_2 j_1}$$

and

$$(3.10) \quad R_{k_1 i_1 j_2 i_2} = h_{k_1 j_2}h_{i_1 i_2} - h_{k_1 i_2}h_{i_1 j_2}.$$

Since the operator is linear  $\langle E(\omega), \omega \rangle$  and zero-th order differential operator, it is sufficient to compute  $\langle E(\omega), \omega \rangle$  at a point  $p$ . We can choose an orthonormal frame  $\{e_i\}$  such that

$$h_{ij} = \lambda_i \delta_{ij}$$

at  $p$ . Note that

$$nH = \lambda_1 + \dots + \lambda_n.$$

By (3.6)–(3.10), we obtain that

$$\begin{aligned} \langle E(\omega), \omega \rangle &= \sum nH\lambda_{k_1}(a_{k_1i_2})^2 - \sum \lambda_{k_1}^2(a_{k_1i_2})^2 \\ &\quad + \sum nH\lambda_{k_2}(a_{i_1k_2})^2 - \sum \lambda_{k_2}^2(a_{i_1k_2})^2 \\ &\quad - \sum \lambda_{k_2\lambda_{i_2}}(a_{k_2i_2})^2 - \sum \lambda_{j_2\lambda_{i_2}}(a_{j_2i_2})^2 \\ &= 2 \sum_{i \neq j} ((\lambda_1 + \cdots + \lambda_n)\lambda_i - \lambda_i^2 - \lambda_i\lambda_j)(a_{ij})^2. \end{aligned}$$

Note that

$$|A|^2 = |\Phi|^2 + nH^2.$$

For  $n = 3$ , we get that

$$\begin{aligned} (3.11) \quad \langle E(\omega), \omega \rangle &= 2 \sum_{i \neq j} ((\lambda_1 + \lambda_2 + \lambda_3)\lambda_i - \lambda_i^2 - \lambda_i\lambda_j)(a_{ij})^2 \\ &= \sum_{i \neq j} ((\lambda_1 + \lambda_2 + \lambda_3)(\lambda_i + \lambda_j) - (\lambda_i^2 + \lambda_j^2) - 2\lambda_i\lambda_j)(a_{ij})^2 \\ &= \sum_{i \neq j} \left( \frac{1}{2}(3H)^2 - \frac{1}{2} \sum_{k=1, k \neq i, j}^3 \lambda_k^2 - \frac{1}{2}(\lambda_i + \lambda_j)^2 \right) (a_{ij})^2 \\ &\geq \sum_{i \neq j} \left( \frac{1}{2}(3H)^2 - \frac{1}{2} \sum_{k=1, k \neq i, j}^3 \lambda_k^2 - (\lambda_i^2 + \lambda_j^2) \right) (a_{ij})^2 \\ &\geq \sum_{i \neq j} \left( \frac{9}{2}H^2 - |A|^2 \right) (a_{ij})^2 = \left( \frac{3}{2}H^2 - |\Phi|^2 \right) |\omega|^2. \end{aligned}$$

For  $n \geq 4$ , we have that

$$\begin{aligned} (3.12) \quad \langle E(\omega), \omega \rangle &= 2 \sum_{i \neq j} ((\lambda_1 + \cdots + \lambda_n)\lambda_i - \lambda_i^2 - \lambda_i\lambda_j)(a_{ij})^2 \\ &= \sum_{i \neq j} ((\lambda_1 + \cdots + \lambda_n)(\lambda_i + \lambda_j) - (\lambda_i^2 + \lambda_j^2) - 2\lambda_i\lambda_j)(a_{ij})^2 \\ &= \sum_{i \neq j} ((\lambda_1 + \cdots + \widehat{\lambda}_i + \cdots + \widehat{\lambda}_j + \cdots + \lambda_n)(\lambda_i + \lambda_j))(a_{ij})^2 \\ &= \sum_{i \neq j} \left( \frac{1}{2}(nH)^2 - \frac{1}{2} \left( \sum_{k=1, k \neq i, j}^n \lambda_k \right)^2 - \frac{1}{2}(\lambda_i + \lambda_j)^2 \right) (a_{ij})^2 \end{aligned}$$

