

THE GALOIS GROUP OF THE ALGEBRAIC CLOSURE OF AN ALGEBRAIC NUMBER FIELD

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Introduction

Let Q be the rational number field and let Q_p be the field of p -adic numbers for any prime number p . For any field F , we will denote by \bar{F} the algebraic closure of F and by G_F the automorphism group of \bar{F} over F . Let k and k' be algebraic extensions of Q such that they are contained in the same algebraically closed field \bar{Q} .

In [2], Neukirch has shown the following results.

THEOREM A. *For an algebraic extension k of Q , the following assertions are equivalent to each other:*

- 1) G_k is isomorphic to an open subgroup of G_{Q_p} .
- 2) *There exists a discrete place v of k such that v satisfies the following conditions:*
 - a) v lies above p .
 - b) *The residue field of v is finite.*
 - c) *The extension of v to \bar{Q} is unique.*

THEOREM B. *For finite algebraic extensions k and k' of Q , let W and W' be the sets of finite places of k and k' , respectively. If G_k and $G_{k'}$ are isomorphic, then there exists a bijection f of W onto W' such that G_{k_v} is isomorphic to $G_{k'_{f(v)}}$ for any place $v \in W$, where k_v (or $k'_{f(v)}$) is the completion of k at v (or k' at $f(v)$).*

THEOREM C. *If k is a finite Galois extension of Q and if k' is a finite algebraic extension of Q such that G_k and $G_{k'}$ are isomorphic, then we have $k=k'$.*

Without the assumption that k is Galois over Q , Theorem C does not hold: In fact, there exist distinct two finite algebraic extensions k and k' such that G_k and $G_{k'}$ are isomorphic and that k is isomorphic to k' . Hence, as for a generalization of Theorem C, it is natural and interesting to consider whether, for any finite algebraic extensions k and k' , $G_k \cong G_{k'}$ implies $k \cong k'$ or not. In this paper we shall give some affirmative data of this problem. For this purpose in §3, we shall obtain a refinement of the above Theorem B as follows:

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PROPOSITION. For finite algebraic extensions k and k' of Q , let V and V' be the sets of places of k and of k' , respectively. If G_k and $G_{k'}$ are isomorphic, then there exists a bijection f of V onto V' such that G_{k_v} is isomorphic to $G_{k'_f(v)}$ for any place $v \in V$.

By the above Proposition and local class field theory, we shall show that if G_k and $G_{k'}$ are isomorphic, then the idele groups of k and k' are isomorphic, the unit groups of k and k' are isomorphic, the ideal class groups of k and k' are isomorphic, $D=D'$ and $R=R'$, where D and D' are the discriminants of k and k' , respectively and where R and R' are the regulators of k and k' , respectively.

§ 1. **Neukirch's results.** In this paper, fields shall be local fields of characteristic 0 or algebraic number fields and isomorphisms mean topological ones. Let F be a field, let N be a Galois extension of F , let G be a profinite group and let A be a topological G -module. We shall use the following notations:

- \bar{F} ; the algebraic closure of F
- $G(N/F)$; the topological Galois group of N over F
- G_F ; the topological Galois group of \bar{F} over F
- μ_F ; all the roots of 1 in F
- F^\times ; the multiplicative group of F
- Q ; the rational number field
- Z_p ; the ring of p -adic integers
- Q_p ; the field of p -adic numbers
- $G(l)$; the maximal l factor group of G for any prime l
- (G, G) ; the topological commutator group of G
- G^{ab} ; the factor group of G by (G, G)
- $H^n(G, A)$; the n -th cohomology group of G with coefficients in A .

We adopt similar notations for k, K and so forth.

Let p be a prime number. Then a profinite group G is said to be a pro- p -group if G is a projective limit of finite p -groups. For a pro- p -group G , the rank of G means the minimal number of topological generators of G .

Let $L(I)$ be the discrete free group generated by a set I and let F_p be the field with p elements. G is said to be a free pro- p -group if G is the projective limit of $L(I)/U$, where U is a normal subgroup of $L(I)$ such that U contains almost all elements of I and that $L(I)/U$ is a finite p group. Then the rank of G is equal to the cardinality of I and $\dim_{F_p} H^1(G, Z/pZ)$, where the action of G on Z/pZ is trivial and where $\dim_{F_p} H^1(G, Z/pZ)$ is the dimension of the vector space $H^1(G, Z/pZ)$ over F_p . From the definitions follows the following:

LEMMA 1. For two finitely generated free pro- p -groups G_1 and G_2 , G_1 is isomorphic to G_2 if and only if G_1^{ab} is isomorphic to G_2^{ab} .

