

## EXISTENCE OF SUPERCRITICAL PASTING ARCS FOR TWO SHEETED SPHERES

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### Abstract

Take e.g. two disjoint nondegenerate compact continua  $A$  and  $B$  in the complex plane  $\mathbf{C}$  with connected complements and pick a simple arc  $\gamma$  in the complex sphere  $\hat{\mathbf{C}}$  disjoint from  $A \cup B$ , which we call a pasting arc for  $A$  and  $B$ . Construct a covering Riemann surface  $\hat{\mathbf{C}}_\gamma$  over  $\hat{\mathbf{C}}$  by pasting two copies of  $\hat{\mathbf{C}} \setminus \gamma$  crosswise along  $\gamma$ . We embed  $A$  in one sheet and  $B$  in another sheet of two sheets of  $\hat{\mathbf{C}}_\gamma$  which are copies of  $\hat{\mathbf{C}} \setminus \gamma$  so that  $\mathbf{C}_\gamma \setminus A \cup B$  is understood as being obtained by pasting  $(\hat{\mathbf{C}} \setminus A) \setminus \gamma$  with  $(\hat{\mathbf{C}} \setminus B) \setminus \gamma$  crosswise along  $\gamma$ . In the comparison of the variational 2 capacity  $\text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B)$  of the compact set  $A$  considered in the open set  $\hat{\mathbf{C}}_\gamma \setminus B$  with the corresponding  $\text{cap}(A, \hat{\mathbf{C}} \setminus B)$ , we say that the pasting arc  $\gamma$  for  $A$  and  $B$  is subcritical, critical, or supercritical according as  $\text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B)$  is less than, equal to, or greater than  $\text{cap}(A, \hat{\mathbf{C}} \setminus B)$ , respectively. We have shown in our former paper [4] the existence of pasting arc  $\gamma$  of any one of the above three types but that of supercritical and critical type was only shown under the additional requirement on  $A$  and  $B$  that  $A$  and  $B$  are symmetric about a common straight line simultaneously. The purpose of the present paper is to show that in the above mentioned result the additional symmetry assumption is redundant: we will show the existence of supercritical and hence of critical arc  $\gamma$  starting from an arbitrarily given point in  $\hat{\mathbf{C}} \setminus A \cup B$  for any general admissible pair of  $A$  and  $B$  without any further requirement whatsoever.

### 1. Introduction

A nonempty compact subset  $A$  of the complex plane  $\mathbf{C}$  will be referred to as an *admissible* compact subset if  $\hat{\mathbf{C}} \setminus A$  is a regular subregion of the complex sphere  $\hat{\mathbf{C}} = \mathbf{C} \cup \{\infty\}$ , i.e. a relatively compact and connected open subset of  $\hat{\mathbf{C}}$  whose relative boundary  $\partial(\hat{\mathbf{C}} \setminus A)$  consists of a finite number of disjoint analytic Jordan curves. Thus an admissible  $A$  may or may not be connected and in general it consists of a finite number of connected components which themselves are also admissible. If  $B$  is another admissible compact subset of  $\mathbf{C}$  disjoint from  $A$ , then  $A \cup B$  is again admissible. For a pair of two disjoint admissible compact subsets

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$A$  and  $B$  in  $\mathbf{C}$ , a simple arc  $\gamma$  in  $\hat{\mathbf{C}} \setminus A \cup B$  will be referred to as a *pastings arc* for  $A$  and  $B$  since we will paste  $(\hat{\mathbf{C}} \setminus A) \setminus \gamma$  with  $(\hat{\mathbf{C}} \setminus B) \setminus \gamma$  crosswise along  $\gamma$ . In general consider two subregions  $R$  and  $S$  in  $\hat{\mathbf{C}}$  and a simple arc  $\gamma$  in  $R \cap S$ . We will use (cf. [5]) the convenient notation  $(R \setminus \gamma) \times_{|\gamma} (S \setminus \gamma)$  for the Riemann surface obtained from  $R$  and  $S$  by pasting  $R \setminus \gamma$  with  $S \setminus \gamma$  crosswise along  $\gamma$ . For a pair of two disjoint admissible compact subsets  $A$  and  $B$  in  $\mathbf{C}$  and a pastings arc  $\gamma$  for  $A$  and  $B$  we will consider a new Riemann surface