$$\begin{aligned} &\geq \sum_{i \neq j} \left( \frac{1}{2} (nH)^2 - \frac{n-2}{2} \left( \sum_{k=1, k \neq i, j}^n \lambda_k^2 \right) - (\lambda_i^2 + \lambda_j^2) \right) (a_{ij})^2 \\ &\geq \sum_{i \neq j} \left( \frac{1}{2} (nH)^2 - \frac{n-2}{2} |A|^2 \right) (a_{ij})^2 \\ &= \left( nH^2 - \frac{n-2}{2} |\Phi|^2 \right) |\omega|^2. \end{aligned}$$

By (3.5), (3.11) and (3.12), we obtain the desired result. □

**4. Vanishing theorem on hypersurfaces in  $\mathbf{R}^{n+1}$**

In this section, we give the proof of Theorem 1.1. If  $\eta$  is a compactly supported piecewise smooth function on  $M$ , then

$$\operatorname{div}(\eta^2 h \nabla h) = \eta^2 h \Delta h + \eta^2 |\nabla h|^2 + 2\eta h \langle \nabla \eta, \nabla h \rangle.$$

Integrating by parts on  $M$ , we have that

$$(4.1) \quad \int_M \eta^2 h \Delta h + \int_M \eta^2 |\nabla h|^2 + 2 \int_M \eta h \langle \nabla \eta, \nabla h \rangle = 0.$$

CASE I:  $n = 3$ . By Proposition 3.1 and (4.1), we obtain that

$$(4.2) \quad -2 \int_M \eta h \langle \nabla \eta, \nabla h \rangle - 2 \int_M \eta^2 |\nabla h|^2 + \int_M |\Phi|^2 \eta^2 h^2 - \frac{3}{2} \int_M H^2 h^2 \eta^2 \geq 0.$$

Note that

$$(4.3) \quad -2 \int_M \eta h \langle \nabla \eta, \nabla h \rangle \leq a_1 \int_M \eta^2 |\nabla h|^2 + \frac{1}{a_1} \int_M h^2 |\nabla \eta|^2,$$

for any positive real number  $a_1$ . Set  $\phi_1(\eta) := \left( \int_{\operatorname{Supp} \eta} |\Phi|^3 \right)^{1/3}$ . Then

$$\begin{aligned} (4.4) \quad \int_M |\Phi|^2 \eta^2 h^2 &\leq \left( \int_{\operatorname{Supp} \eta} (|\Phi|^2)^{3/2} \right)^{2/3} \cdot \left( \int_M (\eta^2 h^2)^3 \right)^{1/3} \\ &= \phi_1(\eta)^2 \cdot \left( \int_M (\eta h)^6 \right)^{1/3} \\ &\leq C_0 \phi_1(\eta)^2 \cdot \left( \int_M |\nabla(\eta h)|^2 + 9 \int_M H^2 (\eta h)^2 \right) \\ &\leq C_0 \phi_1(\eta)^2 \cdot \left( \left( 1 + \frac{1}{b_1} \right) \int_M h^2 |\nabla \eta|^2 \right. \\ &\quad \left. + (1 + b_1) \int_M \eta^2 |\nabla h|^2 + 9 \int_M H^2 (\eta h)^2 \right), \end{aligned}$$

for any positive real number  $b_1$ , where the second inequality holds because of Proposition 2.3. By (4.2)–(4.4), we obtain that

$$(4.5) \quad \mathcal{A}_1 \int_M \eta^2 |\nabla h|^2 + \mathcal{B}_1 \int_M H^2 \eta^2 h^2 \leq \mathcal{C}_1 \int_M h^2 |\nabla \eta|^2,$$

where

$$\begin{aligned} \mathcal{A}_1 &:= (2 - C_0 \phi_1(\eta)^2) - (a_1 + b_1 C_0 \phi_1(\eta)^2), \\ \mathcal{B}_1 &:= \frac{3}{2} - 9C_0 \phi_1(\eta)^2 \end{aligned}$$

and

$$\mathcal{C}_1 := \frac{1}{a_1} + C_0 \phi_1(\eta)^2 \left(1 + \frac{1}{b_1}\right).$$