A pro- p -group G is said to be a Demushkin group if

(1) $\dim_{F_p} H^2(G, Z/pZ) = 1$

(2) the cup product $H^1(G, Z/pZ) \times H^1(G, Z/pZ) \rightarrow H^2(G, Z/pZ)$ is a non-degenerate bilinear form.

The characterization of Demushkin groups (cf. [1]) gives the following:

LEMMA 2. For two finitely generated Demushkin groups G_1 and G_2 , G_1 is isomorphic to G_2 if and only if G_1^{ab} is isomorphic to G_2^{ab} .

The following lemma (cf. [3]) is well known.

LEMMA 3. For a prime number l , let ζ_l be a primitive l -th root of 1 and let K be a finite algebraic extension of Q_p . Then the following assertions hold:

- 1) If $\zeta_l \in K$, then $G_K(l)$ is a finitely generated free pro- l -group.
- 2) If $\zeta_l \in K$, then $G_K(l)$ is a finitely generated Demushkin group.

We shall use the following lemmas (cf. [2]) in §3.

LEMMA 4. For finite algebraic extensions k and k' of Q , let W and W' be the sets of finite places of k and of k' , respectively. If G_k and $G_{k'}$ are isomorphic, then there exists a bijection f of W onto W' such that G_{k_v} and $G_{k'_{f(v)}}$ are isomorphic for any place $v \in W$, where k_v (or $k'_{f(v)}$) is the completion of k at v (or k' at $f(v)$).

LEMMA 5. Let k and k' be finite algebraic extensions of Q . If G_k and $G_{k'}$ are isomorphic, then the maximal Galois extension of Q contained in k and the maximal Galois extension of Q contained in k' coincide.

LEMMA 6. Let k and k' be finite algebraic extensions of Q . If G_k and $G_{k'}$ are isomorphic, then the minimal Galois extension N of Q containing k coincides with the minimal Galois extension N' of Q containing k' and the cardinality of $C(\sigma) \cap G(N/k)$ is equal to the cardinality of $C(\sigma) \cap G(N/k')$ for any $\sigma \in G(N/Q)$, where $C(\sigma) = \{\tau^{-1}\sigma\tau \mid \tau \in G(N/Q)\}$.

COROLLARY. If G_k and $G_{k'}$ are isomorphic, we have $|k; Q| = |k'; Q|$, where $|k; Q|$ (or $|k'; Q|$) is the degree of k (or k' , respectively) over Q .

It should be noted that Theorem A is a generalization of the following Artin's result.

LEMMA 7. Let k be an algebraic extension of Q , then the following assertions are equivalent to each other:

- 1) The order of G_k is 2.
- 2) There exists a real place v of k such that v is uniquely extended to \bar{k} .
(The above v is uniquely determined by k .)

§2. The Galois group of the algebraic closure of a local field. In this

section, K , K_1 and K_2 shall be finite algebraic extensions of Q_p such that they are contained in the same algebraic closure \bar{Q}_p of Q_p . We will denote by q the cardinality of the residue field of K , by e the order of ramification of K over Q_p and by f the modular degree of K over Q_p . Then we have $q=pf$. Let $n=|K; Q_p|$. Then we have $n=ef$. Let m be the largest integer such that K contains a primitive p^m -th root of 1. We adopt similar notations, viz, q_i, e_i, f_i, n_i , for K_i , for $i=1, 2$. See [4] as for results of number theory used in the followings.

It is well known

$$(1) \quad K^\times \cong Z \times Z_p^n \times Z/(q-1)Z \times Z/p^m Z.$$

By local class field theory, we have

$$(2) \quad G_K^{ab} \cong \prod_l Z_l \times Z_p^n \times Z/(q-1)Z \times Z/p^m Z,$$

where \prod_l is taken over all prime numbers. For completeness we shall give a proof of the following lemma.

LEMMA 8. For a profinite group G and prime number p , $G^{ab}(p)$ and $G(p)^{ab}$ are isomorphic.