$$\hat{\mathbf{C}}_\gamma := (\hat{\mathbf{C}} \setminus \gamma) \times_{|\gamma} (\hat{\mathbf{C}} \setminus \gamma)$$

and also its subsurface

$$(1.1) \quad W_\gamma := \hat{\mathbf{C}}_\gamma \setminus A \cup B,$$

where we understand that  $A$  ( $B$ , resp.) is embedded in the upper (lower, resp.) sheet  $\hat{\mathbf{C}} \setminus \gamma$  of  $\hat{\mathbf{C}}_\gamma$  although  $A$  and  $B$  are originally contained in the same  $\mathbf{C}$ . Hence

$$(1.2) \quad W_\gamma = ((\hat{\mathbf{C}} \setminus A) \setminus \gamma) \times_{|\gamma} ((\hat{\mathbf{C}} \setminus B) \setminus \gamma).$$

Here  $\hat{\mathbf{C}}_\gamma$  is a covering Riemann surface  $(\hat{\mathbf{C}}_\gamma, \hat{\mathbf{C}}, \pi_\gamma)$  of the base surface  $\hat{\mathbf{C}}$  with the natural projection  $\pi_\gamma$ .

Consider next the capacity  $\text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B)$ , or more precisely the variational 2 capacity (cf. e.g. [2]), of the compact subset  $A$  in  $\hat{\mathbf{C}}_\gamma$  with respect to the open subset  $\hat{\mathbf{C}}_\gamma \setminus B$  of  $\hat{\mathbf{C}}_\gamma$  containing  $A$  given by

$$(1.3) \quad \text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B) := \inf_{\varphi} D(\varphi; W_\gamma),$$

where  $\varphi$  in taking the infimum in (1.3) runs over the family of  $\varphi \in C(\hat{\mathbf{C}}_\gamma) \cap C^\infty(W_\gamma)$  with  $\varphi|_A = 1$  and  $\varphi|_B = 0$  and  $D(\varphi; W_\gamma)$  indicates the Dirichlet integral of  $\varphi$  over  $W_\gamma$  defined by

$$D(\varphi; W_\gamma) := \int_{W_\gamma} d\varphi \wedge *d\varphi = \int_{W_\gamma} |\nabla\varphi(z)|^2 dx dy.$$

Here the second term in the above is the coordinate free expression of  $D(\varphi, W_\gamma)$  and the third term is the expression of  $D(\varphi, W_\gamma)$  in terms of local parameters  $z = x + iy$  for  $W_\gamma$  and  $\nabla\varphi(z)$  is the gradient vector  $(\partial\varphi(z)/\partial x, \partial\varphi(z)/\partial y)$ . Clearly we have the following symmetry:

$$\text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B) = \text{cap}(B, \hat{\mathbf{C}}_\gamma \setminus A).$$

The variation (1.3) has the unique extremal function  $u_\gamma$ :

$$(1.4) \quad \text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B) = D(u_\gamma; W_\gamma),$$

characterized by the conditions  $u_\gamma \in C(\hat{\mathbf{C}}_\gamma) \cap H(W_\gamma)$  with  $u_\gamma|_A = 1$  and  $u_\gamma|_B = 0$  (cf. e.g. [2]), where  $H(X)$  denotes the class of harmonic functions defined on an open subset  $X$  of a Riemann surface so that the function  $u_\gamma|_{W_\gamma}$  is usually referred to as the *harmonic measure* of  $A \cap \partial W_\gamma$  (cf. e.g. [8]). The extremal function  $u_\gamma$  for (1.3) is also referred to as the *capacity function* for the compact subset  $A$  with respect to  $\hat{\mathbf{C}}_\gamma \setminus B$  (cf. [7]).