Since the total curvature  $\|\Phi\|_{L^3(M)}$  is less than  $\delta(3) = \sqrt{\frac{1}{6C_0}}$ ,  $\mathcal{B}_1$  and  $\mathcal{C}_1$  are positive. Choose  $a_1$  and  $b_1$  small enough such that  $\mathcal{A}_1$  is positive. Suppose  $B_r$  is a geodesic ball of radius  $r$  on  $M$  centered at a fixed point  $p_0$ . Choose  $\eta \in C_0^\infty(M)$  such that

$$\begin{cases} 0 \leq \eta \leq 1, \\ \eta \equiv 1 & \text{on } B\left(\frac{r}{2}\right), \\ \eta \equiv 0 & \text{on } M \setminus B(r), \\ |\nabla \eta| \leq \frac{2}{r}. \end{cases}$$

So (4.5) reduces to

$$\mathcal{A}_1 \int_M \eta^2 |\nabla h|^2 + \mathcal{B}_1 \int_M H^2 \eta^2 h^2 \leq \frac{4\mathcal{C}_1}{r} \int_M h^2.$$

Since  $\int_M h^2$  is finite, taking  $r \rightarrow +\infty$ , we obtain that  $h$  is constant and  $H^2 h^2 = 0$ . If  $h \neq 0$ , then  $H = 0$ . Hence,  $M$  has infinite volume, contradicting the finiteness of  $\int_M h^2$ . Therefore,  $h = 0$ .

CASE II:  $n \geq 4$ . By Proposition 3.1 and (4.1), we get that

$$(4.6) \quad \begin{aligned} & -2 \int_M \eta h \langle \nabla \eta, \nabla h \rangle - \frac{n-1}{n-2} \int_M \eta^2 |\nabla h|^2 \\ & + \frac{n-2}{2} \int_M |\Phi|^2 \eta^2 h^2 - n \int_M H^2 h^2 \eta^2 \geq 0. \end{aligned}$$

Note that

$$(4.7) \quad -2 \int_M \eta h \langle \nabla \eta, \nabla h \rangle \leq a_2 \int_M \eta^2 |\nabla h|^2 + \frac{1}{a_2} \int_M h^2 |\nabla \eta|^2,$$

for any positive real number  $a_2$ . We set  $\phi_2(\eta) := (\int_{\text{Supp } \eta} |\Phi|^n)^{1/n}$  and get

$$(4.8) \quad \begin{aligned} \int_M |\Phi|^2 \eta^2 h^2 &\leq \left( \int_{\text{Supp } \eta} (|\Phi|^2)^{n/2} \right)^{2/n} \cdot \left( \int_M (\eta^2 h^2)^{n/(n-2)} \right)^{(n-2)/n} \\ &= \phi_2(\eta)^2 \cdot \left( \int_M (\eta h)^{2n/(n-2)} \right)^{(n-2)/n} \\ &\leq C_0 \phi_2(\eta)^2 \cdot \left( \int_M |\nabla(\eta h)|^2 + n^2 \int_M H^2(\eta h)^2 \right) \\ &\leq C_0 \phi_2(\eta)^2 \cdot \left( \int_M \left( 1 + \frac{1}{b_2} \right) h^2 |\nabla \eta|^2 \right. \\ &\quad \left. + (1 + b_2) \eta^2 |\nabla h|^2 + n^2 \int_M H^2(\eta h)^2 \right), \end{aligned}$$