Proof. Let N be a normal subgroup of G such that the factor group G/N is $G(p)$. Then we have $G(p)^{ab} \cong G/(G, G)N$. Suppose that the group $(G, G)N$ contains a subgroup H such that the index $|(G, G)N; H|$ is p and that H contains the subgroup (G, G) . It follows $|N; N \cap H|=p$ from $|HN; H|=|N; N \cap H|$ and $HN=(G, G)N$. This contradicts the definition of N . Hence $G^{ab}(p)$ is isomorphic to $G/(G, G)N$. This completes our proof.

PROPOSITION 1. Let K_1 and K_2 be two finite algebraic extensions of Q_p . Then the following assertions are equivalent to each other.

- 1) K_1^\times is isomorphic to K_2^\times .
- 2) $\mu_{K_1} = \mu_{K_2}$ and $n_1 = n_2$.
- 3) $q_1 = q_2, e_1 = e_2$ and $m_1 = m_2$.
- 4) $G_{K_1}^{ab}$ is isomorphic to $G_{K_2}^{ab}$.
- 5) $G_{K_1}(l)$ is isomorphic to $G_{K_2}(l)$ for any prime l .

Proof. 2) from 1): Since K_1^\times is isomorphic to K_2^\times , we have that the torsion subgroups of K_1^\times and of K_2^\times are isomorphic. Hence we have $\mu_{K_1} = \mu_{K_2}$. By (1), K_i^\times is isomorphic to $Z \times Z_p^{n_i} \times Z/(q_i-1)Z \times Z/p^{m_i}Z$ for $i=1, 2$. Therefore the maximal compact subgroup U_i of K_i^\times is isomorphic to $Z_p^{n_i} \times Z/(q_i-1)Z \times Z/p^{m_i}Z$ and then $U_i(p)$ is isomorphic to $Z_p^{n_i} \times Z/p^{m_i}Z$ for $i=1, 2$. For the torsion subgroup T_i of $U_i(p)$, the factor group $U_i(p)/T_i$ is isomorphic to $Z_p^{n_i}$ for $i=1, 2$. Since n_i is the rank of $U_i(p)/T_i$ as Z_p -module and since $U_1(p)/T_1$ is isomorphic to $U_2(p)/T_2$, we have $n_1 = n_2$. In a similar way, we can prove 1) from 4) part, so its proof is omitted.

3) from 2): The cardinality of μ_{K_i} is $p^{m_i}(q_i-1)$, $q_i=p^{f_i}$ and $n_i=e_i f_i$ for $i=1, 2$. Therefore it is clear.

4) from 3): It follows from (2).

4) from 5): Let $q_i-1=\prod_l l^{\alpha_i}$ be the decomposition of q_i-1 into the product of powers of distinct prime numbers for $i=1, 2$. From (2) and Lemma 8, we have

$$(3) \quad G_{K_i}(l)^{ab} \cong \begin{cases} Z_l \times Z/l^{\alpha_i} Z & \text{for } l \neq p, \\ Z_p^{n_i+1} \times Z/p^{m_i} Z & \text{for } l = p, \end{cases}$$

for $i=1, 2$. Since $G_{K_1}(l)^{ab}$ and $G_{K_2}(l)^{ab}$ are isomorphic for any prime l , we shall obtain $\alpha_{l,1}=\alpha_{l,2}$, $n_1=n_2$ and $m_1=m_2$ in a similar way as the above 2) from 1) part. From (2), it follows that $G_{K_1}^{ab}$ and $G_{K_2}^{ab}$ are isomorphic.

5) from 4): Since $G_{K_i}^{ab}(l)$ and $G_{K_i}(l)^{ab}$ are isomorphic for $i=1, 2$, $G_{K_1}(l)^{ab}$ and $G_{K_2}(l)^{ab}$ are isomorphic. From Lemma 3, $G_{K_1}(l)$ and $G_{K_2}(l)$ are finitely generated free pro- l -groups or finitely generated Demushkin groups. Hence from Lemma 1 and Lemma 2, we have that $G_{K_1}(l)$ and $G_{K_2}(l)$ are isomorphic. This completes our proof.