We also consider the capacity  $\text{cap}(A, \hat{\mathbf{C}} \setminus B)$  of the subset  $A$  in  $\hat{\mathbf{C}}$  contained in the open subset  $\hat{\mathbf{C}} \setminus B$ . Similarly as in the case of  $\text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B)$  we have the symmetry  $\text{cap}(A, \hat{\mathbf{C}} \setminus B) = \text{cap}(B, \hat{\mathbf{C}} \setminus A)$  and that the capacity  $\text{cap}(A, \hat{\mathbf{C}} \setminus B)$  is given by the capacity function  $u$  for the compact subset  $A$  with respect to  $\hat{\mathbf{C}} \setminus B$ :

$$\text{cap}(A, \hat{\mathbf{C}} \setminus B) = D(u; W) \quad (W := \hat{\mathbf{C}} \setminus A \cup B),$$

where  $u \in C(\hat{\mathbf{C}}) \cap H(W)$  with  $u|_A = 1$  and  $u|_B = 0$ . Motivated by the problem to clarify when the situation  $\text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B) \leq \text{cap}(A, \hat{\mathbf{C}} \setminus B)$  holds, which occurred in the study of the classical and modern type problem (cf. e.g. [6], [10], [8], [5], [3], among many others), the following classification problem of pasting arcs started: since the occurrence of

$$(1.5) \quad \text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B) = \text{cap}(A, \hat{\mathbf{C}} \setminus B)$$

is very delicate in the sense that the relation is easily destroyed even if we change  $\gamma$  slightly, the pasting arc  $\gamma$  for  $\hat{\mathbf{C}}_\gamma$  for which we have (1.5) is referred to as being *critical*. In contrast the situation

$$(1.6) \quad \text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B) < \text{cap}(A, \hat{\mathbf{C}} \setminus B)$$

and also the situation

$$(1.7) \quad \text{cap}(A, \hat{\mathbf{C}}_\gamma \setminus B) > \text{cap}(A, \hat{\mathbf{C}} \setminus B)$$

are quite stable with respect to the small perturbation of  $\gamma$  and the pasting arc  $\gamma$  for which the relation (1.6) ((1.7), resp.) holds is referred to as being *subcritical* (*supercritical*, resp.). The occurrence for a pasting arc  $\gamma$  to be subcritical is just very common. For example, if the diameter of  $\gamma$  is sufficiently small, then  $\gamma$  is subcritical (cf. [4]). In view of this it was expected in one time that every pasting arc  $\gamma$  satisfies (1.5) or (1.6) and there is no  $\gamma$  for which (1.7) is valid. We found, however, (1.7) can really occur in our former paper [4] when the pair of disjoint admissible compact subsets  $A$  and  $B$  are symmetric with respect to a common straight line. The *purpose* of the present paper is to show that without any additional condition the above is correct: for any pair of disjoint admissible compact subsets  $A$  and  $B$  in  $\mathbf{C}$  there always exists a supercritical pasting arc  $\gamma$  for  $A$  and  $B$ . Hence we have

**THEOREM.** *For any pair of disjoint admissible compact subsets  $A$  and  $B$  of  $\mathbf{C}$ , there always exist pasting arcs  $\gamma_1, \gamma_2$ , and  $\gamma_3$  in  $\mathbf{C}$  for  $A$  and  $B$  starting from an arbitrarily given nonsingular point  $a$  in  $\mathbf{C} \setminus A \cup B$  of the gradient of the capacity function on  $\hat{\mathbf{C}}$  for  $A$  and  $B$  such that  $\gamma_1$  is critical,  $\gamma_2$  is subcritical, and  $\gamma_3$  is supercritical.*

Not only the mere existence but also the criteria for a given pasting arc  $\gamma$  to be subcritical are discussed in detail in [4]. For a pasting arc  $\gamma$  starting from a point  $a \in \mathbf{C}$ , we denote by  $\gamma_z$  for any  $z \in \gamma \setminus \{a\}$  the subarc of  $\gamma$  starting from  $a$  and terminating at  $z$ . We have also shown in [4] that if  $\gamma$  is supercritical, then there are points  $s$  and  $c$  in  $\gamma \setminus \{a\}$  such that  $\gamma_s$  ( $\gamma_c$ , resp.) is subcritical (critical, resp.). Hence to complete the proof of the above theorem, we only have to show the existence of a supercritical arc, which is the actual work in this paper.