for any positive real number  $b_2$ , where the second inequality holds because of Proposition 2.3. By (4.6)–(4.8), we have that

$$(4.9) \quad \mathcal{A}_2 \int_M \eta^2 |\nabla h|^2 + \mathcal{B}_2 \int_M H^2 \eta^2 h^2 \leq \mathcal{C}_2 \int_M h^2 |\nabla \eta|^2,$$

where

$$\mathcal{A}_2 := \left( \frac{n-1}{n-2} - \frac{n-2}{2} C_0 \phi_2(\eta)^2 \right) - \left( a_2 + \frac{n-2}{2} b_2 C_0 \phi_2(\eta)^2 \right),$$

$$\mathcal{B}_2 := n - \frac{n^2(n-2)}{2} C_0 \phi_2(\eta)^2$$

and

$$\mathcal{C}_2 := \frac{1}{a_2} + \frac{n-2}{2} \left( 1 + \frac{1}{b_2} \right) C_0 \phi_2(\eta)^2.$$

Since the total curvature  $\|\Phi\|_{L^n(M)}$  is less than  $\delta(n) = \sqrt{\frac{2}{n(n-2)C_0}}$ , we have  $\mathcal{B}_2$  and  $\mathcal{C}_2$  are positive. Choose  $a_2$  and  $b_2$  small enough such that  $\mathcal{A}_2$  is positive. Let  $B_r$  be a geodesic ball of radius  $r$  on  $M$  centered at a fixed point  $p_0$ . Choose  $\eta \in C_0^\infty(M)$  such that



$$\begin{cases} 0 \leq \eta \leq 1, \\ \eta \equiv 1 & \text{on } B\left(\frac{r}{2}\right), \\ \eta \equiv 0 & \text{on } M \setminus B(r), \\ |\nabla \eta| \leq \frac{2}{r}. \end{cases}$$

Let  $r \rightarrow +\infty$  in (4.9). We obtain that  $h = 0$ , which is similar to Case I.

Therefore, there admits no nontrivial  $L^2$ -harmonic 2-form on  $M$ . By Corollary 1.6 in [1], we get that the second space of reduced  $L^2$  cohomology of  $M$  is trivial.

### 5. Finiteness theorem on hypersurfaces in $\mathbf{R}^{n+1}$

In this section, we prove Theorem 1.2.

Suppose  $n = 3$ . By (4.5), we obtain that

$$(5.1) \quad \mathcal{A}_1 \int_M \eta^2 |\nabla h|^2 + \mathcal{B}_1 \int_M H^2 \eta^2 h^2 \leq \mathcal{C}_1 \int_M h^2 |\nabla \eta|^2,$$

where

$$\begin{aligned} \mathcal{A}_1 &:= (2 - C_0 \phi_1(\eta)^2) - (a_1 + b_1 C_0 \phi_1(\eta)^2), \\ \mathcal{B}_1 &:= \frac{3}{2} - 9C_0 \phi_1(\eta)^2 \end{aligned}$$

and

$$\mathcal{C}_1 := \frac{1}{a_1} + C_0 \phi_1(\eta)^2 \left(1 + \frac{1}{b_1}\right).$$

Since the total curvature  $\|\Phi\|_{L^3(M)}$  is finite, we can choose a fixed  $r_0$  such that

$$\|\Phi\|_{L^3(M-B_{r_0})} < \delta_1 = \sqrt{\frac{1}{12C_0}}.$$

Set

$$\begin{aligned} \tilde{\mathcal{A}}_1 &:= (2 - C_0 \delta_1^2) - (a_1 + b_1 C_0 \delta_1^2), \\ \tilde{\mathcal{B}}_1 &:= \frac{3}{2} - 9C_0 \delta_1^2 \end{aligned}$$

and

$$\tilde{\mathcal{C}}_1 := \frac{1}{a_1} + C_0 \delta_1^2 \left(1 + \frac{1}{b_1}\right).$$