COROLLARY. *Let K_1 and K_2 be two finite algebraic extensions of K such that K_1 is an unramified extension of K . If G_{K_1} and G_{K_2} are isomorphic, then we have $K_1=K_2$.*

Proof. Since K_1 is unramified over K , K_1 is the extension of K generated by μ_{K_1} . $G_{K_1} \cong G_{K_2}$ implies $G_{K_1}^{ab} \cong G_{K_2}^{ab}$. By Proposition 1, we have $\mu_{K_1}=\mu_{K_2}$ and $n_1=n_2$. Hence $K_1 \subset K_2$ and $|K_1; K|=|K_2; K|$. It follows $K_1=K_2$.

§ 3. The Galois group of the algebraic closure of an algebraic number field. In this section, we denote by k and k' finite algebraic extensions of Q such that they are contained in the same algebraic closure \bar{Q} of Q . We shall use the following notations:

- a ; the cardinality of μ_k
- r_1 ; the number of the real places of k
- r_2 ; the number of the imaginary places of k
- $\zeta_k(s)$; the zeta-function of k
- V ; the set of places of k
- W ; the set of finite places of k
- P_∞ ; the set of infinite places of k
- S_∞ ; the set of real places of k
- k_v ; the completion of k at $v \in V$
- q_v ; the cardinality of the residue field of k_v .

We adopt similar notations, viz. a', r'_1, \dots for k' .

LEMMA 9. *Let k and k' be finite algebraic extensions of Q . If G_k and $G_{k'}$ are isomorphic, then we have $\mu_k=\mu_{k'}$.*

Proof. Let M be the maximal Galois extension of Q contained in k . Then by Lemma 5, M is the maximal Galois extension of Q contained in k' . Hence from $\mu_k = \mu_M$ and $\mu_{k'} = \mu_M$, we have $\mu_k = \mu_{k'}$.

LEMMA 10. *Let k and k' be finite algebraic extensions of Q . If G_k and $G_{k'}$ are isomorphic, then we have $r_1 = r'_1$ and $r_2 = r'_2$.*

Proof. Let α be an isomorphism of G_k onto $G_{k'}$. For $v \in S_\infty$, let \bar{v} be an extension of v to \bar{Q} and let $H_{\bar{v}}$ be the decomposition subgroup of G_k for \bar{v} . Since v is a real place of k and since G_{k_v} is isomorphic to $H_{\bar{v}}$, the order of $H_{\bar{v}}$ is 2. Therefore the order of $\alpha(H_{\bar{v}})$ is 2. Let K' be the subfield of \bar{Q} attached to $\alpha(H_{\bar{v}})$ in the sense of Galois theory. By Lemma 7, there exists a real place \bar{v}' of K' which is uniquely extended to \bar{Q} . Let $f_{\alpha}(v)$ be the restriction of \bar{v}' to k' which is uniquely determined by \bar{v} . Let \bar{v}^* be another extension of v to \bar{Q} , then $H_{\bar{v}}$ and $H_{\bar{v}^*}$ are conjugate in G_k to each other. Hence f_{α} is well defined as a mapping of S_∞ to S'_∞ . By a similar way, using the inverse α^{-1} of α , we construct a mapping $f_{\alpha^{-1}}$ of S'_∞ to S_∞ such that $f_{\alpha} \circ f_{\alpha^{-1}}$ and $f_{\alpha^{-1}} \circ f_{\alpha}$ are identity mappings. Hence we have $r_1 = r'_1$. It is well known that the degree $|k; Q|$ (or $|k'; Q|$) is equal to $r_1 + 2r_2$ (or $r'_1 + 2r'_2$). By the Corollary of Lemma 6, we have $r_1 + 2r_2 = r'_1 + 2r'_2$. Hence we have $r_2 = r'_2$. This completes our proof.

Now, using Lemma 10 we can extend the Neukirch's bijection between the finite place sets W and W' in Lemma 4 to a bijection between the place sets V and V' .

PROPOSITION 2. *Let k and k' be finite algebraic extensions of Q . If G_k and $G_{k'}$ are isomorphic, then there exists a bijection f of V onto V' such that G_{k_v} and $G_{k'_f(v)}$ are isomorphic for any place $v \in V$.*

COROLLARY. *If G_k and $G_{k'}$ are isomorphic, then there exists a bijection f of V onto V' such that k_v^\times and $k'_{f(v)}^\times$ are isomorphic for any place $v \in V$. Hence $f(W) = W'$ and $f(P_\infty) = P'_\infty$.*

Proof. It follows from Proposition 1 and Proposition 2.