**2. Proof of the theorem**

As mentioned in the introduction we only have to prove the existence of a supercritical pasting arc  $\gamma$  for an arbitrarily given general pair of disjoint admissible compact subsets  $A$  and  $B$  in the complex plane  $\mathbf{C}$ . We set

$$(2.1) \quad W := \hat{\mathbf{C}} \setminus (A \cup B).$$

We denote by  $u$  the capacity function on  $\hat{\mathbf{C}}$  for the capacity of  $A$  with respect to  $\hat{\mathbf{C}} \setminus B$ :

$$(2.2) \quad \text{cap}(A, \hat{\mathbf{C}} \setminus B) = D(u; \hat{\mathbf{C}})$$

so that  $u \in C(\hat{\mathbf{C}}) \cap H(\hat{\mathbf{C}} \setminus A \cup B)$ ,  $u|_A = 1$ , and  $u|_B = 0$ . Hence  $u|_W$  is the harmonic measure of  $A \cap \partial W$  on  $W$ . Choose an arbitrary but then fixed nonsingular point  $a \in \hat{\mathbf{C}} \setminus A \cup B$  of the gradient vector field of  $u : du(a) \neq 0$ . There is an arc  $l$  containing  $a$  as its interior point, on which  $du \neq 0$ , such that

$$(2.3) \quad *du = 0$$

along  $l$ , i.e.  $l$  is a  $u$  conjugate level arc. We pick an arbitrary interior point  $b$  in  $l$  other than  $a$  such that  $u(z)$  decreases as  $z$  traces  $l$  from  $a$  to  $b$ . We take an arbitrary but then fixed smooth Jordan curve  $\sigma$  encircling  $B$  and intersecting with  $l$  only once at  $b$ . We give a negative direction to  $\sigma$ . We denote by  $(\sigma)$  the region bounded by  $\sigma$ . Then  $\bar{B} \subset (\sigma)$  and  $a$  is an interior point in the arc  $l \setminus (\sigma)$ . Then consider the subarc  $\tau$  of  $l$  whose initial point is  $a$  and the terminal point is  $b$ . Thus  $\tau$  is a  $u$  conjugate level arc with the positive direction starting from  $a$  and ending at  $b$ . In general we denote by  $|\gamma|$  the length of an arc  $\gamma$  measured by the plane metric. For each  $t \in (0, |\sigma|/100)$  we pick the point  $c(t) \in \sigma$  such that the subarc  $\sigma'_t$  of  $\sigma$  starting from  $c(t)$  and ending at  $b$  in the direction of  $\sigma$  satisfies  $|\sigma'_t| = t$ . We then put  $\sigma_t := \overline{\sigma \setminus \sigma'_t}$ , the subarc of  $\sigma$  starting from  $b$  and ending at  $c(t)$  in the direction of  $\sigma$ . Finally we consider the arc

$$(2.4) \quad \gamma_t := \tau + \sigma_t \quad (0 < t < |\sigma|/100).$$

Here 100 in (2.4) has no particular meaning other than suggesting the point  $c(t)$  is situated enough close to the point  $b$  since we are making  $t \downarrow 0$  later.

We will show that  $\gamma_t$  in (2.4) is a supercritical arc if we choose  $t \in (0, |\sigma|/100)$  sufficiently small:

$$(2.5) \quad \text{cap}(A, \hat{\mathbf{C}}_{\gamma_t} \setminus B) > \text{cap}(A, \hat{\mathbf{C}} \setminus B)$$

for sufficiently small  $t \in (0, |\sigma|/100)$ . For simplicity we set

$$(2.6) \quad W_t := W_{\gamma_t} = \hat{\mathbf{C}}_{\gamma_t} \setminus A \cup B = ((\hat{\mathbf{C}} \setminus A) \setminus \gamma_t) \times_{\gamma_t} ((\hat{\mathbf{C}} \setminus B) \setminus \gamma_t)$$