Thus,

$$(5.2) \quad \tilde{\mathcal{A}}_1 \int_M \eta^2 |\nabla h|^2 + \tilde{\mathcal{B}}_1 \int_M H^2 \eta^2 h^2 \leq \tilde{\mathcal{C}}_1 \int_M h^2 |\nabla \eta|^2,$$

for any  $\eta \in C_0^\infty(M - B_{r_0})$ , where  $\tilde{\mathcal{A}}_1$ ,  $\tilde{\mathcal{B}}_1$  and  $\tilde{\mathcal{C}}_1$  are positive. By Proposition 2.3, we have

$$(5.3) \quad \begin{aligned} \frac{1}{C_0} \left( \int_M (\eta h)^6 \right)^{1/3} &\leq \int_M |\nabla(\eta h)|^2 + 9 \int_M H^2 (\eta h)^2 \\ &\leq \left( 1 + \frac{1}{c_1} \right) \int_M h^2 |\nabla \eta|^2 \\ &\quad + (1 + c_1) \int_M \eta^2 |\nabla h|^2 + 9 \int_M H^2 (\eta h)^2, \end{aligned}$$

for any positive real number  $c_1$ . By (5.2) and (5.3), we have

$$(5.4) \quad \begin{aligned} \frac{1}{C_0} \left( \int_M (\eta h)^6 \right)^{1/3} &\leq \left( 1 + \frac{1}{c_1} \right) \int_M h^2 |\nabla \eta|^2 + (1 + c_1) \int_M \eta^2 |\nabla h|^2 + 9 \int_M H^2 (\eta h)^2 \\ &\leq \left( 1 + \frac{1}{c_1} + (1 + c_1) \frac{\tilde{\mathcal{C}}_1}{\tilde{\mathcal{A}}_1} \right) \int_M h^2 |\nabla \eta|^2 + \left( 9 - (1 + c_1) \frac{\tilde{\mathcal{B}}_1}{\tilde{\mathcal{A}}_1} \right) \int_M H^2 \eta^2 h^2. \end{aligned}$$

Choose a sufficient large  $c_1$  such that

$$9 - (1 + c_1) \frac{\tilde{\mathcal{B}}_1}{\tilde{\mathcal{A}}_1} < 0.$$

Then (5.4) implies that

$$(5.5) \quad \left( \int_M (\eta h)^6 \right)^{1/3} \leq \tilde{A} \int_M h^2 |\nabla \eta|^2,$$

for any  $\eta \in C_0^\infty(M - B_{r_0})$ , where  $\tilde{A}$  is a positive constant.

Suppose  $n \geq 4$ . By (4.9), we get

$$(5.6) \quad \mathcal{A}_2 \int_M \eta^2 |\nabla h|^2 + \mathcal{B}_2 \int_M H^2 \eta^2 h^2 \leq \mathcal{C}_2 \int_M h^2 |\nabla \eta|^2,$$

where

$$\begin{aligned} \mathcal{A}_2 &:= \left( \frac{n-1}{n-2} - \frac{n-2}{2} C_0 \phi_2(\eta)^2 \right) - \left( a_2 + \frac{n-2}{2} b_2 C_0 \phi_2(\eta)^2 \right), \\ \mathcal{B}_2 &:= n - \frac{n^2(n-2)}{2} C_0 \phi_2(\eta)^2 \end{aligned}$$

and

$$\mathcal{C}_2 := \frac{1}{a_2} + \frac{n-2}{2} \left(1 + \frac{1}{b_2}\right) C_0 \phi_2(\eta)^2.$$

