

DEGREES OF MAPS AND HOMOTOPY TYPE

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§1. Let K be an oriented Poincaré complex and let μ_K be the oriented fundamental class of K . The degree of a map $f: K \rightarrow K$ is defined by the formula $f_*(\mu_K) = (\deg f)\mu_K$, ($\deg f \in \mathbf{Z}$). Let $[K, K]$ be the set of homotopy classes of maps from K to K . Then we get a correspondence $D: [K, K] \rightarrow \mathbf{Z}$, which is defined by $D(\{f\}) = \det f$. We denote by $D(K)$ the image of D . In the case where K is of the form $S^n \cup e^{n+k} \cup e^{2n+k}$, S. Sasao has got some results about relations between $D(K)$ and the homotopy type of K ([7]). In this note we shall investigate the case where $K = S^n \cup e^{2n} \cup e^{3n}$ ($n \geq 3$) and prove the following theorem:

THEOREM. *Suppose $K = S^n \cup e^{2n} \cup e^{3n}$ ($n \geq 3$) is a Poincaré complex. Then K is homotopy equivalent to $S^n \times S^{2n}$ if and only if $D(K)$ contains 2 and EK is reducible.*

§2. Let $K = S^n \cup e^{2n} \cup e^{3n}$ ($n \geq 3$) be a complex and x_i ($i=1, 2, 3$) be the oriented generators of $H^{2n}(K; \mathbf{Z})$. Then the cohomology ring structure of $H(K; \mathbf{Z})$ is completely determined by two integers a, b such that

$$x_1^2 = ax_2 \quad \text{and} \quad x_1x_2 = bx_3.$$

If K is a Poincaré complex, we have $b = \pm 1$. Hence we can suppose $b = 1$ without the loss of generality.

Let $\alpha \in \pi_{2n-1}(S^n)$ be the homotopy class of the attaching map of e^{2n} . Then the following lemma is well known ([8]).

LEMMA 1. *The Hopf invariant $H(\alpha)$ of α is equal to $\pm a$.*

Let $f: K \rightarrow K$ be a map such that $f^*(x_i) = kx_i$ ($k \in \mathbf{Z}$). Then we have

$$(f^*(x_1))^3 = k^3x_1^3 = k^3ax_1x_2 = k^3ax_3$$

and

$$(f^*(x_1))^3 = f^*(x_1^3) = f^*(ax_1x_2) = a(\deg f)x_3.$$

Hence we obtain $a(k^3 - \deg f) = 0$. Thus if $D(K)$ contains 2, we have $a = 0$, i. e. $H(\alpha) = 0$.

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LEMMA 2. Suppose K is a Poincaré complex and $D(K)$ contains 2. Then K is of the form $(S^n \vee S^{2n}) \cup e^{3n}$.

Proof. Let $f: K \rightarrow K$ be a map with $\deg f = 2$. And let $f^*(x_1) = ax_1$ and $f^*(x_2) = bx_2$. Then $f^*(x_3) = f^*(x_1 x_2) = abx_3$, and so it follows that $ab = 2$. On the other hand, let $S^n \cup e^{2n}$ be the $2n$ -skelton of K . Then we have the following commutative diagram

$$\begin{array}{ccc} \pi_{2n}(S^n \cup e^{2n}, S^n) & \xrightarrow{f_*} & \pi_{2n}(S^n \cup e^{2n}, S^n) \\ \partial \downarrow & & \partial \downarrow \\ \pi_{2n-1}(S^n) & \xrightarrow{f_*} & \pi_{2n-1}(S^n) \end{array}$$

where $\pi_{2n}(S^n \cup e^{2n}, S^n)$ is free generated by σ and $\partial\sigma = \alpha$. Since $b\alpha = \partial(b\sigma) = \partial f_*\sigma = f_*\partial\sigma = f_*\alpha = a\iota_n\alpha$ and α is a suspension element because of $H(\alpha) = 0$, we have $2\alpha = \alpha$, i. e. $\alpha = 0$. Thus the proof of lemma is completed.

Let $\beta \in \pi_{3n-1}(S^n \vee S^{2n})$ be the homotopy class of the attaching map for the cell e^{3n} of a Poincaré complex $K = (S^n \vee S^{2n}) \cup e^{3n}$. Since $\pi_{3n-1}(S^n \vee S^{2n})$ is isomorphic to the direct sum

$$\pi_{3n-1}(S^n) + \pi_{3n-1}(S^{2n}) + [\pi_n(S^n), \pi_{2n}(S^{2n})],$$

β has an expression $\iota_n \circ \beta_1 + \iota_{2n} \circ \beta_2 + m[\iota_n, \iota_{2n}]$ where $\beta_1 \in \pi_{3n-1}(S^n)$, $\beta_2 \in \pi_{3n-1}(S^{2n})$, $m \in \mathbf{Z}$ and ι_n, ι_{2n} denote the homotopy classes of inclusions $S^n, S^{2n} \rightarrow S^n \vee S^{2n}$ respectively. Then we may suppose $m = 1$ since K is a Poincaré complex ([5]).