and also we denote by  $u_t := u_{\gamma_t}$ , the capacity function on  $\hat{\mathbf{C}}_{\gamma_t}$  for  $\text{cap}(A, \hat{\mathbf{C}}_{\gamma_t} \setminus B)$  so that  $u_t \in C(\hat{\mathbf{C}}_{\gamma_t}) \cap H(W_t)$  with  $u_t|_A = 1$  and  $u_t|_B = 0$ . We also consider an auxiliary surface

$$(2.7) \quad W_0 := ((\hat{\mathbf{C}} \setminus A \cup B) \setminus \tau) \times_{\tau} (\hat{\mathbf{C}} \setminus \tau).$$

We denote by  $\delta_t$  ( $\delta_{0t}$ , resp.) the part of  $W_t$  ( $W_0$ , resp.) lying over  $\sigma'_t = \overline{\sigma \setminus \sigma_t}$ , which consists of two copies of  $\sigma'_t$  situated in each of two sheets of  $\hat{\mathbf{C}}_{\gamma_t}$  ( $\hat{\mathbf{C}}_\tau$ , resp.). Finally we put  $W'_t = W_t \setminus \delta_t$  and observe the following two and, especially the second, crucial relations in our proof:

$$(2.8) \quad W'_t \subset W'_s \quad (0 < s \leq t < |\sigma|/100)$$

and

$$(2.9) \quad W'_t = W_0 \setminus \delta_{0t} \quad (0 < t < |\sigma|/100).$$

The function  $u_t$ , originally defined on  $W_t$  so that on  $W'_t$ , may also be considered as being defined on  $W_0 \setminus \delta_{0t}$  by (2.9) but its boundary values at  $\delta_{0t}$  must be considered in the sense of Carathéodory, i.e. a single point in  $\delta_{0t}$  is considered as two boundary elements in the Carathéodory compactification of  $W_0 \setminus \delta_{0t}$  (cf. [10]). Let  $w_t$  be the function on  $\hat{\mathbf{C}}_\tau$  such that  $w_t \in C(\hat{\mathbf{C}}_\tau) \cap H(W_0 \setminus \delta_{0t})$  with  $w_t|A = w_t|B = 0$  and  $w_t|\delta_{0t} = 1$ . By comparing boundary values we see that  $0 \leq w_s \leq w_t \leq 1$  ( $0 < s \leq t$ ) on  $W_0 \setminus \delta_{0t}$ . Hence  $(w_t)_{t \downarrow 0}$  converges to a function  $w \in C(\hat{\mathbf{C}}_\tau \setminus \{\tilde{b}\}) \cap H(W_0 \setminus \{\tilde{b}\})$  with  $0 \leq w \leq 1$  on  $\hat{\mathbf{C}}_\tau \setminus \{\tilde{b}\}$  and  $w|A = w|B = 0$  almost uniformly on  $\hat{\mathbf{C}}_\tau \setminus \{\tilde{b}\}$ , where  $\tilde{b}$  is the branch point of  $\hat{\mathbf{C}}_\tau$  lying over  $b$ . By the Riemann removability theorem we see that  $w \in H(W_0)$  with boundary values 0 so that  $w = 0$  and a fortiori

$$(2.10) \quad \lim_{t \downarrow 0} w_t = 0$$

almost uniformly on  $\hat{\mathbf{C}}_\tau \setminus \{\tilde{b}\}$ . Clearly, by comparing the boundary values, we see that

$$|u_t - u_s| \leq w_t \quad (0 < s \leq t)$$

on  $\overline{W_0} \setminus \delta_{0t}$  and hence on  $\hat{\mathbf{C}}_\tau \setminus \delta_{0t}$ . Therefore, by (2.10), we see that  $(u_t)_{t \downarrow 0}$  converges to a function  $v \in C(\hat{\mathbf{C}}_\tau \setminus \{\tilde{b}\}) \cap H(W_0 \setminus \{\tilde{b}\})$  almost uniformly on  $\hat{\mathbf{C}}_\tau \setminus \{\tilde{b}\}$  such that  $v|A = 1$  and  $v|B = 0$  and  $0 \leq v \leq 1$  on  $\hat{\mathbf{C}}_\tau \setminus \{\tilde{b}\}$ . Again by the Riemann removability theorem,  $v \in H(W_0)$  and thus of course  $v \in (\hat{\mathbf{C}}_\tau) \cap H(W_0)$ .