Since the total curvature  $\|\Phi\|_{L^n(M)}$  is finite, we can choose a fixed  $r_0$  such that

$$\begin{aligned} \|\Phi\|_{L^n(M-B_{r_0})} &< \delta_2 = \sqrt{\frac{1}{n(n-2)C_0}}. \\ \tilde{\mathcal{A}}_2 &:= \left(\frac{n-1}{n-2} - \frac{n-2}{2} C_0 \delta_2^2\right) - \left(a_2 + \frac{n-2}{2} b_2 C_0 \delta_2^2\right), \\ \tilde{\mathcal{B}}_2 &:= n - \frac{n^2(n-2)}{2} C_0 \delta_2^2 \end{aligned}$$

and

$$\tilde{\mathcal{C}}_2 := \frac{1}{a_2} + \frac{n-2}{2} \left(1 + \frac{1}{b_2}\right) C_0 \delta_2^2.$$

Obviously,  $\tilde{\mathcal{A}}_2$ ,  $\tilde{\mathcal{B}}_2$  and  $\tilde{\mathcal{C}}_2$  are positive. Thus,

$$(5.7) \quad \tilde{\mathcal{A}}_2 \int_M \eta^2 |\nabla h|^2 + \tilde{\mathcal{B}}_2 \int_M H^2 \eta^2 h^2 \leq \tilde{\mathcal{C}}_2 \int_M h^2 |\nabla \eta|^2,$$

for any  $\eta \in C_0^\infty(M - B_{r_0})$ . Combining with Proposition 2.3, we get that

$$\begin{aligned} (5.8) \quad &\frac{1}{C_0} \left(\int_M |\eta h|^{2n/(n-2)}\right)^{(n-2)/n} \\ &\leq \int_M |\nabla(\eta h)|^2 + n^2 \int_M H^2 (\eta h)^2 \\ &\leq (1 + c_2) \int_M \eta^2 |\nabla h|^2 + \left(1 + \frac{1}{c_2}\right) \int_M h^2 |\nabla \eta|^2 + n^2 \int_M H^2 \eta^2 h^2, \end{aligned}$$

for any positive real number  $c_2$ . By (5.7) and (5.8), we have

$$\begin{aligned} (5.9) \quad &\frac{1}{C_0} \left(\int_M |\eta h|^{2n/(n-2)}\right)^{(n-2)/n} \leq \left(1 + \frac{1}{c_2} + (1 + c_2) \frac{\tilde{\mathcal{C}}_2}{\tilde{\mathcal{A}}_2}\right) \int_M h^2 |\nabla \eta|^2 \\ &\quad + \left(n^2 - (1 + c_2) \frac{\tilde{\mathcal{B}}_2}{\tilde{\mathcal{A}}_2}\right) \int_M H^2 \eta^2 h^2. \end{aligned}$$

We choose a sufficient large  $c_2$  such that

$$n^2 - (1 + c_2) \frac{\tilde{\mathcal{B}}_2}{\tilde{\mathcal{A}}_2} < 0.$$

Then (5.9) implies that

$$(5.10) \quad \left( \int_M (\eta h)^{2n/(n-2)} \right)^{(n-2)/n} \leq \tilde{A} \int_M h^2 |\nabla \eta|^2,$$

for any  $\eta \in C_0^\infty(M - B_{r_0})$ , where  $\tilde{A}$  is a positive constant depending only on  $n$ . Therefore, we show that

$$(5.11) \quad \left( \int_M (\eta h)^{2n/(n-2)} \right)^{(n-2)/n} \leq \tilde{A} \int_M h^2 |\nabla \eta|^2,$$

for any  $\eta \in C_0^\infty(M - B_{r_0})$ , where  $\tilde{A}$  is a positive constant depending only on  $n$  ( $n \geq 3$ ).