Let K (or K') be a finite algebraic extension of k (or k') and let W_K (or $W_{K'}$) be the set of finite places of K (or K'). For a place $v \in W$ such that v lies above prime p , let $e_k(v)$ be the order of ramification of k_v over Q_p . We adopt similar notations, viz. $e_{k'}(v')$, $e_K(w)$ and $e_{K'}(w')$ for k' , K and K' , respectively.

LEMMA 11. *If α is an isomorphism of G_k onto $G_{k'}$ such that $\alpha(G_K) = G_{K'}$, then there exist two bijections f of W onto W' and F of W_K onto $W_{K'}$ such that f and F satisfy the following conditions:*

- a) G_{k_v} is isomorphic to $G_{k'_f(v)}$ for any place $v \in W$.
- b) G_{K_w} is isomorphic to $G_{K'_F(w)}$ for any place $w \in W_K$.
- c) A place $w \in W_K$ lies above $v \in W$ if and only if $F(w)$ lies above $f(v)$.

Proof. Using Theorem A, we can prove this Lemma in a similar way to the proof of Lemma 10. So its proof is omitted.

LEMMA 12. *Assumptions and notations being as above, if K is an unramified extension of k' , then K' is an unramified extension of k' .*

Proof. Using Proposition 1 and Lemma 11, we have $e_k(v)=e_{k'}(f(v))$ and $e_K(w)=e_{K'}(F(w))$ for any place $v \in W$ and $w \in W_K$. Suppose that w lies above v . Since K is an unramified extension of k , we have $e_K(w)=e_k(v)$. A place w lies above v if and only if $F(w)$ lies above $f(v)$. So we have $e_{K'}(F(w))=e_{k'}(f(v))$ and K' is an unramified extension of k' .

LEMMA 13. *Assumptions and notations being as Lemma 12, if K is the absolute class field of k , then K' is the absolute class field of k' .*

Proof. Let L' be the absolute class field of k' . From Lemma 12, K' is an unramified extension of k' and $G(K'/k')$ is commutative. Hence we have $K' \subset L'$. Let L be the extension of k such that $\alpha(G_L)=G_{L'}$, then we have $L \subset K$. Since $L' \subset K'$ follows from $L \subset K$, we have $L=K$.

LEMMA 14. *Let $C(k)$ and let $C(k')$ be the ideal class groups of k and k' , respectively. If G_k and $G_{k'}$ are isomorphic, then $C(k)$ and $C(k')$ are isomorphic.*

Proof. Let K be the absolute class field of k and let α be an isomorphism of G_k onto $G_{k'}$. It is well known that $C(k)$ is isomorphic to $G(K/k)$. Let K' be the extension of k' such that $\alpha(G_K)=G_{K'}$, then K' is the absolute class field of k' . Hence, $C(k')$ is isomorphic to $G(K'/k')$. From $G_k/G_K \cong \alpha(G_k)/\alpha(G_K)$, we have $G(K/k) \cong G(K'/k')$. So we have $C(k) \cong C(k')$.

THEOREM. *Let k and k' be finite algebraic extensions of Q . Let D be the discriminant of k over Q , let $C(k)$ be the ideal class group of k , let R be the regulator of k , let E be the unit group of k and let $k_{\mathbb{A}}^{\times}$ be the idele group of k . We adopt similar notations for k' . If G_k and $G_{k'}$ are isomorphic, then we have $D=D'$, E and E' are isomorphic, $k_{\mathbb{A}}^{\times}$ and $k'_{\mathbb{A}}{}^{\times}$ are isomorphic, $C(k)$ and $C(k')$ are isomorphic and $R=R'$.*