Set  $\alpha := A \cap \partial W_0$  and  $\beta := B \cap \partial W_0$ . Then we also see that  $\alpha = A \cap \partial W_t$  and  $\beta = B \cap \partial W_t$  for any  $0 < t < |\sigma|/100$ . We give the positive orientation to  $\alpha$  and  $\beta$  with respect to the region  $W_0$  and hence to  $W_t$  for every  $0 < t < |\sigma|/100$ . Since  $\alpha$  and  $\beta$  are analytic with  $u_t|\alpha = v|\alpha = 1$  and  $u_t|\beta = v|\beta = 0$ ,  $u_t$  and  $v$  are extendable as uniformly bounded harmonic functions to a fixed vicinity of  $\alpha \cup \beta$  and  $(u_t)_{t \downarrow 0}$  converges uniformly to  $v$  there. Hence

$$(2.11) \quad \lim_{t \downarrow 0} *du_t = *dv$$

uniformly on  $\alpha \cup \beta$  in the sense that coefficients of  $*du_t$  converge uniformly to the corresponding coefficients of  $*dv$  in any small parametric disc centered at any point of  $\alpha \cup \beta$ . Hence in particular we see that

$$(2.12) \quad \lim_{t \downarrow 0} \int_\alpha *du_t = \int_\alpha *dv.$$

Observe that, by the Stokes formula, we see

$$\text{cap}(A, \hat{\mathbf{C}}_{\gamma_t} \setminus B) = D(u_{\gamma_t}; \hat{\mathbf{C}}_{\gamma_t}) = D(u_{\gamma_t}; \hat{\mathbf{C}}_{\gamma_t} \setminus A \cup B) = \int_x *du_{\gamma_t} = \int_x *du_t.$$

Understanding this time that  $A$  and  $B$  are contained in the same one sheet  $\hat{\mathbf{C}} \setminus \tau$  of  $\hat{\mathbf{C}}_\tau = (\hat{\mathbf{C}} \setminus \tau) \times_{\tau} (\hat{\mathbf{C}} \setminus \tau)$  as in the case of  $W_0$ , we compute the capacity  $\text{cap}(A, W_0 \cup A)$  of the compact subset  $A$  of  $\hat{\mathbf{C}}_\tau$ , considered as  $\hat{\mathbf{C}}_\tau = [((\hat{\mathbf{C}} \setminus A) \setminus \tau) \times_{\tau} (\hat{\mathbf{C}} \setminus \tau)] \cup A = W_0 \cup (A \cup B) \supset A$ , with respect to the open subset  $W_0 \cup A = ((\hat{\mathbf{C}} \setminus B) \setminus \tau) \times_{\tau} (\hat{\mathbf{C}} \setminus \tau)$  of  $\hat{\mathbf{C}}_\tau$  containing  $A$ . Then, since  $v$  is the capacity function for  $\text{cap}(A, W_0 \cup A)$ , by exactly the same argument as above we see that

$$\text{cap}(A, W_0 \cup A) = D(v; \hat{\mathbf{C}}_\tau) = D(v; \hat{\mathbf{C}}_\tau \setminus A \cup B) = \int_x *dv.$$

Hence by (2.12) we deduce that

$$(2.13) \quad \lim_{t \downarrow 0} \text{cap}(A, \hat{\mathbf{C}}_{\gamma_t} \setminus B) = \text{cap}(A, W_0 \cup A).$$