Next, the proof follows standard techniques (after inequality (33) in [3]) and uses a Moser iteration argument (lemma 11 in [9]). We include a proof here for the sake of completeness. Choose  $r > r_0 + 1$  and  $\eta \in C_0^\infty(M - B_{r_0})$  such that

$$\begin{cases} \eta = 0 & \text{on } B_{r_0} \cup (M - B_{2r}), \\ \eta = 1 & \text{on } B_r - B_{r_0+1}, \\ |\nabla \eta| < \tilde{c} & \text{on } B_{r_0+1} - B_{r_0}, \\ |\nabla \eta| \leq \tilde{c}r^{-1} & \text{on } B_{2r} - B_r, \end{cases}$$

for some positive constant  $\tilde{c}$ . Then (5.11) becomes that

$$\left( \int_{B_r - B_{r_0+1}} h^{2n/(n-2)} \right)^{(n-2)/n} \leq \tilde{A} \int_{B_{r_0+1} - B_{r_0}} h^2 + \frac{\tilde{A}}{r^2} \int_{B_{2r} - B_r} h^2.$$

Letting  $r \rightarrow \infty$  and noting that  $h \in L^2(M)$ , we obtain that

$$(5.12) \quad \left( \int_{M - B_{r_0+1}} h^{2n/(n-2)} \right)^{(n-2)/n} \leq \tilde{A} \int_{B_{r_0+1} - B_{r_0}} h^2.$$

By Hölder inequality

$$\int_{B_{r_0+2} - B_{r_0+1}} h^2 \leq \left( \int_{B_{r_0+2} - B_{r_0+1}} h^{2n/(n-2)} \right)^{(n-2)/n} \cdot \left( \int_{B_{r_0+2} - B_{r_0+1}} 1^{n/2} \right)^{2/n},$$

we have that

$$(5.13) \quad \int_{B_{r_0+2}} h^2 \leq (1 + \tilde{A} \text{Vol}(B_{r_0+2})^{2/n}) \int_{B_{r_0+1}} h^2.$$

Set

$$\Psi = \begin{cases} \left| |\Phi|^2 - \frac{3}{2} H^2 \right|, & \text{for } n = 3, \\ \left| \frac{n-2}{2} |\Phi|^2 - n H^2 \right|, & \text{for } n \geq 4. \end{cases}$$

Fix  $x \in M$  and take  $\tau \in C_0^1(B_1(x))$ . By Proposition 3.1, we have

$$h\Delta h \geq \alpha|\nabla h|^2 - \Psi h^2,$$

where

$$\alpha = \begin{cases} \frac{1}{2}, & \text{for } n = 3, \\ \frac{1}{n-2}, & \text{for } n \geq 4. \end{cases}$$

Then, for  $p > 2$ , there exists

$$\int_M \tau^2 h^{p-1} \Delta h \geq \alpha \int_M \tau^2 h^{p-2} |\nabla h|^2 - \int_M \tau^2 \Psi h^p.$$

That is,

$$(5.14) \quad -2 \int_{B_1(x)} \tau h^{p-1} \langle \nabla \tau, \nabla h \rangle \geq (\alpha + (p-1)) \int_{B_1(x)} \tau^2 h^{p-2} |\nabla h|^2 - \int_{B_1(x)} \tau^2 \Psi h^p.$$

Note that

$$\begin{aligned} -2\tau h^{p-1} \langle \nabla \tau, \nabla h \rangle &= -2 \langle h^{p/2} \nabla \tau, \tau h^{p/2-1} \nabla h \rangle \\ &\leq \frac{1}{\alpha} h^p |\nabla \tau|^2 + \alpha \tau^2 h^{p-2} |\nabla h|^2. \end{aligned}$$

By (5.14), we have that

$$(5.15) \quad (p-1) \int_{B_1(x)} \tau^2 h^{p-2} |\nabla h|^2 \leq \int_{B_1(x)} \Psi \tau^2 h^p + \frac{1}{\alpha} \int_{B_1(x)} |\nabla \tau|^2 h^p.$$