LEMMA 3. If $n \geq 3$, then the kernel of the suspension $E: \pi_{3n-1}(S^n) \rightarrow \pi_{3n}(S^{n+1})$ is equal to $[\iota_n, \iota_n] \circ \pi_{3n-1}(S^{2n-1}) = [\iota_n, \pi_{2n}(S^n)]$.

Proof. By Theorem 1.2 and Corollary 1.10 of [4], we have $E^{-1}(0) = [\iota_n, \iota_n] \circ \pi_{3n-1}(S^{2n-1})$. By Theorem 7.1 of [1], for any $\gamma \in \pi_{2n}(S^n)$,

$$[\iota_n, \gamma] = [\iota_n, \iota_n] \circ E^{n-1}(\gamma) + (-1)^{n+1} [\iota_n, [\iota_n, \iota_n]] \circ E^{n-1}(H(\gamma)).$$

Then from $H(\gamma) \in \pi_{2n}(S^{2n-1}) \approx Z_2$ and $3[\iota_n, [\iota_n, \iota_n]] = 0$, it follows that

$$[\iota_n, \pi_{2n}(S^n)] = [\iota_n, \iota_n] \circ E^{n-1}(\pi_{2n}(S^n)).$$

On the other hand $\pi_{2n+1}(S^{n+1})$ is generated by $E(\pi_{2n}(S^n))$ and the Whitehead product $[\iota_{n+1}, \iota_{n+1}]$, so that we obtain $E^{n-1}(\pi_{2n}(S^n)) = \pi_{3n-1}(S^{2n-1})$. Thus the proof is completed.

§ 3. Proof of Theorem: Suppose K is homotopy equivalent to $S^n \times S^{2n}$. Then it is clear that $D(K) = D(S^n \times S^{2n}) = \mathbf{Z}$. Furthermore, the reducibility of EK is homotopy invariant and so EK is reducible.

Conversely suppose $D(K)$ contains 2 and EK is reducible. By Lemma 2, K is homotopy equivalent to a complex $(S^n \vee S^{2n}) \cup e^{3n}$ and also $E(\beta) = 0$ is equivalent to the reducibility of EK . Hence it follows that $E(\beta_1) = 0$ and $E(\beta_2) = 0$

in the expression as in § 2. Thus we have $\beta_2=0$ since $E: \pi_{3n-1}(S^{2n}) \rightarrow \pi_{3n}(S^{2n+1})$ is an isomorphism. And moreover, by Lemma 3, we have $\beta_1=[\iota_n, \gamma]$ for some element $\gamma \in \pi_{2n}(S^n)$. Then let $f: S^n \vee S^{2n} \rightarrow S^n \vee S^{2n}$ be a map such that $\{f|S^n\} = \iota_n$, $\{f|S^{2n}\} = \gamma + \iota_{2n}$. Let τ be the generator of $\pi_{3n}(S^n \times S^{2n}, S^n \vee S^{2n})$ such that $\partial\tau = [\iota_n, \iota_{2n}]$. Then $f_*\partial\tau = f_*[\iota_n, \iota_{2n}] = [\iota_n, \gamma + \iota_{2n}]$, which is the homotopy class of the attaching map for e^{3n} of K . Clearly, an extension of f , $S^n \times S^{2n} \rightarrow K$ such that $\deg f = 1$ is a homotopy equivalence. Thus the proof is completed.

Remark. Typical examples of Poincaré complexes of the form $S^n \cup e^{2n} \cup e^{3n}$ are the total space of S^n -orthogonal bundles over S^{2n} . Let E be the total space of a S^n -orthogonal bundle over S^{2n} . Then, by Lemma 2 and some computations, we have

- (1) If $D(E)$ contains 2, the bundle has a cross section.
- (2) If the bundle has two independent cross sections, then $D(E) = Z$.