Finally we compare  $\text{cap}(A, W_0 \cup A)$  with  $\text{cap}(A, \hat{\mathbf{C}} \setminus B)$ . Recall that  $W = \hat{\mathbf{C}} \setminus A \cup B$  and  $u$  is the capacity function for  $\text{cap}(A, \hat{\mathbf{C}} \setminus B)$  so that  $u \in C(\overline{W}) \cap H(W)$  with  $u|_{\alpha} = 1$  and  $u|_{\beta} = 0$ , where  $\alpha$  and  $\beta$  can also be viewed as being  $\alpha = A \cap \partial W$  and  $\beta = B \cap \partial W$  with positive directions with respect to  $W$ . Thus

$$\text{cap}(A, \hat{\mathbf{C}} \setminus B) = D(u; W) = D(u; W \setminus \tau).$$

Viewing  $W \setminus \tau$  is a subregion of

$$W_0 = ((\hat{\mathbf{C}} \setminus A \cup B) \setminus \tau) \times_{\tau} (\hat{\mathbf{C}} \setminus \tau) = (W \setminus \tau) \times_{\tau} (\hat{\mathbf{C}} \setminus \tau) \subset \hat{\mathbf{C}}_\tau$$

$W \setminus \tau$  is a subregion  $R$  of  $\hat{\mathbf{C}}_\tau$  whose relative boundary  $\partial R$  consists of  $\alpha$ ,  $\beta$ , and  $\gamma$ :  $\partial R = \alpha + \beta + \gamma$ , where  $\gamma$  arises from  $\tau$  as a smooth Jordan curve positively oriented with respect to  $R$  by considering it in the Carathéodory compactification of  $R$ . Therefore we have

$$(2.14) \quad \text{cap}(A, \hat{\mathbf{C}} \setminus B) = D(u; W \setminus \tau) = D(u; R).$$

By (2.3)  $*du = 0$  along  $l$  and of course along  $\tau$  so that finally along  $\gamma$ . Restricting  $v$  defined on  $(W \setminus \tau) \times_{\tau} (\hat{\mathbf{C}} \setminus \tau) = R \cup \gamma \cup S$  to  $R$ , where  $S := \hat{\mathbf{C}} \setminus \tau$ , we compute the mutual Dirichlet integral  $D(u - v, u; R)$  of two functions  $u - v$  and  $u$  over  $R$  by using the Stokes formula as follows:

$$D(u - v, u; R) := \int_R d(u - v) \wedge *du = \int_{\alpha + \beta + \gamma} (u - v) * du = \int_{\gamma} (u - v) * du = 0$$

since  $*du = 0$  along  $\gamma$ . Hence  $D(u; R) = D(v, u; R)$  and the Schwarz inequality yields

$$D(u; R)^2 = D(v, u; R)^2 \leq D(v; R) \cdot D(u; R).$$

Hence we conclude that  $D(u; R) \leq D(v; R)$  since  $D(u; R) > 0$ . On the other hand we see that

$$\begin{aligned} D(v; R) &= D(v; W \setminus \tau) < D(v; W \setminus \tau) + D(v; \hat{\mathbf{C}} \setminus \tau) \\ &= D(v; W_\tau) = D(v; ((\hat{\mathbf{C}} \setminus A \cup B) \setminus \tau) \bowtie_\tau (\hat{\mathbf{C}} \setminus \tau)) \end{aligned}$$

since  $D(v; \hat{\mathbf{C}} \setminus \tau) > 0$ . The last term of the above is  $\text{cap}(A, W_0 \cup A)$  so that, by (2.14), we obtain

$$\text{cap}(A, \hat{\mathbf{C}} \setminus B) < \text{cap}(A, W_0 \cup A).$$

This with (2.13) we finally conclude that

$$\text{cap}(A, \hat{\mathbf{C}} \setminus B) < \lim_{t \downarrow 0} \text{cap}(A, \hat{\mathbf{C}}_{\gamma_t} \setminus B)$$

and therefore we see that

$$\text{cap}(A, \hat{\mathbf{C}} \setminus B) < \text{cap}(A, \hat{\mathbf{C}}_{\gamma_t} \setminus B)$$

for every sufficiently small  $t \in (0, |\sigma|/100)$ , i.e.  $\gamma_t$  for sufficiently small  $0 < t < |\sigma|/100$  is a supercritical pasting arc for  $A$  and  $B$  in  $\hat{\mathbf{C}}$ .  $\square$

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