Combining Cauchy-Schwarz inequality with (5.15), we get that

$$(5.16) \quad \int_{B_1(x)} |\nabla(\tau h^{p/2})|^2 \leq \int_{B_1(x)} \mathcal{A} \Psi \tau^2 h^p + \mathcal{B} |\nabla \tau|^2 h^p,$$

where  $\mathcal{A} = \frac{1}{p-1} \left( \frac{p^2}{4} + \frac{p}{2} \right)$  and  $\mathcal{B} = \left( 1 + \frac{p}{2} \right) + \frac{1}{\alpha(p-1)} \left( \frac{p^2}{4} + \frac{p}{2} \right)$ . Choose  $f = \tau h^{p/2}$  in Proposition 2.3. By (5.16), we obtain that

$$(5.17) \quad \left( \int_{B_1(x)} (\tau h^{p/2})^{2n/(n-2)} \right)^{(n-2)/2} \leq p \mathcal{C} \int_{B_1(x)} (\tau^2 + |\nabla \tau|^2) h^p,$$

where  $\mathcal{C}$  depends on  $n$  and  $\sup_{B_1(x)} \Psi$ . Set  $p_k = \frac{2n^k}{(n-2)^k}$  and  $\rho_k = \frac{1}{2} + \frac{1}{2^{k+1}}$  for  $k = 0, 1, 2, \dots$ . Take a function  $\tau_k \in C_0^\infty(B_{\rho_k(x)})$  satisfying:

$$\begin{cases} 0 \leq \tau_k \leq 1, \\ \tau_k = 1 \text{ on } B_{\rho_{k+1}}(x), \\ |\nabla \tau_k| \leq 2^{k+3}. \end{cases}$$

Choosing  $p = p_k$  and  $\tau = \tau_k$  in (5.17), we obtain that

$$(5.18) \quad \left( \int_{B_{\rho_{k+1}}(x)} h^{p_{k+1}} \right)^{1/(p_{k+1})} \leq (\mathcal{C} p_k 4^{k+4})^{1/p_k} \left( \int_{B_{\rho_k}(x)} h^{p_k} \right)^{1/p_k}.$$

By recurrence, we have

$$(5.19) \quad \|h\|_{L^{p_{k+1}}(B_{1/2}(x))} \leq \prod_{i=0}^k p_i^{1/p_i} 4^{i/p_i} (\mathcal{C} 4^4)^{1/p_i} \|h\|_{L^2(B_1(x))} \leq \mathcal{D} \|h\|_{L^2(B_1(x))},$$

where  $\mathcal{D}$  is a positive constant depending only on  $n$ ,  $Vol(B_{r_0+2})$  and  $\sup_{B_{r_0+2}} \Psi$ . Letting  $k \rightarrow \infty$ , we get

$$(5.20) \quad \|h\|_{L^\infty(B_{1/2}(x))} \leq \mathcal{D} \|h\|_{L^2(B_1(x))}.$$

Now, choose  $y \in \bar{B}_{r_0+1}$  such that  $\sup_{B_{r_0+1}} h^2 = h(y)^2$ . Note that  $B_1(y) \subset B_{r_0+2}$ . (5.20) implies that

$$(5.21) \quad \sup_{B_{r_0+1}} h^2 \leq \mathcal{D} \|h\|_{L^2(B_1(y))}^2 \leq \mathcal{D} \|h\|_{L^2(B_{r_0+2})}^2.$$

By (5.13), we have

$$(5.22) \quad \sup_{B_{r_0+1}} h^2 \leq \mathcal{F} \|h\|_{L^2(B_{r_0+1})}^2,$$

where  $\mathcal{F}$  depends only on  $n$ ,  $Vol(B_{r_0+2})$  and  $\sup_{B_{r_0+2}} \Psi$ . In order to show the finiteness of the dimension of  $H^2(L^2(M))$ , it suffices to prove that the dimension of any finite dimensional subspaces of  $H^2(L^2(M))$  is bounded above by a fixed constant. Combining (5.22) with Lemma 11 in [9], we show that  $\dim H^2(L^2(M)) < +\infty$ . By Proposition 2.2, we have that the dimension of the second space of reduced  $L^2$  cohomology of  $M$  is finite.

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