§ 4. Addendum: If $M = S^n \cup e^{2n} \cup e^{3n}$ has the same homotopy type as $S^n \times S^{2n}$, it is clear that S^n is a retraction of M . In general the converse is not true. However, in some cases the converse is true. For example we have;

THEOREM. *Let M be a smooth closed manifold up to homotopy. If $n \equiv 3, 5, 6, 7 \pmod{8}$, M has the same homotopy type as $S^n \times S^{2n}$ if and only if S^n is a retract of M .*

For the proof we need the following lemma. Let ν be the characteristic element of the normal bundle of an embedding of S^n into M such that $H_n(S^n) \rightarrow H_n(M)$ is an isomorphism, and $p: S^n \cup e^{2n} \rightarrow S^{2n}$ be the pinching map.

LEMMA. $p_*(\tau) = \pm J\nu$ where τ denotes the attaching map for e^{3n} and J is the J -homomorphism $\cdot \pi_{n-1}(SO(2n)) \rightarrow \pi_{3n-1}(S^{2n})$.

Proof. Let $S\nu, D\nu$ be the associated sphere bundle, disk bundle. Then $S\nu$ is of the form $(S^n \vee S^{2n-1}) \cup e^{3n-1}$ up to homotopy and the attaching map for e^{3n} is $J\bar{\nu} + [\iota_n, \iota_{2n-1}]$ where $i_*\bar{\nu} = \nu$, $i_*: \pi_{n-1}(SO(2n-1)) \rightarrow \pi_{n-1}(SO(2n))$ is an isomorphism induced by the canonical inclusion. The suspension of $S\nu, ES\nu$ is of the form $S^{n+1} \vee (S^{2n} \cup e^{3n})$. $D\nu = S^n \rightarrow T\nu = S^{2n} \cup e^{3n} \rightarrow ES\nu = S^{n+1} \vee (S^{2n} \cup e^{3n}) \rightarrow ED\nu = S^{n+1}$ is a cofibration sequence where $T\nu$ is the Thom space of ν . Then there is an inclusion $S^{n+1} = ED\nu \rightarrow ES\nu$ induced by a cross section. It is easily verified that $T\nu$ is homotopy equivalent to $ES\nu/S^{n+1} = S^{2n} \cup e^{3n}$ where the attaching map for e^{3n} is $E(J\bar{\nu} + [\iota_n, \iota_{2n-1}]) = EJ\bar{\nu} = -Ji_*\bar{\nu} = -J\nu$.

Let $i: S^n \rightarrow M$ be the embedding and $j: M \rightarrow T\nu$ be projection. In the following diagram it is verified that maps except j^{2n} are isomorphisms, by Thom isomorphism, Poincaré duality. Hence j^{2n} is also.

$$\begin{array}{ccc} H^n(S^n) \otimes H^{2n}(T\nu) & \longrightarrow & H^{3n}(T\nu) \\ \uparrow i^n & & \downarrow j^{3n} \\ & \downarrow j^{2n} & \\ H^n(M) \otimes H^{2n}(M) & \longrightarrow & H^{3n}(M). \end{array}$$

We may consider j as $q \circ p$ where $p: M \rightarrow M/S^n$ is the canonical projection and $q: M/S^n \rightarrow T\nu$ is a map induced by j . From the above argument it follows that q is a homotopy equivalence.

$$\begin{array}{ccccc}
 \pi_{3n}(M, S^n \cup e^{2n}) & \xrightarrow{p_*} & \pi_{3n}(M/S^n, S^{2n}) & \xrightarrow{q_*} & \pi_{3n}(T\nu, S^{2n}) \\
 \downarrow \partial & & \downarrow \partial & & \swarrow \partial \\
 \pi_{3n-1}(S^n \cup e^{2n}) & \xrightarrow{p_*} & \pi_{3n-1}(S^{2n}) & &
 \end{array}$$

Let σ be the generator of $\pi_{3n}(M, S^n \cup e^{2n})$ such that $\partial\sigma = \tau$. Then $j_*\sigma$ is a generator of $\pi_{3n}(T\nu, S^{2n})$ and so $p_*(\tau) = \partial(j_*\sigma) = \pm j\nu$.

Now we proceed to the proof of the theorem. Let $r: M \rightarrow S^n$ be a retraction. Obviously we may suppose that M has a form $S^n \vee S^{2n} \cup e^{3n}$, and $\tau = [\iota_n, \iota_{2n}] + \iota_n \circ \beta_1 + \iota_{2n} \circ \beta_2$. Since $p_*(\tau) = \beta_2$, we get $\beta_2 = 0$ by the lemma and $n \equiv 3, 5, 6, 7 \pmod{8}$. Let γ be the map $r|S^{2n}$. Then we have $(r|S^n \vee S^{2n})_*(\tau) = [\iota_n, \gamma] + \beta_1$. Hence we have $[\iota_n, \gamma] \in [\iota_n, \pi_{2n}(S^n)]$ and the proof is completed by Lemma 3 in § 2.

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