Proof. In Lemma 14, it has shown that $C(k)$ and $C(k')$ are isomorphic. Let h and h' be the class numbers of k and k' , respectively. We have $h=h'$. Using the bijection f of Proposition 2, we have $q_v=q'_{f(v)}$ for any $v \in W$. So it follows that

$$\begin{aligned} \zeta_k(s) &= \prod_{v \in W} (1 - q_v^{-s})^{-1} \\ &= \prod_{v \in W} (1 - q'_{f(v)}{}^{-s})^{-1} \\ &= \zeta_{k'}(s) \end{aligned}$$

for $\text{Re}(s) > 1$. From the theorem of identity, we have $\zeta_k(s) = \zeta_{k'}(s)$ for any com-

plex number s . Let G_1 and G_2 be defined by the formulas

$$G_1(s) = \pi^{-s/2} \Gamma(s/2), \quad G_2(s) = (2\pi)^{1-s} \Gamma(s)$$

where $\Gamma(s)$ is the gamma function. Let $Z_k(s)$ and $Z_{k'}(s)$ be defined by the formulas

$$Z_k(s) = G_1(s)^{r_1} G_2(s)^{r_2} \zeta_k(s)$$

$$Z_{k'}(s) = G_1(s)^{r'_1} G_2(s)^{r'_2} \zeta_{k'}(s).$$

Since, from Lemma 10, we have $r_1 = r'_1$ and $r_2 = r'_2$, it follows that $Z_k(s) = Z_{k'}(s)$. It is well known that $Z_k(s)$ is a meromorphic function in the complex plane, holomorphic except for simple poles at $s=0$ and $s=1$. Further, it is well known

$$\lim_{s \rightarrow 0} s Z_k(s) = -2^{r_1} (2\pi)^{r_2} h R / a$$

$$\lim_{s \rightarrow 0} s Z_{k'}(s) = -2^{r'_1} (2\pi)^{r'_2} h' R' / a'.$$

By Lemma 9, we have $a = a'$. So we have $hR = h'R'$. Hence it follows $R = R'$. Since we have

$$\lim_{s \rightarrow 1} (s-1) Z_k(s) = |D|^{-\frac{1}{2}} 2^{r_1} (2\pi)^{r_2} h R / a$$

$$\lim_{s \rightarrow 1} (s-1) Z_{k'}(s) = |D'|^{-\frac{1}{2}} 2^{r'_1} (2\pi)^{r'_2} h' R' / a',$$

it follows $|D| = |D'|$. So we have $D = D'$ because the signs of D and D' are $(-1)^{r_2}$. From the Dirichlet's theorem of the units, E is isomorphic to $\mu_k \times Z^{r_1+r_2-1}$ and E' is isomorphic to $\mu_{k'} \times Z^{r'_1+r'_2-1}$. By Lemma 9 we have $\mu_k = \mu_{k'}$. Hence E is isomorphic to E' . From Corollary of Proposition 2 and the definition of the idele group of k , k'_λ and k'_λ are isomorphic. This completes our proof.

Now we shall give an example in which G_k determines the isomorphism class of k , using the theorem of P. Hall: Let G be a solvable finite group, and let H_1 and H_2 be subgroups of G such that the orders of H_1 and H_2 are equal and relatively prime to the index $|G; H_1|$, then H_1 and H_2 are conjugate in G .

PROPOSITION 3. *Let k and k' be finite algebraic extensions of Q , let \tilde{Q} be the solvable closure of Q and let l be a prime number such that $|k; Q| = l$. If G_k and $G_{k'}$ are isomorphic and if k is contained in \tilde{Q} , then k is isomorphic to k' .*

Proof. Let us use the notations of Lemma 6. Since k is contained in \tilde{Q} , $G(N/Q)$ is solvable. By Lemma 6, $N = N'$ and the order of $G(N/k)$ is equal to that of $G(N/k')$. Since $|G(N/Q); G(N/k)|$ is prime number l , it is easily seen that the common order of $G(N/k)$ and $G(N/k')$ is relatively prime to l . Hence by the theorem of P. Hall, $G(N/k)$ is conjugate to $G(N/k')$ in $G(N/Q)$. Therefore k is isomorphic to k' .

For the above Galois group $G(N/Q)$, it should be noted that the commutator group of $G(N/Q)$ is commutative. Now we shall give an example of the above field k : For an integer m such that $\sqrt[l]{m}$ is not contained in Q , the field $Q(\sqrt[l]{m})$ is contained in \tilde{Q} , $[Q(\sqrt[l]{m}) : Q] = l$ and $N = Q(\sqrt[l]{m}, \zeta_l)$, where ζ_l is a primitive l -th root of 1.

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